

# ATTENTION

The following documents appearing in FBI files have been reviewed under the provisions of The Freedom of Information Act (FOIA) (Title 5, United States Code, Section 552); Privacy Act of 1974 (PA) (Title 5, United States Code, Section 552a); and/or Litigation.

FOIA/PA

Litigation

Executive Order Applied

Requester: \_\_\_\_\_

Subject: \_\_\_\_\_

Computer or Case Identification Number: \_\_\_\_\_

Title of Case: \_\_\_\_\_ Section \_\_\_\_\_

\* File \_\_\_\_\_

Serials Reviewed: \_\_\_\_\_

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Release Location: \*File \_\_\_\_\_ Section \_\_\_\_\_

This file section has been scanned into the FOIPA Document Processing System (FDPS) prior to National Security Classification review. Please see the documents located in the FDPS for current classification action, if warranted. Direct inquiries about the FDPS to RIDS Service Request Unit  

**b7C**

File Number: 102-60527 Section 425

Serial(s) Reviewed: 41996

FOIPA Requester: \_\_\_\_\_

FOIPA Subject: \_\_\_\_\_

FOIPA Computer Number: 990223

File Number: \_\_\_\_\_ Section \_\_\_\_\_

Serial(s) Reviewed: \_\_\_\_\_

FOIPA Requester: \_\_\_\_\_

FOIPA Subject: \_\_\_\_\_

FOIPA Computer Number: \_\_\_\_\_

File Number: \_\_\_\_\_ Section \_\_\_\_\_

Serial(s) Reviewed: \_\_\_\_\_

FOIPA Requester: \_\_\_\_\_

FOIPA Subject: \_\_\_\_\_

FOIPA Computer Number: \_\_\_\_\_

**THIS FORM IS TO BE MAINTAINED AS THE TOP SERIAL OF THE FILE, BUT NOT SERIALIZED.**

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 05-24-2005 BY 60309 AUC TAM/MLT/TCG

ATTENTION

DO NOT REMOVE FROM FILE

MAILED

MAR 9 1955

NAME CHECK

Limited Classification

Review Conducted

See Top Serial

March 3, 1955

Form 4-774a

DOCTOR THEODOR ADORNO  
Also known as: DOCTOR THEODOR WIESENGRUND  
Born: September 11, 1903  
Frankfurt/Main, Germany

*Name Check*

No investigation has been conducted by the FBI concerning the captioned individual. However, a review of this Bureau's files reflects the following information which may pertain to the subject of your present request.

This Bureau's files reflect the receipt of information from a confidential source of known reliability advising that on September 15, 1944, the names of one Theodore W. Adorno, Hans Eisler, and others, appeared as on a committee to discuss music under Fascism. The Committee was reportedly sponsored by the University of California music department, and the "Musicians Congress." The informant, on September 10, 1944, advised that the "Musicians Congress" was mostly populated by Communist members, and that programs sponsored by this committee were an outlet for Communist propaganda.

It is noted that the Hans Eisler referred to above is the brother of Gerhart Eisler, the well-known Communist functionary.

In 1951, a source who has furnished reliable information in the past advised that Theodore Adorno was born Theodore Wiesengrund, but that he prefers to use the name Adorno. This source advised the following concerning Adorno.

"He is a philosopher, but belongs to those ingenious scholars who are pretty much at home in a good many fields. Adorno has written rather extensively on music, is a composer in his own rights, has done research in the field of psychology and sociology, and is quite familiar with European and American history.

- Tolson \_\_\_\_\_
- Boardman \_\_\_\_\_
- Nichols \_\_\_\_\_
- Belmont \_\_\_\_\_
- Harbo \_\_\_\_\_
- Mohr \_\_\_\_\_
- Parsons \_\_\_\_\_
- Rosen \_\_\_\_\_
- Tamm \_\_\_\_\_
- Sizoo \_\_\_\_\_
- Winterrowd \_\_\_\_\_
- Tele. Room \_\_\_\_\_
- Holloman \_\_\_\_\_
- Gandy \_\_\_\_\_

Orig. to USIA

Reg Rec'd: 2-11-55

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[Redacted]

tar

DECLASSIFIED BY 60309 AUC TAN/MLT/TCG  
ON 05-24-2005 990223

RECORDED - 40

INDEXED - 40

MAR 6 1955

6 MAR 10 1955

To the best of my knowledge, Adorno never was politically active and during the years I have known him he was very clear in his appraisal of Communism and was interested in analyzing similarities of the national socialists and Soviet systems with regard to the treatment of the individual. I know that Adorno was a friend of Hans Eisler, a brother of Gerhart Eisler, and the two families, Eisler, and Adorno, met while they both were living in Santa Monica, California. Furthermore, Adorno and Eisler together worked on a study of film music. I have reason to believe that most of it, if not all, was written by Adorno. When the book was about to be published, the Gerhart Eisler story broke and Adorno decided not to have his name associated with that of Hans Eisler. The study, therefore, was published with Hans Eisler as the sole author."

The informant advised that "the mutual interest and friendship, as long as it lasted, between Eisler and Adorno must be explained on the basis of a common experience; both have been pupils of the outstanding modern composer Arnold Schoenberg and both were deeply interested in the theoretical problems of modern composing."

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100-106126-30)

The informant further advised that the impressions and the factual knowledge which he had of Adorno with regard to his loyalty might be summed up as follows:

"As far as I know, he was neither in Europe nor in the United States politically active with the Communist Party or any of its affiliates. I have never heard of him being connected with any front organization in this country. I have no reason to believe that he is not loyal to this country, and I know that he cherishes his American citizenship."

The foregoing information is furnished to you as the result of a request for an FBI file check and is not to be construed as a clearance or a nonclearance of the individual involved. This information is furnished for your use and should not be disseminated outside of your agency.

SEARCH SLIP

Subj: Adorno, DR. Theodor

Supervisor \_\_\_\_\_ Room \_\_\_\_\_

R# \_\_\_\_\_ Date 2/10 Searcher Initial S 66

FILE NUMBER

SERIAL

Theodore W. Cant.

100-129980-41

Theodore WIESENGRUND

104-4102-483

Thomas W. (VAR)

100-51620-26p14

Teddy (VAR)

65-32677-48p35

T.W.

65-32677-26,45

100-367260-12

100-5143-29

100-195220-16p19,65

123-2460-25

62-88217-308amp13

101-2838-2

65-9266-65

SEARCH SLIP

Subj: Adorno, DR. Theodor

Supervisor \_\_\_\_\_ Room \_\_\_\_\_

R# \_\_\_\_\_ Date FEB 17 1955 Searcher Initial 5/66

FILE NUMBER

SERIAL

Theodor

100-106126-24

123-2460-12,13x

Theodor W.

100-195220-19

Theodore (VAR)

65-9266-76

40-14342-7,6

100-195220-31p3,5,19,22

100-106126-30amp9

Theodore W. (VAR)

100-106126-12

61-7421-2

121-9893-64amp.p.1

63-88217-308amp16

121-20075-43

105-1596-16

ALL INFORMATION CONTAINED  
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SEARCH SLIP

Subj: Addono, DR. Theodor

Supervisor \_\_\_\_\_ Room \_\_\_\_\_

R# \_\_\_\_\_ Date 2/11 Searcher Initial S 66

FILE NUMBER

SERIAL

T. W. Cont.

~~AD~~ 100-287057-10

~~AD~~ 100-1060-65

~~AD~~ 65-7588-5

Wisengrundt, J.W. (was)

~~AD~~ 121-24128-11

*[Handwritten signature]*

~~Confidential~~

Copy No

Limited Classification  
Review Conducted  
See Top Serial  
62-62734-1138

# CENSORSHIP DAILY REPORTS

Volume VI  
Numbers 5811-5825

*Handwritten signatures and initials*

REPLICATION FILE



62-62734-1138

INDEXED

Washington, D. C.

July 22, 1942

*Handwritten notes and stamps:*  
2/18  
14 AUG 1 1942 2/8

DECLASSIFIED BY 60309 AUC TMM/TTCG  
ON 06-06-2005

Per blanket authority dtd 11-14-77

have been transferred to SOCIAL STUDIES ASSOCIATION, INC. (see below), which through various subsidiaries holds title to security and real-estate investments. Among the subsidiaries are SOCRES CORPORATION (see below), an investment trust which handles the funds of subject and Sires Realty Corporation (see below), which handles subject's real-estate holdings. All of the members of subject are German refugees and many still have relatives and contacts in various parts of the world, particularly in Switzerland. In view of subject's general background, it is felt that cable traffic should be watched.

Members of subject are as follows:

X HORKHEIMER, MAX, director.

X POLLOCK, FREDERICK, Dr., assistant director. He is a native of Germany, but a naturalized United States citizen, and resides at 429 West 117th Street, New York City. He is a writer and lecturer, and is active in a number of refugee welfare organizations, including Selfhelp of Emigres From Central Europe, Inc., New York City (see report No. 5240). Pollock is president of Sires Realty Corporation and Greyrock Park-On-Sound, Inc., both of which maintain headquarters and administrative offices at 535 5th Avenue, and 90 Morningside Drive, New York City, respectively. [redacted] Sires Realty Corporation and Mrs. ALICE H. MAIER (see below) is secretary. Pollock is also president of Social Studies Association, Inc. Pollock employs one FREDERICK WILD (see below.)

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X NEUMANN, FRANZ LEOPOLD, 403 West 115th Street, New York City. He is a German who arrived in the United States in 1936 and has his second papers. He is a social scientist [redacted]

[redacted] He has recently completed a book entitled "Behemoth: The Structure and Practice of National Socialism," which is strongly anti-Nazi in tone. Neumann is reported to have been a former member of the Berlin bar, who left Germany in 1933, and has since been engaged in research in England and the United States.

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X WITTFOGEL, KARL A., Dr., director of subject. DIO-3ND reports that he is German born and an economic historian who formerly resided in China, where he completed 2 years of study in social research. In 1937 he embarked for California but received a communication and left the ship suddenly. He indicated his destination to be New York, where he was to be affiliated with subject. He further indicated his intention to remain in the United States permanently and to become a citizen. He was a member of the Union of Proletarian Revolutionary Writers and German League Against Imperialism, both of which organizations have Communist affiliations.

X WILD, FREDERICK, assistant to Dr. Frederick Pollock. He is a German who arrived in the United States in 1938 and is reported to have studied law in Germany and to have been a director of a German brewery. He is employed by Frederick Pollock (see above) and is connected with the Socres Corporation (see below). He filed an application to transfer funds to [redacted]

b7C

[redacted] Lisbon, Portugal, to cover the shipment of two trunks, from Lisbon to New York, belonging to [redacted] [redacted] both stateless Germans, reportedly related to Wild. Another cable to Wild from [redacted]

Havana, Cuba, indicated that the trunks should be sent to [redacted] Kew Gardens, New York.

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WEIL, FELIX J.

ADORNO, THEODORE W.

LOWENTHAL, LEO.

MARCUSE, HERBERT.

GROSSMAN, HENRYK.

GUMPERZ, JULIAN. He claims to be native born, but appears to have many relations and connections in Germany including his mother. For the past several years, he has been connected with the subject institution and it is reported that he handles some of the investments of the institution and that he represents the investments of certain Dutch interests. He is a treasurer and director of Marlow Equipment, Inc., 4401 Bronx Boulevard, Bronx, New York City, which acts as sales agent for a motor-driven exercising machine. His cable traffic reveals that he is concerned with aiding certain refugees who appear to be friends or relatives trying to reach this country. He also appears to be associated with INTERNATIONAL RELIEF ASSOCIATION, 2 West 43d Street, which is reported to have definite communistic connections.

SOCRES CORPORATION, 535 5th Avenue and 90 Morningside Drive, New York City.

This corporation was formed in 1935 as an investment company and reported by Federal Reserve Bank to be a holding company for Societe Internationale de Recherches Sociales (see above).

POLLOCK, DR. FREDERICK, president, treasurer, and director (see above).

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[redacted] He is a Netherlander who arrived in the United States in 1936 and who has his first papers.

WILD, FREDERICK, assistant secretary (see above).

MAIER, MRS. ALICE H. (see above), secretary and director. German born, naturalized United States citizen. JOSEPH MAIER, her husband, is assistant editor of AUFBAU, a German Jewish weekly paper published by the NEW WORLD CLUB, INC., 67 West 44th Street, New York City.

(CNY reports, 7/6/42, 5/26/42, and 7/14/42.)

5814.

KELLNER, OLGA, 313 West 100th Street, New York City.

Subject was born in Estonia but is now a Russian citizen. She came to the United States in 1940 from Genoa, Italy, and has applied for her first papers. She has variously claimed to be a student, to be unemployed, and to have a private income. Her husband, ALEXIS KELLNER, resides at 119 John Street, Detroit, Mich., his occupation being unknown. He is reported to have been born in Cairo, Egypt, and is a naturalized German citizen, having resided in Berlin for many years.

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Subject sent a cable to [redacted] Berne, Switzerland, mentioning "Edward's American visa." Subject received a cable from [redacted] Zurich, Switzerland, and the latter may be the



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FOIA/PA  Litigation  Executive Order Applied

Requester: \_\_\_\_\_  
Subject: \_\_\_\_\_  
Computer or Case Identification Number: \_\_\_\_\_  
Title of Case: \_\_\_\_\_ Section \_\_\_\_\_  
\* File \_\_\_\_\_  
Serials Reviewed: \_\_\_\_\_  
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File Number: 62-88217 Section 11  
Serial(s) Reviewed: 308

FOIPA Requester: \_\_\_\_\_  
FOIPA Subject: \_\_\_\_\_  
FOIPA Computer Number: 990223

File Number: \_\_\_\_\_ Section \_\_\_\_\_  
Serial(s) Reviewed: \_\_\_\_\_

FOIPA Requester: \_\_\_\_\_  
FOIPA Subject: \_\_\_\_\_  
FOIPA Computer Number: \_\_\_\_\_

File Number: \_\_\_\_\_ Section \_\_\_\_\_  
Serial(s) Reviewed: \_\_\_\_\_

FOIPA Requester: \_\_\_\_\_  
FOIPA Subject: \_\_\_\_\_  
FOIPA Computer Number: \_\_\_\_\_

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ATTENTION

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# Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. A. H. Belmont *ABW*

DATE: October 3, 1951

FROM : Mr. F. J. Baumgardner *ABW*

SUBJECT: [REDACTED]

- Tolson \_\_\_\_\_
- Ladd \_\_\_\_\_
- Clegg \_\_\_\_\_
- Glavin \_\_\_\_\_
- Nichols \_\_\_\_\_
- Rosen \_\_\_\_\_
- Tracy \_\_\_\_\_
- Harbo \_\_\_\_\_
- Belmont \_\_\_\_\_
- Mohr \_\_\_\_\_
- Tele. Room \_\_\_\_\_
- Nease \_\_\_\_\_
- Gandy \_\_\_\_\_

b7C  
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TO  
INVESTIGATE THE ADMINISTRATION OF  
THE INTERNAL SECURITY ACT AND OTHER  
INTERNAL SECURITY LAWS  
(McCARRAN COMMITTEE)

*FBI* 2184

DO NOT DISSEMINATE

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 3/16/81 BY SP1 Mac/jt

PURPOSE:

To consider the material set forth in two reports prepared by [REDACTED] concerning the Institute of Social Research. These reports were furnished to the Bureau in the strictest confidence and the material may not be disseminated outside of the Bureau.

b7C

BACKGROUND:

In a memorandum from Mr. Laughlin to Mr. Ladd, dated August 13, 1951, and captioned as above, it was recommended that copies of [REDACTED] reports concerning the Institute of Social Research be secured since they were purported to contain information concerning the transfer of One Million Six Hundred Thousand Dollars (\$1,600,000) to the United States for use by the Institute of Social Research for the benefit of the Communist Party.

b7C

These reports reflect the background information concerning the Institute of Social Research and indicate that it was financed by Herman Weil of the grain exporting house, Weil Hermanos & Cia., Buenos Aires and Rotterdam, Holland. [REDACTED] The Institute and Dr. Felix J. Weil, the son of the founder of the Institute, [REDACTED] other organizations affiliated with the Institute, substantial sums of money were discovered to be under the control of individuals who appeared to be associated with the Institute of Social Research. However, there is no indication in these reports that these funds were used for any purpose other than the promotion of housing ventures and payment of the salaries and expenses incidental to the operation of the Institute of Social Research.

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Enclosure

JAS:eis

RECORDED - 92

*100-42717-308*

63 DEC 18 1951

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*rec'd*  
*10/11/51*  
*100-42717-308*

*100-42717-308*  
*63*

February 21, 1944

COPY

INSTITUTE OF SOCIAL RESEARCH

This is an unincorporated association composed, with minor exceptions, of German-Jewish social scientists and philosophers, engaged in research work dealing with social and economic problems. It is located in one of the buildings of Columbia University at 429 West 117th Street, New York. It is not connected with the University except that in consideration of the use of the building, it is supposed to give the Department of Sociology the benefit of its researches. Its real aims and objectives are not known to the University authorities but these will be discussed later in connection with a consideration of the members and associates of the Institute, and their activities. Although denying any political activities or affiliations, the evidence developed indicates that it is a Communist organization of intellectuals operating under the cloak of social and economic research, financed by an endowment created abroad.

Before proceeding further, however, we shall give a history of its background and of the manner in which it was established in New York as it is an outgrowth of an institute originally organized in Frankfurt, Germany, under the name of Institut Fuer Sozialforschung, which translated means, Institute for Social Research, and which we shall call the German Institute for purposes of convenience.

The German Institute appears to have been established in 1924 in affiliation with the University of Frankfurt where some of its members taught as professors of sociology. It was founded by a wealthy Jew named Herman Weil, a member of the large grain exporting house, Weil Hermanos & Cia, of Buenos Aires and Rotterdam, according to a synopsis of an FBI agent's report found in the file of the Naturalization Service on Franz L. Neumann. The FBI received information in 1942 from an unnamed confidential source that Felix Lucio Jose Weil, also known as Felix Weil and as Felix J. Weil, the son of Herman Weil, used his large fortune to finance the Communist Party of Germany and was presently financing an economic research organization in New York City which serves as an economic report centre for the Comintern through Karl August Wittfogel and Arkadij Gurland who acted as Soviet agents in the German Institute; that he was associated with the German Institute founded by his father at the University of Frankfurt. Whether this information is correct

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Made By: K

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files of the FBI or the District Intelligence Office of the Navy, we, of course, are not familiar with the evidence contained therein on which the Naturalization examiner's memorandum was based, or with the sources from which it was obtained.

To get some idea of the nature of the researches being conducted by the Institute, we examined a copy of Vol. IX of the journal, "Studies in Philosophy and Social Science," published in April, 1941, which is in two parts. One part contains articles on mass communication by radio, one of which is by Professor Paul F. Lazarsfeld of Columbia University's Office of Radio Research who is identified with the Institute, together with reviews of books such as one by Herbert Marcuse of John Dewey's "Theory of Valuation," two by Franz L. Neumann on Edgar Bodenheimer's work, "Jurisprudence" and on David W. Pelegorsky's work, "Left-Wing Democracy in the English Civil War," one by Henryk Grossman, a member of the Institute on Joseph A. Schumpeter's book, "Business Cycles" described as a theoretical, historical and statistical analyses of the capitalist process. The other part contains, among others, an article by Frederick Pollock on "State Capitalism," an article by Otto Kirchheimer, also a member of the Institute on "Changes in the Structure of Political Compromise;" an article by Max Horkheimer on "Art and Mass Culture" and additional reviews of books such as one by Felix Weil of Thurman Arnold's book, "Bottlenecks of Business;" Myron Watkins' book, "Public Regulation of Competitive Practices in Business Enterprise" and Kemper Simpson's book on "Big Business: Efficiency and Fascism;" a review by Paul W. Massing of Anna Rochester's book, "Why Farmers are Poor" and reviews made by Franz L. Neumann of John I. Griffin's book, "Strikes," Morris Cooke's and Phillip Murray's book, "Organized Labor and Production," Malcolm Sharp's and Charles Gregory's book, "Social Change and Labor Law."

We are particularly interested in Pollock's article on "State Capitalism" in which he seems to advocate a democratic form of state capitalism as distinguished from the totalitarian form which would have the complete management in control of all instrumentalities used in the production and distribution of goods by the Government including prices, wages, income, the regulation of production and the allocation of labor pursuant to an all plan in which a private capitalist would be reduced to a mere rentier. A resume of this article is contained in our report on Pollock, Case No. CC 4016, in which we express the opinion that his philosophy as disclosed in this article follows communistic lines.

In an effort to further develop the fact that the Institute is a communistic organization we looked into the character and activities of most of its members. Two of whom (Herbert Marcuse and Franz L. Neumann) are in the service of the OSS, and other persons whom we found to be identified with it. As far as we could learn, the following is a list of the members with their addresses:

Franz L. Neumann  
Frederick Pollock

17 West 82nd Street, NYC  
90 Morningside Drive, NYC

January 21, 1944

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Henryk Grossman	521 West 111th St., NYC
Frederick Wild	90 Morningside Drive, NYC
*Siegfried Lorriss	Great Neck, Long Island, N.Y.
Felix Weil	54 Riverside Drive, NYC
Eric Fromm	320 Central Park West, NYC
Leo Lowenthal	929 West End Avenue, NYC
Theodore W. Adorno	290 Riverside Drive, NYC
Herbert Marcuse	218-18th St., Santa Monica, Cal.
Karl August Wittfogel	420 Riverside Drive, NYC
Julian Gumperz	50 Central Park West, NYC
Max Horkheimer	13 Gramercy Park, NYC
Arkadij Gurland	
Otto Kirchheimer	
*Paul W. Massing	17 West 82nd Street, NYC
*Paul F. Lazarsfeld	252 West 85th St., NYC.

With the exception of Wittfogel and Massing, who are Germans, the members are German-Jews except Lazarsfeld, who is an Austrian-Jew, and Gurland, who is a Russian-Jew. They arrived in this country at various times since 1934 and lost little time in applying for American citizenship.

According to a letter written by Pollock to the Guaranty Trust Company on June 27, 1935, the American members of the Institute were Professors [redacted] and Robert S. Lynd of the Department of Sociology at Columbia University; Professor Charles A. Beard, head of the American Sciences and Professor Lewis L. Lorwin, formerly in Washington and then American economic adviser of the International Labor Office in Geneva, Switzerland. b7C

Lowenthal and Massing are the Subjects of investigations and information as to them will appear in our reports, Case Numbers 10468 and 10812, respectively.

No previous investigation appears to have been made of Neumann by us. The file on him at the Naturalization office, however, disclosed that the New York office of the United States Civil Service Commission wrote to the Naturalization Service on December 24, 1942, that a thorough investigation failed to disclose any information regarding Neumann which would have an adverse bearing on his eligibility for naturalization. One of its representatives examined the Naturalization Service file on September 10, 1942.

In his preliminary form for Declaration of Intention filed April 24, 1936, he stated that he arrived on April 2, 1936, from Southampton, on an immigration visa; that he was born in Kattowice (Kattowitz) Poland, which, prior to the restoration of Poland had belonged to Germany, on May 23, 1900; that he was a research assistant; that his race is Hebrew

\*Professor at Columbia University

January 21, 1944

COPY

that he filed the preliminary form for his Declaration of Intention on June 3, 1938, giving his residence as 429 West 117th Street. In connection with the same, he stated he arrived at New York on a tourist visa on October 14, 1937; that his last foreign address was in London; that he was absent from the United States from April 6 to May 10, 1936, in Havana, Cuba, and re-entered on May 10, 1938; that he was examined by the Immigration officer at Havana and that the person to whom he was coming was Max Horkheimer. He stated that he was born in Crakow, Poland, on April 14, 1881; that he was a divorced man and the name of his former wife was Janina Reicher. She was born in Alexandrow, Poland, in 1863, and they were married in Vienna on December 2, 1908. He stated that his race was Hebrew and his nationality, Polish. His occupation was that of an economist. He stated that he had [redacted] who were in Warsaw, Poland.

According to the records, he has not up to this time filed a Petition for Naturalization and the above information is all that is contained in the file.

We have practically no information concerning Theodore W. Adorno except that he is a social philosopher on the staff of the Institute and a contributor of articles to the publication, "Studies in Philosophy and Social Science." One of his articles appears in Part II, Vol. IX, of said publication already referred to under the title, "Spengler Today," in which he discusses the force of Oswald Spengler's philosophy by comparing some of his theses with our own situation. We will not undertake to summarize this article but simply wish to say at one point the author mentions that Spengler's paradoxical prognosis that the era to which Spengler refers is that of contending states and is followed by a period that is historyless. It is clearly paralleled by the tendency of the present economy to eliminate the market and dynamics of competition and where the labor of others is appropriated without any intermediary process by those in command of the means of production and the life of those who do the work is maintained planfully from above. He asks in a footnote to compare Pollock's article on "State Capitalism."

In the records of the Naturalization office we found that the file on Adorno was sent to Los Angeles in February, 1943. Presumably, he has been associated with Max Horkheimer in the operation of the Los Angeles branch of the Institute since that time and proceeded with his naturalization in that city. Incidentally, the above records showed that Adorno's real name had been Theodore Ludwig Wiesengrund but there was nothing to show when he changed his name.

As to Siegfried Lorris, the Naturalization Service file on him showed that he filed his Declaration of Intention on November 30, 1934, in the Supreme Court of the State of New York, at Mineola, as he resided at 9 Ridge Drive, Great Neck, L.I. In connection therewith he stated that he arrived at Hoboken, N.J. from Rotterdam on September 25, 1933; that

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\* File \_\_\_\_\_

Serials Reviewed: \_\_\_\_\_

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File Number: 121-20075 Section 1

Serial(s) Reviewed: 43

FOIPA Requester: \_\_\_\_\_

FOIPA Subject: \_\_\_\_\_

FOIPA Computer Number: 990223

File Number: \_\_\_\_\_ Section \_\_\_\_\_

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CONFIDENTIAL

SECURITY INFORMATION

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OCT 20 1953

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1947/53

... and your ... are ... - any ...  
... your ... of 1953 ... I don't see how I  
... hold you by ... - that is what you imply,  
... you - for if they don't believe you, they certainly wouldn't  
... and anyway, if they want to question me, they know  
... I am.

... is going back to Europe. He leaves ... tomorrow and  
... will fly ... to ... Since his passport  
... is no longer valid for ... (he used up his 3 years),  
... will go to Switzerland first, where he'll meet ...  
... and then to England and France, until ...  
... wants to return here with ... So, if you need ...  
... this is available in ... and ... whenever he will be  
... in ... can ... give you his whereabouts -  
... they'll be better witnesses than the "suspect" himself.  
... in any case, if they reach the conclusion you are not  
... but a security ... because of family connec-  
... - which is the worst that can happen - don't let it  
... you down. You are not poor - you can always ...  
... but income, though on a smaller scale, and you  
... have been and ... or finish your ...  
... attorney. So don't worry.

... who has really to ... I know when I write  
... to write that letter, that it might result in a ...  
... of the ... and disturb my quiet life here, but I  
... it to you ... that ...  
... to help you. I also know that possibly you ...  
... been denounced by Helen if you hadn't sent her  
... telegram. But probably it wouldn't have made  
... difference, for by sticking at you she really ...  
... herself on me and my friends, and you just ...  
... target. So - false ...  
... look! I don't relish the idea of being ...  
... like.

Love  
Liz

... to ... he asks for your address, for ...



# ATTENTION

The following documents appearing in FBI files have been reviewed under the provisions of The Freedom of Information Act (FOIA) (Title 5, United States Code, Section 552); Privacy Act of 1974 (PA) (Title 5, United States Code, Section 552a); and/or Litigation.

FOIA/PA

Litigation

Executive Order Applied

Requester: \_\_\_\_\_

Subject: \_\_\_\_\_

Computer or Case Identification Number: \_\_\_\_\_

Title of Case: \_\_\_\_\_ Section \_\_\_\_\_

\* File \_\_\_\_\_

Serials Reviewed: \_\_\_\_\_

Release Location: \*File \_\_\_\_\_ Section \_\_\_\_\_

This file section has been scanned into the FOIPA Document Processing System (FDPS) prior to National Security Classification review. Please see the documents located in the FDPS for current classification action, if warranted. Direct inquires about the FDPS to RIDS Service Request Uni

b7c

File Number: 123-2460 Section 1

Serial(s) Reviewed: 25, 13X, 12

FOIPA Requester: \_\_\_\_\_

FOIPA Subject: \_\_\_\_\_

FOIPA Computer Number: 990223

File Number: \_\_\_\_\_ Section \_\_\_\_\_

Serial(s) Reviewed: \_\_\_\_\_

FOIPA Requester: \_\_\_\_\_

FOIPA Subject: \_\_\_\_\_

FOIPA Computer Number: \_\_\_\_\_

File Number: \_\_\_\_\_ Section \_\_\_\_\_

Serial(s) Reviewed: \_\_\_\_\_

FOIPA Requester: \_\_\_\_\_

FOIPA Subject: \_\_\_\_\_

FOIPA Computer Number: \_\_\_\_\_

**THIS FORM IS TO BE MAINTAINED AS THE TOP SERIAL OF THE FILE, BUT NOT SERIALIZED.**

ATTENTION

DO NOT REMOVE FROM FILE

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1  
THIS CASE ORIGINATED AT

BUREAU

~~SECURITY INFORMATION - CONFIDENTIAL~~

MKD

REPORT MADE AT <b>NEW YORK</b>	DATE WHEN MADE <b>AUG 7 1953</b>	PERIOD FOR WHICH MADE <b>7/8-10, 14-17, 21-23, 28-30/53</b>	REPORT MADE BY <div style="border: 1px solid black; width: 100px; height: 15px;"></div>
TITLE <b>LEO LOWENTHAL</b>		CHARACTER OF CASE <b>SPECIAL INQUIRY, STATE DEPARTMENT, PUBLIC LAW 402, 80th CONGRESS, VOICE OF AMERICA</b>	

b7C

**SYNOPSIS OF FACTS:**

*done by [unclear] 3/1/53*

LOWENTHAL presently employed as Director, Evaluation Staff, International Broadcasting Service. Record clear. Neighbors state LOWENTHAL is a quiet, sincere individual and they have no reason to question his loyalty. Professor KARL WITTFOGEL advised he has had no further contact with LOWENTHAL. However, he did recall that LOWENTHAL told him in 1925 that he, LOWENTHAL, had been a member of the Communist Student Group at Heidelberg University. Election records disclose LOWENTHAL where registered, registered to vote for one of two major political parties. Confidential Informants in the New York area who have a knowledge of CP activity, have no knowledge of LOWENTHAL. No record BSSI.

RUC

REFERENCE: Report of SA  New York, 10/31/50.

**DETAILS:**

EMPLOYMENT

United States Department of State  
1790 Broadway  
New York, New York

*photo etc RFB. to me 1/13/55*

DECLASSIFIED BY 60267/NLS/CLC-ak  
ON 10-12-99

b7C

Appointments and Records Section, New York Administrative Office, advised SA  that LEO LOWENTHAL is presently employed by the Voice of America in the capacity of Director, Evaluation Staff, International Broadcasting Service. She stated his record is clear.

APPROVED AND FORWARDED: <i>[Signature]</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES
COPIES OF THIS REPORT		123 - 2460 - 25
3 - Bureau 1 - New York (123-1621)		AUG 11 1953
25 OCT 7 1953		INDEXED-40 RECORDED-43 EX-125

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NEIGHBORHOOD

90 Morningside Drive  
New York, New York

[redacted] advised SA [redacted] that LEO LOWENTHAL, [redacted] have resided at the captioned residence for about six or seven years. He advised that, based upon his associations with the LOWENTHAL family, he considers them to be persons of good character, reputation, loyalty and associates. He stated that he had never seen nor heard anything which would reflect upon them in any manner. b7C

[redacted] for five years, advised that he only knew Mr. LOWENTHAL on a casual basis. He stated that Mr. LOWENTHAL and his family impressed him as being average individuals who have never given him any reason to doubt their character, reputation, loyalty and associates. b7C

[redacted] Rental Office, 119 Morningside Drive, which handles records for 90 Morningside Drive, advised SA [redacted] that he was unable to ascertain how long a period of time Mr. LOWENTHAL has resided at 90 Morningside Drive due to the fact that Apartment 5A, in which Mr. LOWENTHAL resides, is rented under the name of FREDERICK POLLOCK and apparently Mr. LOWENTHAL sublets from POLLOCK. b7C

[redacted] was re-contacted and advised that it is his understanding that Mr. LOWENTHAL has sublet from POLLOCK who formerly resided in Apartment 5A.

[redacted] advised that about two weeks ago Mr. LOWENTHAL [redacted] [redacted] advised that POLLOCK presently rents Apartment 1M and that [redacted] who works in this apartment and who is [redacted] to Mr. POLLOCK, advised him that if any of the following individuals or organizations receive mail at this building, it is to be forwarded to Apartment 1M: b7C

Dr. F. POLLOCK

[redacted]  
Dr. MAX HORKHEIMER

Dr. FELIX J. WEIL

Dr. PAUL MASSING

Socres Corporation

Sires Realty Corporation b7C

NY 123-1621

Institute of Social Research  
Social Studies Association, Incorporated  
Herman Weil Memorial Fund

[redacted]  
Implementation Committee on Television

Dr. T. W. ADORNO  
Dr. HERBERT MARCUSE

[redacted] stated that the above organizations received a large amount of mail and cables from overseas, particularly from Germany. He stated that Dr. POLLOCK and LOWENTHAL are close friends and that [redacted] works in Apartment 1M. He advised that Dr. POLLOCK is presently in Germany teaching at a university and that [redacted] recently joined him. [redacted] advised that [redacted] who works at the Medical Center, is closely acquainted with [redacted] as they both came from the same town in Germany and she might have knowledge of her present whereabouts.

[redacted] in Genetics and Obstetrics, College of Physicians and Surgeons, Columbia Presbyterian Hospital, 622 W. 168th Street, New York, New York, advised SA [redacted] that she resides in [redacted] 90 Morningside Drive. She stated that she first met Mr. LOWENTHAL [redacted] soon after they arrived in the United States in 1934 or 1935 from Germany and she stated that she visited with them on two occasions during those years after which she lost complete contact with them until about three years ago when she happened to meet Mr. LOWENTHAL on the elevator of their house at 90 Morningside Drive.

Since that time [redacted] related she has had only superficial contact with Mr. LOWENTHAL through short conversations in the elevator and short visits in his apartment. She pointed out that she definitely is not an intimate friend nor even a close acquaintance. [redacted] advised, however, that [redacted] LOWENTHAL comes from the same town in Germany as she does. She advised that in the summer of 1951 [redacted] had taken a trip to Israel. After several months she returned and almost immediately [redacted] She stated that she naturally never inquired as to the reason [redacted] [redacted] but it was her impression that they had become [redacted] She advised that [redacted] was very interested in Jewish and Zionist activities while Mr. LOWENTHAL was not and

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# FEDERAL BUREAU OF INVESTIGATION

Form No. 1  
THIS CASE ORIGINATED AT **BUREAU**

FILE NO. 123-436

REPORT MADE AT <b>LOS ANGELES</b>	DATE WHEN MADE <b>9/25/50</b>	PERIOD FOR WHICH MADE <b>7/28; 9/22, 25/50</b>	REPORT MADE BY [Redacted] <b>emd</b>
TITLE <b>LEO LOWENTHAL</b>		CHARACTER OF CASE <b>SPECIAL INQUIRY - STATE DEPARTMENT, Public Law 402, 80th Congress (VOICE OF AMERICA)</b>	

**SYNOPSIS OF FACTS:**

[Redacted] Los Angeles, expressed concern as to the loyalty of the applicant because of his associates connected with the Institute of Social Research, New York City.

RUC

REFERENCE:

Bureau File No. 123-2406.  
Los Angeles letter to Bureau 8/4/50.  
Bureau teletype to New York 9/14/50.

G. I. R. - 1

DETAILS:

[Redacted] was interviewed by SA [Redacted]

[Redacted] Los Angeles, an Associate Professor, Medical School, University of California at Los Angeles, advised that he had some doubt created in his mind as to the loyalty of Mr. LEO LOWENTHAL, who was presently in the position of Research Director on programming, Voice of America, and as such is attached to the State Department in New York City.

[Redacted] stated he has been working on research for a paper being prepared by him on the subject of [Redacted] which deals with the [Redacted] which could be used against the USSR. He advised

*AGENCY REC'D  
REC'D  
REP'T FORW.  
BY [Signature]  
11/3/53  
meab*

APPROVED AND FORWARDED: *R.B. Hoodman* SPECIAL AGENT IN CHARGE

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3 - Bureau AMSD

1 - Los Angeles

**JAN 4 1951**

*re State 11/6/50*

123-2460-13X

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INDEXED - 129

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 10-7-99 BY 602067/NLS/CLC/HK

LA 123-436

point out that he did not mean that MASSING is pro-Communist and that he would be for the Soviet or Stalinist Communism. [redacted] stated he characterizes Communism in Russia as actually not Communism at all but Fascism. He explained that his feeling is that MASSING might be for theoretical Communism and that probably State Socialism would be more in line with MASSING's actual political views. b7C

During MASSING's argument and attack against his paper, [redacted] stated LOWENTHAL appeared to get the drift of which way MASSING's arguments were taking, and LOWENTHAL suddenly cut MASSING off sharply by saying in effect, "Oh, you don't know what you're saying." MASSING promptly subsided and that was the end of the argument. [redacted] received the definite impression that LOWENTHAL's rebuke had the effect of "shutting MASSING up." [redacted] could not recall that LOWENTHAL indicated any agreement with MASSING's argument, nor could he attribute to Mr. LOWENTHAL any specific statement which [redacted] would take to indicate disloyalty to American principles.

[redacted] stated the real basis for his doubt concerning LOWENTHAL is based on the fact that LOWENTHAL, together with certain individuals, is connected with an organization known as the Institute of Social Research, which is affiliated with Columbia University and located at the same address as LOWENTHAL, at 90 Morningside Drive, New York. He advised that the principal figures in this Institute are in addition to LOWENTHAL, Dr. MAX HORKHEIMER; THEODORE ADORNO; the above-mentioned PAUL MASSING; and FREDERICK POLLOCK, who is the Economist of the group. According to [redacted] all five of these individuals are Germans/Jews who fled from Germany at the time of ADOLPH HITLER, and are real scholars and intellectuals. He stated that they believe there is no difference between HITLER and STALIN as to purpose and tactics. He advised that he had been previously acquainted with HORKHEIMER, ADORNO, and POLLOCK, all of whom reside in the Los Angeles area. He stated HORKHEIMER is presently in Germany. b7C

[redacted] reported that he believes that all of these individuals are extremely close together in their work and their views and that they think alike and stand for the same concepts. [redacted] reported that he expects that anyone or all of these men might, like KLAUS FUCHS, the recently convicted scientist, regard themselves as so intellectually ahead of everyone else that they might take it on themselves to decide what is best for the world. b7C

[redacted] reiterated that he had no specific information upon which to base the doubt in his mind concerning LOWENTHAL other than he is closely associated with the individuals mentioned in the Institute of Social Research. [redacted] stated that he understood from LOWENTHAL that

# Office Memorandum • UNITED STATES GOVERNMENT

Mr. Tolson	.....
Mr. Ladd	.....
Mr. Clegg	.....
Mr. Glavin	.....
Mr. Nichols	.....
Mr. Rosen	.....
Mr. Tracy	.....
Mr. Harbo	.....
Mr. Belmont	.....
Mr. Mohr	.....
Mr. Winterrowd	.....
Mr. Nease	.....
Miss Gandy	.....

TO : Director, FBI

DATE: August 4, 1950

FROM : SAC, Los Angeles

~~CONFIDENTIAL~~

SUBJECT: LEO LOWENTHAL  
 SPECIAL INQUIRY - STATE DEPARTMENT  
 Public Law 402, 80th Congress  
 (VOICE OF AMERICA)  
 Bureau file 123-2406

DECLASSIFIED 11-27-70  
4417 JEO

*HRS*  
*12/10/76*  
*GT/ML*

On July 28, 1950, [redacted] Los Angeles, [redacted] telephonically communicated with this Office, stating that he had a matter which he regarded as urgent which he desired to furnish to a Bureau representative personally.

b7C

It may be noted that [redacted] at the Medical School at the University of California at Los Angeles, was a complainant on another matter some months ago, which was the subject of my personal and confidential letters to the Bureau dated March 2, and 6, 1950, in regards HERBERT BIBERMAN, INTERNAL SECURITY - C, Bureau file 100-24499. For the identification and background of [redacted] reference is respectively made to page 5 and pages 3 and of these referenced letters.

b7C

[redacted] was personally contacted by an Agent of this Office on the date of his telephonic contact. He said that a matter concerning which he wished to furnish information might be characterized as not particularly specific and possibly a "witch hunt", but that there was enough doubt in his own mind about a top flight Government official to make him feel that the Bureau should be furnished the information for what it was worth. He said he had been thinking about the matter for about two weeks and had called the FBI reluctantly because he might be entirely in error in his suspicions, however, he said he had every confidence in the Bureau's policy of keeping its information confidential along with its ability to get at the facts and, therefore, he felt that if he were wrong, no one would be hurt.

*9-14-87*  
*#801840*  
*3042/rwt/clk*  
*pgs. 1-3 only & contact*  
*nothing classifiable*

b7C

[redacted] identified the individual concerning whose loyalty some doubt had been created in his mind recently as Mr. LEO LOWENTHAL, who he said was presently in the position of [redacted] and as such is attached to the State Department in New York City. Thereafter [redacted] furnished the following information.

b7C

For some years [redacted] has been working on research for a paper being prepared by him on the subject of [redacted]

AIR MAIL  
MMB/mlw  
123-436

cc - New York

52 JAN 4 1951

*SA NY*  
*cc LA*  
*9-14-50*  
*JEN*

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AUG 11 1950

EX-71

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*Handwritten signature*

[redacted] the impression that he was, in a veiled way, anti-capitalistic and pro-socialist - even possibly pro-Communist; however, [redacted] went on to point out that he did not mean that MASSING is pro-Communist in that he would be for the Soviet or Stalinist Communism. [redacted] himself characterizes Communism in Russia as actually not Communism at all but Fascism. He explained that his feeling is that MASSING might be for theoretical Communism and that probably State Socialism would be more in line with MASSING's actual political views.

b7C

During MASSING's argument and attack against [redacted] paper, LEO LOWENTHAL appeared to get the drift of which way MASSING's arguments were taking, and LOWENTHAL suddenly cut MASSING off sharply by saying in effect "Oh, you don't know what you're saying." MASSING promptly subsided and that was the end of his argument. [redacted] received the definite impression that LOWENTHAL's rebuke had the definite effect of "shutting MASSING up." However, he could not recall that LOWENTHAL indicated any agreement with MASSING's argument, nor could he attribute to Mr. LOWENTHAL any specific statement which [redacted] would take to indicate disloyalty to American principles.

b7C

The real basis for [redacted] doubt concerning LOWENTHAL is based on the fact that LOWENTHAL, together with certain other individuals, is connected with an organization known as the Institute of Social Research, which is affiliated with Columbia University and located at the same address as LOWENTHAL, at 90 Morningside Drive, New York. The principal figures in this Institute are in addition to LOWENTHAL, Dr. MAX HORKHEIMER; THEODOR ADORNO; the above-mentioned PAUL MASSING; and FREDERICK POLLOCK, who is the Economist of the group. According to [redacted] all five of these individuals are German Jews who fled from Germany at the time of ADOLPH HITLER; all are real scholars and intellectuals; all believe there is no difference between HITLER and STALIN as to purpose and tactics.

b7C

[redacted] had been previously acquainted with HORKHEIMER, ADORNO, and POLLOCK, all of whom reside in the Los Angeles area. HORKHEIMER is presently in Germany.

b7C

[redacted] believes that all of these individuals are extremely close together in both their work and their views; that they think alike and stand for the same concepts. [redacted] suspects that any one or all of these men might, like KLAUS FUCHS, the recently convicted Scientist, regard themselves as so intellectually ahead of everyone else that they might take it on themselves to decide what is best for the world.

[redacted] again admitted that he had nothing specific upon which to base the doubt in his mind concerning LOWENTHAL other than that he is so closely associated with the other individuals mentioned in the Institute of Social Research. [redacted] understands from LOWENTHAL that hundreds of secret documents of the State Department cross his desk and it is [redacted] opinion, therefore, that if LOWENTHAL is not completely loyal

b7C