

**F.O.I.A.**

**JULIUS ROSENBERG ET AL.**

***FILE DESCRIPTION***

AQ GREENGLASS **FILE**

**SUBJECT** DAVID GREENGLASS

**FILE NO.** AQ 65-19

**VOLUME NO.** I

**SERIALS**

1A

three

35

## **NOTICE**

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File No: AJ 65-19

Re: David Neenglan

Date: 3-17-87  
(month/year)

Serial	Date	Description (Type of communication, to, from)	No. of Pages		Exemptions used or, to whom referred (Identify statute if (b)(3) cited)
			Actual	Released	
11	3-3-50	AJ TT HQ	1	-	see Neenglan 65-59028-4
12	3-3-50	HQ let AJ	4	-	" -1
13	3-2-50	HQ TT AQ	1	-	" -2
14	3-2-50	SL TT AQ	1	-	-14
15	3-3-50	AJ TT HQ	1	-	-17
16	3-4-50	Palmer memo SAC	1	1	b1
17	3-6-50	HQ TT AQ	2	-	see Neenglan 65-59028-4
18	3-7-50	SF TT HQ	1	-	" -19
19	3-7-50	SAC memo Maxwell	1	1	b1
20	3-7-50	Sac memo Maxwell	1	1	b1
21	3-8-50	AJ TT HQ	1	-	see Neenglan 65-59028-20
22	2-9-50	HQ let AQ	1	-	see Hicks 65-5885-NR 2-9-50

19 3  
rev rel Doug Refer Presumed Preproc 16  
4/1/88

File No: AJ 15-19

Re: David Greenglass

Date: 3-17-87  
(month/year)

Serial	Date	Description (Type of communication, to, from)	No. of Pages		Exemptions used or, to whom referred (Identify statute if (b)(3) cited)
			Actual	Released	
23	2-21-50	Sac memo Maxwell	3	3	b7c b6 army
24	2-28-50	AJ TTER	1	1	b1
25	3-9-50	SF TT HQ	1	-	See Greenglass 65-59028-33
26	2-28-50	AJ let EP	1	1	b1
27	6-21-47	SF rpt	12	12	b1 b2 b7D
28	3-9-50	Sac memo Palmer	1	1	b1
29	3-3-50	HQ let SF	1	-	See Greenglass 65-59028-18
30	3-7-50	HQ TT BU	1	-	" -5
31	3-6-50	BU TT HQ	1	-	-5
32	3-15-50	HQ let DN	4	-	-7
33	3-16-50	HQ TT AJ	1	-	-9
34	3-23-50	AJ rpt	72	-	-11

35 10-15-81 DUC memo SAC

2 2  
101 20  
P. 1 P. 1

Don't Refer Presumed Prepare

June 19, 1950

Memo For The File

The 1<sup>A</sup> of this file has been removed and placed in a Sub of 65-19,  
behind the file.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED

DATE 1-29-79 BY 2333 GAS/KAN  
12-1-80 3042/PWT/CLB  
#80-1840 CV

65-19-1A

SEARCHED <u>h</u>	INDEXED <u>h</u>
SERIALIZED <u>h</u>	FILED <u>h</u>
JUN 19 1950	
FBI - ALBUQUERQUE	

*[Signature]*

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File No: AD 65-19Re: David GreenglassDate: 3-17-87  
(month/year)

Serial	Date	Description (Type of communication, to, from)	No. of Pages		Exemptions used or, to whom referred (Identify statute if (b)(3) cited)
			Actual	Released	
1A	6-19-50		1	1	
1	2-7-50	HQ TT AQ	1	1	b1
2	2-8-50	AQ TT HQ	1	-	see Greenglass 65-58805-NR 2-8-50 -gt 168
3	2-16-50	HQ TT AQ	1	0	b1
3X	3-2-79	class form to AQ	1	1	b1
4	2-21-50	HQ let AQ	2	2	b1
4X	3-2-79	class form to AQ	1	1	b1
5	2-28-50	AQ TT HQ	1	-	see Greenglass 65-59028-15
6	2-28-50	AQ TT HQ	1	-	see Greenglass 65-59028-6
7	2-28-50	AQ TT CP	1	0	b1
8	2-28-50	LA let HQ	1	-	see Greenglass 65-59028-14
9	3-1-50	HQ TT AQ	1	-	see Greenglass 65-59028-6
10	3-2-50	NY TT AQ	1	-	" -23

14 6 2  
Rev Rel Desc Refer Presumed Preproc

TOP SECRET

FBI WASH DC

2-7-50

6-08 PM

JIM

*7XU*

SAC, ALBUQUERQUE

URGENT

*XS*  
ESP. R.

IMMEDIATELY CHECK RECORDS LOS ALAMOS, [REDACTED]

*bl*

[REDACTED]  
[REDACTED]  
[REDACTED]  
[REDACTED]

SUTEL. *XS*

*15*

HOOVER

END

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HEREIN IS UNCLASSIFIED  
EXCEPT WHERE SHOWN  
OTHERWISE

*bl*  
February 7, 1950 *C*

*12 1-86*  
CLASSIFIED BY: *3012/RT/ps*  
DECLASSIFY ON: OADR  
*#86-1840CV*

*Ag. # 65-6*

*10/13/79*  
CLASS. & EXT. BY *SP. 100/ps*  
REASON - FCIM 11. 1-2. 4.2  
DATE OF REVIEW *2/10/89*

*65-6-51*

FBI - ALBUQUERQUE	
FEB 8 1950	
	<i>7</i>

*65-19-1*

XXXXXX  
XXXXXX  
XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

\_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☐ Deleted under exemption(s) \_\_\_\_\_ with no segregable material available for release to you.
- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
- ☐ Documents originated with another Government agency(ies). These documents were referred to that agency(ies) for review and direct response to you.

\_\_\_\_\_ Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

1  
\_\_\_\_\_ Page(s) withheld for the following reason(s):  
Disposition of document in file  
LS-58805- NK 2-8-50 after 188

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:  
AD 65-19-2

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X DELETED PAGE(S) X  
X NO DUPLICATION FEE X  
X FOR THIS PAGE X  
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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

1  
 \_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

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☐ Documents originated with another Government agency(ies). These documents were referred to that agency(ies) for review and direct response to you.

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\_\_\_\_\_ Page(s) withheld for the following reason(s):  
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☐ For your information: \_\_\_\_\_  
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☒ The following number is to be used for reference regarding these pages:

AQ 45-19-3

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 X NO DUPLICATION FEE X  
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TO: SAC:

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| <input checked="" type="checkbox"/> Albany      | <input type="checkbox"/> Houston       | <input type="checkbox"/> Oklahoma City    |
| <input checked="" type="checkbox"/> Albuquerque | <input type="checkbox"/> Indianapolis  | <input type="checkbox"/> Omaha            |
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| <input type="checkbox"/> Anchorage              | <input type="checkbox"/> Jacksonville  | <input type="checkbox"/> Phoenix          |
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| <input type="checkbox"/> Detroit                | <input type="checkbox"/> New Orleans   | <input type="checkbox"/> Tampa            |
| <input type="checkbox"/> El Paso                | <input type="checkbox"/> New York City | <input type="checkbox"/> Washington Field |
| <input type="checkbox"/> Honolulu               | <input type="checkbox"/> Norfolk       | <input type="checkbox"/> Quantico         |

TO LEGAT:

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| <input type="checkbox"/> Bern         |
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| <input type="checkbox"/> Hong Kong    |
| <input type="checkbox"/> London       |
| <input type="checkbox"/> Madrid       |
| <input type="checkbox"/> Manila       |
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| <input type="checkbox"/> Tokyo        |

Counting of Paragraphs:

Reference counted as first para.; last para. on page continued to next page counted on first page only; page beginning with continued para. - first full para. counted as para. one; para. followed by (:) material thereafter counted as part of original para. or referred to as "line \_\_\_\_"; info. not in para. form identified as "line \_\_\_\_", or subject matter.

On file **65-19-3**

Ur file

If document has been destroyed or cannot be located, reply with FBIHQ is unnecessary.

**MAR 2 1979**

Date

65-26  
65-96  
65-24  
65-19  
65-386

RE:

**(S)**  
**ESP-R.**  
**61**  
**CLASSIFIED BY: 3042/pwt/ks**  
**DECLASSIFY ON: OADR**  
**12-1-86**

Re: **2132HQ**  
(Division)

- ☐ Report of SA  
☐ Letter ☐ Airtel ☒ Teletype  
☐ LHM ☐ Memo ☐ Other

To: **Albuquerque** Date: **2-16-50**

☐ Classification retained (see below)

☐ Declassified by \_\_\_\_\_ on \_\_\_\_\_

- ☒ Classified ☒ Top Secret  
☐ Upgraded To: ☐ Secret  
☐ Downgraded ☐ Confidential

Classified and extended by **2333** on **1-29-77**

Reason for extension

FCIM, II, 1-2.4.2. ☐ 1 ☒ 2 ☐ 3

Date of review

for declassification **1-29-89**

- ☐ Cover Communication:  
☐ Letter ☐ Airtel ☐ Report cover pages

To: \_\_\_\_\_ Dated \_\_\_\_\_

☐ Classification retained (see below)

☐ Declassified by \_\_\_\_\_ on \_\_\_\_\_

- ☐ Classified ☐ Top Secret  
☐ Upgraded To: ☐ Secret  
☐ Downgraded ☐ Confidential

Classified and extended by \_\_\_\_\_ on \_\_\_\_\_

Reason for extension

FCIM, II, 1-2.4.2. ☐ 1 ☐ 2 ☐ 3

Date of review

for declassification \_\_\_\_\_

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Page	Paragraph	Line	Classification
1	Subject		<input checked="" type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
1	ALL of pg		<input checked="" type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
			<input checked="" type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
			<input type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
	ALL INFO HEREIN CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE		<input type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C

☐ See reverse side for additional listings.

FBIHQ Division 4 ☒ Other ☐ DCRU ☐ Other

This form is: ☒ Top Secret ☐ Secret ☐ Confidential ☐ Unclassified

(Initials)

**65-19-3X**

## Office Memorandum • UNITED STATES GOVERNMENT

TO : SAC, ALBUQUERQUE

TOP SECRET


DATE: February 21, 1950

FROM : DIRECTOR, FBI

SUBJECT:  (S) (6) b1

ESPIONAGE - R

PERSONAL ATTENTION  
CONFIDENTIALAIR MAIL-SPECIAL DELIVERY

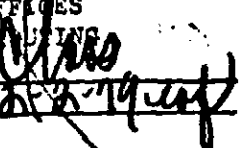
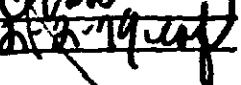
Reference is made to Bureau teletypes to Albuquerque dated February 7 and 16, 1950, Albuquerque teletype of February 8, 1950, and Albuquerque letter of February 9, 1950. The following is the information available from  (TS)





ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
EXCEPT WHERE SHOWN  
OTHERWISE

CLASSIFIED BY: 3042/RL/CJS  
DECLASSIFY ON: OADR


12-1-00

CC: New York  
Washington Field  
Los Angeles  
San Francisco

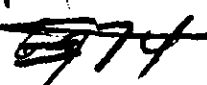
APPROPRIATE AGENCIES  
FIELD OFFICES  
ADVISED BY ROUTING  
SLIP(S) OF   
DATE 

Searched   
Serialized   
Indexed   
Filed 

TOP SECRET

65-194  
FBI - ALBUQUERQUE  
FEB 23 1950  


CLASSIFIED AND  
EXTENDED BY: 2233/GAS/JAN 1-29-79  
REASON FOR EXTENSION 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR  
DECLASSIFICATION 1-29-89

65-6-

TOP SECRET

[REDACTED] b1

(S) TS.

On the basis of the foregoing information it would appear that [REDACTED] who has been the subject of investigation by the New York Office in connection with the case entitled Paul Ivan Alexeef, was; Mrs. Paul Ivan Alexeef, Internal Security - G. The New York Office was directed by the Bureau letter of November 22, 1949, to institute an immediate investigation of Mrs. Alexeef. A summary of the file in that case and the results of newly developed information should immediately be furnished to the Albuquerque Office by the New York Office for their assistance in connection with this matter. The report should identify such persons as Alexis A. Stoopenkoff and others, in order that the Albuquerque Office can properly analyze various aspects developed by them. A short summary of information regarding [REDACTED] should also be set forth. (S) TS.

The Albuquerque Office should continue its investigation in this matter in line with the Bureau's instructions, and should consider itself the office of origin in the case entitled Unknown Subject, [REDACTED] T.S. b1

[REDACTED]

All investigation in connection with this matter must be given continuous investigative attention and the Bureau kept fully advised of all developments. (S)

For the information of the Albuquerque Office, information from [REDACTED] (S) should be set forth in reports under a temporary symbol number and designated in the report as coming from a confidential and reliable informant. The information from this source is not, under any circumstances, to be disseminated outside of the Bureau. The information from this source should not be placed in communications in other cases. The number of agents having access to information from this source should be restricted to those agents who will have to have the information available for the appropriate handling of the investigation. (S) b1

TOP SECRET

This form is: ☒ Top Secret ☐ Secret ☐ Confidential ☐ Unclassified

TO: SAC:

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|---|---|--|
| <input checked="" type="checkbox"/> Albany      | <input type="checkbox"/> Houston                  | <input type="checkbox"/> Oklahoma City               |
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| <input type="checkbox"/> Honolulu               | <input type="checkbox"/> Norfolk                  | <input type="checkbox"/> Quantico                    |

TO LEGAT:

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Counting of Paragraphs:

Reference-counted as first para.; last para. on page continued to next page counted on first page only; page beginning with continued para. - first full para. counted as para. one; para. followed by (:) - material thereafter counted as part of original para. or referred to as "line \_\_\_\_\_"; info. not in para. form identified as "line \_\_\_\_\_", or subject matter.

File 65-19-4

Ur file \_\_\_\_\_  
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**MAR 2 1979**

Date \_\_\_\_\_

RE:

(b) Espionage - R  
12-1-86  
CLASSIFIED BY: 3012 PWT/pls  
DECLASSIFY ON: OADR

65-26  
65-96  
65-24  
65-19  
65-588  
**Classify When File is returned**

Re: FBIHQ (Division)

(Division) 3/5/79

- ☐ Report of SA  
☒ Letter ☐ Airtel ☐ Teletype  
☐ LHM ☐ Memo ☐ Other

- ☐ Cover Communication:  
☐ Letter ☐ Airtel ☐ Report cover pages

To: ALBUQUERQUE Dated 2/21/50  
☐ Classification retained (see below)

To: \_\_\_\_\_ Dated \_\_\_\_\_  
☐ Classification retained (see below)

- ☐ Declassified by \_\_\_\_\_ on \_\_\_\_\_  
☒ Classified ☒ Top Secret  
☐ Upgraded To: ☐ Secret  
☐ Downgraded ☐ Confidential

- ☐ Declassified by \_\_\_\_\_ on \_\_\_\_\_  
☐ Classified ☐ Top Secret  
☐ Upgraded To: ☐ Secret  
☐ Downgraded ☐ Confidential

Classified and extended by 2333 on 1-29-79  
Reason for extension  
FCIM, II, 1-2.4.2. ☐ 1 ☒ 2 ☐ 3  
Date of review for declassification 1-29-89

Classified and extended by \_\_\_\_\_ on \_\_\_\_\_  
Reason for extension  
FCIM, II, 1-2.4.2. ☐ 1 ☐ 2 ☐ 3  
Date of review for declassification \_\_\_\_\_

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Page	Paragraph	Line	Classification
<u>1-2</u>	<u>ALL</u>		<input checked="" type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE		<input type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
			<input type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
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			<input type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C

☐ See reverse side for additional listings.

FBIHQ Division 4 ☒ Other ☐ DCRU ☐ Other

This form is: ☒ Top Secret ☐ Secret ☐ Confidential ☐ Unclassified

(Initials) \_\_\_\_\_  
FBI/DOJ

XXXXXX  
XXXXXX  
XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

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- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
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Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

1 Page(s) withheld for the following reason(s):

*Disposition of document in Greenham*  
*US-59028-18*

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

*AQ 45-19-5*

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XXXXXX  
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X DELETED PAGE(S) X  
X NO DUPLICATION FEE X  
X FOR THIS PAGE X  
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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

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Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

1 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlam  
US- 5-9028-6

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ 65-19-6

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☐ Documents originated with another Government agency(ies). These documents were referred to that agency(ies) for review and direct response to you.

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1 Page(s) withheld for the following reason(s):

*Disposition of document in Greenham*  
*US-59028-104*

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

*AQ US-19-8*

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1 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglan  
LS- 59028-6

☐ For your information: \_\_\_\_\_

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Disposition of document in Deenglan  
LS-59028-23

☐ For your information: \_\_\_\_\_

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65-59028-84

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1 Page(s) withheld for the following reason(s):  
Disposition of document in Greenham  
US-59028-12

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

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1 Page(s) withheld for the following reason(s):  
Disposition of document in Greenham  
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☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

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1 Page(s) withheld for the following reason(s):  
Disposition of document in Greenham  
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☐ For your information: \_\_\_\_\_

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## Office Memorandum • UNITED STATES GOVERNMENT

TO : SAC

FROM : EDWARD C. PALMER

SUBJECT: [REDACTED] (f2) b1  
(AQ) (b6)  
65-19

DATE: March 4, 1950

~~TOP SECRET~~

Period 2-28-50, 3-1-50

The following hotels and motels in Santa Fe, New Mexico were checked by the writer in regards to any information concerning LUDMILLA NIKALAEVNA ALEXEEF or PAUL IVAN ALEXEEF, ever staying in Santa Fe, New Mexico during the period of November 22, 1944 and December 16, 1944. All hotels and motels checked either did not have any records for this period or no record for the above named persons. (X) u

El Fidel Hotel	no records
Montazuna Hotel	no records
Orchard Camp	no records
La Posada Inn	no records
Plaza Hotel	no records
De Vargas Hotel	no records
La Fonda Hotel	no records
King Rest	no record

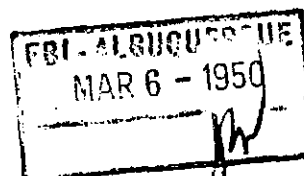
No records for 1944

12-1-86  
CLASSIFIED BY: 3012/PWT/CB  
DECLASSIFY ON: DADR

CLASSIFIED AND EXTENDED BY 2333 GAS/SAN 1-29-79  
REASON FOR EXTENSION 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR DECLASSIFICATION 1-29-89

ALL INFORMATION CONTAINED  
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EXCEPT WHERE SHOWN  
OTHERWISE

Searched *EH*  
Serialized *EH*  
Indexed *EH*  
Filed *EH*

~~TOP SECRET~~

45-19-16

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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
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2 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlam  
LS-59028-14

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ LS-19-17

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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
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1 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlam  
US-59028-19

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ US-19-18

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## Office Memorandum • UNITED STATES GOVERNMENT

TO : SAC

~~TOP SECRET~~

DATE: 3-7-50

FROM : J. JEROME MAXWELL

SUBJECT: UNKNOWN SUBJECT, was [REDACTED] (S) 61  
ESPIONAGE - R

Rebulet to Albuquerque, 2-21-50. (u)

u ~~XX~~ [LUDMILLA NIKOLAEVNA ALEXEEF] was supposed to have contacted subject in the vicinity of Los Alamos, N.M. between 11-22-44 and 12-16-44. Suspect in this case, DAVID HAWKINS was on leave from Los Alamos, N.M. from 11-22-44 to Ex 12-6-44, at La Luz, N.M. ~~XX~~ u

LEADS

AT ALAMOGORDO, N.M.

Will check registration records of the principal hotels in Alamogordo, N.M. for a registration by [LUDMILLA NIKOLAEVNA ALEXEEF] between 11-22-44 and 12-16-44. ~~XX~~ u ~~XX~~ u

65-19

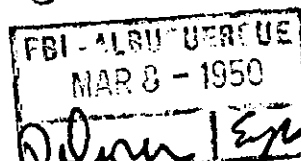
JJM:

2-13-87

CLASSIFIED BY: 3042/PWT/CS  
DECLASSIFY ON: OADR

CLASSIFIED AND EXTENDED BY: 2333 GAS/JAN 1-29-79  
REASON FOR EXTENSION: 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR DECLASSIFICATION: 1-29-89

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HEREIN IS UNCLASSIFIED  
EXCEPT WHERE SHOWN  
OTHERWISE

~~TOP SECRET~~

## Office Memorandum • UNITED STATES GOVERNMENT

TO : SAC

TOP SECRET

DATE: 3-7-50

FROM : J. JEROME MAXWELL

SUBJECT: UNKNOWN SUBJECT, WAS., [REDACTED] (TS.)  
ESPIONAGE - R

Re Bulet to Albuquerque, 2-21-50. (u)

~~u~~ ~~76~~ [LUDMILLA NIKOLAEVNA ALEXEEF] was supposed to have contacted subject in the vicinity of Los Alamos, N.M. between 11-22-44 and 12-16-44. Suspects in this case ROBERT E. and RUTH MARSHAK were in Albuquerque, N.M. on December 9 and 10, 1944 and are believed to have stayed at the Franciscan Hotel. Mrs. RUTH MARSHAK stated that the purpose of ~~her~~ her visit was to visit her father. Her father's name is \_\_\_\_\_ GUP. (TS.)

## LEADS

At Albuquerque, N.M.

Will check registration records of the principal hotels in Albuquerque, N.M. for a registration by [LUDMILLA NIKOLAEVNA ALEXEEF] between 11-22-44 and 12-16-44. ~~TS~~ u

65-19

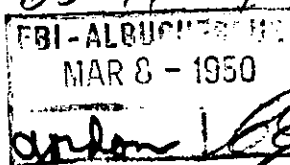
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CLASSIFIED BY: 3042/PWT/CJS  
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DATE OF REVIEW FOR  
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1 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlam  
US- 59028- 20

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

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TOP SECRET

MEMORANDUM, SAC

February 21, 1950

RE: EMIL JULIUS KLAUS FUCHS, was  
ESPIONAGE - R

ATTENTION: J. JEROME MAXWELL

The following investigation was conducted by the writer.

PERIOD: 2/14, 15/50

REFERENCE: Bureau teletype to Albuquerque dated February 7, 1950,  
and Albuquerque teletype to Bureau dated February 8, 1950.

Synopsis: Information obtained indicating location of [REDACTED] during January 1945, which eliminates him as being identical with [REDACTED] TS

DETAILS:

SANDIA BASE, ALBUQUERQUE, NEW MEXICO

Records of the Personnel Security Branch, Armed Forces Special Weapons Project, Sandia Base, Albuquerque, New Mexico, were reviewed and the following information obtained.

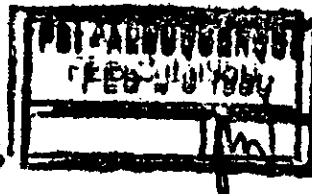
[REDACTED] was transferred to Sandia Base from Los Alamos, New Mexico on January 5, 1948, and terminated at Sandia Base on January 16, 1948. Records reflect that he was considered a short-term military enlistment and he was not preferred for clearance investigation. The records do not reflect that he was finally discharged from the Army on January 16, 1948, but only that he left Sandia Base at that time.

The instant records contain a security questionnaire executed by [REDACTED] at Los Alamos, New Mexico on or about December 13, 1946, and according to general procedure he probably arrived at Los Alamos only a few days prior to that date.

This questionnaire reflects that he had served in the military forces from August 1946 to that date and that he had been previously stationed

MRB:RM  
65-6

CLASS. & EXT. BY SP-1 GPC/ea  
REASON - FCIM 11, 1-2.4.2.2  
DATE OF REVIEW 10/10/89



65-19-23

TOP SECRET

Mem, Sac  
Re: Emil Julius Klaus Fuchs, was  
Espionage - R

February 21, 1950

at Camp Lee, Virginia.

The records reflect that [REDACTED] was employed by the Firestone Tire and Rubber Company at Akron, Ohio in 1945; by Smith's Garage, 459 East Tallmadge, Akron, Ohio in 1946, prior to his induction into the Army.

As indicated above, the instant records do not reflect that any particular investigation was ever conducted by any agency relative to [REDACTED] other than a record check by military authorities, but does reflect that he was interviewed by a Special Agent ROBERT E. TAYLOR of the counterintelligence Corps, U. S. Army on December 17, 1946. Purpose of such interview was to clarify [REDACTED] citizenship status. At that time [REDACTED] advised TAYLOR that his mother's parents were Hungarian citizens and immigrated to the United States many years ago. His mother was born in the United States and when she was only a small child her parents returned to Hungary where she later met and married [REDACTED] father, a Hungarian citizen. [REDACTED] was born in Hungary in 1928 and came to the United States with his parents in 1929. His father became a naturalized citizen of the United States in 1934 and [REDACTED] claims citizen through naturalization of his father or derivative citizenship through his mother. The exact names and dates were not indicated in the file by CIC TAYLOR.

The following description of [REDACTED] obtained from instant records:

Name  
Address  
Race  
Sex  
Born

Height  
Weight  
Hair  
Eyes  
Father



TOP SECRET

Memo, Sac  
Re: Emil Julius Klaus Fuchs, was  
Espionage - R

February 21, 1950

Mother

Brother

All grandparents, aunts and uncles, names not listed, reside  
in Hungary (1946)

ASH

SA ROY I. MISCHKE

ble 67c 1978  
Per Army 1978

FEDERAL BUREAU OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE

TOP SECRET

Transmit the following Teletype message to:

FBI ALBUQUERQUE

u [2-28-50]

8:30 P.M. MST

SAC EL PASO

URGENT

UNSUBS. WAS

T.S. 61  
ESPIONAGE R.

FORWARD TO AQ IMMEDIATELY FILE ON DAVID HAWKINS, BORN EP  
ON FEBRUARY TWENTYEIGHT FORTYTHREE. (X)

WYLY

END

JJM:BD  
65-~~222~~

12.3.86  
CLASSIFIED BY: 3042/PWT/dls  
DECLASSIFY ON: OADR

(C) ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
EXCEPT WHERE SHOWN  
OTHERWISE

CLASSIFIED AND  
EXTENDED BY: 2333 GAS/JAN 1-29-79  
REASON FOR EXTENSION: 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR  
DECLASSIFICATION: 1-29-89

TOP SECRET

Approved: Hy

Sent

M

Per

32

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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
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1 Page(s) withheld for the following reason(s):  
Disposition of document in Heenglam  
US- 59028- 33

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

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## Office Memorandum • UNITED STATES GOVERNMENT

TO : SAC, ALBUQUERQUE

DATE: 2-28-50

FROM : SAC, EL PASO

~~CONFIDENTIAL~~  
TOP SECRET

SUBJECT: UNKNOWN SUBJECTS, was.,

REGISTERED

ESPIONAGE - R

Re Albuquerque teletype 2-28-50, captioned as above, requesting file on DAVID HAWKINS. (u)

Enclosed herewith is the entire file captioned DAVID HAWKINS, Internal Security - R, El Paso File 100-3811, also the entire file entitled DAVID HAWKINS, Atomic Energy Act - Employee, Internal Security - C, El Paso File 116-907. (u)

It was noted that information concerning HAWKINS appears in the following El Paso files:

100-3796 (FRANCES ROCKMAN HAWKINS, wife)

100-2968 (CINRAD)

116-490

116-512

116-3701

116-8248

100-3844-56, page 12

CLASSIFIED AND  
EXTENDED BY 2333 GAS/SAW 1-29-79  
REASON FOR EXTENSION 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR  
DECLASSIFICATION 1-29-89  
(u)

The entire file concerning FRANCES ROCKMAN HAWKINS, Internal Security - R, San Francisco origin, San Francisco 100-22397, El Paso file 100-3796, is also being forwarded herewith for your further information and assistance. (u)

Regarding Albuquerque teletype request, also February 28, 1950, for file on WILLIAM SPINDEL, there is being forwarded herewith the entire file on WILLIAM SPINDEL - SC - 3107, AFSA, El Paso File 116-18256, in accordance with this request. (u)

Enclosures

WMH:LH  
65-780

cc: San Francisco (CINRAD)

EP 100-3796

EP 116-907

EP 100-3811

EP 116-18256

TOP SECRET

Searched.....  
Serialized.....  
Indexed.....  
Filed.....

FBI - ALBUQUERQUE  
MAR - 2 1950  
Maxwell

65-19-26

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# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT

~~CONFIDENTIAL~~

FILE NO. **116-619**

REPORT MADE AT <b>SAN FRANCISCO, CALIF.</b>	DATE WHEN MADE <b>6-21-47</b>	PERIOD FOR WHICH MADE <b>6/12, 14, 16, 20/47</b>	REPORT MADE BY <b>DAVID STEIN TADD</b>
TITLE <b>DAVID HAWKINS</b>	CLASSIFIED AND EXTENDED BY <b>2333 GRS/242.1-29-77</b> REASON FOR EXTENSION FORM, H, 1-2.4.2		CHARACTER OF CASE <b>ATOMIC ENERGY ACT - EMPLOYEE INTERNAL SECURITY - C</b>
SYNOPSIS OF FACTS: <b>DAVID HAWKINS</b> attended Leland Stanford University from 1930 to 1936 receiving A.B. and M.A. degrees; attended University of California 1936 to 1940 receiving his Ph.D degree. He studied philosophy and mathematics. He was employed as an instructor at Leland Stanford University 1940 to 1941 and as an instructor at the University of California from 1941 to 1943. He was employed as administrative aide, Los Alamos Laboratory from 1943 to 1946. He was technical advisor to MGM Studios, Hollywood, California, in 1946 in filming the picture, "The Beginning of the End". He is regarded as loyal and reliable by the Philosophy Department Chairman at the University of California and Leland Stanford University. One associate at the University of California regards him as a Marxist and for this one reason would not recommend him for a responsible Government position. The former associates at Leland Stanford University reported in 1943 that they regarded him as a Marxist but did not think he favored economic change by revolution. <b>DAVID HAWKINS</b> married <b>FRANCES JANE ROCKMAN</b> in 1937. She is reliably reported as having been a member of the Communist Party of Alameda County in 1942 and Communist Party records showed her dues were paid through July, 1942. Her two brothers, <b>LEONARD TRAINER ROCKMAN</b> and <b>JACK CLARK ROCKMAN</b> and her two sisters-in-law, <b>ALICE ANN GILBERTSON ROCKMAN</b> and <b>KATHLEEN KIRS ROCKMAN</b> , are all presently active members of the Communist Party at San Francisco, California. <b>LEONARD ROCKMAN</b> and <b>ALICE ROCKMAN</b> have expressed a recent desire to conceal their Communist Party activities for the security of their relatives' jobs. In 1942, a reliable informant reported that <b>STANLEY JOHNSON</b> and <b>BERNADETTE BOYLE</b> , then officers of the Communist Party of Alameda County, desired to notify <b>DAVID HAWKINS</b> of a Communist Party meeting. Several friends and associates of <b>DAVID HAWKINS</b> and <b>FRANCES HAWKINS</b> are known to be Communist Party members at San Francisco. Neighbors at former places of residence regard <b>DAVID HAWKINS</b> and wife as reputable people who live quietly and they are well liked. Credit record satisfactory. No criminal record this area.			
APPROVED AND FORWARDED:		SPECIAL AGENT IN CHARGE	
COPIES OF THIS REPORT		DO NOT WRITE IN THESE SPACES	
5 - Bureau - <b>RED</b> 1 - Washington Field 1 - San Francisco		<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <b>FBI ALBUQUERQUE</b>  <b>MAR 5 1950</b>  <i>Mapwell</i> </div> <div style="float: right; text-align: right;"> <b>65-19-27</b>  <b>no</b>  <b>CONFIDENTIAL</b> </div>	
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE		<b>Tadd</b>	

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REFERENCE:

Bureau letter dated May 21, 1947.

DETAILS:

EDUCATION AND EMPLOYMENT

Records at the Registrar's Office, Leland Stanford University, Palo Alto, California, reflected that DAVID HARKINS attended that university from 1930 to 1936 receiving an A.B. degree on June 18, 1934 and an M.A. degree on April 2, 1936. He studied philosophy and mathematics and did some work of probability theory. He was employed as an instructor in philosophy at Stanford University from 1940 to 1941.

Professor JOHN W. REID, Chairman of the Department of Philosophy, Leland Stanford University, advised that he was associated with HARKINS when HARKINS taught at the university but that he, REID, was not the chairman of the department at that time. Professor HAROLD CHASMAN BROWN, now deceased, was then the head of the Philosophy Department and it was under Professor BROWN that DAVID HARKINS had done both his under-graduate and graduate work. Professor REID said he knew that Professor BROWN had taught a great deal of DAVID HARKINS and that they were close friends. He said that HARKINS left his position at Stanford University to teach at the University of California because the wage scale there was better and he received a considerable increase in salary. He said that DAVID HARKINS worked under Professor WILLIAM W. DERMES at the University of California and that Professor DERMES had taken a liking to HARKINS. Professor DERMES in 1943 was employed at the Los Alamos Laboratory in New Mexico and he took DAVID HARKINS with him as his assistant. According to Professor REID, HARKINS accepted this although he was not assigned because he was always interested in the philosophy of science and the job at Los Alamos appealed to him on this basis. Professor REID stated that Professor DERMES probably knew HARKINS better than anyone else in this area.

Professor REID said that in his association with HARKINS he believed him to be honest, dependable and reliable and that he had no reason to believe that he was not loyal to the United States although he stated that he had not been too intimately acquainted with DAVID HARKINS at Leland Stanford University. He said that his main basis of feeling sure of HARKINS' reliability was because both Professors BROWN and DERMES thought so much of him.

Dr. HENRY WALTERS STUART, a retired professor of philosophy, Leland Stanford University, was interviewed by Special Agent FRANK W. MITCHELL at Professor STUART's home, Colores and 13th Streets, Carmel, California. Dr. STUART advised that he had known DAVID HARKINS for a number of years and that in his opinion, HARKINS was trustworthy and that he would serve his country loyally. He said that HARKINS was reliable and of excellent character and

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morals; that his general reputation was good and that to his knowledge he was not or had he ever been a member of any subversive group, and his interests were not inimical to the welfare of the United States. He said that DAVID HAWKINS had sent him a letter which was received June 18 to the effect that DAVID HAWKINS was resigning from his present position at George Washington University and was going to Colorado to teach at the University of Denver.

Records maintained at the Registrar's Office, University of California, Berkeley, California, reflected that DAVID HAWKINS attended that institution as a graduate student from 1936 to 1940 and that he received his Ph.D degree in the field of philosophy in May of 1940. He was employed as an assistant in the Philosophy Department while a graduate student and later as an instructor in the Philosophy Department from 1941 to 1943.

Professor WILLIAM R. DUNES, Chairman of the Department of Philosophy, University of California, advised that he had been closely associated with DAVID HAWKINS while HAWKINS had been studying for his Ph.D degree and later when he had been employed as an instructor in the Philosophy Department at the University. Professor DUNES also stated that DAVID HAWKINS had been employed in Professor DUNES' section at the Los Alamos Laboratory, New Mexico, from 1943 to 1946. He said that DAVID HAWKINS had originally been the draft deferment officer at the installation and later he was made an administrative assistant and that he became the general administrative trouble-shooter to the leading scientists in the project, and that he was well thought of by the director of the project, Dr. J. ROBERT OPPENHEIMER and other scientists, such as, ROBERT SERBER and FRANK OPPENHEIMER.

Professor DUNES said that he thought DAVID HAWKINS was capable and that he had great confidence in him and he regarded him as honest, and said his loyalty and integrity were unquestioned. He said that DAVID HAWKINS had always taken an interest in world politics and world affairs and that he was widely read and that he knew that at least one individual regarded HAWKINS as being a Marxist. Professor DUNES said that he did not construe this to be the fact nor would he suspect DAVID HAWKINS of entertaining any disloyal tendencies. He said he certainly knew of no organizations which might be regarded as subversive to which DAVID HAWKINS belonged.

Professor DUNES said that while at Los Alamos he had had one long conversation with DAVID HAWKINS with respect to the philosophical theory of Marxism and that after this conversation, he did not entertain the opinion that DAVID HAWKINS was a Marxist in what is the meaning of the term, "Marxism". He said that it was HAWKINS' opinion that Marxism proved that the economic factor was a motivating power in the development

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of history and the development of the individual. He said that HAWKINS was certainly not a revolutionary nor did he believe that economic change should be brought about by revolution, nor did he belong or advocate belonging to any revolutionary group. He said that HAWKINS did not feel that the present Russian Government would be the solution to any economic problems and he recalled that HAWKINS had once scoffed at the idea of intelligent people waiting to learn the Communist Party line before making up their own minds on political problems. Professor DENNIS also stated he recalled that DAVID HAWKINS had once drawn a simile between the present Government in Russia and the fairy tale of the good prince and the bad prince by saying that STALIN and his dictatorship replacing the dictatorship of the Czar was certainly not a good prince replacing a bad one.

Professor DENNIS said that probably the closest friend DAVID HAWKINS had at the University of California was FRANK OPPENHEIMER, the brother of Dr. J. ROBERT OPPENHEIMER.

Professor PAUL MARHENKE, professor of philosophy at the University of California at Berkeley, advised that he had been the associate of DAVID HAWKINS over a long period of years, since 1933, and they had both been employed as instructors at the University of California and had shared an office there. He said he knew him very well; that he liked him; that HAWKINS had a nice personality, and Professor MARHENKE said that he wished HAWKINS well. He said, however, that he was willing to furnish any information desired and answer to specific questions.

He was asked whether he thought HAWKINS possessed desirable traits of character, such as, honesty, integrity, sobriety, and morality, to which Dr. MARHENKE said, yes, he did. He was asked whether he believed HAWKINS to be loyal to this country, to which Professor MARHENKE replied he did not know. He was then asked that if HAWKINS were employed in a responsible Government position with access to highly secret information would he consider him loyal to that trust, to which Professor MARHENKE again replied that he did not know. He was then asked why he did not know and he replied because DAVID HAWKINS was a Marxist and Professor MARHENKE said that he did not know to what extent HAWKINS believed in Marxism that would influence his loyalty to the United States. He was questioned as to the basis of his belief that HAWKINS was a Marxist and he replied that he had had long conversations in his office over a period of years with HAWKINS and that HAWKINS had expressed his ideas along these lines at that time which had led Professor MARHENKE to this conclusion. He said that HAWKINS was a Russian apologist and admirer and that he had made an about-face with respect to the United States war effort at the time Germany invaded Russia.

Professor MARHENKE was asked whether HAWKINS had ever expressed any desire for revolution and he replied that DAVID HAWKINS had not done so

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openly but, after all, that was only one means to accomplish the end of an economic and governmental change and that HAWKINS certainly believed in Marxist principles; that he was definitely a Communist but he was not to Professor HARENKE's knowledge, a member of the Communist Party, but Professor HARENKE said he knew no members of the Communist Party; he said he had never seen a Communist Party card and he knew that it to be a policy of the Communist Party members not to advertise this fact. He said that from the ideas that DAVID HAWKINS had expressed, he could very well be a member of the Communist Party.

Professor HARENKE was asked if he were in the position of the Government, would he place DAVID HAWKINS in a position of trust, and he replied that he would not if it involved access to secret information. He said that he would not recommend HAWKINS for such a position and the sole basis for withholding that recommendation was because HAWKINS is a Marxist.

#### RESIDENCES

The records at the University of California and Leland Stanford University indicated that HAWKINS lived at the following residences:

574 Constanza Street, Palo Alto, California, from 1932 to 1933

18 Perry Lane, Palo Alto, California, from 1933 to 1934

650 Cabrillo, Palo Alto, California, from 1934 to 1936

2531 Channing Way, Berkeley, California, from 1936 to 1937

2309 Clay Street, San Francisco, from 1937 to 1939

530 Lake Street, San Francisco, from 1939 to 1940

660 Matadero St., Palo Alto, from 1940 to 1941

1732 Milvia Way, Berkeley, from 1941 to 1942

242 - 32nd Avenue, San Francisco, from 1942 to 1943

Mrs. VERA COLBY, 666 Matadero, Palo Alto, California, advised that DAVID HAWKINS and his wife had resided in the home owned by her for one year in 1941. She said she did not know them well, had had little association with them and that they had been satisfactory tenants with the

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exception that it had always been her opinion that she had lost one month's rent since they were regularly behind with their rent payments and she felt that over the course of the year, she had lost one month's rent, although she had not kept accurate enough records to prove this definitely. She stated that a Mrs. HOWARD MARTIN, a former neighbor of the HAWKINS', had told her that at one time Mrs. HAWKINS had told Mrs. MARTIN something which indicated that Mrs. HAWKINS belonged to some student organization at Stanford University and that she opposed some policy of the Government. She said she could not remember the exact details of this and referred reporting Agent to Mrs. HOWARD MARTIN at the Los Robles Trailer Court at Palo Alto, California.

Inquiry at this address reflected that Mrs. MARTIN had moved recently and had left no forwarding address. However, a reliable source has advised that he discussed this matter with Mrs. MARTIN in 1943 and at that time Mrs. MARTIN advised that she seemed to remember that FRANCES HAWKINS at the time mentioned some organization which sounded like the "Youth Congress League" and that from her remarks, Mrs. MARTIN assumed that the HAWKINS' were members of this organization; that they had associated with some odd-looking people, but that she did not recall their names. She said that she did not recall that Mrs. HAWKINS had ever made any remarks indicating that they opposed the United States entry into the war prior to Pearl Harbor.

Mr. FRED McDONALD, an attorney, 240 - 32nd Avenue, San Francisco, advised that he had known the HAWKINS' well as neighbors for a period of about two years and that he regarded them as being fine persons, honest, and would give his unqualified recommendation as to their loyalty to this country.

Mrs. AGNES GILCHRIST, 124 - 21st Avenue, advised that she had known FRANCES HAWKINS intimately for about twenty years and DAVID HAWKINS since they were married and that she regarded them as fine people and close personal friends and that she would give her unqualified recommendation for any position of trust.

The following former neighbors have furnished substantially the same information to the effect that they were not acquainted with DAVID and FRANCES HAWKINS, but that the HAWKINS' had lived quietly in their respective neighborhoods and were of good reputations as far as they knew:

Mrs. RICHARD LEE - 244 - 32nd Ave., San Francisco

JOHN R. LUTZ - 541 San Juan, Palo Alto

Mrs. PAUL BONIAT - 10 Ferry Lane, Palo Alto

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ELISE KRISAN - 242 - 32nd Avenue, San Francisco

Mrs. IRWIN MOUTON - 50 Chestnut St., Los Gatos

Mrs. IRMA JACOBS - 845 Lake Street, San Francisco

ELIZABETH PAVESITCH - 1736 Milvia Way, Berkeley

The records maintained by the registrar of San Francisco County at the City Hall reflected that DAVID HAWKINS married FRANCES JANE POCKMAN at San Francisco, California, on June 22, 1937. His parents were listed as WILLIAM ASHTON HAWKINS, born Pennington, Tennessee, and CLARA GARDINER, born Elmira, New York. FRANCES JANE POCKMAN's parents were listed as LEONARD POCKMAN, born San Francisco, California, and JULIA M. HARTMAN born at San Francisco, California.

The records maintained at the Registry of Voters, City Hall, San Francisco, California, reflected that DAVID HAWKINS and FRANCES HAWKINS have been registered voters affiliated with the Democratic Party since 1938.

Confidential Informant T-1 who maintains records of subversive groups in this area, advised that the only record in his files concerning DAVID HAWKINS was to the effect that HAWKINS had written a letter of recommendation on behalf of FRANK LUSLEY POLLOCK of Benicia, California, and that POLLOCK, according to reliable information reported to Confidential Informant T-1, was a Communist Party member. In addition, the "San Francisco Chronicle" had published a letter in its "Letters to the Editor Column" under date of August 11, 1942, a letter written by DAVID HAWKINS in which HAWKINS urged the opening of a second front in the war against Germany.

Confidential Informants T-2, T-3 and T-4 who also maintain records of subversive activities in this area, advised that their files contained no record of either DAVID HAWKINS or FRANCES HAWKINS.

The records of the Retail Credit Association at San Francisco, California, reflected that DAVID HAWKINS maintains a satisfactory credit rating.

The files of the Police Departments at Berkeley, San Francisco and Palo Alto, California, contain no criminal record of either DAVID HAWKINS or his wife.

#### REVIEW OF SAN FRANCISCO FILES

The attention of this office was first directed to DAVID HAWKINS

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(c) on [redacted] when information was received from an un-  
informant, T-5, [redacted]

b1

(c)

b1

(c)

Confidential Informant T-6, who is thoroughly reliable, has advised that DAVID HARKINS was employed from May 8, 1943 to August 23, 1946 at the Los Alamos Laboratory, DSM Project, as an administrative aide and that he had access to confidential information. He was born Feb. 28, 1913 at El Paso, Texas. He has the following relatives:

Father:	WILLIAM ASHTON HARKINS, deceased
Mother:	CLARA GARDINER HARKINS, resides at La Luz, New Mexico
Brothers:	JOHN C. HARKINS, La Luz, New Mexico
Sisters:	JULIA HARKINS SLOANE
Sisters:	CLARA HARKINS HALLAM (both in New York City)
Brothers:	GARDINER HARKINS employed Hearing-Milliken Co., New York City
Brothers:	ASHTON W. HARKINS, Long Island, N.Y.

DAVID HARKINS was a member from 1938 to 1939 of the American Federation of Teachers, Local 141, Berkeley, California, and in 1940 and 1941 Local 442 of the same organization at Palo Alto, California.

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FRANCES POGEMAN HAWKINS, according to T-6, was born May 6, 1913 at San Francisco, California. She attended San Mateo Junior College for one semester in 1931 and the University of California at Los Angeles for one semester of the same year. She attended San Francisco State College from 1932 to 1934 and Leland Stanford University at Palo Alto, California from 1934 to 1935 receiving her A.B. degree on June 16 of 1935. She also attended San Francisco State College from 1935 to 1936 as a graduate student receiving her California State teaching certificate. She was employed at the Emerson Grade School, San Francisco, California, during 1940 and was employed as a teacher at Los Alamos Project from Oct. 21, 1943 to March 26, 1946. In this employment, she had no access to classifying information. Her parents are LEONARD T. POGEMAN and JULIA MINKENTEN POGEMAN who resided at 1211 - 21st Avenue, San Francisco, California. She has two brothers, LEONARD TRAINER POGEMAN who resides at 164 Jordan Avenue, San Francisco, and JACK CLARK POGEMAN, 149 Jordan Avenue, San Francisco. FRANCES HAWKINS is a member of the San Francisco Classroom Teachers Association in the field of childhood education.

T-6 has advised concerning DAVID HAWKINS that information made available in 1943 by Mr. C. G. TANNER, formerly an instructor of religion at Leland Stanford University, was to the effect that TANNER considered DAVID HAWKINS to be a disciple of KARL MARX and he described DAVID HAWKINS as being pro-labor in his philosophy and in his remarks to TANNER, HAWKINS definitely favored Communism but when directly questioned he would never admit to Mr. TANNER that he was a Communist. Mr. TANNER said that DAVID HAWKINS was opposed to the economic system of the United States. Mr. TANNER also advised that HAROLD CHAPMAN BROWN, head of the Department of Philosophy at Leland Stanford University, who was a close friend of DAVID HAWKINS, was also a believer in Communism and that he was more radical than DAVID HAWKINS. Mr. TANNER said that in all other respects, DAVID HAWKINS was a nice person and a man of good habits.

CARL THOMAS, formerly an English instructor at Leland Stanford University, had advised T-6 in 1943 that DAVID HAWKINS' arguments and teachings reflected that he was a follower of KARL MARX and that labor is more deserving of credit than management. HAWKINS felt, however, that any change in the economic system should be made by social reform and not by revolution. T-6 also advised that DAVID HAWKINS associated with a number of Communists while attending school and that his wife's two brothers, LEONARD TRAINER POGEMAN and JACK CLARK POGEMAN, were both Communist Party members.

Confidential Informant T-7 advised that FRANCES HAWKINS visited the home of her mother at 1211 - 21st Avenue, San Francisco, from May 1, 1946 to May 8, 1946. She then proceeded to Hollywood, California, where DAVID HAWKINS was acting as a technical advisor to MGM Studios in conjunction with the filming of the picture, "The Beginning of the End".

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At that time, they resided at 919 North Crescent Drive, Hollywood, California, in a guest house owned by MGM Studios. FRANCES HAWKINS returned to San Francisco on May 21st, 1946 and remained there until July 28, 1946. While FRANCES HAWKINS was in San Francisco, T-7, who is thoroughly reliable, determined that FRANCES HAWKINS contacted and apparently was friendly with FRANK OPPENHEIMER and his wife, JACKIE, J. ROBERT OPPENHEIMER and his wife, KATHRYN, ROBERT SERBER and his wife, CHARLOTTE, all of whom reside in Berkeley, California, and with MARTHA GOLDBERG who resides at 1942 Addy Street, San Francisco, California, and with J. C. CASTELLANO, who resides at 1946 Addy Street. The informant also advised that FRANCES HAWKINS was friendly with PHILLIP MERRISON and ROBERT B. DAVIS, both of whom were formerly employed at the Los Alamos Laboratory.

The files of the San Francisco Division contain considerable information concerning the two brothers and two sisters-in-law of FRANCES HAWKINS and also concerning the above mentioned friends and associates of the HAWKINS. A summary of the information available is set forth below:

#### RELATIVES AND ASSOCIATES

JACK CLARK POCKMAN and his wife, KATHRYN KORN POCKMAN reside at 149 Jordan Avenue, San Francisco, California. JACK C. POCKMAN is a seaman by profession. His business address is in care of the Marine Engineers Beneficial Association at the Ferry Building, San Francisco, California. Unimpeachable and reliable informants have advised that both JACK CLARK POCKMAN and KATHRYN KORN POCKMAN are active members in the Communist Party at San Francisco, California. JACK C. POCKMAN is a member of the Seamen's Branch of the Communist Party and it is known that he was active in and attended meetings of this branch of the Communist Party as recently as May 21st, 1947. On Dec. 9, 1943, JACK C. POCKMAN visited DAVID HAWKINS while DAVID HAWKINS was employed at the Los Alamos, New Mexico Laboratory.

KATHRYN KORN POCKMAN, according to reliable informants, joined the Communist Party at San Francisco in June of 1943. She is a member of the Richmond Club of the Party and is presently employed by the "People's World", a Communist-dominated newspaper.

LEONARD TRAINER POCKMAN and his wife, ALICE ANN GOLDSTEIN POCKMAN, reside at 164 Jordan Avenue, San Francisco. According to thoroughly reliable informants, both of them are members and presently active in the New Era Branch of the Communist Party at San Francisco, California. LEONARD TRAINER POCKMAN has acted as dues secretary of this group. A thoroughly reliable informant, T-8 has advised that on March 10, 1947, LEONARD TRAINER POCKMAN and his wife advised Executive Committee

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members of the Communist Party that they desired to drop all Communist Party activities but did not wish to relinquish membership in the Communist Party. The reason for this move was that LEONARD ROCKMAN feared himself to be under investigation and for the safety of his relatives and the security of his and their jobs, he felt compelled to become inactive. This same informant advised that LEONARD THALOSER ROCKMAN attended a Communist Party meeting of the New Era Club held at his home on June 5, 1947 at which time ten Communist Party members were present.

FRANK FRIEDMAN OPPENHEIMER and his wife, JACKIE, formerly resided at 148 Tunnel Road, Berkeley, California. FRANK OPPENHEIMER was employed by the Radiation Laboratory until March 1st, 1947. Reliable informants have advised that FRANK OPPENHEIMER was a member of the Communist Party at Los Angeles, California, in 1937, 1938 and 1939 and an unimpeachable informant has advised that FRANK OPPENHEIMER contributed money to the Communist Party of San Francisco as recent as mid-summer 1942.

ROBERT SZBER and his wife, CHARLOTTE SZBER, reside at 2446 Claremont Avenue, Berkeley, California. ROBERT SZBER is employed at the Radiation Laboratory at Berkeley, California. Information from reliable sources indicate that CHARLOTTE SZBER's parents and her relatives have a past record of being active in Communist matters in Philadelphia. During the visit of FREDERICK HARKINS to San Francisco in 1946, she was entertained at a large party given by CHARLOTTE SZBER.

HANNA GOLDBERG resides at 1942 Eddy Street and is employed as a teacher at the Lincoln School in San Francisco. She has been a member of the Communist Party since 1937 and, according to reliable informants, she was a member of the Miscellaneous Professional Section of the Party at San Francisco, California in 1946.

Mrs. JUNE C. CASTELLARON, also known as JANE ROBINSON, resides at 1946 Eddy Street. She is employed at Mills College, Oakland, California. According to thoroughly reliable informants, she was a member of the Professional Section of the Communist Party at San Francisco from April 10, 1945 to May 23, 1946. On the latter date, she advised Communist Party officials that although she was still heartily in accord with Communist Party principles, she desired to retire from the Party but indicated that she would still continue to contribute financially to the Party.

- REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN -

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SOURCE SECT

Confidential Informant T-1:

" " T-2, T-3, T-4:

" " T-5:

" " T-6:

" " T-7:

" " T-8:

The files of the Manhattan Engineer District available to this office

A surveillance conducted by Agents of this office during 1946

The above symbols were used in the body of this report to further conceal the identity of the informants.

ADMINISTRATIVE DETAILS

Reference is made to the teletype from the Washington Field Division dated June 16, 1947. The identity of ALMIRA GUERRICA could not be established on the basis of the information furnished. The mail carrier and neighbors in the vicinity of 164 Jordan Avenue do not know of any such individual residing at that address. Information concerning LEONARD TRAINER ROCKMAN at 164 Jordan Avenue and MARTHA CALDERO who resides at 1942 Eddy Street, is set forth in the body of the report.

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## Office Memorandum • UNITED STATES GOVERNMENT

TO : SAC

TOP SECRET

DATE: March 9, 1950

FROM : EDWARD C. PALMER

SUBJECT: UNKNOWN SUBJECT, was [REDACTED] (13)  
ESPIONAGE - R

Rememo of SA J. JEROME MAXWELL, dated March 7, 1950

The registration records of the Alamogordo Hotel, Alamogordo, New Mexico, were checked by the writer for the period commencing November 1, 1944 and ending January 15, 1945 and they failed to reflect any information concerning LUDMILLA NIKOLAEVNA ALEXEEF. (S) u

The following listed hotels and motels in and around Alamogordo, New Mexico were contacted and the writer was advised that they do not have records for this period. (u)

ALAMO COURTS  
MONTEREY COURTS  
WILWARD HOTEL

Mrs. E. J. BLAYLOCK Owner  
Mrs. GEORGE HAGAMAN Owner  
Mrs. J.C. WILBURN Clerk

12.2.86  
CLASSIFIED BY: 3042/RJT/CLS  
DECLASSIFY ON: OADR

CLASSIFIED AND EXTENDED BY: 2333 GAS/SAN 1-29-79  
REASON FOR EXTENSION: 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR DECLASSIFICATION: 1-29-89

65-19  
ECP

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
EXCEPT WHERE SHOWN  
OTHERWISE

TOP SECRET  
TOP SECRET

65-19-28

FBI-ALBUQUERQUE	
MAR 10 1950	
	7

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XXXXXX  
XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☐ Deleted under exemption(s) \_\_\_\_\_ with no segregable material available for release to you.
- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
- ☐ Documents originated with another Government agency(ies). These documents were referred to that agency(ies) for review and direct response to you.

Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

1 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlawn  
US-59028-118

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ US-19-29

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XXXXXX  
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X DELETED PAGE(S) X  
X NO DUPLICATION FEE X  
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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

\_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☐ Deleted under exemption(s) \_\_\_\_\_ with no segregable material available for release to you.
- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
- ☐ Documents originated with another Government agency(ies). These documents were referred to that agency(ies) for review and direct response to you.

\_\_\_\_\_ Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

1 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglan  
LS-59028-15

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ 65-19-30

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\_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

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1 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglan  
US- 59028-15

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AJ 65-19-31

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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

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4 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlam  
LS- 59028-17

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AD LS-19-32

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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
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1 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglan  
LS- 59028-19

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ LS-19-33

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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
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Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

72 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlam  
LS-59028-11

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ 65-19-34

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B - Detach form, fill out this portion and route to Security Officer

Delivered to: \_\_\_\_\_ Date \_\_\_\_\_  
(FBI field division, FBIHQ, outside agency)

Reminder: Obtain receipt (FD 502) and route original to Security Officer

C - Detach form, fill out this portion and route to Security Officer

Downgraded to: \_\_\_\_\_ by \_\_\_\_\_ date \_\_\_\_\_

Declassified by: \_\_\_\_\_ date \_\_\_\_\_

## Memorandum



To : SAC (65-19)

Date 10/15/81

From : SA Bruce M. Dove

Subject : DAVID GREENGLASS  
ESP - R

The following serials in AQ File 65-19, Volume I, contain  
Top Secret documentation:

This Volume contains Serials 1 through 34.

65-19-1	FBIHQ teletype to Albuquerque, 2/7/50 (2 copies)
65-19-2	Albuquerque teletype to Bureau, 2/8/50
65-19-3	FBIHQ teletype to Albuquerque, 2/16/50
65-19-4	FBIHQ letter to Albuquerque, 2/21/50
65-19-5	Albuquerque teletype to Bureau and San Francisco, 2/28/50
65-19-6	Albuquerque teletype to Bureau, St. Louis and New York, 2/28/50
65-19-7	Albuquerque teletype to El Paso, 2/28/50
65-19-8	Los Angeles letter to Bureau, 2/28/50
65-19-9	FBIHQ teletype to Albuquerque, 3/1/50
65-19-10	New York teletype to Albuquerque, 3/2/50
65-19-11	Albuquerque teletype to Bureau and San Francisco, 3/3/50
65-19-12	Albuquerque letter to Bureau, 3/3/50
65-19-13	Bureau teletype to Albuquerque, 3/2/50
65-19-14	St. Louis teletype to Albuquerque, 3/2/50
65-19-15	Albuquerque teletype to Bureau, New York and Buffalo, 3/3/50

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-3-86 BY 3042 BCT/aks  
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*Vol 1*

65-19-135  
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OCT 15 1981  
FBI/DOJ

FBI/DOJ

64



65-19-16	Albuquerque memorandum of SA Edward C. Palmer, 3/4/50 (2 copies)
65-19-17	Bureau teletype to Albuquerque, 3/6/50
65-19-18	San Francisco teletype to Bureau and Albuquerque, 3/7/50
65-19-20	Albuquerque memorandum of SA J. Jerome Maxwell, 3/7/50 (4 copies)
65-19-21	Albuquerque teletype to Bureau, 3/8/50 (2 copies)
65-19-22	Albuquerque letter to Bureau, 2/9/50
65-19-23	Albuquerque memorandum of SA J. JEROME MAXWELL, 2/21/50 (2 copies)
65-19-24	Albuquerque teletype to El Paso, 2/28/50
65-19-25	San Francisco teletype to Bureau and Albuquerque, 3/9/50
65-19-26	El Paso letter to Albuquerque, 2/28/50 (2 copies)
65-19-28	Albuquerque memorandum of SA Edward C. Palmer, 3/9/50 (2 copies)
65-19-29	San Francisco letter to the Bureau, 3/3/50
65-19-30	Bureau teletype to Buffalo, 3/7/50
65-19-31	Buffalo teletype to Bureau, 3/6/50
65-19-33	Bureau teletype to Albuquerque, 3/16/50
65-19-34	Albuquerque report of SA J. Jerome Maxwell, 3/23/50

**F.O.I.A.**

**JULIUS ROSENBERG ET AL.**

***FILE DESCRIPTION***

AD Greenglass **FILE**

**SUBJECT** DAVID Greenglass

**FILE NO.** AD 65-19

**VOLUME NO.** II

**SERIALS**

35

then

60

## **NOTICE**

**THE BEST COPIES OBTAINABLE ARE INCLUDED IN THE REPRODUCTION OF THE FILE. PAGES INCLUDED THAT ARE BLURRED, LIGHT OR OTHERWISE DIFFICULT TO READ ARE THE RESULT OF THE CONDITION AND OR COLOR OF THE ORIGINALS PROVIDED. THESE ARE THE BEST COPIES AVAILABLE.**

Sec II

File No: AD 45-19Re: David GreenglassDate: 3-18-87  
(month/year)

Serial	Date	Description (Type of communication, to, from)	No. of Pages		Exemptions used or, to whom referred (Identify statute if (b)(3) cited)
			Actual	Released	
35	3-22-50	AD let HQ	4	-	see Greenglass 65-59028-10
36	3-24-50	AD let EP	1	1	b1
37	3-23-50	SF rept	7	-	see Greenglass 65-59028-13
38	3-24-50	NY let HQ	1	-	" -12
39	3-30-50	AD let EP	1	1	b1
39x	11-6-79	clerk action to AD	1	1	
40	9-13-48	SF rept	9	9	6267D (66 DOE)
41	6-6-47	NY rept	1	1	
42	6-4-47	SP rept	3	3	
43	6-3-47	WFO rept	2	2	
44	5-29-47	BS rept	3	3	
45	5-29-47	CG rept	2	2	

35 23

Rev Rel Deny Repr Presumed Preproc

File No: 100-19

Re: David Greenglass

Date: 3-18-87  
(month/year)

Serial	Date	Description (Type of communication, to, from)	No. of Pages		Exemptions used or, to whom-referred (Identify statute if (b)(3) cited)
			Actual	Released	
46	5-28-47	SF rept	5	5	b2 b7D
47	5-28-47	EP rept	6	6	b7C D
48	4-4-50	HQ let AQ	1	-	see Greenglass 65-59028-26
49	4-7-50	AQ let HQ	13	-	" -27
50	4-4-50	SL rept	6	-	" -25
51	4-12-50	HQ TT AQ	1	-	-29
52	4-10-50	Bu rept	3	-	see Greenglass 65-59028-28
53	4-13-50	AQ TT HQ	2	-	-32
54	4-18-50	AQ TT HQ	2	✓	see Greenglass 65-59028-37
55	4-18-50	SL let AQ	1	1	b1 b6 b7C per Army
56	4-20-50	memo for SAC AQ	5	5	b1
57	4-24-50	memo for SAC AQ	1	1	b1

46 18 28  
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A2 WF-19

David Greenlan

3-19-81

(month/year)

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4 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlam  
LS- 5-9028- 10

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AJ 65-19-35

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TOP SECRET

SAC, EL PASO

3-24-50

SAC, ALBUQUERQUE

UNSUB. was [REDACTED]

ESPIONAGE - R

In connection with the above captioned investigation, it is requested that the El Paso files on the following individuals who are presently or were formerly at Los Alamos be forwarded to the Albuquerque Office: (4)

LUIS WALTER ALVAREZ, born 7-13-11, San Francisco;  
JULIUS ASHKIN, born 8-23-20, Brooklyn, NY;  
SHIRLEY BLANCHARD BARNETT, aka Mrs. HENRY LEWIS BARNETT, born 2-2-18, NY;  
WAYNE ALEXANDER BOWERS;  
MELVIN FRANKEL;  
ANTON GRUBMAN;  
GEORGE BOGDAN KISTIAKOWSKY, born 11-18-1900, Kiev, Russia;  
ROBERT SERBER;  
CHARLOTTE LEOP SERBER, wa Mrs. ROBERT SERBER;  
RUDDY SHERR, born 7-14-13, Long Branch, New Jersey;  
AUGUSTA HARKANYI TELLER, wa Mrs. EDWARD TELLER, born 4-30-09, Budapest, Hungary;  
EDWARD TELLER, born 1-15-08, Budapest, Hungary;  
FRANCOISE ULAM, born 3-8-18, Paris, France;  
STANISLAW MARCIN ULAM, Born 4-13-09, Lwow, Poland.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
EXCEPT WHERE SHOWN  
OTHERWISE

JJM:BD  
65-19

APPROPRIATE AGENCIES  
AND FIELD OFFICES  
ADVISED BY ROUTING  
SLIP(S) OF  
DATE

CLASSIFIED AND  
EXTENDED BY 2333 GAI/SAW 1-29-79  
REASON FOR EXTENSION 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR 1-29-89  
DECLASSIFICATION

TOP SECRET

65-19-36



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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
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1 Page(s) withheld for the following reason(s):  
Disposition of document in England  
US-59028-13

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ US-19-37

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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
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1 Page(s) withheld for the following reason(s):

*Disposition of document in England*  
*US-59028-112*

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

*AQ US-19-38*

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## Office Memorandum • UNITED STATES GOVERNMENT

TO : SAC, Albuquerque

DATE: March 30, 1950

FROM : SAC, El Paso

SUBJECT: ~~RE: SUB. [REDACTED]~~

ESPIONAGE - R

TOP SECRET

REGISTERED MAIL

Reurlet 3-24-50.

CLASSIFIED BY: 3042/pst/cb  
DECLASSIFY ON: OADR

12.3.86

In accordance with your request there are being transmitted herewith all files available in the El Paso Office on the following individuals:

LUIS WALTER ALVAREZ, born 7-13-11, San Francisco;  
JULIUS ASIKIN, born 8-23-20, Brooklyn, NY;  
SHIRLEY BLANCHARD BARNETT, aka Mrs. Henry Lewi Barnett,  
born 2-2-18-, NY;  
WAYNE ALEXANDER BOWERS;  
MELVIN FRANKEL;  
ANTON GRUEMAN;  
GEORGE BOGDAN KISTIAKOWSKY, born 11-18-1900, Kiev, Russia;  
ROBERT SERBER;  
CHARLOTTE LEON SERBER, Wa., Mrs. Robert Serber;  
AUGUSTA MARKANYI TELLER, Wa., Mrs. Edward Teller, born  
4-30-09, Budapest, Hungary;  
FRANCOISE ULAM, born 3-8-18, Paris, France;  
STANISLAW MARCIN ULAM, born 4-13-09, Lwow, Poland.

Since little identifying data was furnished in most instances and in some instances none at all was furnished, it is not possible to definitely determine that the foregoing files are identical with the individuals in whom you are interested. However, they are being forwarded with the thought that your office is in a much better position to resolve the question of identity.

In connection with EDWARD TELLER, it should be noted that all files available in this office on this individual have previously been forwarded to you.

You are advised that there is no record in this office on RUDDY SHERR, born 7-14-13 at Long Branch, New Jersey. I am transmitting, however, a file on RUBBY SHERR, born 9-14-13 and a RUBBEY SHERR, born 9-14-13.

DKB:adl  
65-730

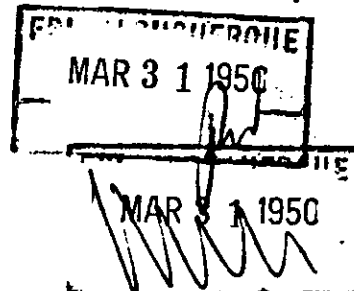
Encl.

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EXCEPT WHERE SHOWN  
OTHERWISE

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116-3390  
116-3838  
100-4371  
100-4081  
116-8248

100-4038  
116-632  
116-2120  
62-733  
100-3652  
100-3920

100-3803  
100-3802  
116-1354  
116-110  
116-12779  
100-3823



TOP SECRET

CLASS. & EXT. BY: [REDACTED]  
REASON - FCIM 11, 1-2.4.2  
DATE OF REVIEW: 10/18/99

APPROPRIATE AGENCIES  
AND FIELD OFFICES  
ADVISED BY SLIP (S) OF  
DATE: 10/18/99

Searched  
Serialized  
Indexed  
Filed

This form is: ☒ Top Secret ☐ Secret ☐ Confidential ☐ Unclassified

TO: SAC:

<input type="checkbox"/> Albany	<input type="checkbox"/> Houston	<input type="checkbox"/> Oklahoma City
<input checked="" type="checkbox"/> Albuquerque	<input type="checkbox"/> Indianapolis	<input type="checkbox"/> Omaha
<input type="checkbox"/> Alexandria	<input type="checkbox"/> Jackson	<input type="checkbox"/> Philadelphia
<input type="checkbox"/> Anchorage	<input type="checkbox"/> Jacksonville	<input type="checkbox"/> Phoenix
<input type="checkbox"/> Atlanta	<input type="checkbox"/> Kansas City	<input type="checkbox"/> Pittsburgh
<input type="checkbox"/> Baltimore	<input type="checkbox"/> Knoxville	<input type="checkbox"/> Portland
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<input type="checkbox"/> Boston	<input type="checkbox"/> Little Rock	<input type="checkbox"/> Sacramento
<input type="checkbox"/> Buffalo	<input type="checkbox"/> Los Angeles	<input type="checkbox"/> St. Louis
<input type="checkbox"/> Butte	<input type="checkbox"/> Louisville	<input type="checkbox"/> Salt Lake City
<input type="checkbox"/> Charlotte	<input type="checkbox"/> Memphis	<input type="checkbox"/> San Antonio
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<input type="checkbox"/> Columbia	<input type="checkbox"/> Mobile	<input type="checkbox"/> Savannah
<input type="checkbox"/> Dallas	<input type="checkbox"/> Newark	<input type="checkbox"/> Seattle
<input type="checkbox"/> Denver	<input type="checkbox"/> New Haven	<input type="checkbox"/> Springfield
<input type="checkbox"/> Detroit	<input type="checkbox"/> New Orleans	<input type="checkbox"/> Tampa
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<input type="checkbox"/> Honolulu	<input type="checkbox"/> Norfolk	<input type="checkbox"/> Quantico
<input type="checkbox"/> SAC, New Rochelle (MRA)		
<input type="checkbox"/> SAC, Brooklyn-Queens (MRA)		

RE:

TO LEGAT:

<input type="checkbox"/> Bern
<input type="checkbox"/> Bonn
<input type="checkbox"/> Buenos Aires
<input type="checkbox"/> Caracas
<input type="checkbox"/> Hong Kong
<input type="checkbox"/> London
<input type="checkbox"/> Manila
<input type="checkbox"/> Mexico City
<input type="checkbox"/> Ottawa
<input type="checkbox"/> Paris
<input type="checkbox"/> Rome
<input type="checkbox"/> Tokyo

Counting of Paragraphs:

Reference-counted as first para.; last para. on page continued to next page counted on first page only; page beginning with continued para. - first full para. counted as para. one; para. followed by (:) - material thereafter counted as part of original para. or referred to as "line \_\_\_\_"; info. not in para. form identified as "line \_\_\_\_", or subject matter.

file 65-19-39

Ur file \_\_\_\_\_

If document has been destroyed or cannot be located, reply with FBIHQ is unnecessary.

Date NOV 6 1979

Subject Classified Top Secret

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-3-86 BY 3042/BJC/KS

Re: E1 Paso (Division)

☐ Report of SA  
☒ Letter ☐ Airtel ☐ Teletype  
☐ LHM ☐ Memo ☐ Other

To: FBIHQ Dated 3/30/50  
☐ Classification retained (see below)

☐ Declassified by \_\_\_\_\_ on \_\_\_\_\_  
☒ Classified ☒ Top Secret  
☐ Upgraded To: ☐ Secret  
☐ Downgraded ☐ Confidential  
Classified and extended by SP-1 on 10/10/79  
Reason for extension  
FCIM, II, 1-2.4.2. ☐ 1 ☒ 2 ☐ 3  
Date of review 10/10/89  
for declassification

☐ Cover Communication:  
☐ Letter ☐ Airtel ☐ Report cover pages  
To: \_\_\_\_\_ Dated \_\_\_\_\_  
☐ Classification retained (see below)  
☐ Declassified by \_\_\_\_\_ on \_\_\_\_\_  
☐ Classified ☐ Top Secret  
☐ Upgraded To: ☐ Secret  
☐ Downgraded ☐ Confidential  
Classified and extended by \_\_\_\_\_ on \_\_\_\_\_  
Reason for extension  
FCIM, II, 1-2.4.2. ☐ 1 ☐ 2 ☐ 3  
Date of review \_\_\_\_\_  
for declassification

The above indicated changes in classification have been made at FBIHQ. You are requested to make the changes indicated on your referenced communication(s) and all other file copies in your division. Advise local agencies previously receiving copies of communication(s) of change(s). This routing slip can be destroyed after changes have been made.

Page	Paragraph	Line	Classification
1	Subject		<input checked="" type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
			<input type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
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☐ See reverse side for additional listings.

FBIHQ Division 4 ☐ Other ☒ DCRU ☐ Other

This form is: ☒ Top Secret ☐ Secret ☐ Confidential ☐ Unclassified

fcy  
(Initials)

65-19-39Y

# FEDERAL BUREAU OF INVESTIGATION

Form No. 25

THIS CASE ORIGINATED AT **SAN FRANCISCO**

SF FILE NO. **65-3986** ep

REPORT MADE AT <b>SAN FRANCISCO</b>	DATE WHEN MADE <b>9/13/48</b>	PERIOD FOR WHICH MADE <b>6/3, 9/2, 3, 7/48</b>	REPORT MADE BY <b>CHARLES FREDERICK BRUSCH</b>
TITLE <b>LUIS WALTER ALVAREZ, aka Luis Schmell Alvarez</b>			CHARACTER OF CASE <b>ESPIONAGE - R</b>

**SYNOPSIS OF FACTS:** News article in March 20, 1948 issue of Washington TIMES-HERALD newspaper, Washington, D.C. reported that LUIS ALVAREZ had "disclosed" that Russian airplanes had been clocked on Air Force radar screens in Korea at speeds of 800 M.P.H. Personal history of ALVAREZ set out indicating he was important scientist on atomic bomb project and is credited with invention of Ground Control Approach landing aid for airplanes. No subversive or disloyal activity known. ALVAREZ in interview stated he received information concerning Russian planes from GCA crews returning from Korea and occupied areas of Asia. Information was furnished him unofficially as one of the "current rumors". Identities of persons furnishing information not recalled by ALVAREZ. Rumor concerning speed of Russian planes discussed casually by ALVAREZ with Colonel ROBERT McCORMACK of Chicago TRIBUNE when McCORMACK returned from visiting with General DOUGLAS MacARTHUR in Japan. Subsequently ALVAREZ discussed same reports with MAX KARANT, the editor of "FLYING" magazine and WAYNE THOMAS, Aviation writer for the Chicago TRIBUNE. KARANT had previously heard same rumors. After publication of articles in Washington TIMES-HERALD and Chicago TRIBUNE, ALVAREZ learned from KARANT that THOMAS upon return to Chicago after conversation with ALVAREZ had written the story. ALVAREZ denies stating Russian planes travelled 800 MPH; believes he mentioned that the speeds were alleged to exceed operational speeds of American war planes. ALVAREZ denies intent to harm U.S. and exhibited correspondence indicating his personal concern and embarrassment at publication of story attributed to him.

65-19-40

SF 65-3986

REFERENCE: Bureau letter June 16, 1948, entitled "DR. LUIS ALVAREZ; Espionage -X".

DETAILS: The March 20, 1948 issue of the Washington TIMES-HERALD contained the following articles:

"Jet or Rocket?"

"800 M.P.H. RUSSIAN PLANES ARE SPOTTED"

"BY U.S. Air Force Radar Screens in Korea"

"Chicago, March 19 (CTPS). Russian jet or rocket fighter planes have been plotted on Air Force radar screens by ground crews stationed in American-occupied Korea, close to the Russian zone, at speeds of 800 miles an hour, Dr. Luis Alvarez, one of the world's foremost electronics scientists and physicists at the University of California, disclosed today.

"The American radar crews, Alvarez said, have been watching the Russian formations through the 200-mile eye of a microwave early-warning radar installation. The Russians, Alvarez said, were within range of the American radar unit long enough for the speed to be double-checked.

"I was told by members of the crew," said Alvarez, "that the Russians were flying on their side of their zone and stayed there. The operators refused to believe their figures when the Russian fighters were seen on the scope for the first time, but later sightings duplicated the early speed figures.

"The radar crew believes the Russian planes are not true jets, but are rocket planes of extremely short range, perhaps an improvement on the rocket interceptors that were in action in Germany against American bomber formations late in 1944".

"American jet fighters now flying are capable of no more than 600 to 650 miles an hour, although an experimental rocket research plane is supposed to have exceeded 670 miles an hour."

It is noted that this article is datelined March 19, at Chicago, Illinois and immediately after the dateline there appears "(CTPS)" which apparently refers to the Chicago Tribune Press Service as the origin of this story.

This newspaper article indicates that the information reported therein had been secured from Dr. LUIS ALVAREZ described in the article as a foremost electronics scientist and physicist at the University of California. Investigation has disclosed that the full name of Dr. ALVAREZ is LUIS WALTER ALVAREZ and that he was born June 13, 1911 in San Francisco, California under the name of LUIS SCHMELL ALVAREZ.

Confidential Informant T-1 made available

An investigation of ALVAREZ conducted by the FBI at the request of another governmental agency has disclosed that ALVAREZ has an excellent reputation as a scientist and is believed by his neighbors, associates and colleagues to be very conservative in his views concerning governmental ideology and to be opposed to both Fascism and Communism. ALVAREZ and all members of his immediate family have the reputation of possessing excellent moral character.

In the course of the investigation of ALVAREZ no criminal record was located and persons interviewed recommended ALVAREZ highly claiming he possessed discretion and ability to handle confidential work. It was ascertained in the course of this investigation that ALVAREZ was known as one of the important key scientists in the government work in the development of the atomic bomb. His eminence in this field, according to one of his colleagues, caused him to be one of two scientists selected to view the utilization of the atomic bombs in the war with Japan.

Investigation at the Radiation Laboratory of the Massachusetts Institute of Technology, Cambridge, Massachusetts disclosed that ALVAREZ was one of the original employees entering on duty November 15, 1940 the day after the laboratory was officially opened. He continued as a research staff member until September 27, 1943. ALVAREZ, according to the employment records was in charge of the research pertaining to the Ground Control Approach for landing airplanes.

Dr. F.W. LOOMIS, former director of the MIT Laboratory gave ALVAREZ an excellent recommendation when ALVAREZ resigned from the MIT Radiation Laboratory September 27, 1943. According to the records at this Laboratory ALVAREZ resigned in order to accept research work at the Metallurgical Laboratory at the University of Chicago.

Confidential Informant informed that he has been acquainted with ALVAREZ since 1936 when ALVAREZ first came to the University of California and worked as a research fellow at the Radiation Laboratory of the University of California. According to this informant ALVAREZ is the son of Dr. WALTER C. ALVAREZ, a member of the Staff of Mayo Clinic, Rochester, Minnesota. This informant stated that throughout the years of his acquaintance with ALVAREZ he has never observed any reason to question the loyalty of Dr. ALVAREZ and he feels personally that the tremendous scientific contribution made by ALVAREZ during the war years speaks immeasurably of ALVAREZ's personal loyalty to the United States. According to informant, ALVAREZ willingly gave up his home in Berkeley in order to accept employment at various places in the United States where his scientific ability could best be utilized in the national interest. Informant felt that ALVAREZ was sufficiently alert to recognize the necessity for scientific advancement if this country hoped to win in World War II and therefore ALVAREZ willingly devoted his time and efforts to aid his country. Informant mentioned that ALVAREZ had received the COLLIER trophy, the highest award bestowed upon individuals for achievements in the field of aviation. This award was given to ALVAREZ as a result of his development of the GCA. (Ground Control Approach) system for landing airplanes "blind". In addition to receiving the Collier trophy informant stated that it was his understanding that ALVAREZ has received or is to receive the national Medal of Merit from the President of the United States for his development of the GCA system.

Informant commented that the GCA system utilized electronics and radar to enable airplanes to land by instruments or under weather conditions which eliminate the visibility usually necessary for landings.

Dr. ALVAREZ was interviewed by Special Agent William P. Poole and the writer. ALVAREZ stated that his present residence is 360 Vassar Street, Berkeley, California. ALVAREZ advised that he had been informed of the publicity given his name and the story published in the Washington TIMES-HERALD on March 20, 1948. He mentioned that this story had undoubtedly originated with



the Chicago TRIBUNE and that a similar story had appeared in that newspaper on either March 19 or 20, 1948. ALVAREZ stated that as a result of his employment in the MIT Radiation Laboratory where he aided in the development of the GCA system his name is well known to most persons presently employed to operate GCA installations in the United States and elsewhere. According to ALVAREZ the foreign installations of the GCA system are installations of the United States Air Force and some of the crews are civilian while others are military personnel.

ALVAREZ stated that there have been several magazine articles outlining his work in the development of the GCA system and stating that he is a faculty member of the University of California. Accordingly when persons employed to operate GCA installations pass through the Berkeley area they frequently contact ALVAREZ even though he personally has never been acquainted with them before. ALVAREZ stated these contacts during 1947 and early 1948 occurred approximately once a week although they have now declined in number. When operators of GCA installations contact ALVAREZ it is customary, according to his statement in the interview, for them to discuss with him any outstanding utilization of the GCA system. In the latter part of 1947 some two or three different persons who had been employed as member of GCA crews in occupied Korea landed in San Francisco and contacted ALVAREZ. He stated that due to the number of similar individuals who had contacted him he could not recall the identity of these particular crew members. However, they brought to him a "rumor" to the effect that GCA crews in Korea near the dividing line between the American and Soviet occupation zones had observed what were apparently Soviet airplanes flying on the Soviet side of the partition of the occupation zones. The crews noted these planes flew across the radar screens at greater speeds than any of the American air force planes had ever flown. Accordingly they arranged to clock some of the Russian flights and verified their belief that the speed of the Russian planes exceeded the operational speeds of any of the American war planes on duty in Korea. ALVAREZ stated this story was merely a rumor unofficially received by him in direct conversation with members of GCA crews.

At no time has ALVAREZ received any official confirmation of this "rumor". ALVAREZ stated that he has as a physicist been quite interested in the problems of design involved in the development of a supersonic airplane. Accordingly the information from Korea indicating the Russian planes may have broken the supersonic wall interested him. One of the returning GCA crew members claimed the information concerning the speed of the Russian planes had been forwarded to the headquarters of General MacARTHUR in Tokyo where at first the information was discounted as being untrue and afterwards a representative of the air forces visited one of the GCA installations and personally supervised the clocking of some Russian planes. This portion of the information, according

to ALVAREZ is likewise just a "rumor" so far as he is concerned. ALVAREZ advised when Colonel ROBERT McCORMACK of the Chicago TRIBUNE went to Japan to visit with General DOUGLAS MacARTHUR and to observe American operations there and in Korea, McCORMACK passed through Berkeley and was entertained by members of the staff of the University of California. Likewise when McCORMACK returned he again visited some of the faculty members and administrative officers of the University to discuss with them some of the things he had observed in Japan and Korea. ALVAREZ stated that in an informal discussion with McCORMACK where Professor ERNEST O. LAWRENCE, Director of the Radiation Laboratory, University of California and Professor ROBERT THORNTON and EDWARD MILLER were present, McCORMACK told the group of having been shown the secret war plans drawn up by MacARTHUR's staffs. McCORMACK also informed that he had been permitted to visit classified areas and discuss highly restricted matters with members of MacARTHUR's staff and MacARTHUR personally. Likewise ALVAREZ claimed that Professor LAWRENCE had received clearance from the Chairman of the Atomic Energy Commission to permit Colonel McCORMACK to visit the Radiation Laboratory and to view the activities of one area of the Radiation Laboratory known as an "exclusion area" where research of a highly secret nature is presently in progress. In view of this information indicating that McCORMACK was considered qualified by representatives of the government to receive and discuss classified projects such as those mentioned above, ALVAREZ stated it occurred to him that McCORMACK might have some confirmation of the rumor concerning the speed of the Russian airplanes. Accordingly ALVAREZ mentioned to McCORMACK the information he had received along these lines. ALVAREZ recalled McCORMACK had no information in this connection and did not display any great personal interest in ALVAREZ' remarks concerning the Soviet planes.

According to the best recollection of Dr. ALVAREZ his visit at which he mentioned this information to Colonel McCORMACK occurred in the latter part of 1947. Thereafter in February or March of 1948 ALVAREZ was contacted by MAX KARANT who was then the editor of "FLYING" magazine, at 185 North Wabash, Chicago, Illinois. ALVAREZ stated that KARANT had since resigned this position and is presently at 1600 Wilmette Avenue, Chicago, Illinois. ALVAREZ stated he has known KARANT for a number of years and when KARANT visited in the San Francisco Bay Area he has either lunch or dinner with ALVAREZ, if not a more extended visit. On this occasion in February or March, 1948, KARANT arranged to have dinner with ALVAREZ in a San Francisco restaurant. When ALVAREZ met KARANT for this dinner, KARANT was accompanied by WAYNE THOMAS, an aviation writer for the Chicago TRIBUNE. ALVAREZ recalled that the three of them dined and discussed various matters of mutual interest pertaining generally to aviation for approximately the last three years or so. In the course of this conversation, ALVAREZ stated that either he, ALVAREZ,

or KARANT brought up the "current rumor" concerning the clocking of the Soviet airplanes in Korea. ALVAREZ advised he may well have broached the subject but he recalled KARANT claimed to have heard a similar rumor. Neither KARANT nor THOMAS was able to authenticate the story as received by ALVAREZ and so far as ALVAREZ can recall there was no mention of any exact or approximate speed of the Soviet airplanes, the only reference to their speed being that they exceeded the operational speed of many American war planes in use in the Korean occupation zone.

ALVAREZ advised that during this dinner neither KARANT nor WAYNE THOMAS gave any indication of taking notes, nor did either of them question him concerning his source of the information or the authenticity of it. Thereafter the newspaper article quoted hereinbefore as well as a Chicago TRIBUNE article with similar content appeared. ALVAREZ stated he was embarrassed by the appearance of these articles in question and it occurred to him that the information might be classified but because he was quoted as an authoritative source with regard to instant matter when actually he knew very little concerning it. ALVAREZ stated that the items attributed to him by the use of quotations marks were definitely not his quotations although he may have made similar remarks. ALVAREZ denied ever having stated that the Russian planes had travelled 800 miles per hour and informed that he has serious doubts as to whether any air force presently has planes capable of this speed.

As a result of his feeling with regard to what he believed to have been an unauthentic and improper use of his name ALVAREZ wrote to MAX KARANT immediately after learning of the article in the Chicago TRIBUNE on either March 19 or 20th, 1948. KARANT replied to ALVAREZ by letter dated April 15, 1948, stating it was his belief that WAYNE THOMAS, the other member of the dinner party mentioned above, had written the article for the TRIBUNE and that the Chicago TRIBUNE Press Service had relayed this story to his subscribers.

ALVAREZ stated he certainly would not reveal this information with any intent or expectation that such a revelation would benefit the enemies of the United States or harm the United States itself. ALVAREZ advised that he was greatly embarrassed by the unauthorized use of his name and the quotation of statements attributed to him when he personally can not recall having made such statements. ALVAREZ commented that he hoped that his loyalty to the United States had been established by his efforts as a scientist during the war and he further hoped that this indiscretion if it is felt by the "powers that be" to be an indiscretion will not jeopardize his continued service as a research scientist.

The following description of Dr. ALVAREZ was obtained by investigation and observation:

Name:	LUIS WALTER ALVAREZ, born Luis Schnell Alvarez
Born:	June 13, 1911, San Francisco, Calif.
Height:	6'2½"
Weight:	165 lbs.

SF 65-3986

Eyes:	Blue
Hair:	Blond
Marital status:	Married, wife, GERALDINE Q. ALVAREZ
Address:	360 Vassar Avenue, Berkeley, California
Occupation:	physicist

- C L O S E D -

SF 65-3986

INFORMANT

Confidential Informant T-1 is Security Office,  
Atomic Energy Commission, Berkeley, California Area, Radiation Laboratory,  
Berkeley, California.

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **BUREAU**

NY FILE NO. 116-1416 DM

REPORT MADE AT <b>NEW YORK</b>	DATE WHEN MADE <b>6/6/47</b>	PERIOD FOR WHICH MADE <b>5/29/47</b>	REPORT MADE BY <b>GUSTAV S. ABRANDT</b>
TITLE <b>LUIS WALTER ALVAREZ - 15, aka Luis Schwell Alvarez</b>			CHARACTER OF CASE <b>ATOMIC ENERGY ACT - APPLICANT</b>

**SYNOPSIS OF FACTS:**

Reference gives applicant highest recommendation.

- RUC -

**REFERENCE:**

Bureau file 116-7905  
Letter from Bureau 5/13/47

**DETAILS:**

**EMPLOYMENT**

Inspection of applicant's file at the Brookhaven National Laboratories, Patchogue, New York, reflects that he is being considered for the position of Consultant and Senior Scientist assigned to the Accelerator report. No starting date has been assigned.

**REFERENCE:**

ALFRED L. LOOMIS, David's Lane, East Hampton, New York, advised that he had known applicant socially for about ten years and could give him nothing but the highest recommendation. He advised that applicant not only was a brilliant scientist, but was a man of sterling character devoted to his family and to American ideals in the finest sense. LOOMIS declared that applicant was not a "joiner", and, except for reputable scientific organizations, was not a member of any organization. LOOMIS stated that applicant had worked on the creation of the atomic bomb, and had witnessed three of the trials, and that his conduct in relation thereto justified the faith that had been put in applicant's loyalty. LOOMIS asserted that there was nothing about applicant that could be considered in the least derogatory.

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- REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN -

65-19-41

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **BUREAU**

FILE NO. **116-370**

REPORT MADE AT <b>ST. PAUL, MINNESOTA</b>	DATE WHEN MADE <b>6-4-47</b>	PERIOD FOR WHICH MADE <b>5/28/47 6/5/47</b>	REPORT MADE BY <b>ROBERT L. CARLSON</b> <span style="float: right;"><b>PAL</b></span>
TITLE <b>LOUIS WALTER ALVAREZ - 15 aka Luis Schmell Alvarez</b>			CHARACTER OF CASE <b>ATOMIC ENERGY ACT - APPLICANT</b>

## SYNOPSIS OF FACTS:

Applicant transferred to Rochester High School from San Francisco Polytechnical High School September of 1925 graduating May 31, 1928. Applicant ranked fifth in his class of 143. He is the son of Dr. WALTER C. ALVAREZ of the Mayo Clinic, Rochester, Minnesota, whose reputation and character in the community is above reproach. Acquaintances and neighbors consider applicant and his family good, loyal Americans. No derogatory information developed. Credit and criminal record negative.

## REFERENCES:

Bureau File 116-7905.  
Copy of letter to San Francisco dated May 13, 1947.

## DETAILS:

### AT ROCHESTER, MINNESOTA

#### EDUCATION

Records of the Rochester Public High School reflected that applicant was born June 13, 1911; that he transferred in September 1925 to the Rochester High School from the San Francisco Polytechnical High School. He graduated May 31, 1928 from the Rochester High School ranking fifth in his class of 143. He graduated from the academic course and in 1926 his I.Q. was recorded as 121. The records revealed that he was very active.

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in extra curricular activities, namely, French Club, Hi-Y Society, School Annual and School Paper. Nothing in his scholastic file reflected anything of a derogatory nature.

#### NEIGHBORHOODS

Mrs. P. S. PALEN, 821 Fifth Street Southwest, advised that she had lived as neighbors to Dr. and Mrs. WALTER C. ALVAREZ, applicant's parents for the past twenty years and that she in this time has become very closely acquainted with the family. She stated that the ALVAREZ family consists of a daughter, Mrs. GLADYS ARCHIBALD, who resides in California, a son, ROBERT, who is engaged in Library work in Nashville, Tennessee, and a daughter, Mrs. BERNICE BRONSON, who is married to a doctor and is presently residing at Rochester, Minnesota. She related that she was closely acquainted with applicant during the time he lived with his parents at Rochester. However, upon completion of High School, he went away to school and her associations following that time were very much limited. She stated that she found applicant to be a boy of excellent character and reputation and knew that he possessed good personal habits. She further related that applicant's mother was born in China, the daughter of missionaries. She also related that she had been informed by applicant's parents following completion of the last war that he had been employed on a very confidential mission that was connected with the Atomic Bomb Project. She stated that applicant's parents did not know where he was located from time to time during the war. She further stated that applicant is married and has two children. Mrs. PALEN further related that applicant's father is a well-regarded doctor at the Mayo Clinic and she believed that he was presently the Head of the Internal Medicine Section. Mrs. PALEN also related that she was also acquainted closely with the applicant's brothers and sisters and had never heard anything of a derogatory nature concerning them or applicant's parents. She stated that in her opinion the ALVAREZ family were good loyal American citizens.

Mrs. ARLIE R. BARNES, 508 Fifth Avenue Southwest, advised that she has been a neighbor of the ALVAREZ family for the past fifteen years and her husband, who is also a doctor in the Mayo Clinic, is well acquainted with the applicant's father. She stated that she has been informed through various sources that applicant is considered a scientist of great ability and that he had been employed through the war on a very confidential assignment. She stated that she associates socially with the applicant's mother and had found her to be highly regarded by the other women in the community. She stated that she had known applicant during the time that he resided with his family in Rochester and knew he possessed a good reputation in the community. She further related that she had never heard anything of a derogatory nature concerning applicant or any member of his family and considered them all good, loyal, American citizens.



MISCELLANEOUS

The following information was obtained from the office of the Mayo Foundation concerning the applicant's father, WALTER C. ALVAREZ. Applicant's father is presently employed at the Clinic as Professor of Medicine and Senior Consultant in the Internal Medicine Section. He was born July 22, 1884, in San Francisco, California and entered the Mayo Foundation as Assistant Professor of Medicine February 1, 1926. The applicant's father has maintained a very excellent record while at the Clinic and is very highly regarded by the members of the staff.

CREDIT AND CRIMINAL

The records of the Olmsted County Business Men's Association possesses no information concerning applicant. However, this agency did possess a credit rating for applicant's father, which was listed as very good. There is nothing of a derogatory nature in connection with applicant's father's credit rating.

The records of the Rochester Police Department were checked for applicant as well as all members of his family. However, such check met with negative results.

The St. Paul Office indices were checked for a record of the applicant and all members of his family with negative results.

REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT

BUREAU

FILE NO. 116-2780

REPORT MADE AT <b>WASHINGTON, D. C.</b>	DATE WHEN MADE <b>6/3/47</b>	PERIOD FOR WHICH MADE <b>5/23/47</b>	REPORT MADE BY <b>JF:KOE</b> <b>JAMES FREN</b>
TITLE <b>LUIS WALTER ALVARES - 15</b> <b>aka Luis Schmelf Alvares</b>			CHARACTER OF CASE <b>ATOMIC ENERGY ACT - APPLICANT</b>

**SYNOPSIS OF FACTS:** **WALTER A. RADIUS, reference, recommends applicant highly. Nothing derogatory developed.**

- RUC -

**REFERENCE:**

Bureau File 116-7905

Bureau letter dated May 13, 1947

**DETAILS:**

AT WASHINGTON, D. C.

REFERENCE

Mr. **WALTER A. RADIUS, 6424 - 33rd Street, N. W., Director** of the Office of Transport and Communications of the Department of State, Room 206, State Department Building, was interviewed and he stated that he grew up with the applicant in San Francisco, California. He stated that he attended elementary school with the applicant and that he is acquainted with the entire family of the applicant who are very nice people. He stated that in his opinion the applicant has an excellent character and enjoys a fine reputation; further, that he believes the applicant to be absolutely honest, reliable and trustworthy.

Mr. **RADIUS** stated that he had no doubt as to the loyalty or the applicant and that he knew nothing derogatory concerning him. He advised that he would recommend the applicant highly for any position for which he may be qualified.

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Mr. RADIUS stated that while the applicant was in Chicago, prior to the war, the applicant was considered one of the outstanding young scientists of America. Mr. RADIUS further stated that at one time Mr. ALVAREZ had worked with Dr. LAWRENCE in California, in a project aimed at splitting the atom.

REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **BUREAU**

BS FILE NO. 116-730 CTR

REPORT MADE AT <b>BOSTON, MASS.</b>	DATE WHEN MADE <b>5/29/47</b>	PERIOD FOR WHICH MADE <b>5/20, 22, 23/47</b>	REPORT MADE BY <b>WILLIAM D. CRONIN</b>
TITLE <b>LUIS WALTER ALVAREZ - 15, aka Luis Schmell Alvarez</b>			CHARACTER OF CASE <b>ATOMIC ENERGY ACT - APPLICANT</b>

**SYNOPSIS OF FACTS:** Applicant was employed as a Research Staff Member at the Radiation Lab, MIT, Cambridge, Mass., from 11-15-40 to 9-27-43. He was well recommended. Neighborhood investigation negative. Check of the files of the Boston Division of FBI, Mass. Board of Probation and credit bureau was negative.

-RUC-

*Reference*

Bureau file 116-7905.  
Bureau letter to San Francisco dated May 13, 1947.

**Details:**

**EMPLOYMENT**

Miss ELIZABETH VAUGHAN, Secretary at the Radiation Laboratory, Massachusetts Institute of Technology, Cambridge, Mass., advised that the applicant's employment record reflected the following:

The applicant came to the Radiation Laboratory at MIT from the University of California the day after the Radiation Laboratory was opened on November 15, 1940, and was employed as a Research Staff Member in the Laboratory until September 27, 1943. He was in charge of the research in the laboratory governing ground control approach for landing planes. Upon his departure he received an excellent recommendation from Dr. F. W. LOOMIS, former Director of the Radiation Laboratory and presently at the University of Illinois. The applicant left the Radiation Laboratory to go to the

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Metallurgical Laboratory of the University of Chicago. Thereafter he went to the University of New Mexico and, according to the records of the Radiation Laboratory, is presently at the University of California.

Miss VAUGHAN stated that she recalled the applicant personally, that he was well liked by the employees and was an excellent organizer and a particularly brilliant man.

#### NEIGHBORHOOD

While employed at the Radiation Laboratory at MIT the applicant resided at the Craigie Arms Apartment, 26 Concord Avenue, Cambridge, Mass. This apartment consists of a number of small kitchenette apartments located near Harvard College and according to the superintendent there is a constant turnover of tenants inasmuch as the majority of the tenants consist of Harvard College students. The superintendent was unable to recall the applicant and could not suggest any tenant who might have lived in the apartment house in 1941. At his suggestion the present owners and renters of the apartment house, Gorin-Leeder Management Company, 318 Harvard Street, Brookline, Mass., were contacted.

It was ascertained at this company that at the present time they had no record concerning the tenants of the Craigie Arms Apartment during the period of 1941.

According to the applicant's employment record at the Radiation Laboratory, MIT, he lived at 60 Watson Road, Belmont, Mass., during 1941-1943.

Mrs. ELIZABETH HAROLAN, 54 Watson Road, Belmont, Mass., advised that she lived in the house adjacent to that occupied by the applicant and his wife during the entire period of his residence there. She said that she knew Mrs. ALVAREZ quite well but had not become acquainted with Mr. ALVAREZ because he seemed to spend most of his time working at MIT. She said that the ALVAREZ family were well regarded in the neighborhood and she had never heard anything detrimental concerning them.

Mrs. W. T. ANDERSON, 4 Bicket Road, Belmont, Mass. (located directly across the street from 60 Watson Road), advised that she had lived in the neighborhood for the past several years and knew that some nice people from MIT had lived at 60 Watson Road, but she could not recall the name ALVAREZ.

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The neighborhood is a new residential section and no other persons could be located in that neighborhood who knew the applicant.

MISCELLANEOUS

The name of the applicant was searched through the indices of the Boston Division of the FBI, the Massachusetts Board of Probation, Boston, Mass., and the Credit Bureau of Greater Boston, Boston, Mass., with negative results.

REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN

# FEDERAL BUREAU OF INVESTIGATION

Form No. 2  
THIS CASE ORIGINATED AT: BUREAU

FILE NO.: 116-959

REPORT MADE AT: <b>CHICAGO, ILLINOIS</b>	DATE WHEN MADE: <b>5/28/47</b>	PERIOD FOR WHICH MADE: <b>5/24, 27/47</b>	REPORT MADE BY: <b>PAUL K. PINKERTON PKP:aa</b>
TITLE: <b>LUIS WALTER ALVAREZ - 15 aka Luis Schnell Alvarez</b>			CHARACTER OF CASE: <b>ATOMIC ENERGY ACT - APPLICANT</b>

## SYNOPSIS OF FACTS:

Neighborhood investigation revealed nothing derogatory concerning applicant. Applicant attended University of Chicago from 1928 to 1936, receiving S.B., S.M., and Ph. D. degrees. Scholastic record excellent. No credit or criminal record, Chicago, Ill.

- R U C -

## REFERENCE:

Bureau letter to San Francisco dated May 13, 1947.  
Bureau File #116-7905

## AT CHICAGO, ILLINOIS

### NEIGHBORHOOD

Inquiry at 5731 South Kenwood Avenue, Chicago, Illinois, reflected that Mrs. S. PEARLMAN is the present owner of this house. Mrs. PEARLMAN advised that the house had changed hands several times since 1936 and that no one was living there presently who was there in 1936. Inquiry elsewhere in the neighborhood failed to reveal anyone who was acquainted with applicant.

Mrs. J. J. SMITHWICK, 7543 Phillips Avenue, Chicago, Illinois, advised that she was applicant's mother-in-law and that applicant had resided with her at that address in 1943 and 1944.

Mrs. B. E. COLLINS, 7543 Phillips Avenue, advised that she

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recalled applicant and knew nothing derogatory concerning him.

W. J. SCHLOSSBAUER and I. J. SHURE, same address, were not acquainted with applicant.

#### EDUCATION

Miss B. VEREMIS, registrar's office, University of Chicago, produced applicant's scholastic record. Applicant entered the University of Chicago on September 26, 1928. He received his S.B. degree on March 15, 1932, S.M. degree on June 12, 1934, and Ph. D. degree on December 15, 1936. He was an honor scholar in physical sciences. His grades were excellent. He was a member of Phi Beta Kappa honor society, Sigma Xi Scientific society, and Phi Gamma Delta social fraternity.

#### CREDIT AND CRIMINAL

Special Employee R. W. NICKLESS checked the files of Hills Reports against applicant's name with negative results.

The files of the Chicago Police Department were also checked with negative results.

REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN



# FEDERAL BUREAU OF INVESTIGATION

Form No. 1-

THIS CASE ORIGINATED AT **BUREAU**

FILE NO. 116-523

REPORT MADE AT: <b>SAN FRANCISCO</b>	DATE WHEN MADE: <b>5/28/47</b>	PERIOD FOR WHICH MADE: <b>5/24, 26, 27/47</b>	REPORT MADE BY: <b>WILLIAM P. POOLE, ES</b>
TITLE: <b>LUIS WALTER ALVAREZ - 15 aka Luis Schmell Alvarez</b>			CHARACTER OF CASE: <b>ATOMIC ENERGY ACT - APPLICANT</b>

**SYNOPSIS OF FACTS:** Applicant born June 13, 1911, San Francisco, California. Associated with University of California, Berkeley, since 1936 as Research Fellow in Radiation Laboratory and as Physics Professor. Investigation among neighbors and fellow professors reflect applicant is conservative in political views and opposed to Fascism and Communism. Moral character of Applicant and wife very good. Applicant recommended highly for discretion and ability to handle confidential work. No criminal record Identification Division, FBI, Washington, D. C. No criminal or credit record Berkeley.

RUC

**REFERENCE:** Bureau File #116-7905

**DETAILS:** NEIGHBORHOOD INVESTIGATION

Mrs. ROBERT R. PORTER, 350 Vassar Avenue, Berkeley, California, stated that the ALVAREZ family have been living next door to her for the past six months. She indicated that she has not visited with her new neighbors so consequently knows very little concerning them. She stated that from what she has observed she would describe the ALVAREZ family as being good neighbors, quiet, and the type of people who mind their own business. In the past six months applicant's family has earned a good reputation in that neighborhood. She said that she does not know Applicant personally and therefore would be unable to give an opinion concerning his loyalty to this country.

Mr. and Mrs. HENRY A. STONE, 374 Vassar Avenue, Berkeley, advised that employee and his family have been their neighbors for the past six or seven months. They consider the ALVAREZ family to be excellent neighbors who enjoy a

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good reputation in that community. They said that they consider Applicant to be of good character, honest, trustworthy. In regard to his loyalty they said they believed him to be a loyal citizen but since they have been neighbors for such a short while they did not feel qualified to give an opinion concerning the matter.

Mr. GEORGE KEEFER, 2532 Cedar Avenue, Berkeley, advised that ALVAREZ and his family resided at this address for four or five months in 1946. He said the house belongs to his brother-in-law who is presently in Malaya and that he, KEEFER, is taking care of the property for him. KEEFER said that he was not residing there during the time Applicant was and he does not know the latter. He indicated, however, that he learned from his brother-in-law that Applicant was an excellent tenant.

Mrs. RALPH MINOR, 2514 Cedar Avenue, Berkeley, stated that she knew the ALVAREZ family as neighbors when they lived next door to her for some months in 1946. She described them as excellent neighbors who enjoyed a good reputation in that neighborhood. She considered Applicant to be a loyal American, honest, trustworthy, and of good character. Concerning Applicant's loyalty she said that she did not believe there was any question of it and commented on his scientific achievements during the war. She advised that she knew of no organization to which Applicant belonged.

It is noted that Applicant claims residence at 7 West Parnassus Ct., Berkeley, California in 1945-1946. This is the residence of Professor ROBERT BRODE of the Physics Department, University of California. The interview with Professor BRODE is set out elsewhere in this report. It is noted that BRODE advised that Applicant's family resided at this address for only a few months in the latter part of 1945 and early 1946.

Mrs. EDWARD LAWTON, 101 Panoramic Way, Berkeley, California, stated that she moved into this house after the Applicant had left. She indicated that she knew nothing concerning him except that he was a scientist at the University of California. She said that Applicant was well-known by her neighbor, Mrs. KIRBY CASEBOLT.

Mrs. KIRBY CASEBOLT, 107 Panoramic Way, Berkeley, advised that Applicant and his family had been their neighbors during the period the latter resided at the house next door. She described ALVAREZ and his wife as being good loyal Americans who were conservative in their political beliefs. She said that they were good neighbors, honest, trustworthy, and the Applicant was well qualified to handle work of a confidential nature. She indicated that she knew of no derogatory information concerning him.

She said that she knows of no organizations to which the Applicant belongs.

The catalogue of the University of California reflects that in 1937 and 1938 Applicant was residing at 1685 Euclid Avenue, Berkeley. Mrs. JOHN S. JOBE, who has an apartment at this address, stated that there are no residents of this apartment house at the present time who lived here at the time the Applicant did. She indicated that the owner of the premises at that time was FRANK PETERSON who now resides at 1824 San Lorenzo Street, Berkeley.

Mrs. FRANK PETERSON advised that she had been well acquainted with Applicant and his family at the time the latter resided in their apartment house on Euclid Avenue. She stated that they were excellent tenants, honest, trustworthy, and enjoyed a good reputation in the neighborhood. To her mind there never was any question regarding the loyalty of Applicant to this country. She believed that Applicant was opposed to Fascism and Communism. She said that she knew of no organizations to which Applicant belonged.

The catalogue of the University of California reflects that in 1936 Applicant resided at 2451 LeConte Avenue, Berkeley; Mrs. T. F. TAVERNETTI, manager of the apartment house at this address, stated that it is the property of the University of California. She indicated that her records reflected that Applicant resided at this address for some months in 1936, but she did not know the exact length of time. She said that at that time she did not reside at this address and all the other tenants are new and would not know the Applicant.

#### REFERENCES and EMPLOYMENT

Professor DONALD COCKSEY, of the Radiation Laboratory, University of California, advised that he has been acquainted with Applicant since the latter came to the University in 1936 as a Research Fellow in the Physics Department of the Radiation Laboratory. He indicated that he knows of no individual he could more highly recommend than the Applicant, particularly so because the latter is a very loyal American who is not interested in political philosophies of any kind. He is opposed to Communism and Fascism and is a conservative in his political beliefs. He described Applicant as being honest, dependable, and thoroughly trustworthy. He stated that Applicant has made a fine impression on the Radiation Laboratory since coming there and that the Laboratory and those connected with it have benefited by having the Applicant associated with the Laboratory. He indicated that Applicant can be trusted with any type of work no matter how confidential it may be and the security of the country would not be endangered thereby. He added that he knows of no organizations to which Applicant belongs.

Professor ROBERT BRODE, of the Physics Department, University of California, advised that Applicant has been attached to the Physics Department since 1936 at which time he came here from the University of Chicago. He indicated that Applicant came out here on a Research Fellowship in the Radiation Laboratory. He said that Applicant now holds the position of a Professor of Physics at the University. To his mind, Applicant is a very reliable individual to have working around atomic projects since there is no question concerning his loyalty to this country. He described Applicant as being very conservative in his political beliefs, in fact so conservative that he would not even listen to one of Henry WALLACE's speeches. He said that Applicant is very much opposed to Fascism and Communism and is interested in seeing that the American way of life continues in this country. He said that the moral character of Applicant and his wife is unquestioned. He advised that Applicant is a member of the National Academy of Science, having recently been elected to this group. He is also a member of various scientific societies such as Sigma XI. He also indicated that Applicant is a member of Phi Beta Kappa. He said that Applicant was awarded a citation by President Truman for his work in connection with Ground Control Approach for landing planes in dense fog. Professor BRODE advised that in the latter part of 1945, at the conclusion of the war, both he and ALVAREZ were at Los Alamos, New Mexico. ALVAREZ desired to get back to Berkeley to continue with his work there so BRODE allowed him to use his house at 7 W. Parnassus Ct., in Berkeley, for a few months.

Professor EDWIN MCILLAN of the Physics Department and Radiation Laboratory, University of California, advised that he has known Applicant since 1936. He indicated that they both worked on RADAR together at Mass. Institute of Technology in 1941 and 1942. In his opinion Applicant is a person of unquestioned loyalty to the United States. He is very much interested in our democratic way of life. He is conservative in his politics and is opposed to Fascism and Communism. He believes Applicant to be well qualified to handle work of a confidential nature. He described Applicant as being honest, trustworthy, and thoroughly dependable. He said that he would unhesitatingly recommend him for his good moral character. He knew of no organizations to which Applicant belongs other than scientific organizations such as Sigma XI.

Professor LEONARD LOEB of the Physics Department, U. C., advised that he has been acquainted with the Applicant since the latter came to Berkeley, but not intimately. He indicated that there is nothing of a subversive nature concerning the Applicant who is not interested in Communism or Facism. He said there is absolutely no question regarding the reliability of the Applicant. He also advised that the Applicant is well qualified to handle confidential work since he is a very discreet individual and not given to talking about his work. He indicated that the Applicant is of good moral character.

MISCELLANEOUS

By teletype dated May 16, 1947, the State Bureau of Vital Statistics at Sacramento, California, verified Applicant's birthdate as June 13, 1911, at San Francisco, California.

\* CCNF. INET. organizations which maintain active files on subversive activities in the San Francisco Bay Area, advised that they have no information concerning the Applicant.

The records of the Berkeley Police Department were checked for a criminal record of the Applicant with negative results.

The files of the Identification Division of the FBI, Washington, D. C., do not reflect a criminal record for the Applicant.

The Retail Credit Association of Alameda County advised their records do not reflect a credit record for the Applicant.

REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **BUREAU**

FILE NO. **116-810**

REPORT MADE AT <b>EL PASO, TEXAS</b>	DATE WHEN MADE <b>5-28-47</b>	PERIOD FOR WHICH MADE <b>5-26, 27-47</b>	REPORT MADE BY <b>FINIS I. PARRISH</b> <span style="float: right;">CS</span>
TITLE <b>LUIS WALTER ALVAREZ - 15 aka., Luis Schmell Alvarez</b>			CHARACTER OF CASE <b>ATOMIC ENERGY ACT - APPLICANT</b>

**SYNOPSIS OF FACTS:**

**A**

Applicant employed by the University of California, Los Alamos, N.M., as a Scientist, Staff Member, April 23, 1944, and voluntarily resigned at the completion of his project September 30, 1945. Nothing derogatory in personnel file. Neighbors recommend highly as to morals, reputation, character, and loyalty. Associates recommend highly as to morals, reputation, character and loyalty. There is some indication applicant is not highly Security conscious inasmuch as he obtained some Classified photographs while employed on the project and was reluctant in returning them to the Authorities. Credit record at Santa Fe, N.M. satisfactory. Criminal records at Santa Fe, N.M. negative.

- RUC -

**REFERENCE:**

Bureau File Number 116-7905.  
Bureau letter to the San Francisco Office dated May 13, 1947.

**DETAILS:**

**EMPLOYMENT**

**At Los Alamos, New Mexico**

Miss DOROTHY CARTER, Assistant Personnel Director, University of California, stated that her files reveal that applicant was employed April 23, 1944 in the position of SI-7, Staff Member. His resignation was accepted Sept. 30,

65-19-41

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1945 as the project he was assigned to was completed. She said there is nothing derogatory in the file.

#### NEIGHBORHOOD

##### At Los Alamos, New Mexico

H. J. PRINCE, Housing Officer, Zia Company, stated his records reflect that applicant resided at 1040 - 1835 - 18th Street from April 1944 to September 1945. Nothing derogatory is contained in the file. PRINCE stated that the only person presently residing on the Hill that lived in that neighborhood at the time is Mr. J. R. WEINEKE at 1835 A 18th Street.

Mr. J. R. WEINEKE, 1835A 18th Street, informed that he resided in the same apartment unit with applicant during the entire time that applicant was employed on this project. Applicant is very happily married; had two children when they came to the project, and had one child during their stay at Los Alamos. Applicant worked in the same Division as WEINEKE, however they associated very little outside of work. In his opinion applicant was one of the outstanding scientists connected with the project at the time. Several National magazines wrote articles regarding applicant's ability. Applicant and family enjoyed a very good reputation in the community. They are of the highest moral standards, and certainly of good character. They drink very little, only on social occasions. Applicant is the "Home loving" type, and thinks the world of his family. He never knew or heard of anything reflecting on the loyalty of the family, and in his opinion they are good loyal American citizens, who believe in our form of Government. He recommends applicant and wife very highly as to morals, character, reputation and loyalty. He is not acquainted with applicant's family background.

#### ASSOCIATES

##### At Los Alamos, New Mexico

Dr. R. C. SMITH, Division Leader, D-Division (Legal and Patents) stated he knew applicant all the time applicant was at this project. Applicant is one of the leading scientists of this country and was a very great asset to this project. Mr. ALVAREZ was decorated not long ago by President TRUMAN as the greatest contributor to the advancement of aviation in his work on Radar. Mr. ALVAREZ accompanied the group to Tinian Island for the expedition against Hiroshima and Nagasaki. Applicant is very much of a family man, has a nice wife and two or three fine children. He and family enjoyed a very good reputation while on the project, and are certainly of the finest character. In his opinion Mr. ALVAREZ is a good American citizen, believes in our institutions, and certainly not interested in any type of foreign isms or governments. Mr. SMITH also stated that applicant is Security



conscious, and not too long ago the applicant was contacted by the officials regarding some photographs he had that were classified. He is sure Mr. ALVAREZ was cooperative with these officials in this matter, however, applicant might have thought the photographs he had should not have been classified, and he would have so stated inasmuch as he is the type of person who says so, if he thinks something is unimportant or silly. SMITH is sure that applicant would not intentionally, maliciously, or accidentally jeopardize the security of this project, and certainly not to any foreign governments. In his opinion applicant has an excellent character, fine reputation, good morals, and is loyal to our country.

Mr. HERBERT I. MILLER, Alternate Division Leader, D-Division, said he knew applicant during the entire time applicant was connected with this project. Applicant's father is a noted physician, connected with the Mayo Clinic. Applicant is one of the outstanding young scientists in the world. He has received very much acclaim and recognition in his work. He is a man of fine character, good morals and reputation, and loyal to our government. Mr. ALVAREZ is so wrapped up in his work and family, and enjoys a good income, until he does not have time to become interested in any foreign ideas. Applicant has an inventive and creative mind, always probing for new and different ideas, and sometimes due to this is a little lackadaisical or absent minded. He does not know nor has heard of anything derogatory regarding this applicant.

T-1 said he knew of applicant at this project during 1944 and 1945. Applicant was very highly regarded as a scientist at Los Alamos. He had a good reputation, and nothing reflecting on his loyalty was ever heard. However, applicant was a little dubious and resentful toward Security at the project. When applicant returned from the Islands after the dropping of the A-Bombs on Japan, some of the Security Officers found a personal diary in his possession, however this diary contained no classified information and was returned to the applicant. When applicant left the project he took some photographs relating to the container of some part of the bomb, and these pictures were classified. Applicant was somewhat "hard-headed" and reluctant in giving up the possession of the pictures. One of the Commissioners of the Atomic Commission severely admonished ALVAREZ about his attitude in returning the photographs, after which applicant was very cooperative in the matter.

#### CREDIT RECORD

##### At Santa Fe, New Mexico

Mr. GENE HARRIS, Credit Bureau of Santa Fe, advised that his records reflect that applicant has a satisfactory rating. Several years ago applicant had an estimated net worth of a little more than \$20,000.



116-8105

On one or two occasions applicant was a little slow in paying some small outstanding bills, but they were cleared up.

BERT BROWN, of Brown's Merchant Credit Bureau informed that his records fail to reflect any information for the applicant.

CRIMINAL RECORD

At Santa Fe, New Mexico

The Santa Fe Police Department and the New Mexico State Police advised that they have no record for this applicant.

- REFERRED UPON COMPLETION TO THE OFFICE OF ORIGIN -

ADMINISTRATIVE DATAAt El Paso, Texas

The El Paso indices disclose in El Paso File 100-2968-598, CINRAD, a letter to the Director dated February 15, 1946. This letter indicates that the Bureau requested, by teletype dated November 20, 1945, that the El Paso Office obtain copies of reports and personnel data in the files of certain designated individuals formerly associated with the Los Alamos DSM Project.

The name of LOUIS ALVAREZ, University of California, Berkeley, California, appeared on the list of individuals mentioned, and two photostatic copies of these reports were obtained, one forwarded to the Bureau, the other to the destination of the individual. It appears that applicant is identical with the person mentioned in this information.

IDENTITY OF INFORMANTS

T-1,

He requested that his identity be kept confidential.

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1 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglam  
LS- 59028- 26

☐ For your information: \_\_\_\_\_

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13 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglan  
US-59028-827

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6 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglan  
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Disposition of document in Deenglan  
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3 Page(s) withheld for the following reason(s):  
Disposition of document in Greenland  
US- 59028-128

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2 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglan  
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Page(s) withheld for the following reason(s):

Disposition of document in Greenlawn  
US- 59028- 137

☐ For your information: \_\_\_\_\_

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## Office Memorandum • UNITED STATES GOVERNMENT

TO : SAC, ALBUQUERQUE

DATE: 4-18-50

FROM : SAC, ST. LOUIS

SUBJECT: ~~UNKNOWN SUBJECT~~ was.~~TOP SECRET~~~~ESPIONAGE - R~~CLASSIFIED BY: 3042/PWT/KS  
DECLASSIFY ON: ORDR

Relet Albuquerque to Bureau dated 4-7-50.

Information re furloughs is reflected in the Army service record of the following individuals:

BENJAMIN BETERSON, born 11-15-21 at New York, N. Y., ASN 32505267.

Furlough periods: 4-17-43 through 4-26-43

6-6-43 through 6-9-43

9-3-43 through 9-11-43

12-4-43 through 12-11-43

9-15-44 through 9-29-44

6-5-45 through 6-24-45

9-10-45 through 9-24-45.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
EXCEPT WHERE SHOWN  
OTHERWISE (u)CLASSIFIED AND  
EXTENDED BY 2333 GAI/KAN 1-30-79  
REASON FOR EXTENSION 2  
DATE OF REVIEW FOR  
DECLASSIFICATION 1-30-89

JULIUS LEONARD SILVER, born 6-15-23 at New York, N. Y., ASN 32784996.

Furlough periods: 6-5-43 through 6-9-43

9-3-43 through 9-11-43

12-4-43 through 12-11-43

10-2-44 through 10-16-44 and extended six days to  
10-22-44

8-2-45 through 8-24-45. (u)

IRVING R. SCHMOIKA, born 1-8-18 at New Brighton, N.Y., ASN 32654053.

Furlough periods: 4-23-43 through 4-27-43

7-4-43 through 7-10-43

10-2-43 through 10-8-43

1-1-44 through 1-7-44. (u)

A memo dated 2-6-45 Headquarters, South Pacific Base Command, APO 502, indicates a preliminary investigation conducted on [redacted] reflected possible disaffection on his part. No further information in this connection is contained in the record but further information may be available in the confidential file, AGO, and MID, Washington, D. C.

RUC.

BEK:MH  
65-1538Classified by 2355  
Exempt from GDS Category 2-3  
Date of Declassification 10/22/75 (2/23/78)

SEARCHED <u>la</u>	INDEXED <u>la</u>
SERIALIZED <u>la</u>	FILED <u>la</u>
APR 20 1950	
FBI - ALBUQUERQUE	
<u>Maxwell</u>	

~~TOP SECRET~~

~~TOP SECRET~~

CLASSIFIED AND EXTENDED BY 2072 GAS/SAN 1-30-29  
REASON FOR EXTENSION 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR DECLASSIFICATION 1-30-89

Albuquerque, New Mexico  
April 20, 1950

MEMORANDUM FOR THE SAC

ATTENTION: SA MAXWELL

RE: UNKNOWN SUBJECT, was.

ESPIONAGE - R

Period: 4/11, 13, 14/50  
Re report of SA J. JEROME MAXWELL  
dated 3/23/50 at Albuquerque.

The following information is submitted for inclusion in the next investigative report.

ADMINISTRATIVE

SYNOPSIS:

SARA LEW SPINDEL, wife of suspect, WILLIAM SPINDEL, resided in Albuquerque, N. M., from Fall of 1944 to late Summer of 1945. No evidence of any extended trips of either of the SPINDELS during that period. No evidence of either of SPINDELS participating in any long distance phone calls during latter part of 1944.

DETAILS:

At Albuquerque, New Mexico

Mrs. MARY E. HARDY, housewife, 1152 Iron, advised that she rented and resided in the apartment at 824 North 5th Street in Albuquerque in 1944 and 1945. She stated that from some time in the fall of 1944 to sometime in the following winter, she rented a room of that apartment to Mrs. SARA SPINDEL. Mrs. HARDY was unable to furnish any exact dates but stated that SPINDEL resided in this room for a period of three or four months to the best of her recollection. Mrs. HARDY stated that during that time, the only other individual who resided in the house was Mrs. HARDY's daughter-in-law, a Mrs. HAZEL HARDY, and her small baby. She explained that SARA SPINDEL's husband was a member of the Army at that time and she believes he was stationed at Los Alamos, New Mexico. She stated that he occasionally came to Albuquerque and spent a few hours with his wife but that she does not recall that he was ever able to stay all night or spend a weekend there.

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65-19

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65-19-56

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DECLASSIFY ON: OPR

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SERIALIZED	FILED
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FBI - ALBUQUERQUE	

*Madison*

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65-19

She stated that for this reason she had very little contact with WILLIAM SPINDEL.

Mrs. HARDY described SARA SPINDEL as a "brilliant but peculiar" person and explained that she considered her peculiar because of the fact that SPINDEL had no friends or associates in Albuquerque. She stated that during such periods, SARA PINDEL was not employed and that she took her meals out and spent nearly all of her time in her room. She stated that SPINDEL read a good deal during that period but she does not recall what sort of literature she possessed.

Mrs. HARDY stated that the only telephone in the apartment was located in the downstairs dining room and that SARA SPINDEL called or received a call from her husband WILLIAM SPINDEL nearly every evening. She stated that there never seemed to be anything suspicious about such calls and that the SPINDELS did not in any way attempt to be secretive in their conversation. She stated that a large percentage of the time either she or her daughter-in-law was present during such calls. Mrs. HARDY stated that inasmuch as the phone was listed in her name, she is quite positive that no one made any long distance call charging it to that telephone to any place other than Los Alamos during the time that SARA SPINDEL resided there. She stated that there may have been a few times when both she and her daughter-in-law were absent from the house but that to her knowledge, neither SARA nor WILLIAM SPINDEL has received any long distance telephone call at that phone from any location other than Los Alamos.

Mrs. HARDY stated that SARA SPINDEL did not take or plan any extended visits during the time that she resided at her house and that she never indicated that her husband took or planned any trip outside of New Mexico during that period.

As set forth above, Mrs. HARDY stated that SARA SPINDEL did not have even one friend or close associate in Albuquerque and she also stated that SPINDEL did not associate with any of the other individuals residing in the neighborhood and that she is quite sure that none of the neighbors would even recall SPINDEL.

Mrs. HARDY stated that she considered SARA SPINDEL to be of good character and that she never had any reason to question her loyalty in any way.

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A check of old telephone directories revealed that Albuquerque telephone number 9717 was assigned to Mrs. MARY E. HARDY at 824 North 5th Street, in 1944.

Mrs. HAZEL HARDY, housewife, 332 Delores Drive, Albuquerque, New Mexico, advised that she is the daughter-in-law of MARY E. HARDY and resided at 824 North 5th Street during the time that SARA SPINDEL maintained a room there. HAZEL HARDY also stated that SARA SPINDEL obtained a room at that address sometime in the fall of 1944 and resided there continuously until an unrecalled date in the following winter when she moved to an apartment on West Coal Avenue in Albuquerque.

She likewise was unable to furnish any exact or even approximate dates of such residence but stated that she is quite sure that SPINDEL resided in the apartment on North 5th for a period of three or four months.

HAZEL HARDY furnished substantially the same information as her mother-in-law and stated that she never knew SARA or WILLIAM SPINDEL to ever make or receive any long distance telephone calls from or to any location other than Los Alamos.

She also stated that SARA SPINDEL did not make any extended trips during that period and never gave any indication that her husband, WILLIAM SPINDEL, made or planned any such trip.

She further stated that SARA SPINDEL was very cautious about making any statement pertaining to the place at which her husband was stationed or the work he was doing at that time.

She also stated that SARA SPINDEL did not have even one friend or associate other than her and her mother-in-law in Albuquerque during that time and that WILLIAM SPINDEL never brought any individual to the house on any of his infrequent visits with his wife.

The 1945 and 1946 city directories for the city of Albuquerque reflect that WILLIAM and SARA L. SPINDEL resided at 613 West Coal and that SARA was employed as a clerk at the Veterans Administration facility.

Incomplete personnel records of the U. S. Veterans Hospital at Albuquerque, New Mexico, reflect that SARA L. SPINDEL was employed as a file clerk in that facility from April 24, 1945 to August 11, 1945, and that she resigned such position. These records reflect that she was born July 7, 1922, in Poland; that her legal residence was Kings County,

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AQ 65-19

New York City, New York; and that her local residence was 613 West Coal, Albuquerque, New Mexico. The records reflect that upon her resignation, she left a forwarding address "C/O SIMON, 459 East 22nd Street, Brooklyn, New York."

The actual personnel file relative to SARA SPINDEL has been forwarded to the Veterans Administration Records Center, 5000 Wissahickon, Philadelphia, Pennsylvania. This file should contain her application form and actual record of any leave taken during such period.

Miss ROSE SAUR, Secretary to the Manager, Veterans Hospital, Albuquerque, New Mexico, advised that she was employed as Personnel Officer of the hospital in 1945 and that she was casually acquainted with the applicant during the few months that she worked there. She stated that applicant's services were satisfactory and that to the best of her knowledge, SPINDEL was considered to be a loyal citizen. She stated that she does not recall that SPINDEL had any close friend or associate during that period. She stated that she does not believe that any of the people who were employed at the hospital at that time knew any more about SPINDEL than she did.

Mrs. MARY KIRTLY<sup>R</sup>, cook and cafe operator, 613 West Coal, advised that in 1945 she had her residence at that address divided into apartments and that she lived in one of the apartments and rented the other to SARA SPINDEL for a period of several months. She stated that SPINDEL resided in such apartment from sometime in the early Spring of 1945 to late Summer or early Fall of the same year. She has no record and is unable to recall the exact dates.

KIRTLY stated that during part of such period SARA SPINDEL was employed at an unrecalled place in Albuquerque and that to her knowledge only SPINDEL's husband, WILLIAM SPINDEL, resided with her during any of that time. She explained that WILLIAM SPINDEL was stationed at Los Alamos during such period and that he usually spent his weekends with his wife but otherwise was never at the apartment.

KIRTLY stated that she had very casual and infrequent contacts with either of the SPINDELs. She stated that Mrs. SPINDEL did not appear to have any close friends or associates in Albuquerque or vicinity other than her husband and that she does not recall any individual actually visiting in the SPINDEL apartment. She stated that SARA SPINDEL kept very much to herself and that she does not believe that any of the individuals who resided in neighboring houses during that period would even recall SPINDEL.

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52

~~TOP SECRET~~

65-19

Mrs. KIRTLY stated that SARA SPINDEL did not have a telephone in the apartment during any of the period that she resided there; that she, Mrs. KIRTLY did not have a telephone and that she has no knowledge or recollection of either of the SPINDEL's having used any telephone in any way. She also said that to her knowledge neither of the SPINDELs took or planned any extended trip during the period that they rented the apartment.

Records of the Albuquerque City Police Department and the Credit Bureau of Albuquerque were checked with negative results in that they do not reflect any information relative to WILLIAM or SARA SPINDEL.

All of the above investigation was conducted under pretext of SARA SPINDEL's having applied for a position in which the Government is interested.

POSSIBLE LEADS

THE PHILADELPHIA DIVISION

At Philadelphia, Pa.

Will review the complete personnel file of SARA LEW SPINDEL which is maintained at the Veterans Administration Records Center, 5000 Wissahickon, Philadelphia, Pa. Will obtain background information available and will note any periods of leave taken by SARA SPINDEL during her period of employment at the Veterans Hospital in Albuquerque, New Mexico.

ROY I. MISCHKE

~~TOP SECRET~~



TOP SECRET

MEMO TO THE SAC

Re: ~~UNKNOWN SUBJECT~~, was ~~[REDACTED]~~ <sup>T.S.</sup> ~~(S)~~ 61

~~ESPIONAGE - R~~

On April 17, 1950 the following investigation was conducted at Santa Fe, N. M.

MRS. MARY POPPAS, owner of the apartment building at 759 Dunlap Street, advised that she did not remember anyone having stayed there during 1945 by the name of SPINDEL. She advised that the records in her possession did not go back further than 1946, but that her bookkeeper, WAYNE HOWELL, might have records during 1945.

MRS. RUTH WILLINGHAM, 765 Dunlap Street, advised that she has lived at that address only since the fall of 1948. She stated that all the tenants in the apartment building are new, ~~and~~ that the oldest tenant in the building presently resides at 759 Dunlap Street, and that this tenant had resided there for not over two years.

C. WAYNE HOWELL, bookkeeper for Mrs. POPPAS, advised that he formerly had two receipt books for rent paid to Mrs. POPPAS during 1945, but that he had failed to find these books after a thorough search.

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J.H. BURTRAM, SA

CLASSIFIED AND  
EXTENDED BY: 2333 GAS/SAN 1-30-79  
REASON FOR EXTENSION 2  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR  
DECLASSIFICATION 1-30-89

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DATE 1-30-89 BY 3042/PWT/pls

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65-19-57

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65-59028-136

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AQ 65-19-58

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MEMO, SAC:

Re: ~~UNSUB, alias~~  
ESPIONAGE - R

Albuq. file no. 65-19

On April 25, 1950, C. WAYNE HOWELL, State Unemployment Service, advised that he had succeeded in locating one of Mrs. POPPAS' old receipt books, which covered the period from July thru December, 1945. He advised that only the last names of the tenants were listed in the book.

HOWELL advised that during July, 1945, the following names of tenants appeared:

BOLTON  
BORNEMAN  
ANDERSON  
RATCHIFF  
NELSON  
JOHNSON

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HOWELL advised that the names were exactly the same for August, 1945, and that during Sept. 1945, the name BORNEMAN was missing and one ROMERO occupied that space. HOWELL further stated that during October the name LAPPEN was added, which person occupied an apartment which had been undergoing remodeling, and that these names continued thru November and December, 1945.

JULIAN H. BURTRAM, SA

CLASSIFIED AND  
EXTENDED BY 2833 GAS/SAN 1-30-79  
REASON FOR EXTENSION  
FCIM, II, 1-2.4.2  
DATE OF REVIEW FOR  
DECLASSIFICATION 1-30-89

12-3-86  
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JUL 26 1950	
FBI - ALBUQUERQUE	

Signature of sender	Signature of receiver	Date
<i>Bruce M. Dove</i>		10/29/81
<i>James M. Smith</i>		10/29/81

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Declassified by: \_\_\_\_\_ date \_\_\_\_\_

## Memorandum



To : SAC (65-19)

Date 10/15/81

From : SA Bruce M. Dove

Subject : DAVID GREENGLASS  
ESP - R

For the information of the file, Volume II contains  
Serials 35 through 59.

65-19-35	Albuquerque letter to Bureau, 3/22/50
65-19-36	Albuquerque letter to El Paso, 3/24/50
65-19-37	San Francisco report of SA Charles F. Brusch, 3/23/50
65-19-38	New York letter to Bureau, 3/24/50
65-19-39	El Paso letter to Albuquerque, 3/30/50
65-19-48	Bureau letter to Albuquerque, 4/4/50
65-19-49	Albuquerque letter to Bureau, 4/7/50
65-19-50	St. Louis report of SA Edward E. Kachelhoffer, 4/3/50
65-19-51	Bureau teletype to Albuquerque, 4/12/50
65-19-52	Buffalo report of SA Robert G. Aulenbacher, 4/10/50
65-19-53	Albuquerque teletype to Bureau and WFO, 4/13/50
65-19-55	St. Louis letter to Albuquerque, 4/18/50
65-19-56	Albuquerque memorandum to SAC, dated 4/20/50
65-19-57	Albuquerque memorandum to SAC
65-19-58	New York teletype to Albuquerque, 4/25/50
65-19-59	Albuquerque memorandum to SAC

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(1) *me*

*vol 2*

*65-19-60*

*51*

**F.O.I.A.**

**JULIUS ROSENBERG ET AL.**

***FILE DESCRIPTION***

AQ Greenglass **FILE**

**SUBJECT** DAVID Greenglass

**FILE NO.** AQ 65-19

**VOLUME NO.** IV

**SERIALS**

60

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61

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Sec 3

File No:

AQ 65-19

Re:

David Greenglass

Date:

3-19-81

(month/year)

Serial	Date	Description (Type of communication, to, from)	No. of Pages		Exemptions used or, to whom referred (Identify statute if (b)(3) cited)
			Actual	Released	
60	4-28-50	AQ rept	43	-	see Greenglass 65-59028-30
61	4-28-50	AQ let HQ	1	-	" -31
62	5-3-50	AQ let HQ	1	1	61 63 CIA
63	5-8-50	HQ let AQ	1	-	see Greenglass 65-59028-35
64	5-18-50	AQ let HQ	6	-	" -38
65	5-16-50	PH rept	2	-	" -34
66	5-9-50	SL rept	4	-	" -39
67	10-11-51	Dave memo SAC	1	1	

59 2  
Per Rel Doug Reps Presumed Preproc 59  
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LS- 59028-30

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Director, FBI

~~TOP SECRET~~

May 3, 1950

SAC, Albuquerque

Dr. EDWARD TELLER  
AEA - Employee  
Security Matter - C

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Rebulet to New York dated April 28, 1950, which advised that the Los Angeles office had been advised by [redacted] local representative of CIA, had reported that a very confidential source close to the family had received information to the effect that Dr. EDWARD TELLER and his wife, MARGARET [redacted]

[redacted] also reported that the source of information would be immediately known to the TELLERS in the event there should ever be occasion for it to come to their attention. ~~(S)~~

Reference is also made to the report of Special Agent J. JEROME MAXWELL dated April 28, 1950, at Albuquerque, N. M., in the case captioned "UNKNOWN SUBJECT, was., [redacted] Espionage - R", copies of which were designated for all offices receiving a copy of this letter. This report reflects that Dr. EDWARD TELLER is a logical suspect for unknown subject [redacted] T.S.

It is suggested that in view of the above that the Bureau might desire to request that the Los Angeles office re-interview [redacted] for further details concerning the information that he furnished. It would be highly desirable to ascertain when and under what circumstances the statement attributed to Mrs. TELLER was made. Apparently, [redacted] source is close to the TELLERS and might be able to furnish information concerning the TELLERS close associates presumably in New York City in 1941 at which time one EDWARD TELLER was teaching at the Workers School in New York City.

100-308  
JJM:mab

cc - 2 Los Angeles AIR MAIL  
1 New York AIR MAIL  
1 Chicago AIR MAIL  
① 65-19

AIR MAIL (ACTUAL DELIVERY)

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65-19-62

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65-59028-38

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2

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US-59028-134

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Signature of Receiver	Date	Signature of Receiver	Date
<i>Bruce M. Dove</i>	10/22/81		
<i>Bruce M. Dove</i>	10/22/81		

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## Memorandum

To : SAC (65-19) Date 10/15/81

From : SA Bruce M. Dove

Subject : DAVID GREENGLASS  
ESP - R

AQ File 65-19, Volume III contains Serials 60 through 66.

65-19-60	Albuquerque report of J. Jerome Maxwell, 4/28/50
65-19-61	Albuquerque letter to Bureau, 4/28/50
65-19-63	Bureau letter to Albuquerque, 5/8/50
65-19-65	Philadelphia report of SA Robert B. Leonard, 5/16/50
65-19-66	St. Louis report of SA Edward E. Kachelhoffer, 5/22/50

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Vol 3

65-19-667

**F.O.I.A.**

**JULIUS ROSENBERG ET AL.**

***FILE DESCRIPTION***

AQ Greenglass **FILE**

**SUBJECT** DAVID Greenglass

**FILE NO.** AQ 45-19

**VOLUME NO.** TU

**SERIALS**

47

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## **NOTICE**

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Sec IV

File No: AD 65-19

Re: David Greenglass

Date: 3-19-87  
(month/year)

Serial	Date	Description (Type of communication, to, from)	No. of Pages		Exemptions used or, to whom referred (Identify statute if (b)(3) cited)
			Actual	Released	
67	5-24-50	SF TT HQ	1	0	b1
68	5-26-50	NY let HQ	1	-	see Greenglass 65-59028-40
69	6-1-50	WFO rept	3	-	see Greenglass 65-59028-41
70	6-7-50	AD let HQ	3	-	" -125
71	6-6-50	CG let HQ	1	-	" -42
72	6-6-50	LA let HQ	1	-	" -45
73	6-9-50	CG let HQ	1	-	" -44
74	6-7-50	NY let HQ	1	-	" -43
75	6-14-50	SF rept	4	-	" -46
76	6-21-50	AD let HQ	1	-	" -125
77	7-6-50	CG let HQ	2	-	" -240
78	7-7-50	SF let HQ	3	-	" -231

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Rel Rel Deny Presumed Refuse

Date: 3-19-87  
(month/year)

FBI/DOJ

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AQ 65-19-67

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Disposition of document in Deenglan  
US- 59028- 40

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Disposition of document in England  
US-59028-41

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*US-59028-125*

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Disposition of document in Deenglan  
US-59028-145

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1 Page(s) withheld for the following reason(s):  
Disposition of document in Greenlam  
LS-59028-144

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☒ The following number is to be used for reference regarding these pages:  
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Disposition of document in Greenland  
US- 5-9028- 143

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Disposition of document in Deenglan  
LS- 59028- 46

☐ For your information: \_\_\_\_\_

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1 Page(s) withheld for the following reason(s):  
Disposition of document in Heenglan  
US- 5-9028- 125

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Disposition of document in Greenlam  
LS-59028-240

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3 Page(s) withheld for the following reason(s):  
Disposition of document in Deenglan  
LS- 59028- 231

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~~TOP SECRET~~

TO: DIRECTOR, FBI  
FROM: SAC, ALBUQUERQUE

DATE: 7/13/50

SUBJECT: UNKNOWN SUBJECT, was., [REDACTED]

ESPIONAGE - R

(TS)

b1

Rebulet to Albuquerque 6/21/50, captioned "DAVID GREENGLASS, was, Espionage - R," which advises that information which has been received from HARRY GOLD, DAVID GREENGLASS, and RUTH GREENGLASS appears to have conclusively established that DAVID GREENGLASS is identical with Unknown Subject [REDACTED] (TS) b1

It appeared from the information received from [REDACTED] (TS) b1

Reference is made to the report of SA JOHN W. LEWIS, New York, dated June 26, 1950, in the case captioned "DAVID GREENGLASS, was, Espionage - R," On Page 32 of this report it is reflected that GREENGLASS advised that his wife had come to Albuquerque to live in about February 1945. She had difficulty in finding a place to live and for a time shortly after her arrival in Albuquerque she resided on Coal Street, Albuquerque, with the wife of a friend of DAVID's, whose last name was SPINDEL. GREENGLASS further advised that while his wife was living at Albuquerque, he bought a car in partnership with SPINDEL and a tall red-headed fellow, whose name he could not recall. He believes that this car was a Dodge and the car was registered to WILLIAM SPINDEL.

When interviewed on June 16, 1950, Mrs. RUTH GREENGLASS stated that no one visited her while she was in Albuquerque other than wives of G.I.s who were stationed at the Army Base with her husband and people that she worked with. She named some of her visitors as SPINDEL GORMAN, CHARLIE SCHRODER, BAUMGARTEN, and

jjm:ush  
65-19

ccs: New York  
Los Angeles  
San Francisco  
Washington Field

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OTHERWISE

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Exempt from GDS Category 2  
Date of Declassification Indefinite

2.18.87

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DECLASSIFY ON: OADR

(Per 4.724, Dtd 6/26/78)

65-19-79  
~~TOP SECRET~~



an individual named "SPARKIE" or "SPARKY."

On Page 76 of referenced report it is noticed that in a list of names which had appeared in a small telephone notebook, which was found in GREENGLASS' apartment, was the name WILLIAM SPINDEL, 613 West Coal, Albuquerque, New Mexico.

On Page 50 and 51 of referenced report it is reported that a letter from T-5, D. GREENGLASS, Santa Fe, New Mexico, to Mrs. R. GREENGLASS, New York City, dated October 4, 1944, stated as follows:

"Well, today I worked kinda hard and am pretty tired, but instead of taking this leisurely I am going to dinner with HY and BILL at EMANUEL and ETHEL's home (you know the nice people I met). I hope that they have something good to eat since I am quite hungry."

It is suggested that the "BILL" mentioned in this letter may be identical with WILLIAM SPINDEL and it appears that SPINDEL and GREENGLASS may have been acquainted as early as October 4, 1944.

On Page 52 of this report, it is stated that a letter from T-5, D. GREENGLASS to Mrs. R. GREENGLASS, dated January 28, 1945, stated as follows:

"I was over to BILL's house last night for supper and this morning for breakfast -- besides this HY and I have not had much social activity - - - BILL's wife is a very nice woman, pretty, and husky voice and about as sloppy-dressed as BILL so that they make a good couple. Make no mistake about it, she has brains too. You'll meet her."

SARAH LEW SPINDEL applied for a job at the University of California, Los Alamos, New Mexico, on December 12, 1944, giving as her address 824 North Fifth Street, Albuquerque, New Mexico.

It appears from the last letter quoted above that DAVID GREENGLASS may have been at the SPINDEL home for supper and breakfast in Albuquerque, New Mexico, January 27, 28, 1945. GREENGLASS mentions BILL in this letter and states that his wife will meet BILL's wife. This letter might be interpreted to mean that Mrs. GREENGLASS had already met BILL. WILLIAM SPINDEL was on leave from the Los Alamos Project from October 15, 1944, to November 4, 1944. As his home was in Brooklyn, New York, it is probable that he made a trip to Brooklyn at that time, as he had known DAVID GREENGLASS prior to that time at Los Alamos. He may have met RUTH GREENGLASS in Brooklyn during this furlough.

~~TOP SECRET~~

AQ 65-19

TS.   
 According to information received from [REDACTED]

[REDACTED] b  
In support of this suggestion it is pointed out that on Page 55 of referenced New York report, it is stated that a letter from T-5 D. GREENGLASS to Mrs. R. GREENGLASS, dated November 10, 1944, stated as follows: (TS)

"Tonight I'm just relaxing and taking things easy. We had fish for supper so we (that's RUBY, HY BILL, BEN and I) broke with the larder again - - - Afterwards we had a wonderful discussion.. Since we all agreed on most things, the discussion became very involved, abstract, and theoretical. Boy, we really enjoyed ourselves."

In further support of the theory that Mrs. GREENGLASS may have contacted WILLIAM SPINDEL, in addition to her husband when she came to Albuquerque in the latter part of November 1944. it is pointed out that on December 11, 1944, WILLIAM SPINDEL wrote a letter to Brooklyn College in an attempt to obtain his B.A. Degree. It appears that SPINDEL's purpose in trying to get his degree at that time was to be able to obtain a white badge at Los Alamos which would entitle him to attend colloquium meetings at Los Alamos at which meetings highly classified subjects concerning the over-all work at Los Alamos Project were discussed. It may be significant that WILLIAM SPINDEL attempted to obtain additional access to classified information at this particular time.

It is suggested that the Bureau may desire to recontact the [REDACTED] b1  
[REDACTED] (TS)

The New York Office is requested to reinterview DAVID GREENGLASS concerning SPINDEL, keeping in mind that he may possibly be identical with Unknown Subject [REDACTED] (TS) b1

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US- 59028- 261

☐ For your information: \_\_\_\_\_

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Disposition of document in Greenham  
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FD-5  
(12-1-49)

CHARGE-OUT SLIP

DATE MAR 31 1951

ENTIRE FILE

65-19-83

CLASS

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LAST SERIAL

SERIALS

Transferred to 65-39-238

OF

CLASS

FILE NUMBER

ALL INFORMATION CONTAINED

LOCATION

SPECIAL AGENT

2-18-57

3042/PWT/CLS

RECHARGE

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This form is: ☒ ~~Top Secret~~ ☐ ~~Secret~~ ☐ ~~Confidential~~ ☒ ~~Unclassified~~

TO: SAC:

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|---|---|--|
| <input checked="" type="checkbox"/> Albany          | <input type="checkbox"/> Houston                  | <input type="checkbox"/> Oklahoma City               |
| <input checked="" type="checkbox"/> Albuquerque     | <input type="checkbox"/> Indianapolis             | <input type="checkbox"/> Omaha                       |
| <input type="checkbox"/> Alexandria                 | <input type="checkbox"/> Jackson                  | <input type="checkbox"/> Philadelphia                |
| <input type="checkbox"/> Anchorage                  | <input type="checkbox"/> Jacksonville             | <input type="checkbox"/> Phoenix                     |
| <input type="checkbox"/> Atlanta                    | <input type="checkbox"/> Kansas City              | <input type="checkbox"/> Pittsburgh                  |
| <input type="checkbox"/> Baltimore                  | <input type="checkbox"/> Knoxville                | <input type="checkbox"/> Portland                    |
| <input type="checkbox"/> Birmingham                 | <input type="checkbox"/> Las Vegas                | <input type="checkbox"/> Richmond                    |
| <input checked="" type="checkbox"/> Boston          | <input type="checkbox"/> Little Rock              | <input type="checkbox"/> Sacramento                  |
| <input type="checkbox"/> Buffalo                    | <input checked="" type="checkbox"/> Los Angeles   | <input type="checkbox"/> St. Louis                   |
| <input type="checkbox"/> Butte                      | <input type="checkbox"/> Louisville               | <input type="checkbox"/> Salt Lake City              |
| <input type="checkbox"/> Charlotte                  | <input type="checkbox"/> Memphis                  | <input type="checkbox"/> San Antonio                 |
| <input type="checkbox"/> Chicago                    | <input type="checkbox"/> Miami                    | <input type="checkbox"/> San Diego                   |
| <input type="checkbox"/> Cincinnati                 | <input type="checkbox"/> Milwaukee                | <input checked="" type="checkbox"/> San Francisco    |
| <input type="checkbox"/> Cleveland                  | <input type="checkbox"/> Minneapolis              | <input type="checkbox"/> San Juan                    |
| <input type="checkbox"/> Columbia                   | <input type="checkbox"/> Mobile                   | <input type="checkbox"/> Savannah                    |
| <input type="checkbox"/> Dallas                     | <input type="checkbox"/> Newark                   | <input type="checkbox"/> Seattle                     |
| <input type="checkbox"/> Denver                     | <input type="checkbox"/> New Haven                | <input type="checkbox"/> Springfield                 |
| <input type="checkbox"/> Detroit                    | <input type="checkbox"/> New Orleans              | <input type="checkbox"/> Tampa                       |
| <input type="checkbox"/> El Paso                    | <input checked="" type="checkbox"/> New York City | <input checked="" type="checkbox"/> Washington Field |
| <input type="checkbox"/> Honolulu                   | <input checked="" type="checkbox"/> Norfolk       | <input type="checkbox"/> Quantico                    |
| <input type="checkbox"/> SAC, New Rochelle (MRA)    |   |  |
| <input type="checkbox"/> SAC, Brooklyn-Queens (MRA) |   |  |

RE:

TO LEGAT:

- |                                       |
|---------------------------------------|
| <input type="checkbox"/> Bern         |
| <input type="checkbox"/> Bonn         |
| <input type="checkbox"/> Buenos Aires |
| <input type="checkbox"/> Caracas      |
| <input type="checkbox"/> Hong Kong    |
| <input type="checkbox"/> London       |
| <input type="checkbox"/> Manila       |
| <input type="checkbox"/> Mexico City  |
| <input type="checkbox"/> Ottawa       |
| <input type="checkbox"/> Paris        |
| <input type="checkbox"/> Rome         |
| <input type="checkbox"/> Tokyo        |

Counting of Paragraphs:

Reference-counted as first para.; last para. on page continued to next page counted on first page only; page beginning with continued para. - first full para. counted as para. one; para. followed by (:) - material thereafter counted as part of original para. or referred to as "line \_\_\_\_"; info. not in para. form identified as "line \_\_\_\_", or subject matter.

Bu file 65-15255-51

Ur file 65-19

If document has been destroyed or cannot be located, reply with FBIHQ is unnecessary.

Date NOV 3 1979

**Subject Classified Top Secret**

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-18-87 BY 3042/PWT/CLS

Re: Boston

(Division) Brenton S. Gordon

- ☒ Report of SA
- |                                 |                                 |                                   |
|---------------------------------|---------------------------------|-----------------------------------|
| <input type="checkbox"/> Letter | <input type="checkbox"/> Airtel | <input type="checkbox"/> Teletype |
| <input type="checkbox"/> LHM    | <input type="checkbox"/> Memo   | <input type="checkbox"/> Other    |

To: FBI Dated 1/6/51

☐ Classification retained (see below)

☐ Declassified by \_\_\_\_\_ on \_\_\_\_\_

☒ Classified ☒ Top Secret

☐ Upgraded To: ☐ Secret

☐ Downgraded ☐ Confidential

Classified and extended by SP-1 on 9/20/79

Reason for extension

FCIM, II, 1-2.4.2. ☐ 1 ☒ 2 ☐ 3

Date of review

for declassification 9/20/89

The above indicated changes in classification have been made at FBIHQ. You are requested to make the changes indicated on your referenced communication(s) and all other file copies in your division. Advise local agencies previously receiving copies of communication(s) of change(s). This routing slip can be destroyed after changes have been made.

Page	Paragraph	Line	Classification
1	Title		<input checked="" type="checkbox"/> TS <input type="checkbox"/> S <input type="checkbox"/> C
2	3		<input type="checkbox"/> TS <input type="checkbox"/> S <input checked="" type="checkbox"/> C
3	3		<input type="checkbox"/> TS <input type="checkbox"/> S <input checked="" type="checkbox"/> C
3	6 Lines 1-5 ends at Line 6 at period		<input type="checkbox"/> TS <input type="checkbox"/> S <input checked="" type="checkbox"/> C
4	1		<input type="checkbox"/> TS <input type="checkbox"/> S <input checked="" type="checkbox"/> C

☒ See reverse side for additional listings.

FBIHQ Division 4 ☒ Other ☒ DCRU ☐ Other

This form is: ☒ ~~Top Secret~~ ☐ ~~Secret~~ ☐ ~~Confidential~~ ☒ ~~Unclassified~~

*SL*  
(Initials)

*65-15255-51*  
*ppp*  
*Q*  
23



XXXXXX  
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# FEDERAL BUREAU OF INVESTIGATION FOIPA DELETED PAGE INFORMATION SHEET

Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☐ Deleted under exemption(s) \_\_\_\_\_ with no segregable material available for release to you.
- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
- ☐ Documents originated with another Government agency(ies). These documents were referred to that agency(ies) for review and direct response to you.

Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

1 Page(s) withheld for the following reason(s):

*Disposition of document in Greenham*  
*US- 5-9028- 1359*

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

*AQ US-19-85*

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X DELETED PAGE(S) X  
X NO DUPLICATION FEE X  
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XXXXXX  
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XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

\_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

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Disposition of document in Deenglam  
US- 59028-1360

- ☐ For your information: \_\_\_\_\_
- ☒ The following number is to be used for reference regarding these pages:  
AQ 45-19-86

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AIRTEL

1/9/81

Director, FBI (197-254)

SAC, Albuquerque  
Attention: SA J. P. Biederstedt

MICHAEL MEEROPOL, ET AL. V. BELL  
CIVIL ACTION NUMBER 75-1121

Re Butelcall 1/7/81.

Forwarded separately via Armed Forces Courier Service is one carton containing one volume of AQ file 65-21 and volumes 1 through 4 with Sub A1 of AQ file 65-19. These files were reviewed by personnel in the Freedom of Information-Privacy Acts (FOIPA) Branch at FBI Headquarters in connection with captioned lawsuit.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-18-87 BY 3042/PWT/CLS

65-19-87

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 21 1981	
FBI-ALBUQUERQUE	

*[Handwritten signature]*

26

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XXXXXX  
XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

\_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☐ Deleted under exemption(s) \_\_\_\_\_ with no segregable material available for release to you.
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\_\_\_\_\_ Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

10

Page(s) withheld for the following reason(s):

Disposition of document in Deenglam  
US-59028-1352

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

AQ 65-19-68

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X NO DUPLICATION FEE X  
X FOR THIS PAGE X  
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*D. M. Dove 10/15/81*  
*12/29/87*


B - Detach form, fill out this portion and route to Security Officer  
 Delivered to: \_\_\_\_\_ Date \_\_\_\_\_  
 (FBI field division, FBIHQ, outside agency)  
 Reminder: Obtain receipt (FD 502) and route original to Security Officer

C - Detach form, fill out this portion and route to Security Officer  
 Downgraded to: \_\_\_\_\_ by \_\_\_\_\_ date \_\_\_\_\_  
 Declassified by: \_\_\_\_\_ date \_\_\_\_\_  
 Reason for \_\_\_\_\_

**Memorandum**



To : SAC (65-19)  
 From : SA Bruce M. Dove  
 Subject : DAVID GREENGLASS  
 ESP - R

Date 10/15/81

ALL INFORMATION CONTAINED  
 HEREIN IS UNCLASSIFIED  
 DATE 2-18-87 BY 3042 PWT/US

- AQ File 65-19, Volume IV contains Serials 67 through 87.
- 65-19-68 New York letter to Bureau, 5/26/50
  - 65-19-69 WFO report of SA H. Dudley Payne, 6/1/50
  - 65-19-70 Albuquerque letter to Bureau, 6/7/50
  - 65-19-71 Chicago letter to Bureau, 6/6/50
  - 65-19-72 Los Angeles letter to Bureau, 6/6/50
  - 65-19-73 Chicago letter to Bureau, 6/9/50
  - 65-19-74 New York letter to Bureau, 6/7/50
  - 65-19-75 San Francisco report of SA Keith G. Teeter, 6/14/50
  - 65-19-76 Bureau letter to Albuquerque, 6/21/50
  - 65-19-77 Chicago letter to Bureau, 7/6/50
  - 65-19-78 San Francisco letter to Bureau, 7/7/50
  - 65-19-79 Albuquerque letter to Bureau, 7/13/50
  - 65-19-80 New York letter to Bureau, 7/11/50
  - 65-19-81 New York letter to Bureau, 7/20/50
  - 65-19-82 Albuquerque letter to Bureau, 10/3/50
  - 65-19-84 Boston report of SA Brenton S. Gordon, 1/6/51
  - 65-19-86 Albuquerque letter to Bureau, 2/2/51

BMD:mcc  
 (1) *me*

*Vol 4*

*65-19-89*  
*[Signature]*  
 OCT 11 1981

XX AIRTEL

10/15/81

TO: DIRECTOR, FBI  
ATTN: GERALD A. JAMES  
TOP SECRET CONTROL OFFICE  
ROOM 5434  
TL #243

FROM: SAC, ALBUQUERQUE (65-19)

SUBJECT: MICHAEL MEEROPOL  
ET AL  
vs. GRIFFIN B. BELL  
CIVIL ACTION #75-1121

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-18-87 BY 3042/PWT/CLS

For the information of FBIHQ, AQ File 65-19, consisting of four volumes and a Sub A-1, was returned from FBIHQ on 1/9/81. All serials had been received by the FOIA Unit at FBIHQ and many serials were classified Top Secret at FBIHQ. These volumes were returned to the Albuquerque Division contained in a box wherein an FD-502 was utilized, simply showing Box 1 of 1. As a result of the recent inspection at Albuquerque from 9/14/81 through 9/25/81, it was recommended that FD-501s be attached to all of the appropriate serials contained in AQ File 65-19. Due to the volume of these Top Secret serials maintained in 65-19, it was decided between FBIHQ and the Albuquerque Division that an FD-501 could be filled out for each of the four volumes for AQ File 65-19 with a list attached showing the exact serials which are contained in that specific volume.

UACB, the Albuquerque Division will comply with the above procedure.

2 - Bureau  
② - Albuquerque  
BMD:mcc  
(4) *me*

*Dove* *[Signature]*  
SEARCHED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_  
INDEXED \_\_\_\_\_  
FILED \_\_\_\_\_

65-19-90

29

5/17/77

(Date)

To: SAC, Albany

From: Director, FBI

/65-45-209

MICHAEL MEEROPOL, et al.

v. GRIFFIN B. BELL, et al.

CIVIL ACTION NUMBER 75-1121

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 2-18-87 BY 3042 PWT/US

#861840

Reference Butel to all SACs dated 7/30/76  
and Buairtel to all SACs dated 8/6/76.

By referenced communications, a list of the subjects in the investigation and trial of Ethel and Julius Rosenberg was furnished each field office. Also furnished each field office was a list of the names on the Government's Witness List relating to the investigation and trial of Julius and Ethel Rosenberg. The list of subjects and individuals appearing on the Government's Witness List will therefore not be repeated in this communication.

For your information captioned FOIA suit has been in litigation for approximately two years. During the course of this litigation, on 8/1/75, the Court issued an Order that no documents maintained by the FBI be destroyed. Due to the file destruction moratorium then in effect, that Court Order was not brought to your attention in 1975. Due to the continuing litigation and current discussion regarding reinstitution of a file destruction program in the near future, you are hereby being advised of the Order of the Court in this matter. Accordingly, no files maintained in your office (origin or auxiliary office) relating to any of the main subjects or individuals appearing on the Government's Witness List should be destroyed. These files must

2 - Each Field Office

(Do not type below this line.)

65-19  
DO NOT DESTROY UACB  
KEEP AS TOP SERIAL

65-45  
RJA  
PS

S. Jones  
Handle correct.  
FBI/DOJ

(Do not type beyond this margin.)

Airtel to Albany  
Re: MICHAEL MEEROPOL, et al.  
v. GRIFFIN B. BELL, et al.  
CIVIL ACTION NUMBER 75-1121

be maintained until further notice.

To insure that no files are destroyed concerning this Court Order, you should immediately prepare a search slip on all of the names mentioned in all of the referenced communications (including all serial references) and place a copy of this communication as the top serial in each of the applicable files. A stamp should be placed on this serial to read "THIS FILE IS NOT TO BE DESTROYED UNLESS ADVISED BY THE BUREAU KEEP AS TOP SERIAL."