FEDERAL BUREAU OF INVESTIGATION
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Total Deleted Page(s) = 36
Page 112 ~ Referral/Consult;
Page 113 ~ Referral/Consult;
Page 114 ~ Referral/Consult;
Page 115 ~ Referral/Consult;
Page 116 ~ Referral/Consult;
Page 145 ~ b6; b7C; b7D;
Page 183 ~ b6; b7C;
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AM HY

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R 13 2302Z JUL 81

FM HOUSTON (196B-331)

TO NEW YORK ROUTINE

BT

UNCLAS

AUTOMATED SEARCH ONLY
DATE 7/13/81

MARC RICH, DBA MARC RICH AND COMPANY; [redacted] FRAUD BY WIRE - b6 b7C

ENERGY RELATED. OO: HOUSTON.

FOR THE INFORMATION OF NEW YORK, HOUSTON DIVISION HAS BEEN INTERVIEWING [redacted] (PROTECT IDENTITY) AND [redacted] (PROTECT IDENTITY), [redacted] b6 b7C b7D

CASE ASSIGNED DATE SENT
OPEN (OR REOPEN)
ORIGIN
SUPV

ORIGINAL DOCUMENT(S) ENCLOSED
DO NOT BLOCK STAMP.

196B-1772-1

SEARCHED
SERIALIZED

JUL 1 1981

FBI - HOUSTON

[redacted] b6 b7C

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/20/01 BY SP5IC/EDS
CDRU #01-081

[redacted]

b6
b7C
b7D

U.S. DEPARTMENT OF JUSTICE, FRAUD SECTION ATTORNEY [redacted]

WASHINGTON, D.C., FTS NUMBER 724-7028, [redacted]

SUBSEQUENT [redacted] HE

HAS ADVISED THAT [redacted] MAY BE A VIOLATION

OF MAIL FRAUD AND FALSE STATEMENT (1001) STATUTES.

[redacted]

b6
b7C
b7D

b6
b7C
b7D

NEW YORK AT NEW YORK. INTERVIEW

WILL BE PRESENT TO ADVISE THIS

INVESTIGATION AND ATTEMPT TO DETERMINE WHETHER OR NOT

b6
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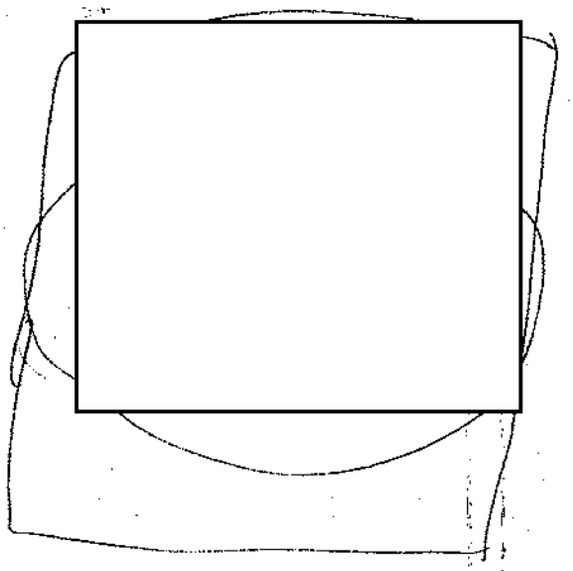
b7E

MARC RICH. NEW YORK IS REQUESTED TO MAKE CONTACT

HE HAS TENTATIVELY MADE ARRANGEMENTS FOR

THE INTERVIEW ON



b6
b7C

1/15/81 Called

Awaiting return call

[redacted], DBA [redacted] RICK [redacted] ET AL; FRAUD BY WIRE - [redacted]

b6
b7C

[redacted] PACIFIC OK

[redacted]

b6
b7C
b7D

[redacted]

[redacted]

ALL INFORMATION CONTAINED
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DATE 2/20/01 BY SP3TC/RDS

CDRU # 01-081

194B-1774-1

SEARCHED [redacted]
SERIALIZED [redacted]

JUL 13 1981

FBI—NEW YORK

[redacted]

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b7C

[redacted]

b6
b7C
b7D

U.S. DEPARTMENT OF JUSTICE, FRAUD SECTION ATTORNEY [redacted]

WASHINGTON, D.C., FTS NUMBER 724-7[redacted], [redacted]

[redacted] NO

ADVISED THAT [redacted] MAY BE A VIOLATION

OF MAIL FRAUD AND FALSE STATEMENT (1001) STATUTES.

[redacted]

b6
b7C
b7D

190B-1774-1

PAGE THREE 40 (1968-281 UNCLAS

[redacted] b6 b7C b7D

NEW YORK AT NEW YORK. INTERVIEW [redacted]

WILL BE PRESENT TO ADVISE [redacted] (1

INVESTIGATION AND ATTEMPT TO DETERMINE WHETHER OR NOT [redacted] b6 b7C b7E

[redacted] MARK [redacted] ION. NEW YORK IS REQUESTED TO MAKE CONTACT

[redacted] HE HAS TENTATIVELY MADE ARRANGEMENTS FOR

[redacted] INTERVIEW ON [redacted]

Bulky Exhibit - Inventory of Property Acquired as Evidence
FD-192 (Rev. 6-9-82)

Date: 3/4/83

Title and Character of Case

MARC RICH
ET AL
FBW-ER

Date Property Acquired	Source From Which Property Acquired
3/4/83	FBI - HOUSTON OFFICE

Location of Property or Bulky Exhibit	Reason for Retention of Property and Efforts Made to Dispose of Same
VAULT SHELF	EVIDENCE PENDING CONCLUSION OF CASE

To Be Returned	See Serial	Agent Submitting Property or Exhibit	Agent Assigned Case
☐ Yes ☐ No	*	SA [redacted]	SA [redacted] M-1

b6
b7C

☐ Yes ☒ No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

ONE CELLOPHANE ENVELOPE CONTAINING:

MISCELLANEOUS RECORDS (see airtel for complete description)

Turned over to AUSA
SA [redacted] 3/8/83

b6
b7C

*airtel dated 3/2/83 Reg number 063 171 447

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DATE 2/21/01 BY SP8TC/ds
#01-681

See 1A

For Valuable and/or Narcotics Evidence Only

Evidence Bag Seal # _____

Signature of Two Special Agents Verifying and Sealing Bag Contents _____

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

SEARCHED _____ INDEXED _____ BLOCK STAMP
SERIALIZED _____ FILED _____
3-4-83
FBI—NEW YORK

Field File # _____

OO: NY 196B-1774-1B1 M-1

ORIGINAL (FILE COPY)

CHAIN OF CUSTODY

Accepted Custody	Date	Time	Released Custody	Date	Time
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		

Item No. **Remarks**

FD-340a (Rev. 10-3-77)

(Title) _____

(File No.) 196B-1224-1A5

Item	Date Filed	To be returned Yes	To be returned No		Disposition
1	12/14/81	X		Copy of [redacted]	as noted on FD-302
2	1/21/82		X	Original notes of interview of [redacted]	
3	6/28/82		X	5 B+W photos ea. of [redacted] standing + mug shot	
4	6/30/82		X	Original notes of interview of [redacted]	
5	7/14/82		X	3 sheets used as samples for handwriting exemplars from [redacted]	
6	7/14/82		X	Notes of interview of [redacted] + handwriting exemplars	
7	7/14/82		X	Audio portion ABC News program "The Oil Game"	
8	7/19/82		X	5 color photos each of [redacted] standing + mug shot	

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b3

Do Not Enter Anymore 1A's
See 1A Vol 1

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DATE 2/21/01 BY SP5JC/ERS
(CPRU #01-081)

FD-340 (REV.8-20-79)

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(COEU # 01-081)

Field File No.

00 and File No. NY-196B-1774-1A1

Date Received 10/8/81

From

By
(NAME OF SPECIAL AGENT)

To Be Returned ☒ Yes ☐ No

Receipt Given ☒ Yes ☐ No

☐ Yes
☐ No
Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Serial #12

Description:
Copy of

as noted on FD-302

b6
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b3

b3

PLEASE DO NOT REMOVE
THIS SLIP FROM EXHIBIT
NY 196B-1774-1A

Dictated 11/81

[redacted] 6Q4

b6
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1 - [redacted]

2 - [redacted]

b3
b7D

3 - [redacted]

b3
b7D

4 - [redacted]

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5 - [redacted]

b3
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6 - [redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/26/01 BY [redacted]
SPSJC/05

(CDRU# 01-081)

[redacted]

602 2/4

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7 — [redacted]

8 — [redacted]

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9 — [redacted]

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10 — [redacted]

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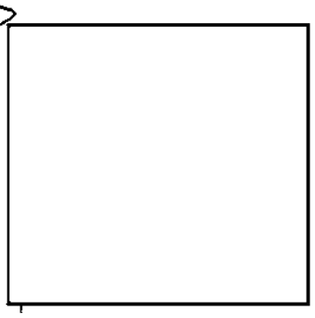
b7D

[redacted] 6R 3/4

10 - Cont'd



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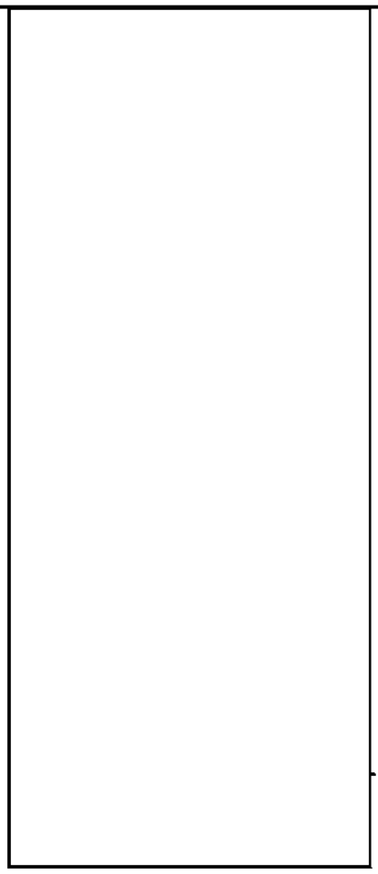
11 - [redacted]



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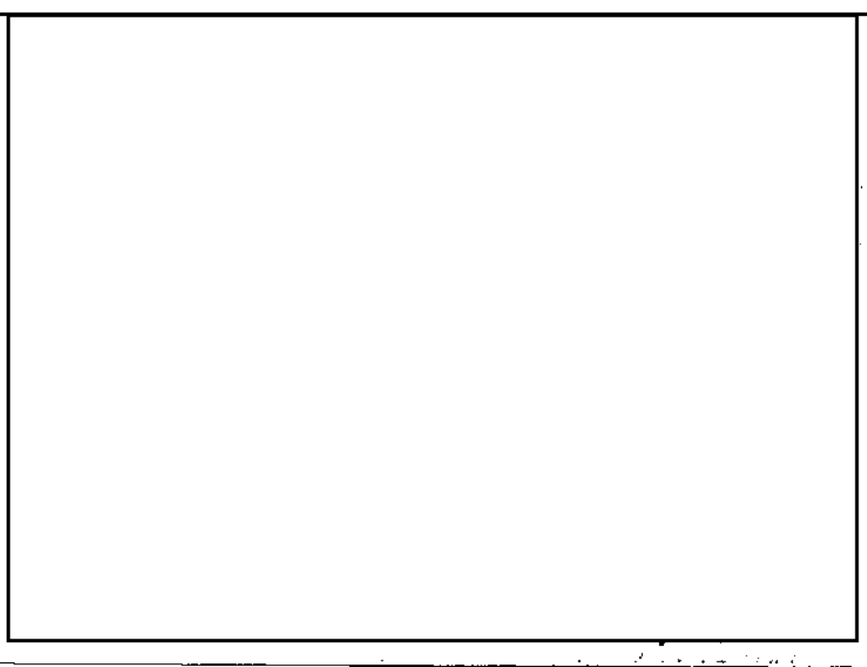
12 - [redacted]



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[redacted] 662 4/4

12 - CONT'D [redacted]

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13 - [redacted]

b3
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14 - [redacted]

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5 -
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20 -
21 -

[redacted]

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[redacted] provided

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the below described

~~pursuant to~~ a Fed Grand Jury

Subpoena

On 11/8/81 at [redacted] NY 196B-1774

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SA GR

FD-340 (REV. 8-20-79)

Field File No. _____

00 and File No. NY 196B-1774-1A2

Date Received 12/28/81

From [redacted]

(NAME OF CONTRIBUTOR)

By [redacted]

(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

☐ Yes
☐ No

Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

SAC NY 5810 #17

Description: Original Notes of Interview

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(CDRU # 01-081)

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12/28/81

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NY 196B-1224-1A 2

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(CDRU #01-081)

FD-340 (REV. 6-29-81)

Field File No. _____

00 and File No. NY-196-1774-IA3

Date Received 6/7/82

From _____
(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

By _____
(NAME OF SPECIAL AGENT)

b6
b7C

To Be Returned ☐ Yes
☒ No

Receipt Given ☐ Yes
☒ No

☐ Yes
☒ No

Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description:

5 - Black and White Photos - _____ - Standard

b6
b7C

5 - Black and White Photos - _____ Mug Shot

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(CDRU# 01-081)

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NY 196B-1774-193

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DATE 2/20/01 BY SP5TC/RDS

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NY 196B-1774-183

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SP5JY/EDS

(CDRU #01-081)

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NY 196B-1774-193

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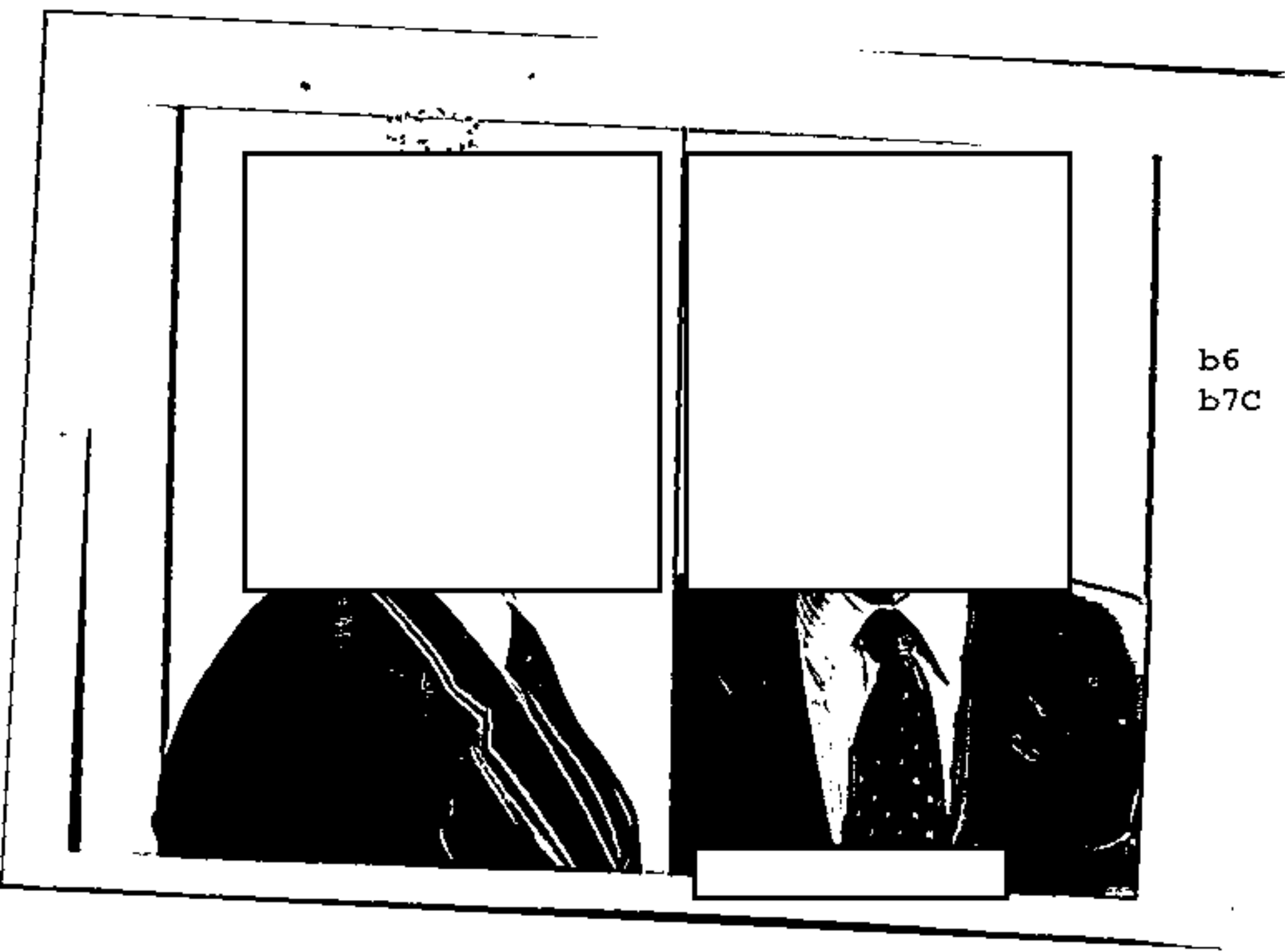
DATE 2/20/01 BY SP5JC/CDS

(CDRU # 01-081)



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NY 196B-1774-1A3

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DATE 2/20/01 BY [redacted] SP-STC/KDS

(CDRU #01-081)

FD-340 REV. (6-14-77)

Field File No. _____

00 and File No. NY-196-1774-1194

Date Received 6/29/82

From [redacted]
(NAME OF CONTRIBUTOR)

[redacted]

By [redacted]

b6
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To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Description:

Original Notes of Interview of [redacted]

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DATE 2/20/01 BY SP5JC/ecs

(CDRU # 01-081)

JRD 6/29/82 NY Telephone

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NY 196B-1774-194

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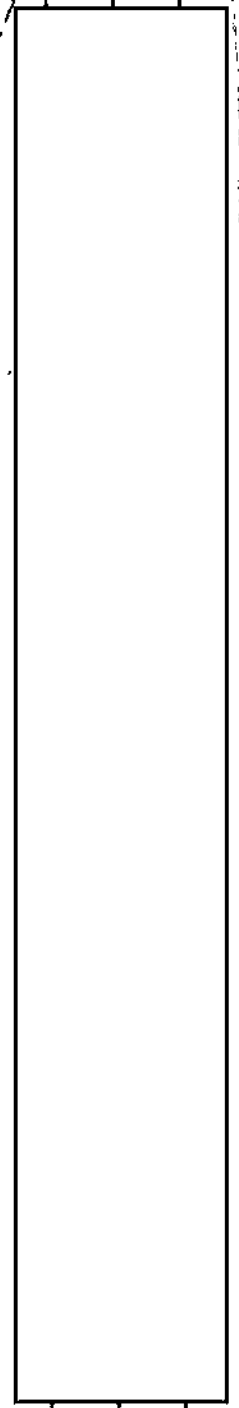

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DATE 2/26/01 BY SP2C/DS
(CDRU#01-081)

FD-340 (REV.8-20-79)

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DATE 2/20/01 BY SP5TC/ERS
(CDRU #01-081)

Field File No: _____

00 and File No: NY 196-1774-1A5

Date Received 6/7/82

From _____
(NAME OF CONTRIBUTOR)

_____
(ADDRESS OF CONTRIBUTOR)

_____

By [redacted]

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

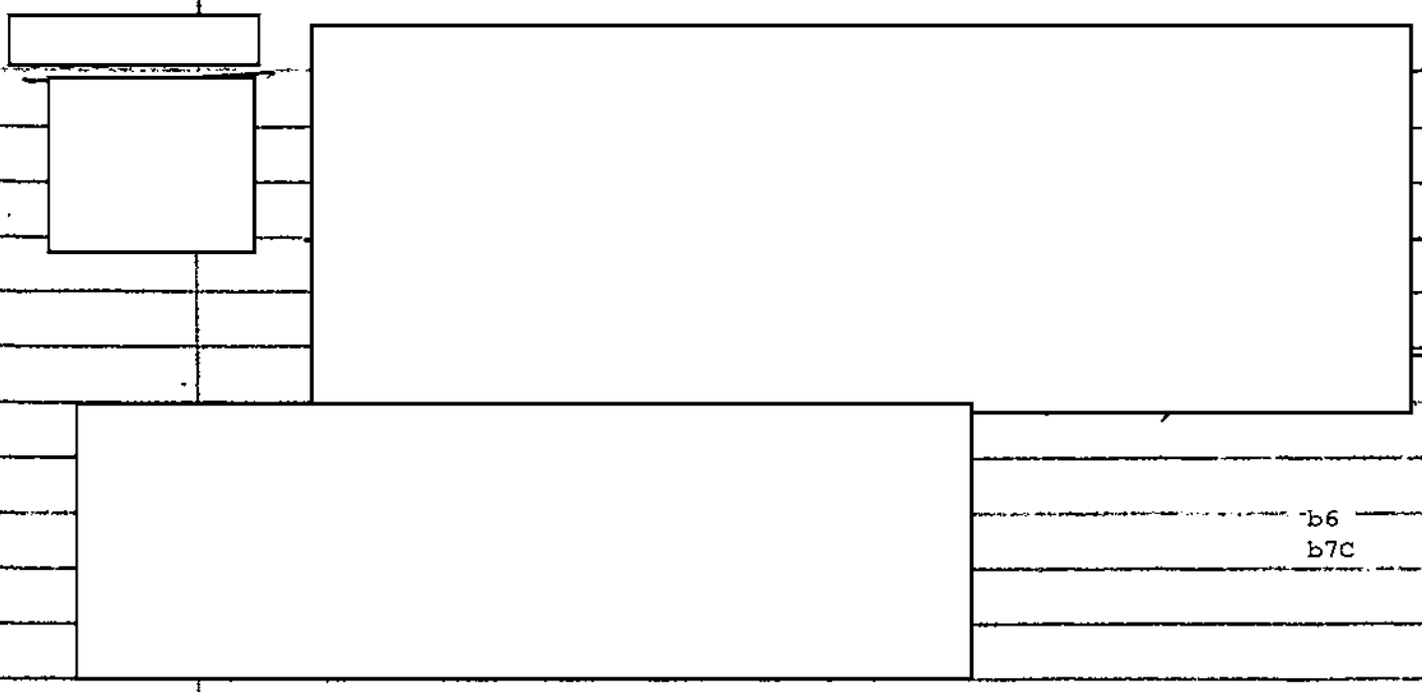
☐ Yes
☒ No

Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

b6
b7C

Description:

three sheets used as samples for Handwriting & Exemplars obtained from [redacted]



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662
6/7/82

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NY 196-[illegible]

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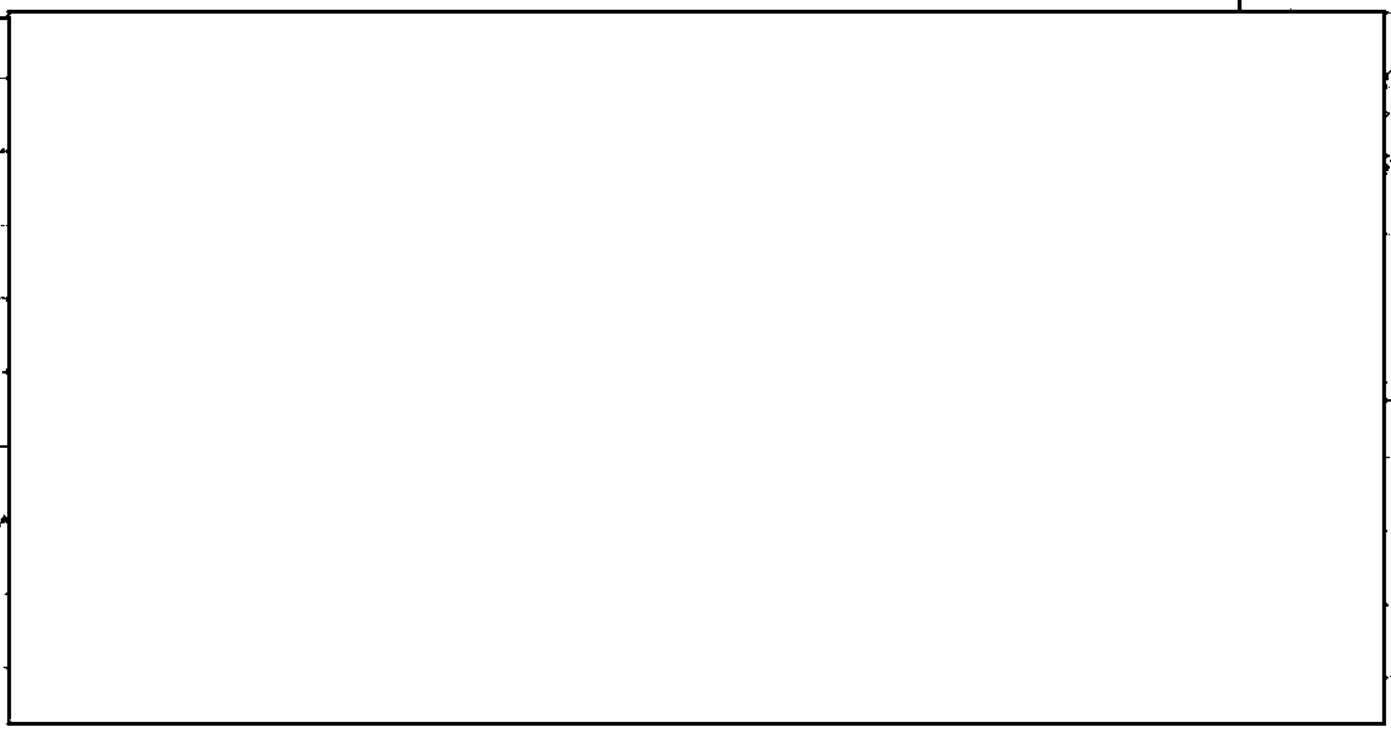
6/7/82



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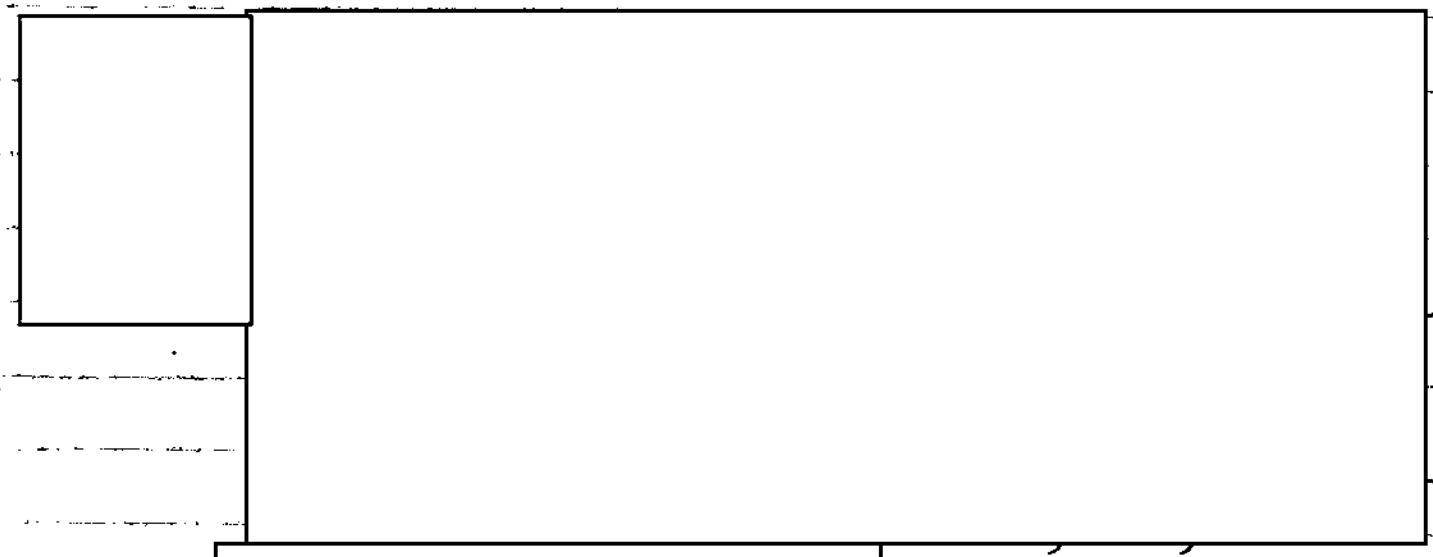

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(CDRU#01-081)

FD-340 (REV. 6-29-81)

Field File No. _____

OO and File No. NY 196-1774-1

Date Received 6/7/82

From [redacted]

(NAME OF CONTRIBUTOR)

(CITY AND STATE)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description:

Notes of Interview of [redacted]

Handwriting exemplars

(1) Ten 3x5 cards

(2) Nine sheets of yellow legal paper.

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DATE 2/21/01 BY SP5TC/lcs

(CDRL #01-081)

[redacted]

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS

(CDRU #01-081)

6/7/82

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DATE 2/21/01 BY SP2TC/KDS

(CBRU #01-081)

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6/7/82

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/ROS

(CDEU #01-081)

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6/7/82

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3TC/EDS

(CDRU #01-081)

6Q
6/7/82

[redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP2 JC/RDS

(CDRU #01-081)

602
6/7/82

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5NC/PRS

(CDRU # 01-081)

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS

(CDRU #01-081)

60

6/7/82

[redacted]

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/EDS
(CDRU #01-081)

602
6/7/82

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/RDS

(CDRU #01-081)

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6/7/82

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DATE 2/21/01 BY SP5 NC/KDS
(CDRU #01-081)

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6/7/82

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NY 196-994-196

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(CDRU # 01-81)

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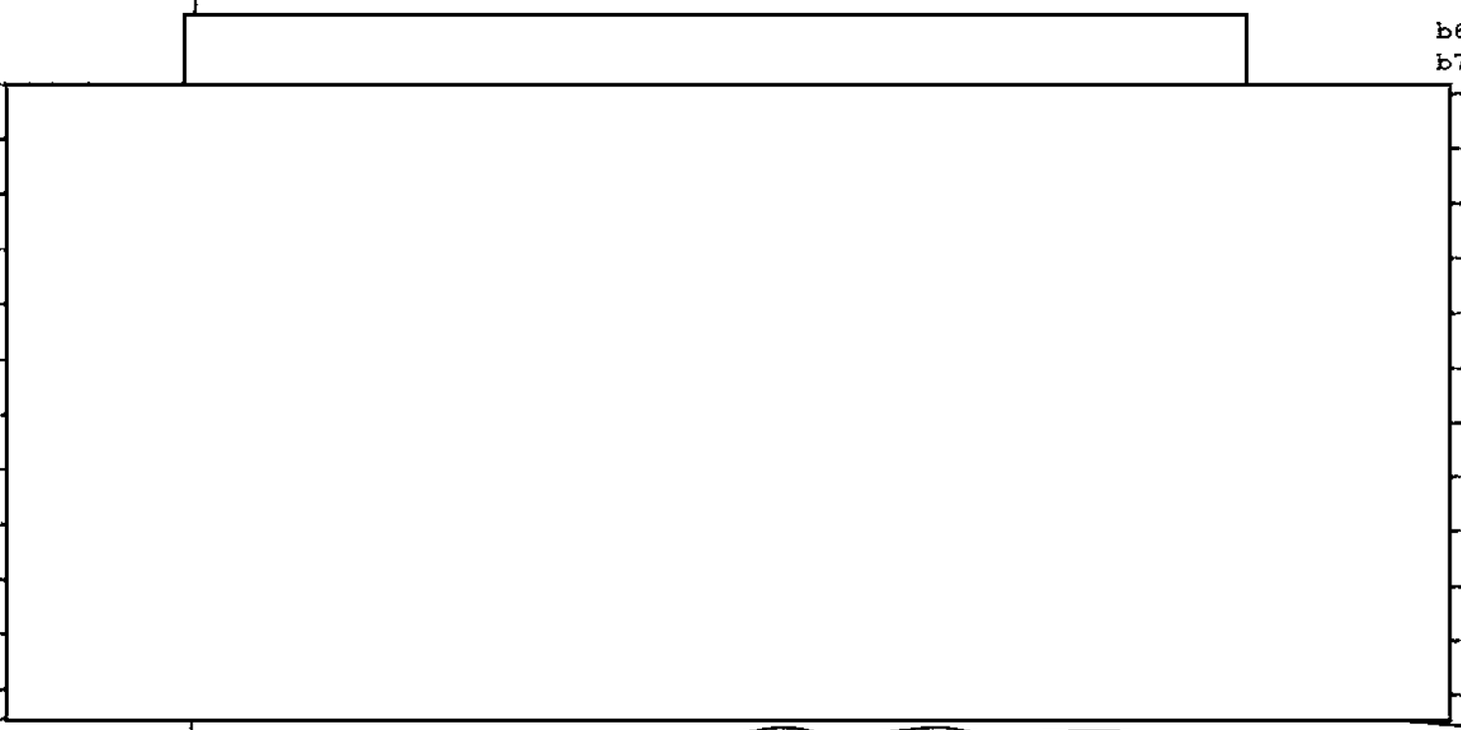

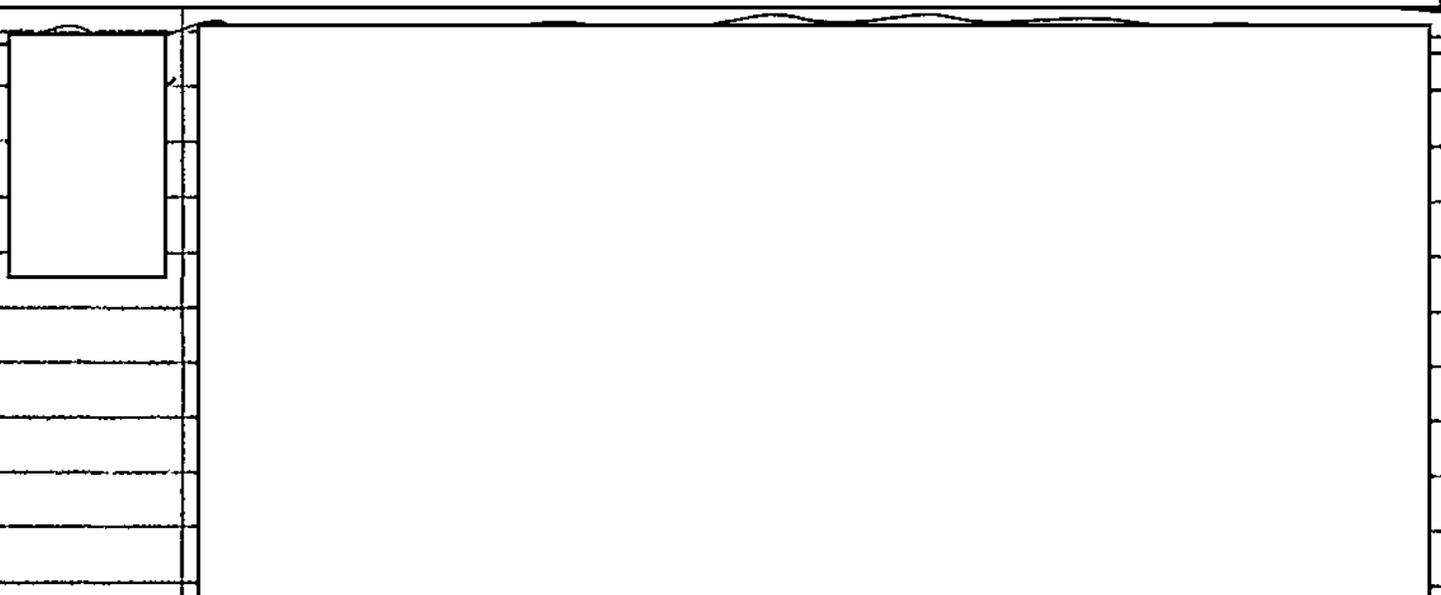

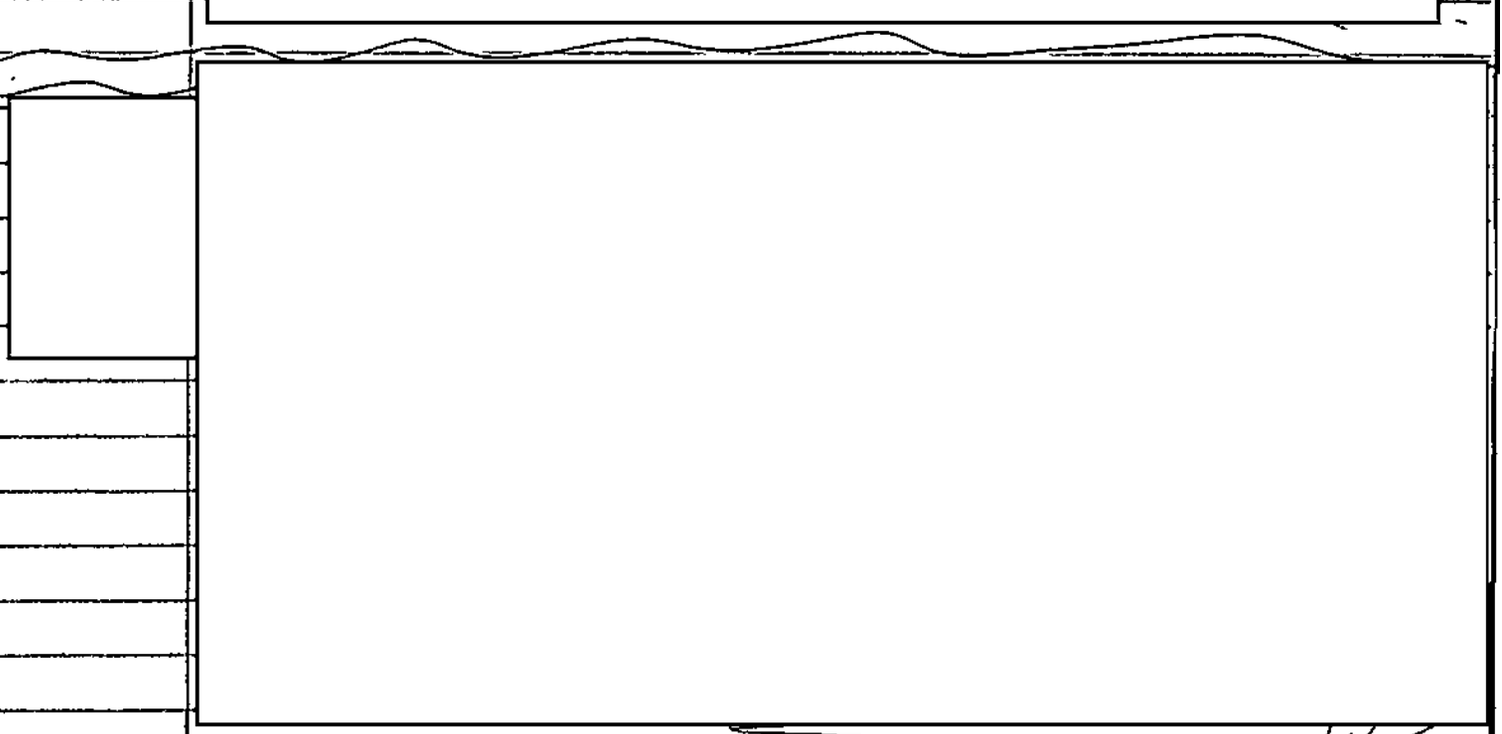

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DATE 2/21/01 BY SP2TC/CDS
(EDRU #01-081)

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6/7/82

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[redacted]

[redacted]

[redacted]

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

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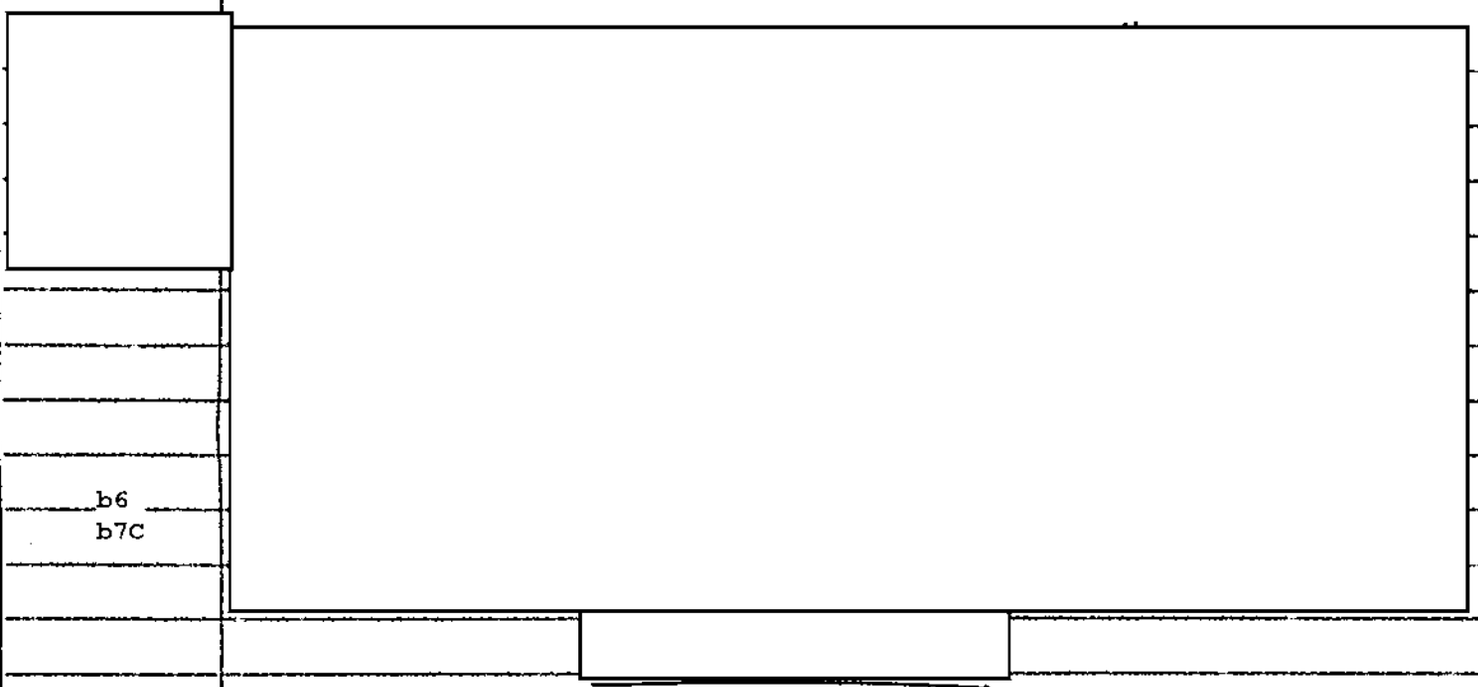
6/7/82



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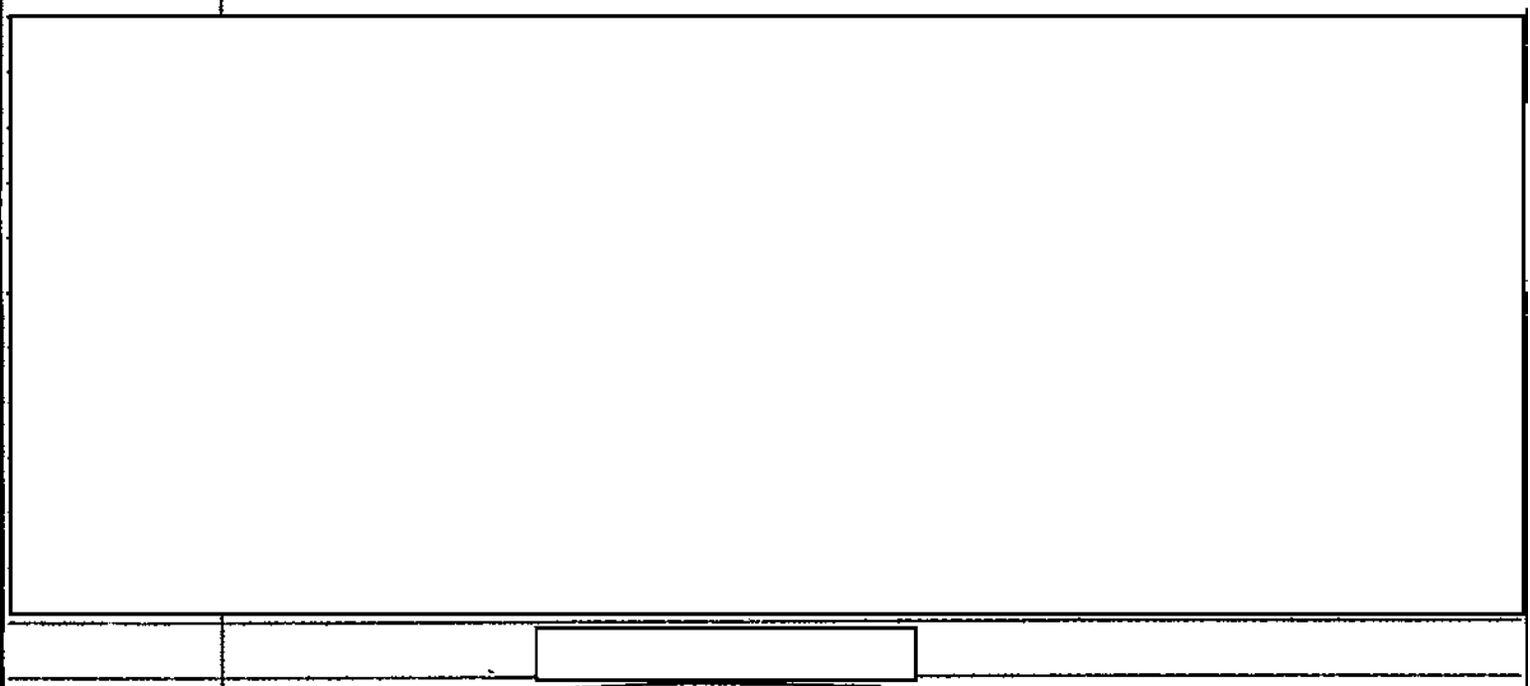

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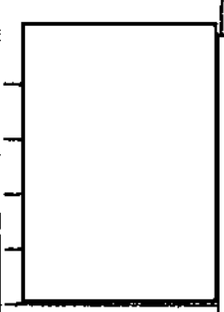
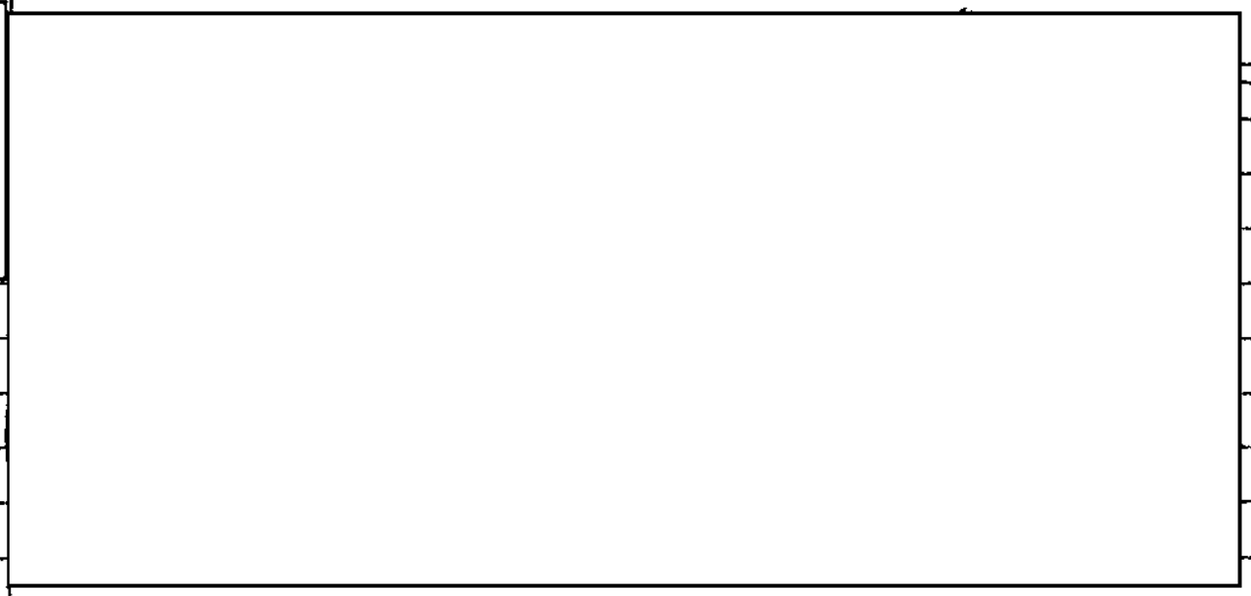
6/7/82





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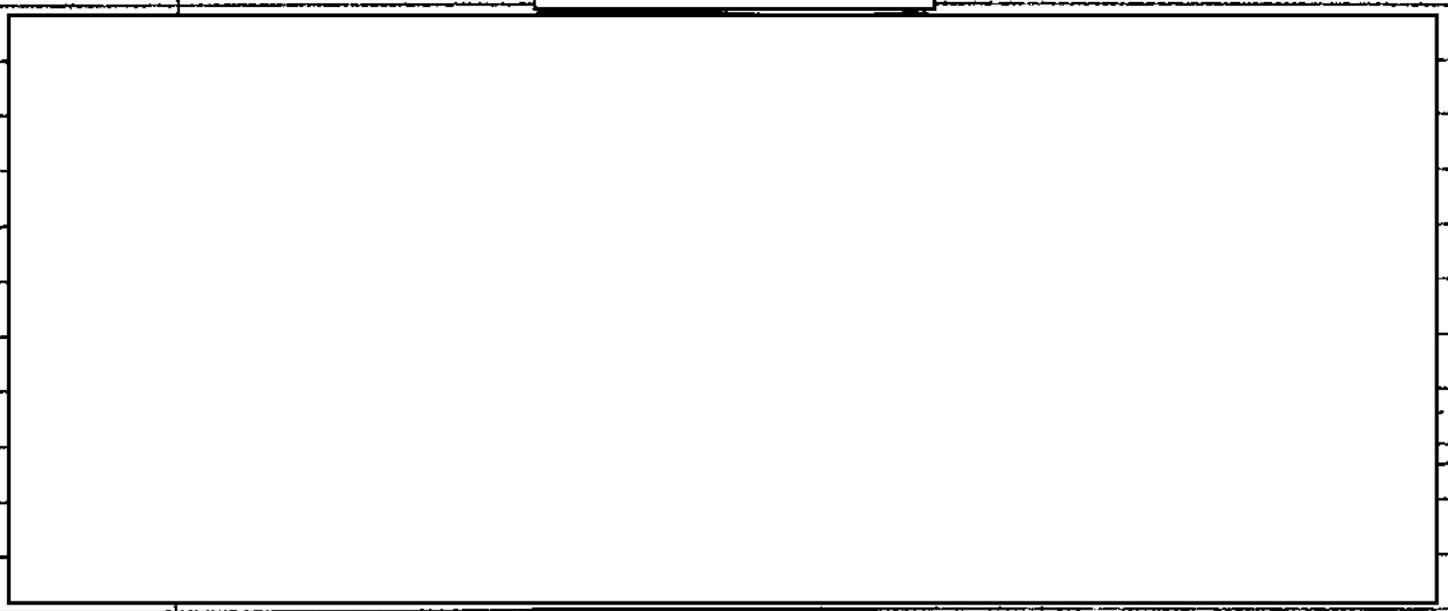

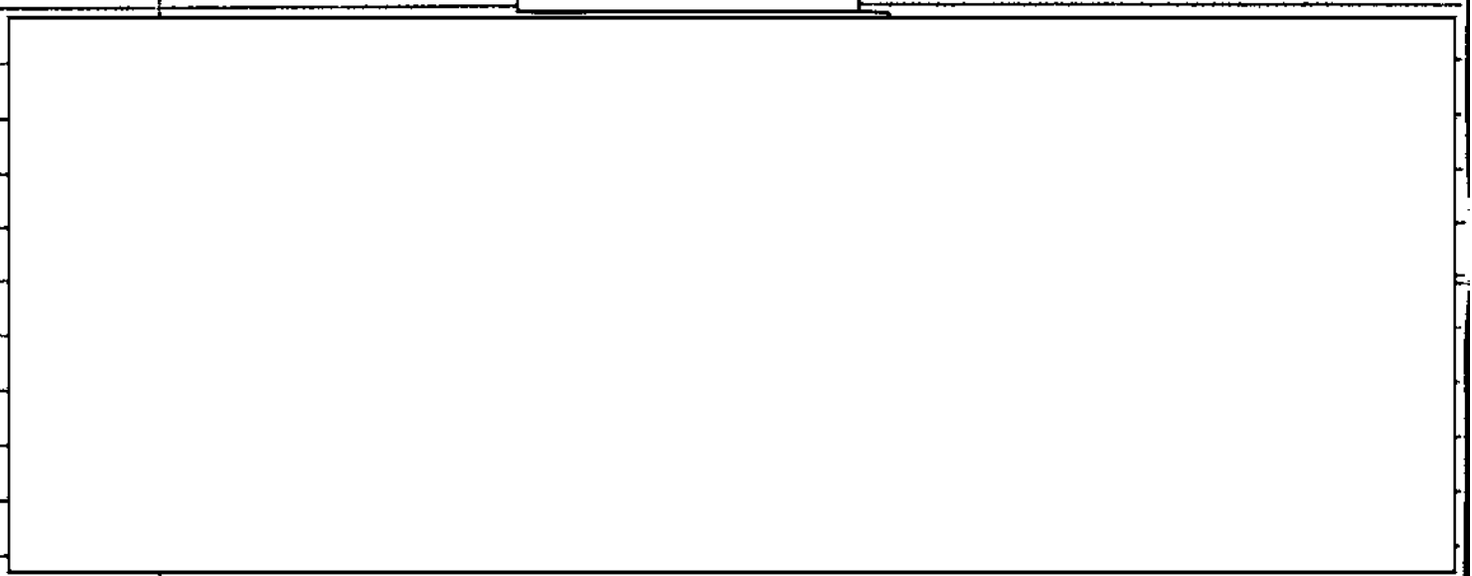

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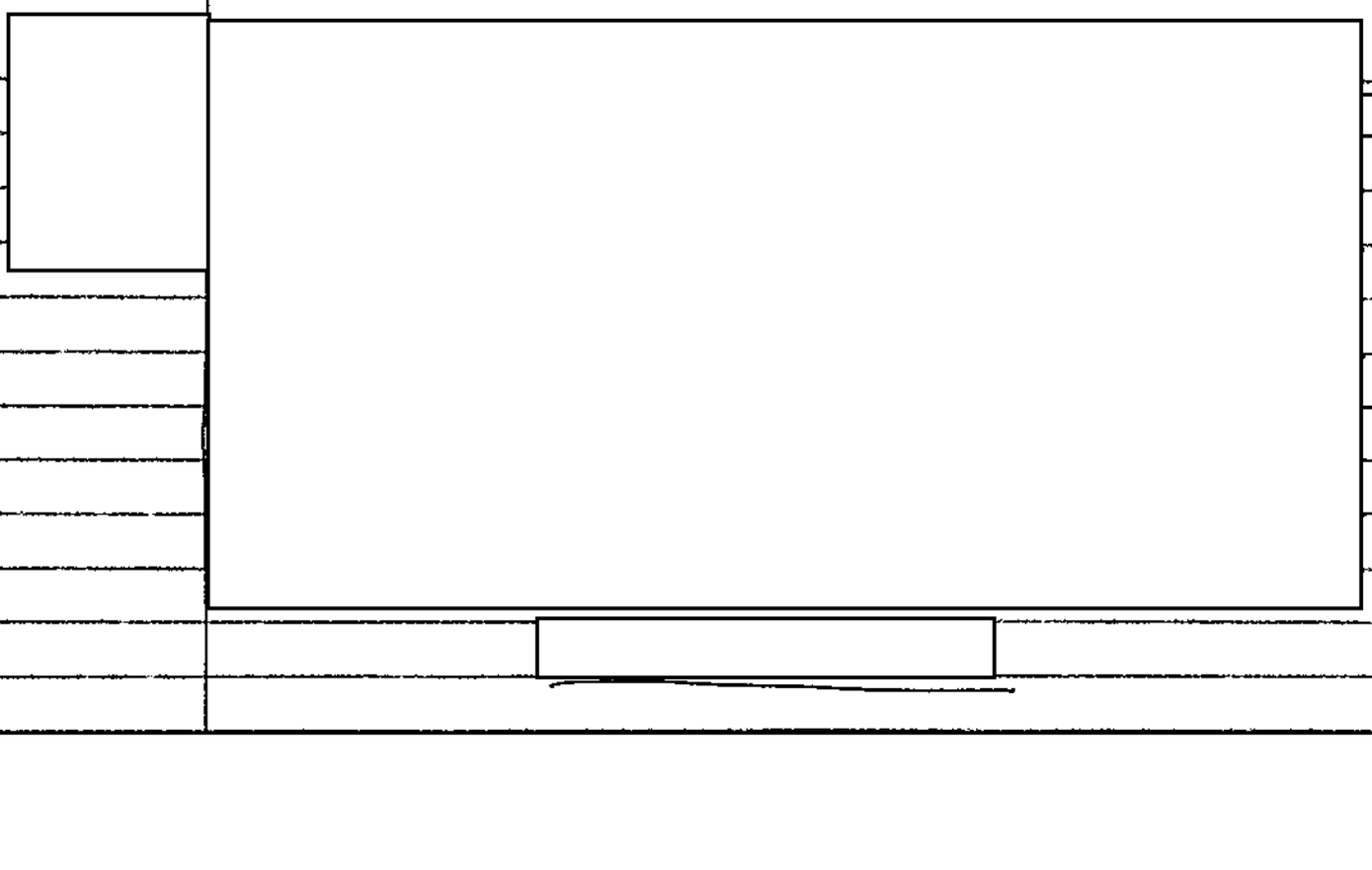
DATE 2/21/01 BY SP5TC/CDS

(CDRU # 01-081)

6/7/82



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JTC/RDS
(CDRU #01-081)

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6/7/82

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[redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS

(CDRU #01-081)

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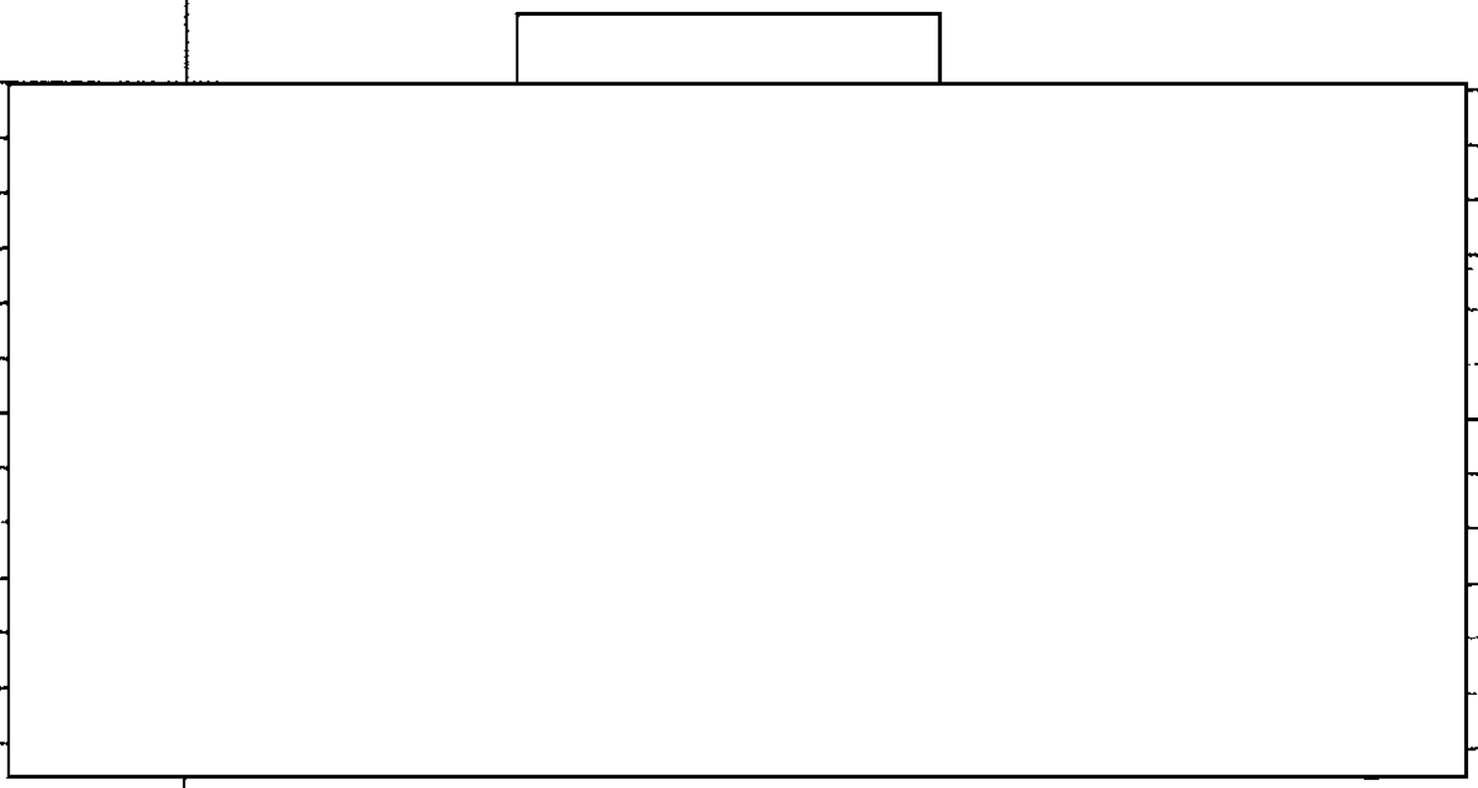

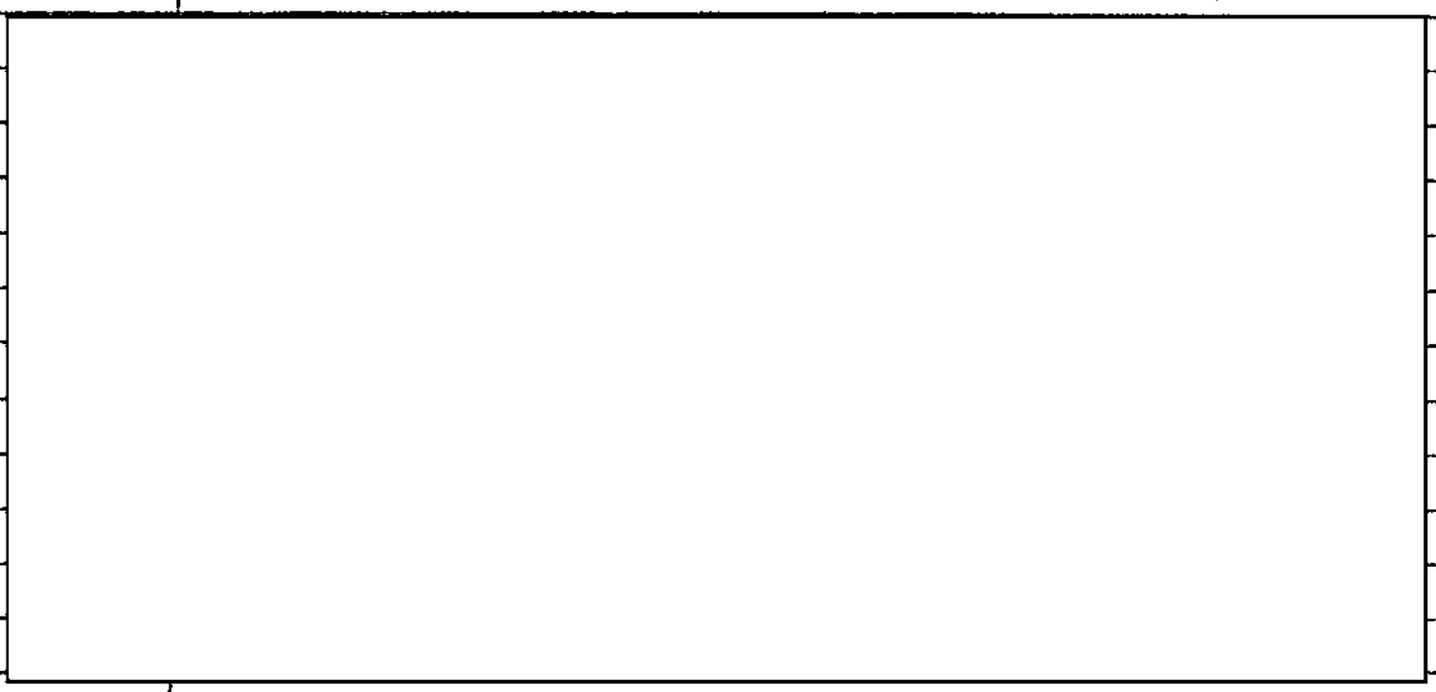

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5IC/EDS

(CDRU #01-081)

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[redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/ldb

6 62
6/1/82

6/7/82

[redacted] b6 b7C

ATTORNEY [redacted]

H/W Samples
10 Signatures 3x5 Cards
3 pages of yellow legal pad, 3 times each
Total 9 sheets

Home address [redacted]
Business address
DOB
Observation

White/Male [redacted] b6 b7C
hair

To office for photos

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP2 JC/RDS
(CDRU # 01-081)

FD-340 (REV. 6-29-81)

Field File No. _____

00 and File No. NY 196-17741A8

Date Received 6/7/82

From [redacted]
(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☒ No ☐ Yes ☐ No

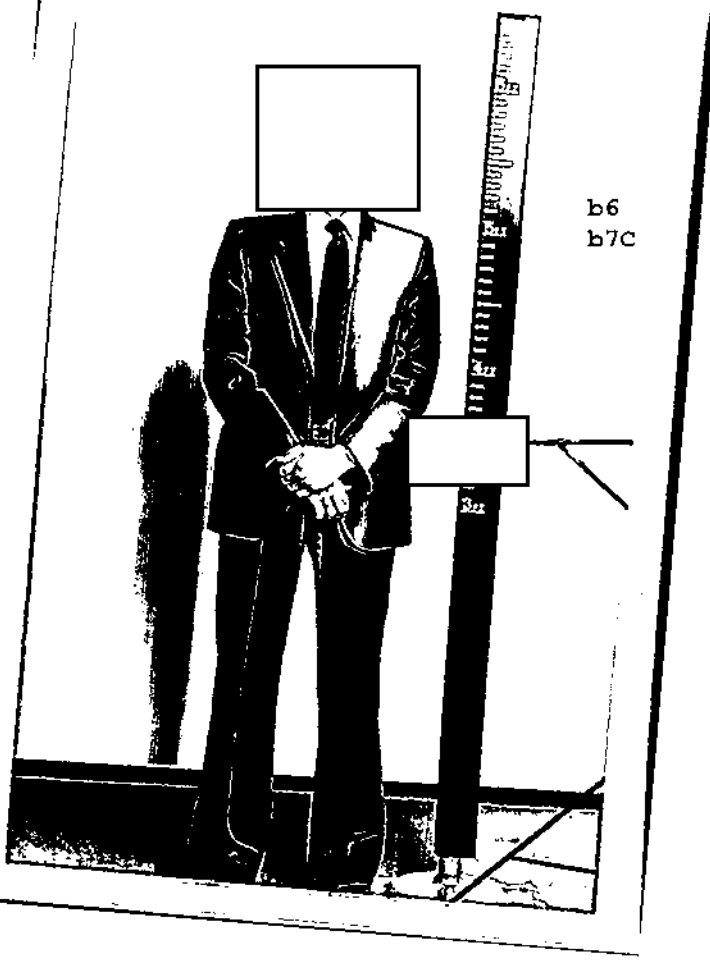
Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description:

5 color photos each of [redacted] standing and mug shot

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/ECS
(CDRU #01-081)



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196-1774-188

ALL INFORMATION CONTAINED
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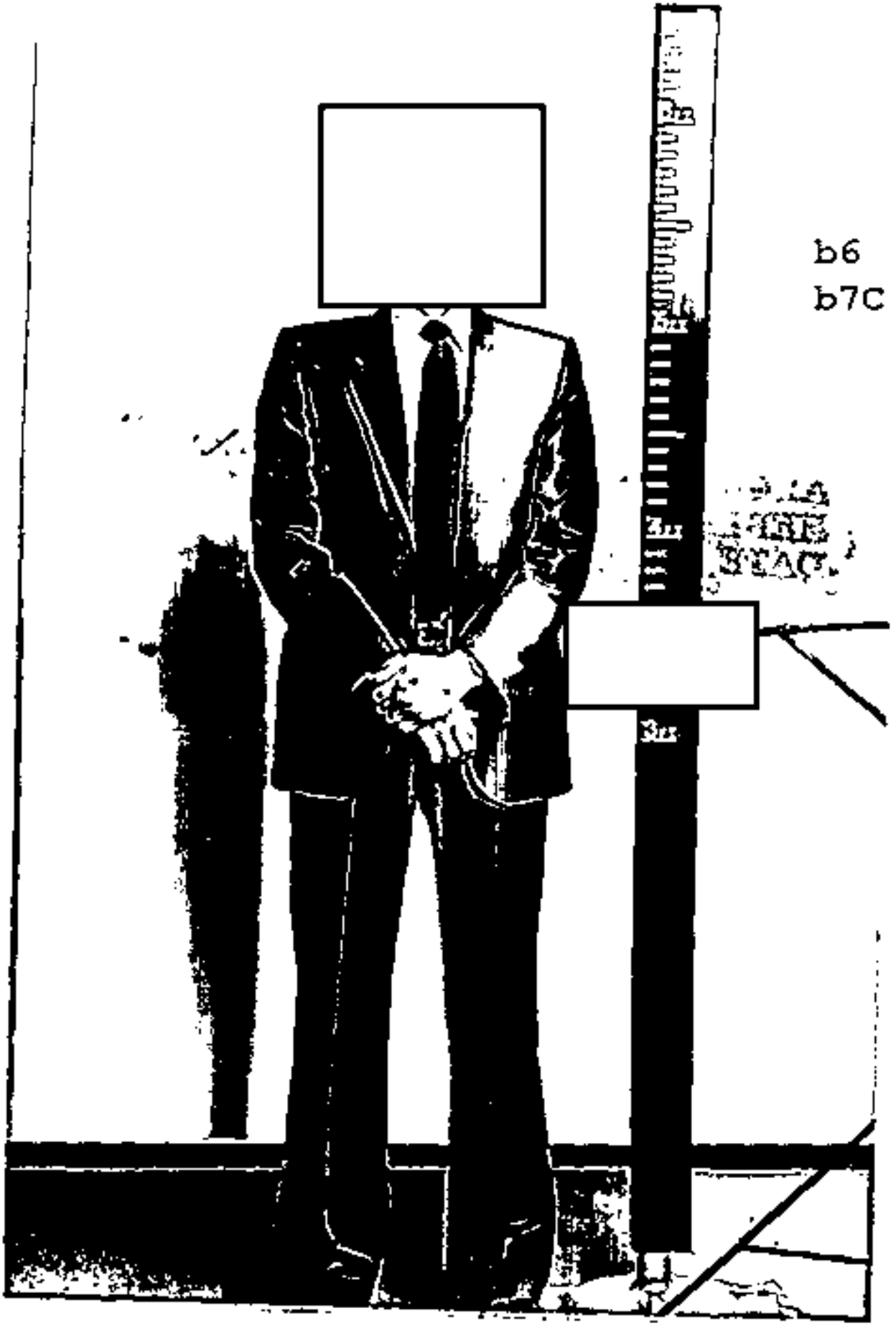
DATE 2/21/01 BY SP5/JC/RDS
(CDRU #01-081)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196-1774-198

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3IC/EDS
(CDRL # 01-081)

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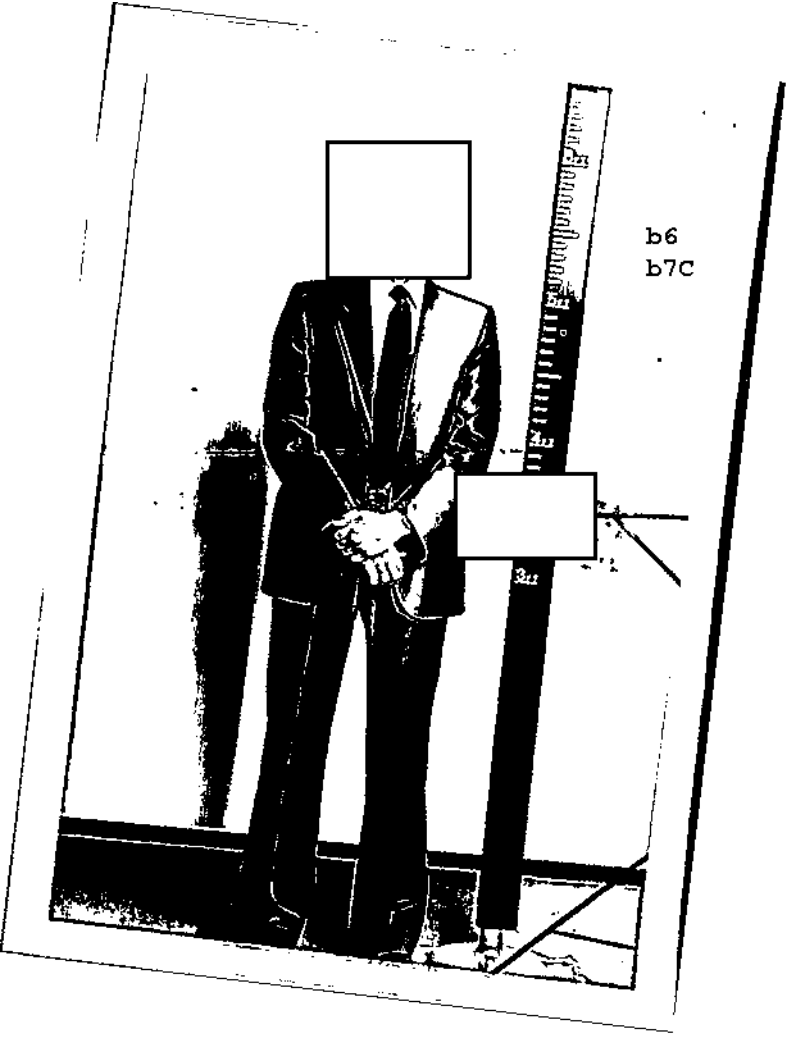
196-1974-148

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5RTC/RDS
(CDRL #01-081)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196-1774-188

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/LDS

(CDRH #01-081)

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196-1774-1A8

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP2AC/EDS

(CDRH #01-081)

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196-1774-188

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/RDS
(CDRU #01-081)

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196-1774-188

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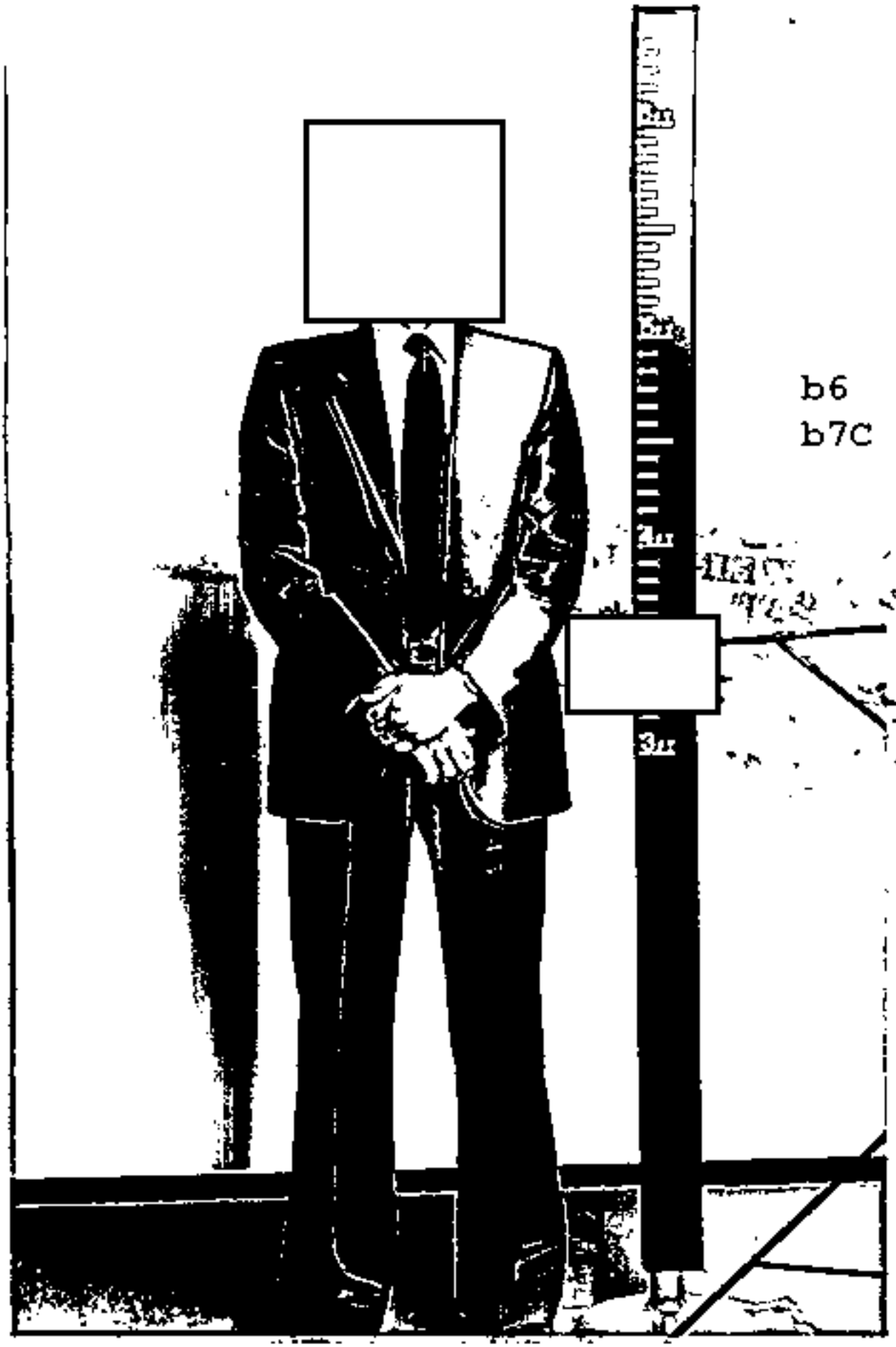
DATE 2/21/01 BY SP5JC/EDS

(CBK #01-081)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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/ERS
(CDR# 01-081)

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196-1774- 198

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/RDS

(CDRU #01-081)

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196-1774-108

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP2 JC/RDS
(CDRU #01-081)

FBI

TRANSMIT VIA:
☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 7/20/81

FM NEW YORK (196B-1774) RUC (M-12)

TO ~~HOUSTON~~ (196B-881) ROUTINE

BT

UNCLAS

MARC RICH, DBA MARK RICH AND COMPANY; [redacted] FBW-ENERGY RELATED; OO: HOUSTON. b6 b7C

FOR THE INFORMATION OF HOUSTON, A MEETING WAS HELD ON [redacted] THE FOLLOWING PERSONS WERE IN ATTENDANCE AT THIS MEETING: DEPARTMENT OF JUSTICE ATTORNEY [redacted] SPECIAL AGENT [redacted] FBI, NYO; [redacted] AND [redacted] ATTORNEYS [redacted] b6 b7C [redacted] NY, TELEPHONE [redacted] THE AFOREMENTIONED ATTORNEYS WOULD NOT PERMIT [redacted] TO ANSWER ANY QUESTIONS AND THEREFORE NO INTERVIEW WAS CONDUCTED. DOJ ATTORNEY [redacted] ADVISED [redacted] AND HIS ATTORNEYS WHAT THE DOJ WAS INTERESTED IN CONCERNING [redacted] ACTIVITY WHILE

1 - NEW YORK
1 - SUPV. M-12

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/20/01 BY SP3IC/2DS

SMN:tb
(2)

196-1774-2

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

☆ U.S. GOVERNMENT PRINTING OFFICE: 1980-305-750/5402

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE TWO UNCLAS

EMPLOYED BY MARC RICH. THE ATTORNEYS EXPLAINED THAT THEY WERE UNCERTAIN IF THEY COULD CONTINUE TO REPRESENT [redacted] IN THIS MATTER AS THEY WERE ALSO THE LAW FIRM WHICH REPRESENTS MARC RICH. THE ATTORNEYS STATED THAT THEY WOULD MAINTAIN DIRECT CONTACT WITH DOJ ATTORNEY [redacted] IN THIS MATTER.

b6
b7C

AS NO LEADS REMAIN IN THE NEW YORK DIVISION, THIS CASE HAS BEEN PLACED IN AN RUC'D STATUS.

BT

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Approved: _____ Transmitted _____ (Number) (Time) Per _____

☆ U.S. GOVERNMENT PRINTING OFFICE: 1980-305-750/5402

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TO FBI NEW YORK (196B-1774) RUC (M-12)

BT

UNCLAS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SP3JC/EDS
(CDEU #01-081)

MYRON RICH, IRA HARRY RICH AND COMPANY; [redacted] FBI-SUBSEQUENT
RELATES; OO: HOUSTON.

FOR THE INFORMATION OF HOUSTON, A MEETING WAS HELD ON [redacted]
AT TITAN RESOURCES, 515 MADISON AVENUE, NEW YORK, NY. THE FOLLOWING PERSONS WERE IN ATTENDANCE AT THIS MEETING: DEPARTMENT OF JUSTICE ATTORNEY [redacted]
SPECIAL AGENT [redacted] FBI, NYO; [redacted] AND [redacted] ATTORNEYS [redacted]
[redacted] NY. TELEPHONE [redacted] THE AFOREMENTIONED ATTORNEYS WOULD NOT PERMIT [redacted] TO ANSWER ANY QUESTIONS AND THEREFORE NO INTERVIEW WAS CONDUCTED. DOJ ATTORNEY [redacted] ADVISED [redacted] AND HIS ATTORNEYS WHAT [redacted] HAS INTERESTED IN CONCERNING [redacted] ACTIVITY WHILE

b6
b7C

196-1774-2

EMPLOYED BY MARC RICH. THE ATTORNEYS EXPLAINED THAT THEY WERE UNCERTAIN IF THEY COULD CONTINUE TO REPRESENT [redacted] IN THIS MATTER AS THEY WERE ALSO THE LAW FIRM WHICH REPRESENTS MARC RICH. THE ATTORNEYS STATED THAT THEY WOULD MAINTAIN DIRECT CONTACT WITH CO-ATTORNEY [redacted] IN THIS MATTER. b6 b7C

AS NO LEADS REMAIN IN THE NEW YORK DIVISION, THIS CASE HAS BEEN PLACED IN ABSTRUCT STATUS.

Memorandum

10/21/81

To : ADIC, NEW YORK (196B-1774) Date 10/20/81

From : SA [redacted]

Subject : MARC RICH,
DBA MARC RICH AND COMPANY; b6 b7C
[redacted]
FBW - ENERGY RELATED
OO:NY

AUSA [redacted] SDNY, [redacted] telephonically advised that after meeting with DOJ attorney [redacted] it was determined that captioned matter would be prosecuted by the SDNY. b6 b7C b7D

AUSA [redacted] requested that the writer be present at a meeting on [redacted] with [redacted] and DOJ attorney [redacted] to review this matter.

Recommend that this matter be reopened as an office of origin NY case to the writer.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/20/01 BY 60226/NLS/PEC/RDS
(CDRU #01-081)

196B-1774
CASE
DATE 10-21-81
SECT

196B-1224-3
SEARCHED INDEXED
SERIALIZED FILED
OCT 20 1981
FBI NEW YORK
[redacted] b6 b7C

OTA

FBI/DOJ

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/21/81

[redacted] advised that his residence is at [redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

Copies To AUSA 10/26/81

[redacted]

6/18/81, 7/30/81
Investigation on and 9/30/81 at [redacted]

NY 196B-1774-4
HO ~~196B-801~~

b6
b7C
b7D
File # [redacted]

by SAs and [redacted] MMW/xbp

Date dictated 10/21/81

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/01 BY SP5TC/RDS
(CDRU # 01-081)

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FBI/DOJ
[redacted]
NEW YORK

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[redacted]

b6
b7C
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[redacted]

[redacted]

[redacted]

b6
b7C
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[redacted]

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 10/21/81

TO: SAC, NEW YORK (196B-1774)
(ATTN: SA [redacted] SQUAD M1)

FROM: SAC, HOUSTON (196B-881)

MARC RICH, dba
Marc Rich and Company;
[redacted] b6 b7C
FBW - ENERGY RELATED
(OO: HO)

Re NY teletype to HO, 7/20/81, and SA [redacted] New York, telcall to SA [redacted] Houston, 10/21/81.

Enclosed for New York are the following.

1. Two copies of FD-302 of [redacted] (one copy to AUSA [redacted] 10/26/81.)
2. Documents provided [redacted] b6 b7C b7D

(Furnished To AUSA [redacted] 10/26/81.)

In referenced telephone conversation it was determined [redacted]

For the information of New York, Houston has met with [redacted] b6 b7C b7D Their cooperation is based on [redacted]

2 - New York (Encs.3)
2 - Houston
MMW/rbp
(4)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/2/01 BY SP3C/ldS (CDRU #01-081)

196B-1774-5
SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

Approved: _____ Transmitted _____ Per _____
(Number)

b6 b7C

☆ U.S. G[redacted] FBI/DOJ 1980-305-750/5402

that captioned matter may best be considered for prosecution in New York [redacted]

b6
b7C
b7D

Houston has retained copies of the enclosed [redacted]

[redacted]

copied and enclosed for New York. Houston will continue to debrief [redacted]

X Airtel

10/21/81

TO: SAC, NEW YORK (196B-1774)
(ATTN: SA [redacted] SQUAD M1)

FROM: SAC, HOUSTON (196B-881)

MARC RICH, dba
Marc Rich and Company; b6
[redacted] b7C
FBW - ENERGY RELATED
(OO: HO)

Re NY teletype to HO, 7/20/81, and SA [redacted] New York, telcall to SA [redacted] Houston, 10/21/81.

Enclosed for New York are the following.

1. Two copies of FD-302 of [redacted]

2. Documents provided by [redacted] b6 b7C b7D

In referenced telephone conversation it was determined that [redacted] will meet with SA [redacted] Departmental Attorney [redacted] and a representative of the U.S. Attorney's Office, New York, on [redacted] in New York City. This meeting will concern captioned matter.

For the information of New York, Houston has met with [redacted] several times since [redacted] regarding several different investigative matters. Their cooperation is based on [redacted] Departmental Attorney [redacted] Recently, Attorney [redacted] has indicated b6 b7C b7D

2 - New York (Encs.3)
2 - Houston
MMW/rbp
(4)

196B-1774-5
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
MAY 28 1981
FBI - [redacted]

ADIC, QUANTICO
(ATTN: SA [redacted])

10/28/81

ADIC, NEW YORK (196B-1774) (P) (M-1)

b6
b7C

MARC RICH,
dba Marc Rich and Company;
[redacted]
FBW-ENERGY RELATED
(OO:NY)

Retelcall from SA [redacted] to SA [redacted] 10/28/81.

It is requested that a NY Times Search be performed regarding:

1) MARC RICH

2) Marc Rich and Company, International
280 Park Avenue
New York, New York

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/ERS (CDFM #01-081)

2 - Quantico
1 - New York

GJL:ges
(3)

196B-1774-6

FD-350 (Rev. 10-19-77)

(Mount Clipping in Space Below)

A12 L

N.Y. Times 10/30/81

PRICE FRAUD LAID TO TULSA OILMAN

Overcharges Are Estimated at $2 Billion to $4 Billion on Violations of Controls

By ROBERT D. HERSHEY Jr.
Special to The New York Times

WASHINGTON, Oct. 29 — In one of the biggest fraud cases ever brought by the United States Government, a Federal grand jury today indicted a Tulsa, Okla., businessman on charges that he had violated price controls on oil that brought him hundreds of millions of dollars and resulted in consumer overcharges estimated at $2 billion to $4 billion.

Robert B. Sutton, owner of BPM Ltd. and the Scurry Oil Company, is charged in the 17-count criminal indictment with falsely certifying that more than 240 million barrels of crude oil qualified for higher prices than it did under the price-control program, thereby making illegal profits ranging from 50 cents to $4 a barrel.

The indictment was returned by a Federal grand jury in Tulsa and was announced here by Attorney General William French Smith.

Among the charges, mostly involving mail and wire fraud and racketering, was that Mr. Sutton enlisted Carlos Marcello of New Orleans, a convicted racketeer, to intimidate witnesses in the Government's investigation.

Vesco Case Recalled

One Government lawyer involved in the case said the magnitude of the charges rivaled those against Robert Vesco, a fugitive wanted in connection with the 1972 collapse of Investors Overseas Services Ltd., a mutual-fund empire he is accused of looting of $224 million. The lawyer added that, despite numerous civil suits charging oil price violations, some of them brought against the multinational giants, "I don't know of any other oil cases that involve as many barrels."

Mr. Sutton was said to be prepared to surrender to authorities on Monday, and it is expected he will be released on $10,000 bond provided he surrenders his passport. He did not return a telephone call placed to what was believed to be his home in Breaux Bridge, La.

The Government charges that the fraud was carried out from June 1976 through February 1981. The price controls system was lifted by President Reagan on Jan. 28, but some parts of the program continued in effect through March.

Racketeering Charged

According to the indictment, Mr. Sutton engaged in a "pattern of racketeering" in which he bought price-controlled "old" oil that he then fraudulently resold to some 40 unidentified other companies as "new" oil or as "stripper" oil, coming from a well with an average daily production of less than 10 barrels.

BPM and Scurry, both of which Mr. Sutton served as chief operating officer, often added large fictitious "gathering and handling" charges to the price. This enabled their customers to profit as well by charging a price for the old oil far in excess of the permitted price.

Mr. Sutton's companies also bought new oil that was subsequently fraudulently resold as stripper.

According to the Department of Energy, old oil averaged $5 a barrel during the 1976-81 period, while new oil was allowed to sell for an average of about $13. Stripper oil was exempt from the controls and ranged from $13 to $38 a barrel, the world market price.

Over all, BPM and Scurry illegally earned between $120 million, if the average profit was 50 cents a barrel, and as much $960 million if Mr. Sutton's companies earned $4 per barrel traded, according to the grand jury's figures. The size was magnified as Mr. Sutton's oil continued through the marketing chain to consumers.

Mr. Sutton, the indictment charges, did not file required reports with the Department of Energy, attempted to destroy company records to conceal the fraud from investigators and conspired to provide Mr. Marcello with the names and addresses of two witnesses who "had information which Sutton did not wish Federal investigators to possess."

The now-defunct price control and allocation system had as a main purpose the equalization of crude oil costs to refiners. Those that had access to old oil in quantities above the average were required to make cash payments to other refiners that had access mainly to expensive new or stripper oil. All crude-oil costs, including entitlement payments, could be passed on to consumers.

(Indicate page, name of newspaper, city and state.)

N.Y. Times pg A12

Date: 10/30/81

Edition:

Title: MARC RICH ET AL

Character: 196-1774

or

Classification:

Submitting Office: 196B-1774-7

FILED

NEW YORK

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FD-36 (Rev. 5-22-78)

FBI

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☐ Facsimile	☐ Priority	☐ SECRET
☐ _____	☑ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☑ UNCLAS

Date 10/30/81

FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR ROUTINE

~~DALLAS~~ (196B-1291) ROUTINE

~~HOUSTON (196B-881) ROUTINE~~

BT

UNCLAS

CHANGED IO. MARC RICH, DBA MARC RICH AND COMPANY; [redacted] b6 b7C

[redacted], FBW-ENERGY RELATED, OO: NEW YORK.

TITLE MARKED "CHANGED" TO REFLECT NEW YORK AS OFFICE OF ORIGIN IN PLACE OF HOUSTON.

REHOAIRTEL TO NEW YORK, DATED OCTOBER 21, 1981.

FOR INFORMATION OF THE BUREAU, CAPTIONED MATTER DESIGNATED FOR PROSECUTION IN SDNY BY DOJ ATTORNEY [redacted] DUE TO LOCATION OF SUBJECTS AND TRANSACTIONS WHICH OCCURRED IN THIS DISTRICT. AUSA [redacted] SDNY, AGREED TO DESIGNATION IN MEETING WITH DOJ ATTORNEY [redacted] DOJ AWARE OF ALL EVENTS, [redacted] b6 b7C b7D

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/ADS (CDRU #01-081)

196B-1774-8

ED INDEXED ALIZED FILED

(1)-NEW YORK
1-SUPERVISOR M-1

GJL:jmh
(2)

OCT 2 1981

FBI NEW YORK

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

FD-36 (Rev. 5-22-78)

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CLASSIFICATION:
- ☐ TOP SECRET
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- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date _____

PAGE TWO UNCLAS

[redacted] (PROTECT IDENTITY)

AND [redacted] (PROTECT IDENTITY) [redacted]

[redacted]

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ESSENCE OF CASE IN THE FIRST STAGE INVOLVES [redacted]

[redacted]

NEW YORK. THE SECOND STAGE [redacted]

[redacted]

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b3

ALL [redacted] NECESSARY FOR APPROPRIATE PROSECUTION

[redacted]

[redacted] NEW YORK CASE

AGENT AND AUSA, SDNY, AGREE THAT IMMEDIATE ISOLATION AND PRESENTATION PURSUANT TO GRAND JURY SUBPOENA OF [redacted] BE ACCOMPLISHED. WITNESSES HAVE STATED THAT [redacted]

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ _____

PRECEDENCE:
- ☐ Immediate
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CLASSIFICATION:
- ☐ TOP SECRET
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- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date _____

PAGE THREE UNCLAS

[redacted]

NEW YORK CASE AGENT AND AUSA, SDNY, INTEND TO TRAVEL

TO [redacted]

[redacted]

THAT TRAVEL NECESSARY. BUREAU APPROVAL REQUESTED FOR TRAVEL AND AUTO RENTAL CONCURRENT WITH TRIP.

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Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

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RR HQ DL HO

DE NY Ø43

R 3Ø21ØØZ OCT 8

FM NEW YORK (196B-1774
) (P) (M-1)

TO DIRECTOR ROUTINE

DALLAS (196B-1291) ROUTINE

HOUSTON (196B-881) ROUTINE

BT

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DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

CHANGED IO. MARC RICH, DBA MARC RICH AND COMPANY; [redacted] b6 b7C

[redacted], FBW-ENERGY RELATED, OO: NEW YORK.

TITLE MARKED "CHANGED" TO REFLECT NEW YORK AS OFFICE OF ORIGIN IN PLACE OF HOUSTON.

REHOAIRTEL TO NEW YORK, DATED OCTOBER 21, 1981.

FOR INFORMATION OF THE BUREAU, CAPTIONED MATTER DESIGNATED FOR PROSECUTION IN SDNY BY DOJ ATTORNEY [redacted] DUE TO LOCATION OF SUBJECTS AND TRANSACTIONS WHICH OCCURRED IN THIS DISTRICT. AUSA [redacted], SDNY, AGREED TO DESIGNATION IN MEETING WITH DOJ ATTORNEY [redacted] DOJ AWARE OF ALL EVENTS, INCLUDING DISCUSSIONS WITH COOPERATING WITNESSES. b6 b7C

196B-1774-8

INDEXED
FILED
OCT 2 1981
FBI—NEW YORK

[redacted] (PROTECT IDENTITY)

AND [redacted] (PROTECT IDENTITY) [redacted]

[redacted]

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[redacted] THESE WITNESSES APPEARED

IN NEW YORK [redacted] FOR DEBRIEFING IN CAPTIONED

MATTER.

ESSENCE OF CASE IN THE FIRST STAGE INVOLVES [redacted]

[redacted]

NEW YORK. THE SECOND STAGE [redacted]

[redacted]

ALL [redacted] NECESSARY FOR APPROPRIATE PROSECUTION

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[redacted] WITNESSES' [redacted]

[redacted] WITNESSES WILL [redacted]

[redacted] NEW YORK CASE

AGENT AND AUSA, SDNY, AGREE THAT IMMEDIATE ISOLATION AND

PRESENTATION PURSUANT TO GRAND JURY SUBPOENA [redacted] BE

ACCOMPLISHED. WITNESSES HAVE STATED THAT [redacted]

MUST BE REVIEWED IN ORDER TO [redacted]

FURTHER, [redacted] AUTHORITIES MUST BE NOTIFIED IN ADVANCE OF [redacted] WITNESSES FOR [redacted] REVIEW.

NEW YORK CASE AGENT AND AUSA, SDNY, INTEND TO TRAVEL TO [redacted] TO CONDUCT SUPERVISED AND ORGANIZED [redacted] REVIEW WITH WITNESSES ON [redacted] SAC, DALLAS, AND SAC, NEW YORK, CONCUR THAT TRAVEL NECESSARY. BUREAU APPROVAL REQUESTED FOR TRAVEL AND AUTO RENTAL CONCURRENT WITH TRIP.

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FM DIRECTOR FBI

TO FBI NEW YORK (ROUTINE)

FBI DALLAS (196B-1291) (ROUTINE)

FBI HOUSTON (196B-881) (ROUTINE)

BT

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MARC RICH, DBA MARC RICH AND COMPANY; [redacted], FBW - ENERGY RELATED, OO: NEW YORK.

TRAVEL REQUESTED BY NEW YORK IN REFERENCED COMMUNICATION IS APPROVED. THE USE OF A RENTAL VEHICLE DURING THE TRIP IS AUTHORIZED, HOWEVER, ITS USE MUST BE JUSTIFIED ON THE EMPLOYEE'S VOUCHER.

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
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FD-350 (Rev. 10-19-77)

1981 № 210-81

However, he said, that stimulative effect and the strong dollar's "adverse effects on exports create a rough trade climate for the months to come. Therefore, we have to increase our efforts to encourage participation in export markets."

Exports Outpace Imports

In June, the new report said, overall imports rose $679 million to a seasonally adjusted total of $23 billion as declining imports in many areas were overwhelmed by a $1.3 billion increase in the value of imported oil.

But exports rose even faster than imports, increasing $1 billion to a total of $19.9 billion, led by overseas sales of aircraft and a wide variety of machinery.

The overall deficit was about $320 million less than May's $3.44 billion. The deficit in April was $3.46 billion.

Imported oil, which has been on a monthly roller-coaster so far this year, climbed from 5.2 million barrels per day in May to 6.5 million per day in June, the report said. In total volume, the increase was 20.7 percent to a total of 194.3 million barrels.

Mr. Lund said as much as 500,000 barrels per day of that increase was due to oil being purchased for the Strategic Petroleum Reserve. But he noted that imported oil still would have been up substantially for the month.

Among the biggest trade categories, the report said agricultural commodities showed its usual big surplus — $1.9 billion for the month — while petroleum and related products had a $7 billion deficit and manufactured goods showed a $1.5 billion surplus.

Partner in Fox Deal Identified

JUL 29 1981

Special to The New York Times

LOS ANGELES, July 28 — A Netherlands Antilles company headed by Marc Rich, an oil trader and financier, and two others was identified yesterday as the silent partner of Marvin Davis, the Denver oil millionaire, in his recent $722 million purchase of the 20th Century-Fox Film Corporation.

The minutes from a May 11 Fox director's meeting, made public today, indicate that Mr. Davis's heretofore unnamed partner in the Fox purchase of Fox is Richco, a corporation based in the Netherlands Antilles. Richco was identified as a subsidiary of a Netherlands corporation "which is one of the largest international trading organizations in the world." Principals of the parent firm are Marc Rich, Pincus Green and Alex Hackel.

Mr. Rich, 47 years old, is an alumnus of the Philipp Brothers Corporation, a huge American trading company that markets more than 100 different commodities and last year posted revenues of $23.7 billion.

Following an internal dispute, Mr Rich left Philipp Brothers to set up his own firm, Marc Rich & Company, in New York in 1974. Mr. Greene, too, is a former Philipp Brothers trader, and he departed with Mr. Rich.

Mr Rich started the spot oil trading unit at Philipp Brothers He reportedly left Philipp Brothers because of a salary dispute After the 1973 Arab oil em-

Continued on Page D4

Continued From First Business Page

bargo, prices jumped and trading accelerated in the spot oil market — where oil not under long-term contract is bought and sold. Thus, under the Philipp Brothers incentive program, Mr. Rich would have received a salary of more than $1 million in 1973. Philipp Brothers refused to pay one man so much more than its other traders, and Mr. Rich resigned, taking a few top-notch traders with him.

Today, Mr. Rich's firm trades several commodities, but its principal business is oil trading. Presumably, Mr. Rich knows Mr. Davis because both are in the oil business.

A Long Association

Mr. Davis has had a long association with Mr. Rich, who has been his partner in several oil-related ventures. Described as very private and "almost invisible," Marc Rich & Company was cited two years ago by a national weekly publication as one of the firms that stood to profit in the oil spot market as a result of the Iranian revolution.

At the time of the Fox purchase, Mr. Davis had declined to name his partner in the $722 million deal. It was assumed that he would be using some of the cash he had gained from the sale in January of an oil and gas venture valued at about $600 million.

Mr. Davis, who gives no interviews and keeps his transactions private, had declined to say how he intended to raise the money for Fox, except to say that he would have partners. Even after Fox was acquired last month, the partner remained anonymous.

Equal Ownership

In a June 22 filing with the Securities and Exchange Commission, however, Mr. Davis did say that he and his unidentified partner owned equal shares in Fox. His shares are voting shares. His partner's are nonvoting shares. He also reported that to purchase Fox, he and his partner jointly invested $172 million. The filing also said that together, the Davis Oil Company and his partner were guarantors of bank financing totaling $550 million of the $722 million.

The disclosure of Mr. Davis' partner, however, sheds no light on the direction of Fox under its unpredictable new owner. Mr. Davis twice backed out of a deal to buy the Oakland A's baseball team, changed his mind about a $75 million deal to buy The Denver Post and walked out on the Fox acquisition before reconsidering. He has been turning the company upside down since he became its owner on June 12.

Changes at Fox

He immediately spun off the television operations. He merged Fox film into TCF Acquisition Inc., a unit of TCF Holdings Inc, which is owned by Mr Davis and a trust for his five children.

He made a precedent-setting deal with Home Box Office that will give HBO exclusive rights to first showings of Fox films to its 8 million subscribers. He plans to develop the property where Fox now has its studios on 63 acres And yesterday, Fox announced that it would move its film and television production facilities to a new location some time in the next two years.

Fox's management has received its share of surprises too. Dennis C. Stanfill, whom some insiders considered to be a favorite of Mr. Davis, lasted less than three weeks before resigning. The 54-year-old executive, who maintained that his contract had been "materially breached," walked away with a healthy cash settlement, together with the $8.5 million he received when he cashed in his options at the time of the Davis purchase. Last week, Mr. Davis named Alan J. Hirschfield, who had been publicly feuding with Mr. Stanfill, to replace Mr. Stanfill as chairman.

JUL 29 1981 A 16

Chevron Pact On Oil Prices

SAN FRANCISCO (AP) — An $82.5 million settlement, the second-largest in a Federal oil pricing complaint, has been signed between Chevron U.S.A. Inc. and the Department of Energy, both sides said today.

In agreeing to make restitution, Chevron said the pact would settle all outstanding regulatory issues.

Included in the settlement is an agreement by Chevron to place $33 million worth of crude oil in the Government's strategic oil reserve depots.

Only the department's $100 million agreement with Amoco, a unit of the Standard Oil Company (Indiana), was larger, said Pat O'Hern, Pacific district director for the office of the special counsel for the Energy Department, who added that the department had accused Chevron of violations totaling $417 million.

Chevron did not admit any wrongdoing and the Government did not allege it in the settlement.

The company also agreed to pay $23 million to states affected by the alleged pricing irregularities and to settle two lawsuits.

(Indicate page, name of newspaper, city and state.)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/24/01 BY sp5m/ds (CDELA #01-051)

Date: NY Time
Edition: 7/29/81 p8D-1
Title:
Character: 196B-1774
or
Classification:
Submitting Office: 62

196B-1774-10

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1 6 1981

FBI—NEW YORK

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210-81-15 2012554/IDN
MINUTES FROM TWENTIETH CENTURY-FOX FILM CORP DIRECTOR'S MEETING INDICATE THAT OIL MILLIONAIRE MARVIN DAVIS' SILENT PARTNER IN $722 MILLION PURCHASE OF FOX IS NETHERLANDS ANTILLES COMPANY RICHCO, WHICH IS SUBSIDIARY OF NETHERLANDS TRADING FIRM HEADED ~~BY OIL TRADER MARC RICH, PINCUS GREEN AND ALEX HACKEL; PROFILE OF RICH AND DETAILS OF FOX TRANSACTION CITED (M)
~B~~* *NEXT=B OR B//# END=C DEFERRED PRINT: ALL=D SELECTIVE=A//# * *
(C)NYTIMES.SEE ABSTRACT FOR YEAR.NONTIMES MATERIAL BY PERMISSION
2 OF 3 TM /JNL 1979- 3-12 : 51: 2 4/WGT 16/LIN
NO FICHE CTN/ILS 1626586/IDN
ABOUT 300 INTERNATIONAL PROFITEER'S ARE BENEFICIARIES OF SOARING OIL PRICE INCREASES THAT OCCUR DAILY ON SPOT MARKET; SPOT MARKET, SOMETIMES CALLED ROTTERDAM MARKET BECAUSE MOST OF WORLD'S SPOT OIL GOES THROUGH ROTTERDAM PORT. IS MARKET IN ~~WHICH TRADER WITH SHIPLOAD OF OIL UNDER NO CONTRACT CAN CONNECT WITH RICH BUYER; SPOT PRICE FOR OIL SPURTS TO WHATEVER MARKET WILL BEAR WHEN SUPPLIES FALL SHORT OF DEMAND; DESPERATE CUSTOMERS RECENTLY PAID $28 PER BARREL OF OIL, THOUGH OPEC PRICE REMAINS AT $13.34 PER BARREL; OIL CARGOES HAVE BEEN SOLD FOUR OR FIVE TIMES WHILE TANKER WAS STILL OUT TO SEA, AND EACH ~~SUBSEQUENT OWNER HAS POCKETED HIGH PROFITS; PROFITEERS HAVE BEEN OFFERED DEALS ON AS MUCH AS HALF OF INDONESIA'S TOTAL PRODUCTION WHICH LOCAL POLITICIANS HAVE LOCKED UP; SPOT TRADING IS DONE BY SMALL OIL COMPANIES SUCH AS ESSEX OIL, NEDOL AND VANOL; CONSULTING FIRMS MARC RICH & CO DO SOME TOP SECRET BUSINESS ON OIL SPOT TRADING (M)
~B~~* *NEXT=B OR B//# END=C DEFERRED PRINT: ALL=D SELECTIVE=A//# * *
(C)NYTIMES.SEE ABSTRACT FOR YEAR.NONTIMES MATERIAL BY PERMISSION
3 OF 3 WSJ/JNL 1978- 8- 9 : 11: 1 4/WGT 2/LIN
NO FICHE 1536107/IDN
MARC RICH & CO RECEIVES A $46.7 MILLION DEFENSE DEPT CONTRACT FOR FUEL (S)
*** END OF DISPLAY ***

196B-1224-11

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SERIALIZED ____ FILED ____
JAN 6 1981
FBI—NEW YORK

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5IC/PDS (CDRU #01-081)

Memorandum

To : ADIC, NY (196B-1774) (P) Date 11/6/81

From : SA [redacted]

b6
b7C

Subject : MARC RICH,
ET AL
FBW(B)
OO: NY

Results of NY Times search

FBI/DOJ

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☒ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ _____	☒ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☒ UNCLAS

Date 11/6/81

FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR ROUTINE

BT

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b7C

U N C L A S

MARC RICH, DBA MARC RICH AND COMPANY; [redacted] FBW-ENERGY

RELATED, OO: NEW YORK.

REBUREAUTEL TO NEW YORK, NOVEMBER 2, 1981.

FOR INFORMATION LEGAT, BERNS, CAPTIONED MATTER INVOLVES FRAUDULENT OIL TRANSACTIONS IN THE UNITED STATES WITH MOVEMENT OF PROFITS BY MARC RICH AND COMPANY TO FOREIGN COMPANIES BELIEVED OWNED BY MARC RICH. THE FOREIGN COMPANIES IDENTIFIED TO DATE ARE RESCOR, INC., PANAMA, ZEUGHAUSGASSE 2, 6300 ZUG, GENEVA, SWITZERLAND, AND MARC RICH AND COMPANY, AG, 3 GOTTHARDSTRASSE, POST OFFICE BOX 1135, 6301 ZUG, SWITZERLAND.

LEGAT, BERNS, REQUESTED TO ASCERTAIN WHETHER COMPANIES NAMED ARE REGISTERED TO OPERATE IN SWITZERLAND AND IF SO, OBTAIN ANY PERTINENT DETAILS REGARDING COMPANIES, SUCH AS

196B-1774-12

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(1)-NEW YORK
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FD-36 (Rev. 5-22-78)

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Date _____

PAGE TWO U N C L A S

PRINCIPALS, FINANCIAL DATA, ETC.

BUREAU REQUESTED TO TRANSMIT ABOVE TO LEGAT, BERNS.

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FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR ROUTINE

BT

U N C L A S

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MARC RICH, DBA MARC RICH AND COMPANY; [redacted], FBW-ENERGY

RELATED, OO: NEW YORK.

REBUREAUTEL TO NEW YORK, NOVEMBER 2, 1981.

FOR INFORMATION LEGAT, BERNS, CAPTIONED MATTER INVOLVES FRAUDULENT OIL TRANSACTIONS IN THE UNITED STATES WITH MOVEMENT OF PROFITS BY MARC RICH AND COMPANY TO FOREIGN COMPANIES BELIEVED OWNED BY MARC RICH. THE FOREIGN COMPANIES IDENTIFIED TO DATE ARE RESCOR, INC., PANAMA, ZEUGHAUSGASSE Z, 6300 ZUG, GENEVA, SWITZERLAND, AND MARC RICH AND COMPANY, AG, 3 GOTTHARDSTRASSE, POST OFFICE BOX 1135, 6301 ZUG, SWITZERLAND.

LEGAT, BERNS, REQUESTED TO ASCERTAIN WHETHER COMPANIES NAMED ARE REGISTERED TO OPERATE IN SWITZERLAND AND IF SO, OBTAIN ANY PERTINENT DETAILS REGARDING COMPANIES, SUCH AS

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FBI—NEW YORK

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(CDRU #01-081)

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PAGE TWO U N C L A S

PRINCIPALS, FINANCIAL DATA, ETC.

BUREAU REQUESTED TO TRANSMIT ABOVE TO LEGAT, BERNS.

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Routing Slip
0-7 (Rev. 1-31-80)

(Copies to Offices Checked) b6 b7C

TO: SAC: Attn: [redacted] Squad M1

- ☐ Albany
- ☐ Albuquerque
- ☐ Alexandria
- ☐ Anchorage
- ☐ Atlanta
- ☐ Baltimore
- ☐ Birmingham
- ☐ Boston
- ☐ Buffalo
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- ☐ Philadelphia
- ☐ Phoenix
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- ☐ Sacramento
- ☐ St. Louis
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- ☐ Savannah
- ☐ Seattle
- ☐ Springfield
- ☐ Tampa
- ☐ Washington Field
- ☐ Quantico
- ☐ SAC, New Rochelle (MRA)
- ☐ SAC, Brooklyn-Queens (MRA)

TO: LEGAT:

- ☐ Bern
- ☐ Bogota
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- ☐ Buenos Aires
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- ☐ London
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- ☐ Ottawa
- ☐ Paris
- ☐ Rome
- ☐ Tokyo

10/30/81
(Date)

RE: NYTIB SEARCH

☐ For information ☐ Retention optional ☐ For appropriate action ☐ Surep, by _____

☐ The enclosed is for your information. If used in a future report, ☐ conceal all sources, ☐ paraphrase contents.

☐ Enclosed are corrected pages from report of SA _____ dated _____.

Remarks: Enclosed are the results of the New York Times Information Bank search which you requested on Marc Rich and Marc Rich and Company.

If we can be of any further assistance, please do not hesitate to contact us.

[redacted]

Unit Chief, [redacted]
FBI Academy Library
Quantico, Virginia 22135

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
(01-081)

FBI/DOJ

FD-36 (Rev. 12-10)

Transmit attached by facsimile - UNCLAS

For Information

To: SAC New York Attn: SA [redacted] Sq. #1

Re: NY File # 196B-1774

Date: 12/12/01

From: SAC Albany - 196B-339

Subject: UNSUB(S); F&D; CO: NY

Initials:

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☐ Fingerprint Cards ☐ Fingerprint Request ☐ Lab ☐ Photographic Copies ☐ Photographs

☐ Airtel Correction

Other: ITF Team Ord to Be 12/13/01

Special Handling Instructions:

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FM DIRECTOR FBI

TO SAC, HOUSTON (196B-879) ROUTINE

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[redacted] TEXAS ENERGY RESERVE CORPORATION (TEXAS ENERGY)

FBW - ESPIONAGE RELATED, OOC: HOUSTON.

REBUTEL TO DIRECTOR DATED DECEMBER 3, 1981.

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(CDRU #01-021)

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36 (Rev. 5-22-78)

FBI

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CLASSIFICATION:
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Date 12/11/81

FM NEW YORK (196B-1774) (P) (M-1)

~~DIRECTOR (196B-2848) ROUTINE~~

~~HOUSTON (196B-379)~~ (INFO)

RT

UNCLAS

MARC RICH; DBA MARC RICH AND COMPANY; [redacted] FBW-ENERGY RELATED, OO: NEW YORK.

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REBUTELETYPE TO HOUSTON, DECEMBER 4, 1981, ENTITLED [redacted] ET AL, FBW-ENERGY RELATED, OO: HOUSTON AND BUTELETYPE TO NEW YORK, NOVEMBER 2, 1981.

NYO REQUESTS THAT FBIHQ FORWARD THROUGH [redacted]

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1. [redacted]

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2. NYO INVESTIGATION INVOLVES SUBJECTS IN A [redacted]

0-NEW YORK
1-SUPERVISOR M-1
GJL:jml
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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY [redacted] SPSTC/EDS (CDBU #01-081)

196B-1774-15

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[redacted] NEW YORK

HQ 0200

HQ 1326

Approved: _____ Transmitted _____ (Number) _____ (Time) Per 3

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CLASSIFICATION:
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- ☐ UNCLAS

Date _____

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36 (Rev. 5-22-78)

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Date _____

PAGE THREE UNCLAS

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FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR (196B-2843) ROUTINE

HOUSTON (196B-375) (INFO)

BT

UNCLAS

MARC RICH; LEA MARC ATION AND COMPANY; [redacted] FBW-ENERGY

RELATED, OO: NEW YORK.

REBUTELETYPE TO HOUSTON, DECEMBER 4, 1981, ENTITLED [redacted]

[redacted], ET AL, FBW-ENERGY RELATED, OO: HOUSTON AND BUTELETYPE

TO NEWYORK, NOVEMBER 2, 1981.

NYO REQUESTS THAT FBIHQ FORWARD THROUGH OFFICE OF ENFORCEMENT

OPERATIONS (OEO) TO BUREAU OF PRISONS (BPO) FURLOUGH REQUEST BASED

ON THE BELOW DETAILS AS PREScribed IN REFERENCED TELETYPE TO HOUSTON:

1. [redacted]

[redacted] TEXAS.

2. NYO INVESTIGATION INVOLVES [redacted]

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10 USC 1774-15

INDEXED FILED

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP2TC/ECS
(CDRU #01-081)

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[redacted] YORK

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[redacted]

IAM [redacted] LOCATED TO DATE EXCEEDS [redacted] THESE [redacted] b6 b7C b7D [redacted] REVIEWWHAS NOT COMPLETED AT THAT TIME AND THIS REQUEST IS TO HAVE THEIR ASSISTANCE IN COMPLETING THAT REVIEW.

3. [redacted]

4. NEW YORK CASE AGENT DOES NOT CONTEMPLATE INVESTIGATIVE ACTIVITY WHICH WOULD REQUIRE SECURITY MEASURES. b6 b7C b7D

5. REQUEST [redacted] TO REMAIN IN [redacted] FROM [redacted] IN THAT CITY. [redacted] TO ARRIVE IN [redacted], NEW YORK, BY [redacted] AM WHERE REVIEW OF [redacted] LOCATED THERE WILL PROVIDE [redacted] WITH [redacted] TO BE FURNISHED. [redacted] TO LEAVE [redacted] NEW YORK [redacted] PM. THESE PLANS BASED ON

AVAILABILITY ACCOMODATIONS.

6. [redacted] WILL BE CALLED AS WITNESSES AND WILL BE NEEDED FOR OTHER INVESTIGATIVE PURPOSES IN THE FUTURE.

7. PRESENTLY THERE APPEARS NO NEED FOR EITHER [redacted] TO BE RELOCATED IN THE FUTURE. b6 b7C b7D

8. [redacted] ATTORNEY FOR [redacted]

BI

FD-302 (rev. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription
12/11/81

1

[redacted] provided the below described [redacted]

1. [redacted]

2. [redacted]

3. [redacted]

4. [redacted]

5. [redacted]

6. [redacted]

7. [redacted]

8. [redacted]

9. [redacted]

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See 1A #1

Interviewed on 11/8/81 at [redacted] File # NY 196B-1774-16

By SA [redacted] /kvk Date Dictated 11/13/81

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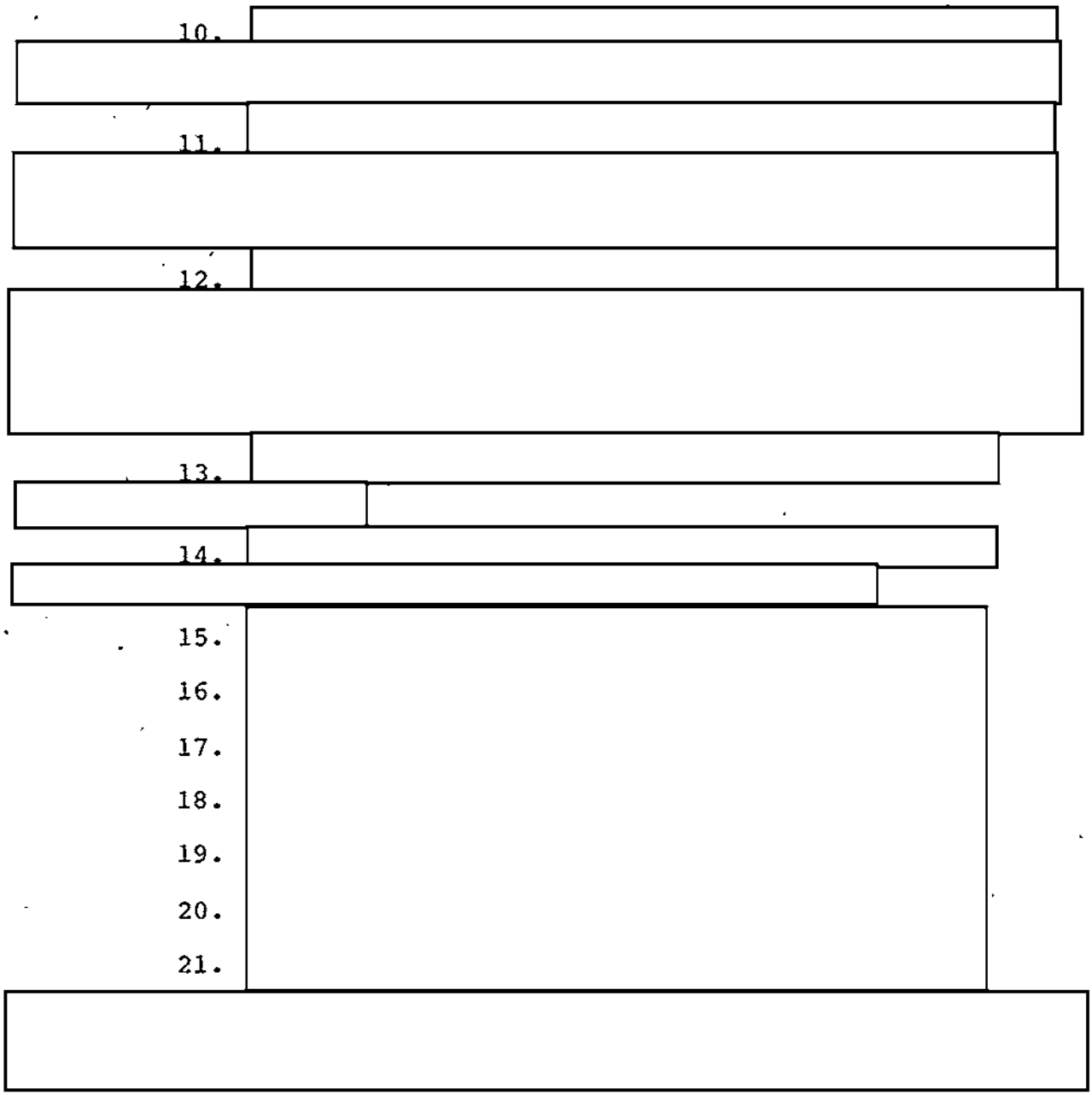
This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)



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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/28/81

[redacted] provided the following information:

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[redacted]

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[redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5IC/EPS
(CDELL #01-081)

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Investigation on 12/28/81 at New York, New York File # NY 196B-1774[illegible]

by SA [redacted] ras b6 b7C Date dictated 12/28/81

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[redacted]

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/28/81

1.

[redacted] Texas, provided the following information:

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[redacted]

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[redacted]

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[redacted]

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[redacted]

[redacted]

b6
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDRU #01-081)

SEE 1A 2

Investigation on 12/28/81 at New York, New York File # NY 196B-1774-12

by SA [redacted] /ras

b6
b7C

Date dictated 12/28/81

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[redacted]

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FD-36 (Rev. 5-22-78)

FBI

006

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] _____

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 1/21/82

FM NEW YORK (196B-1774) (P) (M-1)

TO DIRECTOR (196B-2848) ROUTINE

BT

U N C L A S

MARC RICH; ET AL, FBW-ENERGY RELATED, OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED DECEMBER 11, 1981, AND NYTELCAL TO THE BUREAU, ON JANUARY 22, 1982.

FOR INFORMATION OF THE BUREAU, MEETING HAS BEEN SCHEDULED IN WASHINGTON, D.C., ON [redacted] BETWEEN AUSA [redacted] SDNY, DOJ ATTORNEY [redacted] PURPOSE OF MEETING IS TO [redacted] REGARDING CAPTIONED MATTER. AUSA [redacted] HAS REQUESTED THAT NEW YORK CASE AGENT [redacted] BE PRESENT AT THIS MEETING.

b6
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SAC, NEW YORK, CONCURS WITH NECESSITY OF TRAVEL. BUREAU APPROVAL REQUESTED FOR TRAVEL OF NEW YORK CASE AGENT TO WASHINGTON, D.C., ON [redacted] WITH RETURN SAME DAY.

BT

#

2-NEW YORK
1-SUPERVISOR M-1

GJL:mp
(2)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP3IC/EDS (CDELL #01-081)

b6
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196-1774-18

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

JAN 22 1982

FBI-NEW YORK [redacted] 602

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

GYOZSS 0211745

NR NG

DE NY 000

R 021738Z JAN 82

FM NEW YORK (196E-1774) (P) (C-1)

TO DIRECTOR (196B-8848) ROUTINE

BT

U N C L A S

MARC RICH; ET AL, FOC-ENERGY RELATED, OO: NEW YORK.

REMYTEL TO THE BUREAU, DATED DECEMBER 11, 1981, AND NYTELCAL TO THE BUREAU, ON JANUARY 22, 1982.

FOR INFORMATION OF THE BUREAU, MEETING HAS BEEN SCHEDULED IN WASHINGTON, D.C., ON [redacted] BETWEEN AUSA [redacted], SDNY, DOJ ATTORNEY [redacted] PURPOSE OF MEETING IS TO [redacted] REGARDING CAPTIONED MATTER. AUSA [redacted] HAS REQUESTED THAT NEW YORK CASE AGENT [redacted] BE PRESENT AT THIS MEETING.

b6
b7C
b7E

SAC, NEW YORK, CONCURS WITH NECESSITY OF TRAVEL. BUREAU APPROVAL REQUESTED FOR TRAVEL OF NEW YORK CASE AGENT TO WASHINGTON, D.C., ON [redacted] WITH RETURN SAME DAY.

BT

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TJC/LDS (CDRU #01-081)

196-1774-18

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

JAN 22 1982

FBI—NEW YORK

Memorandum

To : ADIC, NY (196-1774) (P) Date 1/25/82

From : SA [redacted] b6 b7C

Subject : MARC RICH;
ET AL
FBW
OO: NY

b6
b7C
b7E

On 1/22/82 writer met with [redacted] of his staff, DOJ attorney [redacted] and AUSA [redacted] SDNY in Washington, D.C. [redacted] made a presentation regarding an investigation [redacted] involving MARC RICH and his companies. The result of the meeting was that another possible way for changing of oil certification may have been perpetrated by RICH and his companies. [redacted] stated that all these records would be available to the Bureau upon appropriate request.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

196B-1774-19
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN 25 1982
FBI—NEW YORK

FBI/DOJ

Memorandum

To : ADIC, NY (196-1774) (P) Date 1/25/82

From : SA [redacted]

b6
b7C

Subject : MARC RICH
ET AL
FBW
OO: NY

MARC RICH & Co, INC is located at 280 Park Ave, NY, NY with telephone number 867-3300. (Per Telephone Directory)

MARC RICH lives at 625 PARK Ave, NY with telephone number 734-0997. At this time, positive identifying information has not been obtained to assume that Park Ave individual is in fact owner of Marc Rich & Co.

D & B sent to Baltimore, credit check sent to BQ.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/RDS
(CDRU #01-081)

196B-1774-20

JAN 1982

FBI/DOJ

Record Request
FD-125 (Rev. 1-30-75)

Date 1/25/82

☐ Birth ☐ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage * ☒ Motor Vehicle ☐ Other
☒ Driver's License

To: RADIO ROOM | Buded:

Return to: [redacted] (M-1) b6 b7C | File number: 196-1774

Name and aliases of subject, applicant, or employee, and spouse:
MARC RICH

Addresses
Residence: 675 PARK AVE, NY, NY
Business: 280 PARK AVE, NY, NY
Former:

* Date and place of marriage (if applicable):

Race	Sex	Age	Height	Weight	Hair	Eyes
W	☒ Male ☐ Female	35				

Birth date: XX/XX/1947 | Birthplace:

Arrest Number: | Fingerprint classification: | Criminal specialty:

Specific information desired | Social Security Number:

Results of check

1/25/81
TM
not hit
2

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

196-1774-21
SEARCHED ... INDEXED ... SERIALIZED ... FILED ...
602

(Use reverse side, if necessary)

FBI/DOJ

Memorandum

To : SAC, NY (196-1774) (P) Date 1/25/82

From : SA [redacted] b6 b7C

Subject : MARC RICH;
ET AL
FBW
OO: NY

FOR INFO OF FILE, Indices negative on PINCUS GREEN and [redacted] b6 b7C Indices on MARC RICH show reference to 100-169963-11 which when reviewed showed file to be non-ident.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/RDS (CDRH # 01-081)

196-1774-22

FBI/DOJ

Record Request
FD-125 (Rev. 1-30-75)

Date 1/25/82

☐ Birth ☒ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage * ☐ Motor Vehicle ☐ Other
☐ Driver's License

To BQ | Buded:

Return to [redacted] (M-1) b6 b7C | File number 196-1774

Name and aliases of subject, applicant, or employee and spouse

MARC RICH

Addresses
Residence 625 PARK AVE, N.Y., N.Y.
Business 280 PARK AVE, NY, NY
Former

* Date and place of marriage (if applicable)

Race	Sex	Age	Height	Weight	Hair	Eyes
W	☒ Male ☐ Female					

Birth date | Birthplace

Arrest Number | Fingerprint classification | Criminal specialty

Specific information desired | Social Security Number

Results of check

RECORDS FURNISHED [redacted] b6 b7C SC [redacted]

196-1774-23

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP3C/RDS (CDRW #01-081)

JAN 28 1982

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
JAN 26 1982
FBI — BQ

(Use reverse side, if necessary)

FBI/DOJ

GO
SUBJP 1 RICH,MARC*2 625,,PARK,AV,NYC,NY**

Trans Union Credit Information Co.

New York Division
330 West 34th Street • New York, N.Y. 10001
212/239-2000

IF YOU OPEN AN ACCOUNT WITH THIS INDIVIDUAL, PLEASE COMPLETE THE FOLLOWING, DETACH, AND RETURN TO THE ABOVE ADDRESS.

ECOA DESIGNATOR	SUBSCRIBER CODE NO.	HIGH CREDIT	TYPE ACCOUNT	TERMS MOS.	TERMS AMOUNT	DATE OPENED	ACCOUNT NO.

FOR (P) ZNY1116 IN FILE SINCE 3/81

REPORT ON (NAME)	SOCIAL SECURITY NUMBER	SPOUSE'S NAME
RICH, MARC		b6 b7C

CURRENT ADDRESS	MKT AREA	SPOUSE SOCIAL SECURITY NO.
625 PARK AVE, NEW YORK NY, 10000	17 NY	

PRESENT EMPLOYER AND ADDRESS	CLOCK #	POSITION	DATE VERIFIED	SINCE	INCOME BASIS
MARC RICH & 230 PARK AV., NEW YORK NY.			8/81R		

BIRTH DATE	NUMBER OF DEPENDENTS INCLUDING SPOUSE	OWNS/RENTS/BOARDS	TEL. NO.

FORMER ADDRESSES	FROM	TO

FORMER EMPLOYER AND ADDRESS	CLOCK #	POSITION	DATE VERIFIED	SINCE	INCOME BASIS

SPOUSE'S EMPLOYER AND ADDRESS	CLOCK #	POSITION	DATE VERIFIED	SINCE	INCOME BASIS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP2TC/RDS
(CDCW # 01-081)

SUBSCRIBER NAME	SUBSCRIBER CODE	DATE OPENED	E C O A	HIGH CREDIT	DATE CLOSED/ VERIFIED	PRESENT STATUS BALANCE OWING	PRESENT STATUS AMOUNT PAST DUE	PRESENT STATUS NPPD	PAYMENT PATTERN	TYPE OF ACCOUNT & MOP
ACCOUNT NUMBER	COLLATERAL	TYPE LOAN	CREDIT LIMIT	TERMS	MAXIMUM DELINQUENCY DATE	MAXIMUM DELINQUENCY AMOUNT	MAXIMUM DELINQUENCY MOP	HISTORICAL STATUS NO. OF MONTHS	HISTORICAL STATUS 30-59 / 60-89 / 90+	REMARKS

ECOA INQUIRY AND ACCOUNT DESIGNATORS

- I Individual account for sole use of applicant
- C Joint contractual liability
- A Authorized user of shared account
- P Participant in shared account which cannot be distinguished as C or A
- S Co-signer, no spousal relationship
- M Co-signer primarily liable for account
- T Relationship with account terminated
- U Undesignated
- N Non-applicant spouse inquiry

REMARKS AND FCBA DISPUTE CODES

- AJP Adjustment pending.
- BKL Bankruptcy loss.
- CCA Consumer counseling account.
- CD Disputed after Resolution Process
- CLA Placed for collection.
- DIS Dispute following resolution.
- DP In Dispute, resolution pending.
- DRP Dispute resolution pending.
- JUD Judgement obtained.
- MOV Moved. Left no forwarding address.
- ND No dispute.
- PRL Profit and loss write-off.
- RLD Repossession, Paid by dealer.
- RLP Repossession, Proceeds applied to debt.
- RPO Repossession.
- RRE Repossession, redeemed.
- RS Dispute resolved.
- RVD Returned voluntarily. Paid by dealer.
- RVN Returned voluntarily.
- RVP Returned voluntarily, Proceeds applied to debt.
- RVR Returned voluntarily, redeemed.
- STL Plate stolen or lost.
- WEP Wage Earner Plan Account (Chapter XIII)

b6
b7C

PUBLIC RECORD TYPES

- AG Annulment Granted
- AN Annulment
- AT Chapter 13 Adjudicated
- AQ Acquitted
- BD Bankruptcy Dismissed
- BR Bankruptcy
- CD Civil Judgment Disputed
- CH Chattel Mortgage
- CJ Civil Judgment
- CN Change of Name
- CS Civil Suit Filed
- DB Dismissed Bankruptcy
- DC Discharged Bankruptcy
- DE Deed and Mortgage
- DF Dismissed Foreclosure
- DG Divorce Granted
- DJ Deficiency Judgment
- DM Deed and Mortgage (NY-PHIL)
- DS Divorced Dismissed
- DT Dismissal of Court Suit
- DV Chapter 13 Dismissed
- FC Divorce
- FD Foreclosure
- FR Forcible Detainer
- FS Foreclosure Real Estate Sold
- FT Financing Statement
- GN Federal Tax Lien
- HL Garnishment
- HS Hospital Lien
- JC Homestead
- JD Judgment by Confession
- JM Judgment by Default
- LP Dismissed Judgment
- LT Lis Pendens
- MC Landlord Tenant
- ML Miscellaneous
- ND Mechanics Lien
- NP Notice of Default
- Notice Non Responsible

TERMS OF SALE

Open Account (30 days or 90 days)	O
Revolving or Option (Open-end)	R
Instalment (fixed number of payments)	I
Mortgage (fixed number of payments)	M
Check credit (line of credit)	C

USUAL MANNER OF PAYMENT

Too new to rate; approved but not used or not rated	00
Pays (or paid) within 30 days of billing pays accounts as agreed	01
Pays (or paid) in more than 30 days but not more than 60 days, or not more than one payment past due	02
Pays (or paid) in more than 60 days, but not more than 90 days, or two payments past due	03
Pays (or paid) in more than 90 days, but not more than 120 days, or three or more payments past due	04
Pays (or paid) in 120 days or more	05
Making regular payments under wage	

KINDS OF BUSINESS CLASSIFICATION

Code	Kind of Business
A	Automotive
B	Banks
C	Clothing
D	Department and Variety
F	Finance
G	Groceries
H	Home Furnishings
I	Insurance
J	Jewelry and Cameras
K	Contractors
L	Lumber, Building Material, Hardware
M	Medical and Related Health
N	National Credit Card
O	Oil and National Credit Card Companies
P	Personal Services Other Than Medical
Q	Mail Order Houses
R	Real Estate and Public Accommodations
S	Sporting Goods
T	Farm and Garden Supplies
U	Utilities and Fuel
V	Government
W	Wholesale
X	Advertising
Y	Collection Services
Z	Miscellaneous

1020 GO

SUBJP 1 RICH,MARC*2 280,,PARK,AV,NYC,NY**

Trans Union Credit Information Co.

New York Division
330 West 34th Street • New York, N.Y. 10001
212/239-2000

IF YOU OPEN AN ACCOUNT WITH THIS INDIVIDUAL, PLEASE COMPLETE THE FOLLOWING, DETACH, AND RETURN TO THE ABOVE ADDRESS.

ECOA DESIGNATOR	SUBSCRIBER CODE NO.	HIGH CREDIT	TYPE ACCOUNT	TERMS MOS.	TERMS AMOUNT	DATE OPENED	ACCOUNT NO.

FOR (P) ZNY1116 IN FILE SINCE 4/77

REPORT ON (NAME)	SOCIAL SECURITY NUMBER	SPOUSE'S NAME
RICH, MARC		

CURRENT ADDRESS	MKT AREA	SPOUSE SOCIAL SECURITY NO.
280 PARK AV., NEW YORK NY. 10000	17 NY	

PRESENT EMPLOYER AND ADDRESS	CLOCK #	POSITION	DATE VERIFIED	SINCE	INCOME BASIS
MARC RICH & CO 280 PARK AV., NEW YORK NY.			6/79R		

BIRTH DATE	NUMBER OF DEPENDENTS INCLUDING SPOUSE	OWNS/RENTS/BOARDS	TEL. NO.

FORMER ADDRESSES	FROM	TO

FORMER EMPLOYER AND ADDRESS	CLOCK #	POSITION	DATE VERIFIED	SINCE	INCOME BASIS

SPOUSE'S EMPLOYER AND ADDRESS	CLOCK #	POSITION	DATE VERIFIED	SINCE	INCOME BASIS

SUBSCRIBER NAME	SUBSCRIBER CODE	DATE OPENED	ECOA	HIGH CREDIT	DATE CLOSED/ VERIFIED	PRESENT STATUS BALANCE OWING	PRESENT STATUS AMOUNT PAST DUE	PRESENT STATUS NPPD	PAYMENT PATTERN	TYPE OF ACCOUNT & MOP
ACCOUNT NUMBER	COLLATERAL		TYPE LOAN	CREDIT LIMIT	TERMS	MAXIMUM DELINQUENCY DATE	MAXIMUM DELINQUENCY AMOUNT	MAXIMUM DELINQUENCY MOP	HISTORICAL STATUS NO. OF MONTHS / 30-59 / 60-89 / 90+	REMARKS

ECOA INQUIRY AND ACCOUNT DESIGNATORS

I	Individual account for sole use of applicant	P	Participant in shared account which cannot be distinguished as C or A
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A	Authorized user of shared account	M	Co-signer primarily liable for account
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U	Undesignated		
N	Non-applicant spouse inquiry		

REMARKS AND FCBA DISPUTE CODES

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BKL	Bankruptcy loss.
CCA	Consumer counseling account.
CD	Disputed after Resolution Process
CLA	Placed for collection.
DIS	Dispute following resolution.
DP	In Dispute, resolution pending.
DRP	Dispute resolution pending.
JUD	Judgement obtained.
MOV	Moved. Left no forwarding address.
ND	No dispute.
PRL	Profit and loss write-off.
RLD	Repossession. Paid by dealer.
RLP	Repossession. Proceeds applied to debt.
RPO	Repossession.
RRE	Repossession, redeemed.
RS	Dispute resolved.
RVD	Returned voluntarily. Paid by dealer.
RVN	Returned voluntarily.
RVP	Returned voluntarily. Proceeds applied to debt.
RVR	Returned voluntarily, redeemed.
STL	Plate stolen or lost.
WEP	Wage Earner Plan Account (Chapter XIII)

TERMS OF SALE

Open Account (30 days or 90 days)	O
Revolving or Option (Open-end)	R
Instalment (fixed number of payments)	I
Mortgage (fixed number of payments)	M
Check credit (line of credit)	C

USUAL MANNER OF PAYMENT

Too new to rate; approved but not used or not rated	00
Pays (or paid) within 30 days of billing pays accounts as agreed	01
Pays (or paid) in more than 30 days but not more than 60 days, or not more than one payment past due	02
Pays (or paid) in more than 60 days, but not more than 90 days, or two payments past due	03
Pays (or paid) in more than 90 days, but not more than 120 days, or three or more payments past due	04
Pays (or paid) in 120 days or more	05

KINDS OF BUSINESS CLASSIFICATION

Code	Kind of Business
A	Automotive
B	Banks
C	Clothing
D	Department and Variety
F	Finance
G	Groceries
H	Home Furnishings
I	Insurance
J	Jewelry and Cameras
K	Contractors
L	Lumber, Building Material, Hardware
M	Medical and Related Health
N	National Credit Card
O	Oil and National Credit Card Companies
P	Personal Services Other Than Medical
Q	Mail Order Houses
R	Real Estate and Public Accommodations
S	Sporting Goods
T	Farm and Garden Supplies
U	Utilities and Fuel
V	Government
W	Wholesale
X	Advertising
Y	Collection Services

PUBLIC RECORD TYPES

AG	Annulment Granted
AN	Annulment
AT	Chapter 13 Adjudicated
AQ	Acquitted
BD	Bankruptcy Dismissed
BR	Bankruptcy
CC	Civil Action Docket
CD	Civil Judgment Disputed
CH	Chattel Mortgage
CJ	Civil Judgment
CN	Change of Name
CS	Civil Suit Filed
DB	Dismissed Bankruptcy
DC	Discharged Bankruptcy
DE	Deed and Mortgage
DF	Dismissed Foreclosure
DG	Divorce Granted
DJ	Deficiency Judgment
DM	Divorced Dismissed
DS	Dismissal of Court Suit
DT	Chapter 13 Dismissed
DV	Divorce
FC	Foreclosure
FD	Forcible Detainer
FR	Foreclosure Real Estate Sold
FS	Financing Statement
FT	Federal Tax Lien
GN	Garnishment
HL	Hospital Lien
HS	Homestead
JC	Judgment by Confession
JD	Judgment by Default
JM	Dismissed Judgment
LP	Lis Pendens
LT	Landlord Tenant
MC	Miscellaneous
ML	Mechanics Lien
ND	Notice of Default

Record Request
FD-125 (Rev. 1-30-75)

Date 1/25/82

☐ Birth ☐ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage ☐ Motor Vehicle ☒ Other D+B
☐ Driver's License

To: SPECIAL CLERKS

Buded

(M-1) b6 b7C

File number 196-1774

Name and aliases of subject, applicant, or employee, and spouse

MARC RICH and Co., Inc.

Addresses
Residence
Business 280 PARK AVE N.Y., N.Y. 10017
TEL # 867-3300

* Date and place of marriage (if applicable)

Race	Sex ☐ Male ☐ Female	Age	Height	Weight	Hair	Eyes

Birth date	Birthplace

Arrest Number	Fingerprint classification	Criminal specialty

Specific information desired
D+B Check

Social Security Number

Results of check

1/27/82 RC

196-1774-24

SEARCHED
SERIALIZED
JAN 29 1982
FBI - NEW YORK

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b7C

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 3/31/01 BY SP3C/EDS (CDEU #01-081)

(Use reverse side, if necessary)

FBI/DOJ

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] _____

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

039

Date 1/28/82

FM NEW YORK (196B-1774) (P) (M-1)

TO ~~DIRECTOR~~ (196B-2848) ROUTINE

BT

U N C L A S

MARC RICH, DBA MARC RICH AND COMPANY, ET AL, FBW-ENERGY RELATED,

OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED DECEMBER 11, 1981.

REFERENCED NEW YORK TELETYPE REQUESTED [redacted]

[redacted]

b6
b7C
b7D

NYO REQUESTS FBIHQ FORWARD ABOVE [redacted]

BT

196B-1774-25

#
1-NEW YORK
1-SUPERVISOR M-1

GJL:mp
(2)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDEU #01-081)

b6
b7C

[redacted]

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Approved: _____ Transmitted _____ (Number) _____ (Time) Per 1

HQ-025

NYO 633 ESS 2572

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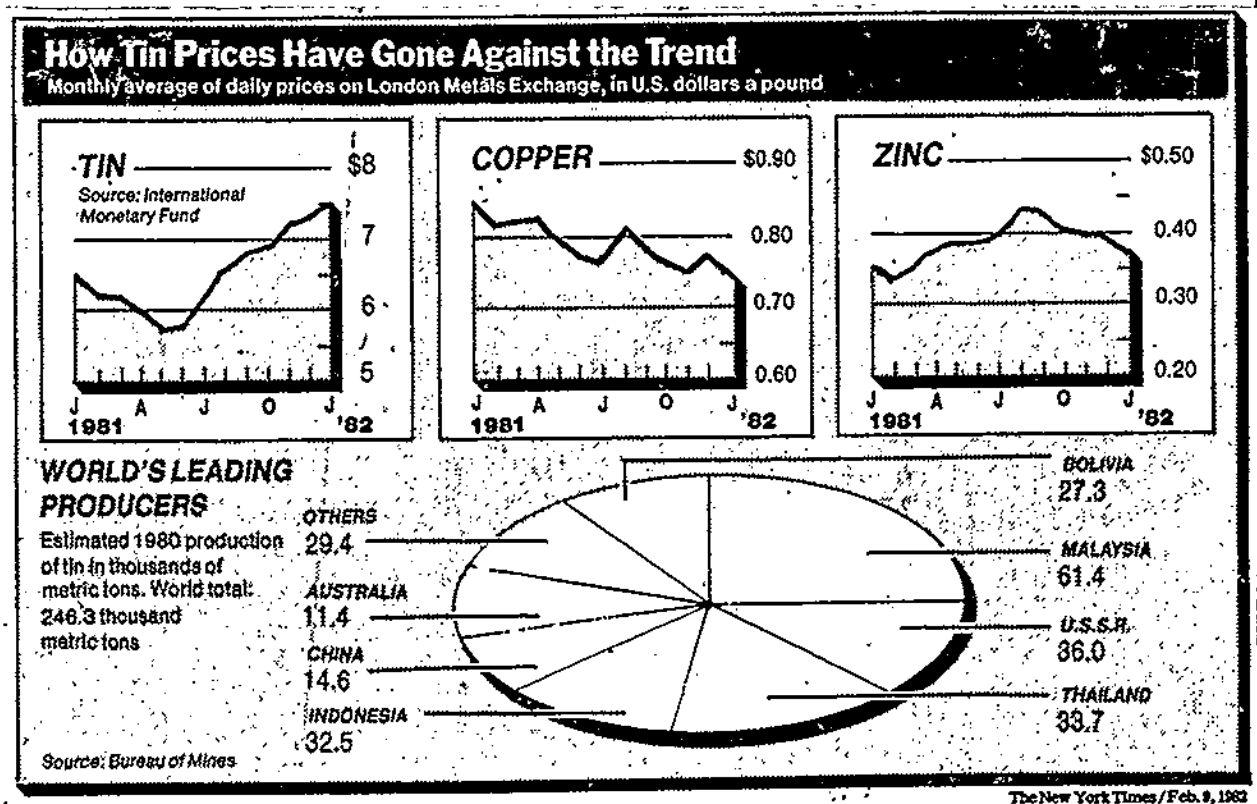

The New York Times / Feb. 9, 1982

Who's Trying to Corner Tin?

Rumors Rife With Price Climbing

By COLIN CAMPBELL

Since last July, a shadowy group of speculators has spent as much as half a billion dollars buying up huge quantities of tin. Their evident attempt to corner the market has since raised the price of this normally plentiful metal more than 25 percent — to as much as $7.60 a pound in London yesterday — while the prices of other commodities have sagged under world recession.

Commodity traders have been startled by such high prices at this time. Governments, manufacturers and the governors of world exchanges have been investigating the scheme, poohpoohing it, taking steps to undercut it, asking how much more the speculators can spend and, generally, filling the narrow world of big-time tin with the shrewd and wild gossip that attends every such manipulation.

On the floor of the London Metal Exchange, where much of the world's tin is traded, the prevailing rumor is that the Malaysian Government lies behind the gigantic purchases, which have amounted so far to 20,000 and perhaps even 30,000 tons of soft, shiny, useful, unglamorous tin.

Since Malaysia is the world's largest producer of tin, that country, its accusers say, has the most to gain from higher prices. The recent buying binge, moreover, began right after the world's tin-consuming nations killed a proposal last July to raise tin prices under the current International Tin Agreement.

No Threat to U.S.

The squeeze, which appears to be legal, is not considered threatening to any vital American interest. Roy Markon, chief tin salesman for the General Services Administration in Washington, which stockpiles dozens of strategic materials, said that the Government agency's tin stockpile stands at almost 200,000 tons. It has been estimated, he said, that only 42,000 tons would be needed in a war lasting three years.

Nor do world supplies appear threatened; tin is mined in Bolivia, Indonesia, Thailand and elsewhere in addition to Malaysia. Although world production has been dropping from a normal 200,000 tons and more, most analysts expect the economic slump to produce an even bigger surplus this year than last.

Nor has the unexpected price rise yet devastated dealers caught on the wrong side of the market. The main reason, tin traders say, is that the London Metal Exchange last week eased its rules on how much a trader in tin futures would be permitted to lose when he must deliver tin when his contract to do so comes due at the end of this month.

Still, attempts to corner basic commodities are hardly common. There seems to be a plot afoot and there are certainly fortunes at stake. Memories of the Hunt brothers' attempt in 1980 to corner silver, and of another group to send up coffee prices have been revived together with the lessons of their failures. The jealousies of nations are

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Business Day

The New York Times

TUESDAY, FEBRUARY 9, 1982
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gated to say, "Yes, of course, we mind." But that wasn't the prime reason for our decision.

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THE NEW YORK TIMES,

Who's Trying to Corner Tin?

Continued From First Business Page

also at work — of tin producers, in this case, versus industrialized consumers. Rumors of an OPEC-style tin cartel are being heard more and more.

"Buyers don't know what to do because traders don't know what to do," said an irritated trader in New York City who buys tin on the international market and sells it to manufacturers.

"It's costing us a hell of a lot of money," said a spokesman for United States Steel in Pittsburgh. Calling the squeeze "galling," he estimated that U.S. Steel alone bought 4,000 tons of tin a year, nearly all of it for tinplate.

"It's probably the Malaysians," runs the private opinion of more than one tin expert in the United States Government — although a diplomatic official at the State Department insisted, "We have not seen anything that constitutes proof" that the Malaysian Government itself is involved.

Malaysia Denies It

Perhaps the buyers are "private individuals," said George Kam, who heads the Malaysian Tin Bureau in Washington. "Perhaps Malaysian," he said, "perhaps Indonesian, perhaps some Arabs, perhaps OPEC money — all that jazz. It's certainly not the Malaysian Government that's doing it." High officials in Kuala Lumpur, the capital of Malaysia, have likewise denied that their Government is involved.

One curious rumor, an American official reported, is that someone wants to monetize tin. But why anyone would choose a metal whose uses have been shrinking is an enigma, he said. "Consumption has been going down, not up," a New York tin dealer observed.

"Also there's a rumor that it's a West Coast American company," a broker in London said. Still another theory is that the buyers just want to close the London market.

"Whoever's doing this is playing with fire," warned the State Department official, who, like many others, chose to speak only privately about the issue.

Mr. Kam predicted: "Either A, they'll get burned, like the Hunt brothers in silver, or B — I don't know what."

'A One-Way Bet'

Most analysts predict that the scheme will collapse. "This thing can't stay up there for long," said a tin purchaser in industry. But no one is sure. "Up to a point," an American official noted, "the speculator has a one-way bet."

A key figure in the smelter of speculation is said to be a broker named Marc Rich. Mr. Rich, who made a fortune in oil during the early 1970's and whose Marc Rich & Company operates in Switzerland, New York and elsewhere, reportedly began proposing a world-scale plan to raise tin prices early last year.

A spokesman for his company in New York said yesterday that it had a policy against discussing business, and that Mr. Rich was "almost impossible" to reach.

According to The Economist, the respected London news weekly, Mr. Rich also sells tin in the United States for the world's largest tin producer, the Malaysian Mining Company — 55 percent of which is owned by the Malaysian Government.

Three Malaysian directors of that company are reported to have set up a tin-buying company last June named Maminco, with a capital of $90 million. Where the money came from, or where much more tin-buying money has come from, is uncertain. The Economist has reported that the Government-owned Bank Bumiputra of Malasia is intimately involved.

Abdul Rahim Aki, who is head of Maminco and also deputy chairman of the Malaysian Mining Company, has denied that the private company is connected with the Government company. But interlocking directorates and other coincidences have persuaded many tin traders in Penang — Malaysia's spot market for tin — and in London and elsewhere that the Government backs the squeeze, and the Kuala Lumpur stock exchange is said to be investigating.

The Heavy Buying Begins

After last July's failed attempt by tin-producing nations to raise the official floor under the metal, prices on the Penang market shot up more than 20 percent in 10 days, one American official said. Soon afterward, metals dealers in London noticed that traders from Maclaine Watson & Company Ltd. — part of the Drexel Burnham Lambert group — were paying premium prices for three-month tin futures.

"They started buying massively," a London metals trader said. "They bought and bought and bought. I don't know how much they bought before that in Penang."

In October a meeting of the International Tin Council, which regulates prices on behalf of most producing and consuming nations, raised the price, but only slightly. Then suddenly, a few weeks later, the buyers changed tactics.

On Nov. 26 they sold 10,000 tons worth of futures and bought 10,000 tons of metal. The switch depressed futures prices and sharply raised the price of physical tin. It made the metal costli-

Subject 196-1774

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FD-350 (Rev. 10-19-77)

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Rumors Rife as the Price Climbs

er, in fact, than futures contracts for it — a phenomenon known in London as "backwardation," since the costs of inventories normally keep tin prices the other way around.

"Backwardation" had startling results. The speculators were able to sell some of their physical hoard and buy up further contracts for future delivery. As for those who remained in the market in the hope that the speculation would collapse, some must now deliver physical tin on Feb. 25 and 26. Prices are exceptionally high, and much of the tin they will need is controlled by the shadowy buyers.

Some Nervous Traders

The market has thus remained tight and traders jumpy, and some relatively amateur speculators — in a tin market usually played by a few senior traders — are said to be in trouble.

"The situation," one trader in London said, "has been getting steadily worse."

"Forward business," added a New York tin buyer, "has slowed down despite backwardation."

Citing "abnormalities" in the market, the London Metal Exchange announced last week that a "gentlemen's agreement" had been reached to insure that the market remained "orderly."

Traditionally, contractual default on the exchange has been penalized by what one trader called "horrible" fines and other sanctions. "Now," the trader said, sounding disappointed, "if you default on the tin market you're only going to be fined £120 per ton per day."

"I didn't think the London market would ever do that," the trader added, saying that quicker-witted dealers had already escaped undamaged. Despite the rule change, he said, "The sparks will fly" in London late this month.

The United States has no domestic market in tin futures, but some Americans are obviously riveted on world developments.

Will the mystery buyers run out of money? It has been noted that they have been selling as well as buying tin, and an American official said that the price has not risen greatly since Jan 22. The price has hovered, in fact, just below the point at which the manager of the International Tin Council's buffer stock must start selling tin to ease the price. This stockpile is small by American standards — only a few thousand tons — but analysts wonder if the buyers would rather not be forced to buy it.

From another office in Washington, Mr. Markon at the General Services Administration, when asked if he planned to flood the market with the 22,000 tons of tin that Congress has authorized him to sell, said with a laugh, "I'm a salesman!" He added, "We've made $125 million since we started selling" a year and a half ago. His earnings have come from the sale of more than 8,000 tons of tin, most of it offered since the buying spree began. The tin is now being offered to foreign as well as domestic buyers, a power granted by Congress in December.

It is against the agency's legislated policy, Mr. Markon said, to buy and sell commodities in a way designed to manipulate their prices. But he is empowered to sell off surplus stocks "in a prudent manner."

The metal that Mr. Markon has been selling has been stored, he said, in "unwanted" depots in Mechanicsburg, Pa., and elsewhere. Some of the surplus tin is three decades old, and some traders have wondered about its quality.

Next June 30, the current International Tin Agreement will expire. The United States has announced that it will not enter the next agreement, and Government officials believe that an agreement without this country and perhaps some other consumers might vitiate the concept of a regulated market in tin. Large American tin buyers, such as the steel companies, believe with the Reagan Administration that a freer market in a plentiful commodity would help keep consumers' prices down.

The mystery buyers may be fighting a long-term drop in prices as well as an immediate one, and the drama's main audience is trying to figure out how the squeeze might possibly succeed.

"Maybe they're holding on until we're out of this recession," said a tin purchaser for a large American steel company. "Then demand would shoot up and they'd be home free."

United States officials, trying to determine what might happen if the mystery buyers have $1 billion to spend, note a possible chink in America's armor: an inability to sell huge amounts of tin quickly.

If, they say, the mystery buyer is rich enough to purchase the 22,000 tons of tin that Congress has already authorized, and if the buyer could afford also to buy the International Tin Council's much smaller buffer stock, and if the buyer could also pick up enough of the world's surplus production to keep that source tight — then (assuming some other if's including an economic upturn) the tin king might somehow dispose of his hoard at a tremendous profit before Congress can authorize the sale of more tin. For in the past, officials note, a complexity of interests has kept Congress from acting swiftly on stockpiled commodities.

In any case, one Government official warned, if recent history repeats itself even huge sales might not lower prices greatly. During the rapid rise in commodity prices around the time of the oil price increases of 1973-74, the General Services Administration sold nearly 44,000 tons of tin over two years. Yet world tin prices did not collapse. If something similar happens this time, the tin-producing countries would make a killing on the heels of the mystery buyer.

If present prices drop, and if the next International Tin Agreement fails to raise the floor, tin producers are widely expected to revive an old idea of forming a cartel.

The prospect elicits skepticism and distaste from tin consumers and American officials.

"I think the Malaysians are talking a little too strongly now," said a major buyer and seller of tin in New York City. "I don't know who they think they are. Tin is not oil." He meant that the commodity was not as irreplaceable as oil. Others, however, have noted that tin production is concentrated in a handful of countries, and that three-quarters of the non-Communist world's tin comes from Malaysia, Indonesia and Thailand — all members of the Association of Southeast Asian Nations.

Critics have also noted also, however, that although tin consumption is rising in both Communist and developing countries, important substitutes have been found in the industrialized West, which uses three-quarters of the world's tin and devotes most of that to tinplate and solder.

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FD-350 (Rev. 10-19-77)

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 3/1/82

[redacted] telephone number [redacted], was contacted at his place of employment.

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He was informed of the official identities of the interviewing Agents. SA [redacted] served Mr. [redacted] a Grand Jury subpoena duces tecum, issued by the Southern District of New York, directed to [redacted] The subpoena commands an [redacted] U.S. Courthouse, Foley Square, in the Borough of Manhattan, City of New York.

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FBI—NEW YORK
802

Investigation on 2/18/82 at [redacted] File # Houston 196B-881

by SAs [redacted] and dls Date dictated 2/22/82

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Investigation on 2/18/82 at [redacted] File # NY 196B-1774-28 Houston 196B-881

by SAs [redacted] and DAS/dls Date dictated 2/22/82

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196B-1774-29

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FBI—NEW YORK
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Investigation on 2/18/82 at [redacted] File # Houston 196B-881

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Investigation on 2/18/82 at [redacted] File # NY 196B-1774-29 Houston 196B-881

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Airtel

2/11/82

TO: SAC, HOUSTON (196B-881)

FROM: ADIC, NEW YORK (196B-1774) (P)

SUBJECT: MARC RICH,
dba MARC RICH AND COMPANY;
[redacted]
FBW - ENERGY RELATED
(OO:NY)

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ReButeletype to NY, dated 11/2/81.

Enclosed for Houston are the originals and one copy each of two subpoenas.

For Info of Houston, AUSA, SDNY has indicated he intends to initiate Grand Jury segment of captioned investigation.

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HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoenas.

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Date 3/1/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
Marc Rich and Company;
[redacted] b6 b7C
FBW - ENERGY RELATED
OO: NEW YORK

Re New York airtel to Houston, 2/11/82.

Enclosed for New York are two original, executed Grand Jury subpoenas, directed to [redacted] Also enclosed for New York is the original and one copy each of two FD-302s regarding [redacted] b6 b7C b3

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Executed original subpoenas returned to USA 3/30/82 [redacted] b6 b7C

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SERIALIZED [redacted] FILED [redacted]

Approved: [redacted] Transmitted [redacted] (Number) [redacted] (Time)

X Airtel

3/1/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
Marc Rich and Company;
[redacted] b6 b7C
FBW - ENERGY RELATED
OO: NEW YORK

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1 - Houston
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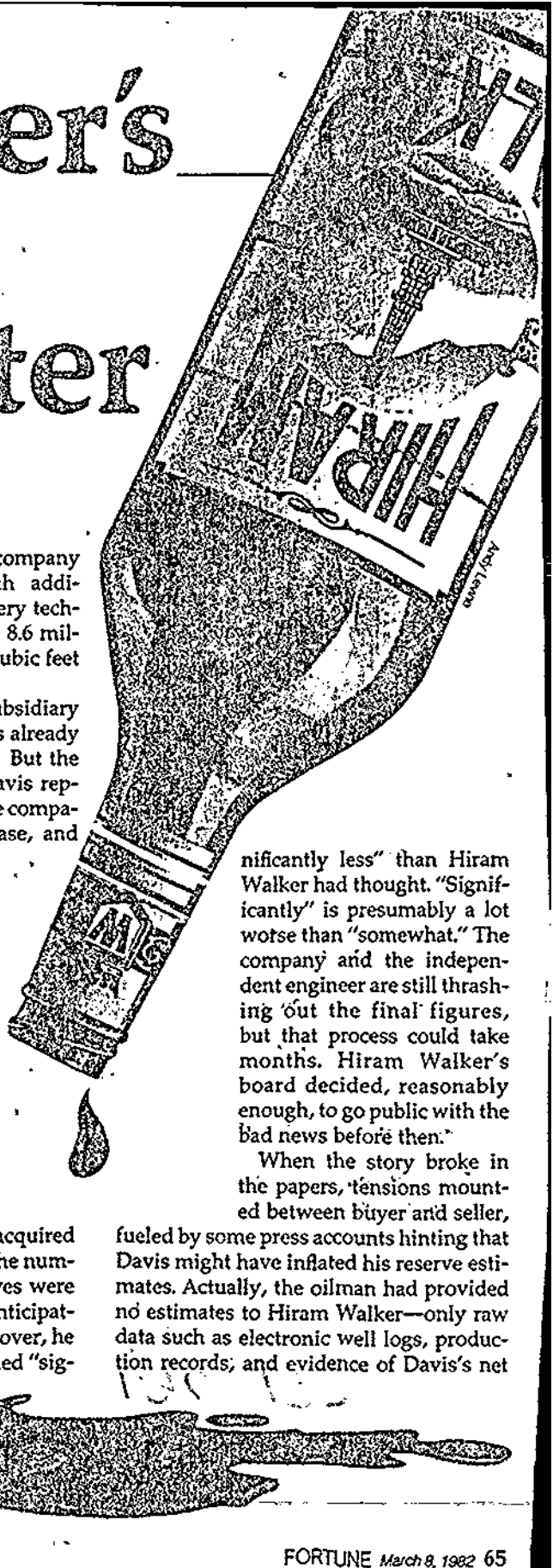
Hiram Walker's Unhappy Morning After



by ALEXANDER STUART

Stocks, bonds, pork bellies, or oil—every market has its peak, and it is inevitably the fate of some hapless company to buy in at the top. The latest example of this hoary principle of commerce surfaced in early February: Hiram Walker Resources Ltd., a Canadian outfit best known for its whisky, liqueurs, and other distilled spirits, announced that its 1981 purchase of $630 million of U.S. oil and gas properties from Denver oilman Marvin Davis had gone sour.

Just how sour will not be known for several months. But largely because it plunged hastily into a superheated market for hydrocarbons, misjudged future oil prices, and stumbled over the tricky task of estimating oil and gas reserves, Hiram Walker reported a possible charge against 1982 earnings of roughly $145 million after taxes. (All figures are in U.S. dollars.) The debacle is sure to send a chill through the executive suites of other companies who bought oil and gas reserves at a time when they seemed practically riskless investments.

What a difference a year can make. Hiram Walker completed the acquisition of Davis's properties only last March. The barrel-shaped billionaire sold interests that he owned in about 830 wells and 767,000 exploratory acres primarily in Wyoming, Oklahoma, Louisiana, and Texas. At the time, Hiram Walker figured that the package contained proved oil and gas reserves of 8.8 million barrels of oil and 106 billion cubic feet of natural gas. Unproved reserves—a broad category that essentially covers what the company believes can be recovered with additional drilling or enhanced recovery techniques—were then thought to be 8.6 million barrels of oil and 29 billion cubic feet of gas.

Through its Calgary-based subsidiary Home Oil Co., Hiram Walker was already producing oil and gas in the U.S. But the hydrocarbons purchased from Davis represented a towering increase in the company's modest domestic reserve base, and some observers hoped that production from the Davis acreage would fatten the bottom line by more than $30 million in the first full year of operations.

Shareholders giddy with the anticipation of such earnings sobered up quickly when William P. Wilder, 59, Hiram Walker's president and chief executive, stepped to the podium three weeks ago at the company's annual meeting in Toronto. An independent petroleum engineer had completed preliminary estimates of the oil and gas reserves acquired from Davis Oil, said Wilder, and the numbers suggested that proved reserves were "somewhat less" than originally anticipated—20% to 25% less, in fact. Moreover, he said, unproved reserves now seemed "significantly less" than Hiram Walker had thought. "Significantly" is presumably a lot worse than "somewhat." The company and the independent engineer are still thrashing out the final figures, but that process could take months. Hiram Walker's board decided, reasonably enough, to go public with the bad news before then.

When the story broke in the papers, tensions mounted between buyer and seller, fueled by some press accounts hinting that Davis might have inflated his reserve estimates. Actually, the oilman had provided no estimates to Hiram Walker—only raw data such as electronic well logs, production records, and evidence of Davis's net

William P. Wilder, 59, Hiram Walker's C.E.O., has had less to smile about lately.

interest in each of the wells. "Why should Marvin have given reserve estimates?" asks a source close to the proceedings. "It would only have put a lid on what he could have received." Nevertheless, Davis Oil was free in giving advice to Hiram Walker on how to interpret the data, and Wilder was quoted in the *Wall Street Journal* after the annual meeting as saying: "We'll know in about a month if we have a case for misrepresentation."

Davis was "livid" at the quoted remark, says someone who knows him well, adding: "They lost their ass because they bid too high. Now they want someone to share the heat with them." Through Edward Bennett Williams, a friend and personal attorney, Davis issued an ultimatum to the company demanding a retraction. But according to Williams, Wilder now claims he never said any such thing.

The profits that vanished

The write-down of the Davis properties is a painful blow. Hiram Walker Resources came into being ten months ago, the result of mergers between Hiram Walker-Gooderham & Worts, the liquor company, Home Oil, and the Consumers' Gas Co., a large Ontario gas utility. In the fiscal year that ended last September 30, Hiram Walker earned $208 million on sales of $2.4 billion. Most analysts project either no earnings or a loss this year. And in the near future, at least, profits of the kind once envisioned are going to be harder to find than those buried cases of Canadian Club that Hiram Walker used to feature in advertisements. The blame lies almost entirely in the troubles of its U.S. oil and gas business. "The most pressing problem facing the company" is the way Wilder described that acquisition at the annual meeting.

The write-down is also an obvious embarrassment to Hiram Walker, tarnishing the stately escutcheon that adorns the cover of its annual report, to say nothing of the company's image in the Canadian investment community. In the past six months the company has lost almost $1.2 billion in market value, as the stock dropped from a high of about $30 per share to a recent price of around $12. Investor excitement—and subsequent disappointment—over a wildcat oil strike by Home in Australia partly explains the decline. But growing concerns about the Davis deal undoubtedly contributed to the slippage. "The Davis acquisition was the first test for this newly formed company, and all along there's been disagreement about the values there," says Robert Munsie, an analyst with Wood Gundy Ltd., a Toronto investment house. "We went on good faith that this thing would work out."

Indeed, a lot of people's faith in the oil industry may be fraying at the edges just now. Soaring interest rates and higher operating costs are putting a crimp in cash flows. Drilling has slowed. And the unthinkable has happened: oil prices have actually declined. Not long ago, price increases were thought to be as certain as death, taxes, and budget deficits. Partly for that reason, companies like Shell and Sun each spent billions of dollars to purchase reserves (or, like Mobil, have *tried* to spend billions). Newcomers to the business—most notably Du Pont and U.S. Steel—bought multibillion-dollar chunks of the oil patch on the floor of the stock exchanges. The news flash from Toronto raised the possibility that companies who bought last year may have drastically overpaid. Could Hiram Walker's experience be a harbinger of things to come?

Probably not, most experts contend—though the debate is by no means resolved. Hiram Walker's galling experience with the Davis properties is a sharp reminder that estimating oil and gas reserves is a fiendishly inexact mixture of art and science. After all, the precise amount of hydrocarbons a field will ultimately produce is unknowable until the last well has been plugged and abandoned.

Early in the life of a field, petroleum engineers who specialize in calculating the size of reserves can be off by as much as 200% to 300%. The margin of error generally shrinks to perhaps 10% to 15% after a field has been producing for a few years—as were the properties Hiram Walker acquired. As the flow of oil tails off, engineers can plot so-called decline curves that project a field's performance, based on past production.

The data are reevaluated annually. If a change is necessary, and one almost always is, it shows up in the "revisions" section of the reserve reports required by the Securities and Exchange Commission from all public oil companies. The adjustments can be of Hiram Walker proportions, or worse. But in an Arthur Andersen & Co. survey of 160 oil companies in 1980, 83% reported revisions amounting to 10% or less of the reserves on the books at the beginning of the year.

The perils of the unproved

The reports to the SEC disclose information only about proved reserves, generally defined as those economic to recover at current prices with existing technology. An oil reservoir might contain 100 million barrels. But only, say, 30 million barrels might ultimately be recoverable given the prices and technologies current at the time of estimating. Of course, nothing stops a company like Hiram Walker from assuming higher future prices when it decides how much to pay for a field.

Unproved reserves—what an oilman thinks he has, but can't prove to the SEC's satisfaction—are typically the subject of painstaking scrutiny by companies intent upon acquiring them. Petroleum engineers usually divide the category into probable, possible, and potential reserves. Probable reserves are often located near existing production, but have either not flowed oil or gas, or are in geologic formations where hydrocarbons have not been conclusively demonstrated. Probable reserves can also

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be those that a company hopes to extract with enhanced recovery techniques. A savvy Houston engineering consultant defines possible reserves thusly: "If it's not impossible, then it's possible." Potential reserves have the greatest likelihood of being moose pasture.

The value of all three categories lies solely in the eyes of the beholder. "No two engineers will totally agree on them," says Walter Wright, vice president of the Bank of Montreal's petroleum department. But placing a dollar value on proved or unproved reserves is a painstaking, time-consuming process based, among other factors, on subjective judgments about future production levels, inflation, and escalations in price and operating costs. To arrive at a net present-value figure for all future production revenues, each category of proved and unproved reserves is adjusted downward by different percentages to reflect the risk that some of the reserves purchased might never materialize. There's no hard and fast rule, but depending on market conditions, proved reserves are usually multiplied by 70% to 80%; probable reserves by 30% to 60%; and possible reserves by 5% to 25%.

When Marvin Davis and Hiram Walker began talking in the fall of 1980, the market for oil and gas reserves had never been hotter. "People were hysterical to buy," recalls Dwight Moorhead, vice chairman of Petro-Lewis, a Denver outfit that has purchased over $2 billion of properties since 1970. The war between Iraq and Iran had just broken out, and as Moorhead puts it: "Things got wild, fast, and loose. Everybody thought oil prices would keep increasing at 15% to 20% a year, that you couldn't make a mistake. People thought the only mistake was in not buying."

Hiram Walker had been searching anyway for a major diversification from the slow-growth liquor business, which even after the mergers with Consumers' Gas and Home Oil represented almost 60% of operating income in 1980. Energy seemed the best bet, particularly in the U.S., where oil taxes are far lower than in Canada.

It took just three to four weeks of fierce activity for Home Oil staffers to work up production and reserve forecasts. Rivals in the competition included Dome Petroleum, Shell Oil of Houston, and, by several informed accounts, Mobil, although Mobil denies bidding. Morgan Stanley, Hiram Walker's investment banker, handled much of the number crunching required to determine a final offer.

Denver oilman Marvin Davis, 56, here with his wife, Barbara, used the proceeds from his $630-million sale to Hiram Walker as a down payment for the purchase of Twentieth Century-Fox.

Hiram Walker's $630-million offer, which Davis informally accepted in mid-December, was by far the most cash rich of all the bids. The company won't comment, but the risk of borrowing heavily undoubtedly seemed a small price to pay to gain access to the U.S. market. "It came down to corporate strategy," says a source close to the bid formulation. "And that was a board decision."

The price of romance

What went wrong? Almost everything. Hiram Walker did not have much time to evaluate the properties, and optimism clearly colored the estimates. For example, the company paid a lot for what oilmen call the romance in the Davis holdings—the unproved reserves whose value is now in dispute. In fact, over 40% of the purchase price is carried on Hiram Walker's books as the cost of "unproved properties" in the U.S. Some of that romance may yet bloom, but the acreage must first

continued

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FORTUNE

be drilled. Hiram Walker's development-drilling program has got off to a slow start because the company had to spend months clearing the titles to many of the leases.

Mainly Hiram Walker guessed wrong on oil prices. Frank Ternan, the company's spokesman, says that the "drop in the price component was a substantial factor in our decision" to write down the properties—which suggests that Hiram Walker had been bullish indeed on prices.

In the past, oil-price hikes almost certainly bailed reserve buyers out of potentially bad deals. Hiram Walker lost that cushion when the market turned, and some other companies are doubtless facing uncomfortable reappraisals of their own. Yet a wave of drastic write-downs seems unlikely, barring a major collapse of oil prices. Unlike other acquirers, Hiram Walker bought the greatest part of its U.S. reserves at peak prices. An unusually high proportion of its reserves, therefore, have now dropped in value far below their acquisition costs.

Hooting in the oil patch

The upshot of Hiram Walker's unhappy experience in the States, President Wilder told shareholders, will be "substantial" cuts in U.S. oil and gas expenditures. The company needs all the cash flow it can squeeze from existing production to reduce its whopping debt burden. To finance the Davis transaction, Hiram Walker took to the capital markets, and its long-term debt exploded to the equivalent of 95% of its equity last year, up from a relatively modest 42% in 1980.

Sympathy for the company's plight was not much in evidence in the days following the annual meeting. The consensus among oilmen, security analysts, and consultants: wily Marvin Davis out-traded Hiram Walker, which in hindsight paid far too rich a price. "They dug their own grave," smiles one oilman. But while the old hands in the oil patch hoot, the chuckles of some of the newcomers may have a nervous edge as they review the investments they once thought risk-free. F

FBI/DOJ

Memorandum

To : ADIC, NY (196-1774)(P) Date 3/10/82

From : SA [redacted] b6 b7C

Subject : MARC RICH
ET AL
FBW - ENERGY RELATED
OO:NY

On 3/9/82, subpoenas were served on [redacted] for [redacted]. The originals were served. Executed copies returned to AUSA [redacted] SDNY on 3/10/82. b6 b7C b3

196B-1774-32

SERIALIZED [redacted] FILED [redacted]

FBI - NY

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(CDRU #01-081)

APR 15 1982

TITLE: MARC RICH
dba Marc Rich
and Company;
[redacted] b6 b7C

FBW-ENERGY RELATED
(OO:NY)
FILE: 196B-1774
CASE AGENT: [redacted]
SUPERVISOR:

I. Date Investigation Began: 10/21/81

II. Type of Investigation: GCI

III. Date of FBIHQ/Departmental Approval: N/A

IV. Date Investigative Authority Expires: N/A

V. Basis of Investigation: [redacted] provided information that [redacted] b6 b7C b7D [redacted]

VI. Major Objectives: 1) Identify all participants in scheme that are both actively and passively involved.

b7D b7E 2) [redacted]

196-1774-33

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
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b6 b7C [redacted] 60

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VII. Prosecutive Opinion: AUSA [redacted] SDNY, has been actively involved in investigative effort to date. He has [redacted] b6 b7C b3 b5

VIII. Milestones Completed: 1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation [redacted] 2/25/82. b6 b7C

IX. Milestones Anticipated: 1) [redacted] b6 b7C b7D b7E

2) [redacted]

3) Obtain [redacted] b3

4) Complete [redacted]-type review of [redacted]

X. Unusual Investigative Techniques Employed/ Anticipated: None.

XI. Manpower Anticipated: One Special Agent Accountant full time.

XII. Accomplishments: None.

FD-350 (Rev. 10-19-77)

(Mount Clipping in Space Below)

Crude Maneuvers

Controls on Oil Prices, Though Ended, Leave A Residue of Charges

Some Say Firms Evaded Law To Ring Up Huge Profits And Are Going Scot-Free

Vague Rules Impede Cases

By John R. Emshwiller
And Andy Pasztor
Staff Reporters of The Wall Street Journal

Until early last year, a disappearing act that might have daunted a horde of Houdinis took place every day in the U.S.

The magicians may have included major oil companies as well as obscure entrepreneurs, some of whom are said to have become overnight millionaires.

This particular piece of legerdemain involved hundreds of millions of barrels of oil and may have cost consumers billions of dollars. Some investigators call it the biggest white-collar crime in history. And it may end up the biggest law-enforcement failure since Prohibition.

Nevertheless, the Reagan administration is making sharp budget cutbacks that critics contend are undermining enforcement efforts.

The tale begins with the 1973 oil embargo. To cushion consumers from skyrocketing oil prices, the government imposed elaborate controls on domestic crude oil. Essentially, it set up different price classifications for oil, depending on the location and age of a particular well. As world oil prices rose, the lowest-price "old" oil was almost $30 a barrel below the categories at the world price.

The Enforcement Team

Until President Reagan abolished oil-price controls in January 1981, the job of ensuring that oil sold at its legal price was entrusted to a large enforcement team at the Department of Energy. At one point, DOE had some 1,300 auditors, lawyers and other staffers keeping tabs on everybody from Exxon Corp. to corner service stations.

But according to records at DOE and elsewhere, some 300 million to 400 million barrels of lower-priced oil produced in the U.S. during the eight years of price controls never reached refineries. Meanwhile, a like amount of uncontrolled crude not shown on production records was refined.

The authorities still haven't figured out what happened. Although DOE's records show that it knew about the problem of disappearing "old" oil more than four years ago, officials haven't made a comprehensive effort to track it down. "I can't really explain why the oil disappeared," says Rayburn Hanslik, who since October has headed DOE's faltering investigation into price-control violations.

Such answers don't satisfy everyone. "Very little of what went on during the price-control period has been brought to justice," says Rep. John Dingell. The Michigan Democrat, long a critic of price-control enforcement, has scheduled a hearing today to examine whether DOE is pushing its investigation hard enough.

Two Theories

Two major theories exist about what happened to all that old oil: One involves breaking the law; the other, at least the spirit of the law.

The latter theory was laid out forcefully in a lawsuit filed last April in a Syracuse, N.Y., federal court by Mobil Corp. against DOE. In the suit, which challenges some aspects of the agency's enforcement efforts, the nation's second-largest oil company calculates that up to nearly one million barrels a day of lower-priced crude—about 30% of the production of such oil—was disappearing prior to decontrol.

Mobil argues that some refiners took advantage of loopholes in the regulations to withhold reports of their purchases of old oil. These refiners, Mobil says, simply held that oil in inventory until decontrol, when its value rose more than fivefold. While holding back the price-controlled oil, these refiners were drawing down stocks of more expensive classifications of crude, Mobil says—thus accounting for the seemingly magical appearance of large amounts of uncontrolled oil.

Refiners that didn't play such a game—and Mobil says it didn't—would be put at a costly disadvantage. That's because the government, trying to equalize the costs of crude among companies, required refiners that processed large amounts of low-cost oil to make periodic payments to refiners that processed mostly expensive oil. If large amounts of lower-cost oil weren't reported, the payment calculations would be badly skewed.

DOE's Response

In its suit, Mobil calculates that the amount of lower-cost oil that disappeared raised crude-oil costs by $5.6 billion.

Responding to the suit, DOE concedes that it doesn't know whether such manipulation went on. But it asserts that Mobil doesn't know, either. Mobil's arguments are based entirely on "speculative, hypothetical possibilities" and fail to take into account legitimate business transactions that could explain some of the apparent discrepancies, DOE's filings contend.

Whatever the outcome of the case, the dispute may never be fully resolved. Although DOE lawyers believe that any such inventory manipulation would have been illegal, the department admits that it never audited refiner inventories. And DOE officials say they have neither the time nor the resources to try to track down such manipulations now. "It would be a nightmare of a task," one department attorney says.

The other theory about the disappearance of oil—that the law was simply broken—involves a shadowy subworld of the oil industry that sprang up like a mushroom during

Please Turn to Page 21, Column 1

Wall Street Journal
4/19/82
pg 1

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Classification:
Submitting Office:
196-1774-34

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APR 2 1982
FBI—NEW YORK

Crude Maneuvers, Oil-Price Controls, Though Ended, Leave a Residue of Charges That Firms Evaded Law

Continued From First Page

price controls and that, with the end of controls, is withering rapidly. It is the world of crude resellers."

Before price controls were imposed, there were perhaps a dozen or so resellers. They distributed oil by acting as middlemen. But by the late 1970s, their number had surged to about 400 to 500 firms. Some were started by former officials of large oil companies.

And some of the resellers showed astonishing growth—for a while. Take the case of Fuel Oil Supply & Terminaling Inc., a Houston-based reseller set up in 1978 with an investment of $150,000. For its first full year of operations, the company reported sales of $243 million. In the fiscal year ended March 31, 1981, the company, which had expanded into such diverse areas as processing seafood and operating barges, had sales of $3.2 billion. Six months later, it was in federal bankruptcy court—and it still is.

In a civil action, DOE is seeking $2.4 million in alleged overcharges from the company, and department officials say their investigation is continuing. The company hasn't been charged with criminal wrongdoing.

Some resellers did grow by breaking the law, federal officials say. They explain that resellers would trade title to the same oil 20 times or more in a dazzling series of paper transactions that, when diagrammed, resemble "the schematic of a DNA molecule," one official says. Along the way, oil certified as old would be quietly and illegally recertified as uncontrolled, thus sharply raising its price and the potential profit, investigators say.

In the biggest such court case to date, a federal grand jury last October indicted Robert B. Sutton of Tulsa, Okla., a former postage-meter salesman who became a major oil reseller, on charges of falsifying certifications on 240 million barrels of oil. He has denied the charges. His trial is set to begin tomorrow in Tulsa.

In addition, DOE has charged more than 80 resellers with civil violations totaling $633 million. So far, $22 million has been collected. Of the 250 cases still open, about 35 involve investigations for possible criminal wrongdoing, DOE says. However, those totals may leave out "many incidents of miscertification that haven't yet been and may never be detected," DOE says in papers filed in the Mobil suit.

Majors' Role

Underlying the suspicions of both inventory manipulation and outright miscertification is the question of how deeply the major oil companies were involved. In its suit, Mobil doesn't name any refiners that might have manipulated inventories. But if the number of barrels in question is correct, major refiners would almost certainly have been involved.

As for miscertification, some DOE investigators believe that the majors had a large role. These officials say DOE audits show that many major refiners regularly gave up huge amounts of price-controlled oil to re- [illegible] and got [illegible] amounts of uncon- [illegible] times, price-controlled oil never even left the pipeline. Its title merely passed through numerous hands until ownership and new certifications returned to the major that had started the chain.

"The majors didn't want to miscertify themselves; so they let a bunch of resellers do it for them," a veteran DOE investigator contends.

A 1980 report prepared by DOE auditors traced a series of transactions among several resellers and three refiners, Mobil, Tesoro Petroleum Corp. and American Petrofina Inc. The report contends that the transactions involved false certifications in which the companies apparently "acted together to defeat the objectives" of price controls, and it recommends that a federal grand jury "conduct a thorough criminal investigation." All three refiners deny miscertifying oil. DOE is still investigating the case.

Two Criminal Cases

Indeed, among the nation's 34 biggest refiners, only two have been formally charged with criminal pricing violations. In 1978, Conoco Inc. pleaded no contest to charges of overpricing oil products in 1973 and agreed to pay up to $3 million in fines and refunds. In 1980, Coastal Corp. paid $10 million to settle price-manipulation charges. No official of a major oil company has gone to jail, though Coastal's chairman, Oscar Wyatt Jr., paid a $40,000 fine. Coastal later reimbursed him.

Oil-industry officials and others cite this paucity of prosecutions as evidence that major companies really were innocent. They say that although DOE auditors may have uncovered seemingly suspicious transactions, further investigations showed that they were legal or that the rules were too vague and the transactions too complex to make a determination.

However, the paucity of indictments may be due to government ineptness. Although President Carter pushed for enforcement of the controls, the effort clearly suffered from a lack of coordination. For instance, J.A. "Tony" Canales, then U.S. Attorney in Houston, says he first learned about possible oil-price manipulation by watching "60 Minutes." The television show prompted him to investigate and bring some major criminal cases against resellers.

A lack of clear-cut rules also hurt. Many audits of resellers were delayed nearly three years because DOE hadn't put out the necessary regulations until late 1980, the General Accounting Office said in a recent report.

Neglected Areas

The Carter investigators also slighted potentially fruitful areas. Under Paul Bloom, the Office of Special Counsel, the DOE branch charged with auditing major refiners, filed civil claims against majors for about $11 billion, of which about $600 million has been collected so far. However, Special Counsel rarely tried to assess the legality of transaction between majors and resellers.

Mr. Bloom, now in private law practice, says his office did help other branches of DOE on a handful of criminal investigations. Mr. Bloom says that the complexity of the regulations and the ambiguous interpretations of rules by DOE made it difficult to develop criminal cases against the majors.

In addition, DOE apparently didn't fully use some of its own detection devices. Beginning in 1979, resellers were required to submit monthly reports calculating any overcharges to their customers; under the rules, such overcharges should have been later refunded. But although these reports have been used in some audits, DOE never systematically checked to determine the total amount of overcharges or whether refunds were made. Some DOE investigators estimate these reported overcharges at several hundred million dollars.

Even in successful prosecutions, the penalties imposed may not have been tough enough, some observers think. In one Houston case, Albert Alkek, a Texas oil man, pleaded guilty to a criminal information charge that he had concealed his knowledge of some alleged crude-oil miscertifications. He was put on three years' probation and agreed to refund $3.2 million. Mr. Canales, the former U.S. Attorney, says he recalls that Mr. Alkek calmly pulled out his checkbook and offered to pay the refund on the spot. "He assured me the check would be good since he owned the bank," Mr. Canales says. (Mr. Alkek couldn't be reached for comment; an attorney for him says he can't remember the incident.)

Signs of Discouragement

All in all, the experience of the past few years seems to have blunted the enthusiasm of some law-enforcement officers. Michael Brown, an Assistant U.S. Attorney who has handled some of the oil cases out of Houston, promises to prosecute fully his remaining cases, but he clearly isn't eager for more. "Between you, me and the lamppost, I'd be tempted to throw any new ones in the trash," he says.

Reagan administration critics maintain that that is just what DOE wants to do. They note that the enforcement staff has already been slashed about 60% and that in fiscal 1983 the administration is seeking to reduce it to one-fifth its size under President Carter. "Unless the current course is reversed, dozens of brazen crooks are going to get off scot-free," complains Rep. Albert Gore Jr., a Tennessee Democrat.

Even by the administration's own standards, enforcement has been lagging. DOE's Mr. Hanslik concedes the department won't complete audit work on resellers by September as previously planned. So far, DOE has completed only a handful of proposed civil settlements concerning resellers.

But Mr. Hanslik, bristling at charges he is soft on oil companies, notes the Carter administration didn't put out any proposed reseller settlements. He terms staff cutbacks only natural as the program winds down, but he says all cases will be fully pursued anyway. "There won't be any amnesty," he says.

Forest Oil Discovers Gas

DENVER—Forest Oil Corp. said it found [illegible] and oil-like gas sands [illegible]

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 5/5/82

TO : SAC, HOUSTON (196B-881)

FROM : ADIC, NEW YORK (196B-1774) (P)

SUBJECT: MARC RICH,
dba MARC RICH AND COMPANY,
ET AL
FBW-ENERGY RELATED
OO: NY

ReHOairtel to NY, 3/1/82.

Enclosed for HO are three originals and one copy each of five subpoenaes. Enclosed for Kansas City is the original and one copy of a subpoena.

For information of Kansas City, investigation at NY has determined that referenced energy related fraud may exceed $25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

HOUSTON

AT HOUSTON, TEXAS. Will kindly serve enclosed subpoenaes.

KANSAS CITY

AT WICHITA, KANSAS. Will kindly serve enclosed subpoena.

2 - Houston (Encls. 10)
2 - Kansas City (Encls. 2)
1 - New York
GJL:jml
(6)

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FD-36 (Rev. 7-27-76)

FBI

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- ☒ Airtel

PRECEDENCE:
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- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ E F T O
- ☐ CLEAR

Date 5/14/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, KANSAS CITY (196B-902) (RUC) (WRA)

MARC RICH,
dba Marc Rich and Company,
ET AL
FBW-ENERGY RELATED
OO NY

Re New York airtel to Houston, dated 5/5/82, and Wichita, Kansas RA telephone call to AUSA [redacted] Southern District of New York, on 5/14/82. b6 b7C

Enclosed for Denver is the original and one copy of a subpoena.

For information of New York and Denver, [redacted] b6 b7C b3 No records available at [redacted]

On 5/14/82, [redacted] telephonically advised that the proper person for whom the subpoena should be served is [redacted] phone b6 b7C b3

On the same date AUSA [redacted] supra, was telephonically contacted by SA Linus C. Issinghoff, [redacted] AUSA [redacted] advised the subpoena should be forwarded to Denver and served on [redacted] AUSA [redacted]

2-New York
2-Denver (Encl 2)
1-Kansas City
LCI:djm
(5)

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SERIALIZED [redacted] FILED [redacted]

MAY 20 1982

FBI - NEW YORK

Approved: [redacted] Transmitted [redacted] (Number) Per [redacted] b6 b7C

GPO : 1981 O - 361-538

stated he would advise New York Case Agent [redacted] and also would telephonically make contact with Mr. [redacted] in regard to the subpoena. b6 b7C b3

LEAD

DENVER

AT DENVER, COLORADO

Serve enclosed subpoena.

FD-36 (Rev. 7-27-76)

FBI

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☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ E F T O
		☐ CLEAR

Date 5/14/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, KANSAS CITY (196B-902) (RUC) (WRA)

MARC RICH,
dba Marc Rich and Company,
ET AL
FBW-ENERGY RELATED
OO NY

Re New York airtel to Houston, dated 5/5/82, and Wichita, Kansas RA telephone call to AUSA [redacted] Southern District of New York, on 5/14/82. b6 b7C

Enclosed for Denver is the original and one copy of a subpoena.

For information of New York and Denver, [redacted] b6 b7C b3 No records available at Wichita, Kansas.

On 5/14/82, [redacted] telephonically advised that the proper person for whom the subpoena should be served is [redacted] phone b6 b7C b3

On the same date AUSA [redacted] supra, was telephonically contacted by SA Linus C. Issinghoff, Wichita, Kansas PA. AUSA [redacted] advised the subpoena should be forwarded to Denver and served on [redacted], AUSA [redacted]

2-New York
2-Denver (Encl 2)
1-Kansas City
LCI:djm
(5)

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MAY 20 1982
FBI—NEW YORK

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GPO : 1977 O - 225-533

stated he would advise New York Case Agent [redacted] and also would telephonically make contact with Mr. [redacted] in regard to the subpoena. b6 b7C b3

LEAD

DENVER

AT DENVER, COLORADO

Serve enclosed subpoena.

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- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 5/19/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
Marc Rich and Company,
ET AL
FBW - ENERGY RELATED
(00:NY)

ReNYairtel to HO, dated 5/5/82.

Enclosed for New York are the executed copies of the subpoenas duces tecum (five) which were served on [redacted] located in [redacted] as requested by New York.

This case is considered RUC.

b6
b7C
b3

Five subpoenas returned to AUSA on 6/8/82.

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(CDRU #01-081)

2 - New York (Enc.5)
1 - Houston

MMW:aik
(3)

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FBI—NEW YORK

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x Airtel

5/19/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH, dba
Marc Rich and Company,
ET AL
FBW - ENERGY RELATED
(00:NY)

ReNYairtel to HO, dated 5/5/82.

Enclosed for New York are the executed copies of the subpoenas duces tecum (five) which were served on [redacted] located in [redacted] as requested by New York. b3

This case is considered RUC.

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196B-1774-36A

Identification Record Request
FD-9 (Rev. 4-14-80)

INSTRUCTIONS

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3. *Make effort to furnish FBI identification number, law enforcement identification number, or military service number.*
4. *Furnish descriptive data and fingerprint classification only when FBI number not available.*
5. *Indicate whether search of criminal or civil files requested. Do not request civil file search unless information from this file needed.*
6. *Indicate office for reply in lower right corner. Also list all offices which should receive copy of record. Include copy of FD-9 for each such office and forward with original to Bureau.*
7. *Do not fill in block in lower left corner.*

To: ASSISTANT DIRECTOR, IDENTIFICATION DIVISION

Date 5/20/82

Re MARC RICH

NY
Field File No. 196-1774

Furnish The Known Identification Record of the Following:

Name MARC O RICH	FBI No. O
Aliases 5-26-75 Eric Cuile 5-25-75 Chris	Other No. SSAN 496-34-3075

Sex	Race	Birth Date	Birthplace	Residence
M	W	12/18/34	—	NY, NY

Height	Weight	Build	Hair	Eyes	Complexion	Age
5'9"	—	—	~~Brown~~	BROWN	—	47

Fingerprint Classification

Scars, marks and tattoos

Please Search:
- [X] Criminal Files
- [X] Civil Files

Also Furnish:
- [ ] Photo
- [ ] Fingerprints
- [ ] Handwriting Specimens

Identification Division's Reply
- [X] On basis of information furnished, unable to identify:
  - [X] Criminal Files
  - [X] Civil Files
- [ ] Record Attached
- [ ] Photo Attached
- [ ] Photo Not Available
- [ ] Fingerprints Attached
- [ ] Handwriting Specimen Attached

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/RDS (CDRU #01-081)

Return Reply to:
SAC, NEW YORK (196-1774)
ATTN: SA [ ] (SQ M-1)

Send Copies To:

196B-1774-36B
SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
M-1
JUN 8 1982
FBI
NEW YORK

b6
b7C

FBI/DOJ

MAY 25 1 36 PM '82
REC'D IDENTIFICATION
F.B.I.

Identification Record Request
FD-9 (Rev. 4-14-80)

INSTRUCTIONS

1. *This form may be submitted in legible hand printing.*
2. *Use separate form for each individual on whom record is requested.*
3. *Make effort to furnish FBI identification number, law enforcement identification number, or military service number.*
4. *Furnish descriptive data and fingerprint classification only when FBI number not available.*
5. *Indicate whether search of criminal or civil files requested. Do not request civil file search unless information from this file needed.*
6. *Indicate office for reply in lower right corner. Also list all offices which should receive copy of record. Include copy of FD-9 for each such office and forward with original to Bureau.*
7. *Do not fill in block in lower left corner.*

8/6R

To: ASSISTANT DIRECTOR, IDENTIFICATION DIVISION — Date 5/20/82

Re: MARC RICH — NY Field File No. 196-1774

Furnish The Known Identification Record of the Following:

Name: PINCUS GREEN — FBI No.: — Other No.: SSAN

Aliases: — 5-26-75 essc Cmdr - NY CRIMS

Sex	Race	Birth Date	Birthplace	Residence	
M	W		—		NY

Height	Weight	Build	Hair	Eyes	Complexion	Age
5'10"	—	—	—	GREEN	—	

Fingerprint Classification:

Scars, marks and tattoos: Cmdr, TRY — Army

Please Search:
- [X] Criminal Files
- [X] Civil Files

Also Furnish:
- [ ] Photo
- [ ] Fingerprints
- [ ] Handwriting Specimens

* Attached card contains the only fingerprint record located.

Identification Division's Reply 6-1-82

- [ ] On basis of information furnished, unable to identify:

Criminal Files [ ] Civil Files [ ]

- [X] Record Attached
- [ ] Photo Attached
- [ ] Photo Not Available
- [ ] Fingerprints Attached
- [ ] Handwriting Specimen Attached

ID

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/RDS (CDRU #01-081)

Return Reply to:

SAC, New York (196-1774)

ATTN: SA [ ] (SQ M-1)

Send Copies To:

b6 b7C

196-1774-36

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
M-N
JUN 8 1982
FBI

b6
b7C

FBI/DOJ

MAY 25 1 36 PM '82
REC'D IDENT. DIV.
F B I

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ ~~AIRTEL~~	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 5-20-82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, DENVER (196B-1142) (RUC)

SUBJECT: MARC RICH,
dba Marc Rich and Company,
ET AL
FBW-ENERGY RELATED
OO: NY

Re Kansas City airtel to New York dated 5-14-82.

Enclosed for New York is one executed original subpoena served on [redacted] on May 19, 1982.

b6
b7C
b3

Unless further investigation is required this matter is considered RUC.

Original subpoena returned to AUSA on 6/8/82

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5C/EDS
(CDEU #01-081)

2 - New York (1 Encl.)
1 - Denver
RKW:mh
(3)

196B-1774-32
SEARCHED INDEXED
SERIALIZED FILED
JUN 1 1982
FBI

b6
b7C

Approved: _____ Transmitted _____ (Number) _____ (Time)

X AIRTEL

5-20-82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, DENVER (196B-1142) (RUC)

SUBJECT: MARC RICH,
dba Marc Rich and Company,
ET AL
FBW-ENERGY RELATED
OO: NY

Re Kansas City airtel to New York dated 5-14-82.

Enclosed for New York is one executed original subpoena served on [redacted] on May 19, 1982. b6 b7C b3

Unless further investigation is required this matter is considered RUC.

2 - New York (1 Encl.)
1 - Denver
RKW:mh
(3)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP2TC/ERS (CDEU #01-081)

196B-1774-37

Memorandum

To : ADIC, NY (196-1774) (P) Date 5/21/82

From : SA [redacted] b6 b7C

Subject : MARC RICH
ET AL
FBW
OO:NY

For indexing purposes:

(1) Name: MARC RICH
SEX: Male
RACE: WHITE
HT: 5'11"
SSAN: 496-34-3075
DOB: 12/18/34

(2) Name: PINCUS GREEN
SEX: MALE
RACE: WHITE
HT: 5'10"
SSAN: [redacted]
DOB:
ADDRESS: [redacted] NY

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP3TC/KDS (CDRH #01-081)

b6 b7C

196B-1774-38

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
MAY 2 1 1982
FBI—NEW YORK
[redacted] 160

Memorandum

To : ADIC, NY (196-1774) (C) Date 5/21/82

From : SA [redacted] b6 b7C

Subject : MARC RICH
ET AL
FBW - Energy Related
00 : NY

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5IC/RDS (CDRL #01-081)

DMV results re: RICH et GREEN

2/2

ALL3
HEDR R09099 91045 347921-34
RICH,MARC 12/18/34M
80 KENSINGTON ST LIDO BCH NY
NASS 11561 000000 10
LIC *S* 12/31/82 BR 5 9
81130 00057
BICH X 78120
CONV NASS-50 2 PASSED RED LIGHT
01/28/78 03/08/78 0041120530
>

REG1
HEDR R09099 91045 347921-34
RICH,MARC 12/18/34M
80 KENSINGTON ST LIDO BEACH NY
PLATE 863GWJ 16 NASS 11570 08 00
REG R 09/81 09/12/80 0102451675 00 00 4
INS 344 6D69S7E648007 77 CADIL
4DSD WHI G08 004222
REG R 09/80 10/10/79 9101000054 10 10 3
INS 344
>

196-1774-39
SEARCHED INDEXED
SERIALIZED FILED
MAY 21 1982
FBI—NEW YORK
60

b6 b7C [redacted]

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/7/82

[redacted] Apartment [redacted] New York, appeared at the United States Attorney's Office, One St. Andrews Plaza, New York, New York, accompanied by his attorney, [redacted] to provide handwriting exemplars. b6 b7C

[redacted] furnished ten 3"X5" cards with his name in script. He then furnished three handwritten copies of three sheets of paper entitled [redacted] September thru December, 1980".

The following descriptive data was obtained by interview and observation:

Sex: Male
Race: White
Hair: [redacted]
Date of Birth: [redacted] b6 b7C
Business Address: [redacted]

After supplying the handwriting exemplars, [redacted] and his attorney proceeded to the New York office where [redacted] was photographed.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

Investigation on 6/7/82 at New York, New York File # NY 196-1774-46

by SA [redacted]:geg b6 b7C Date dictated 6/7/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/7/82

[redacted] Apartment [redacted] New York, appeared at the United States Attorney's Office, One St. Andrews Plaza, New York, New York, accompanied by his attorney, [redacted] to provide handwriting exemplars. b6 b7C

[redacted] furnished ten 3"X5" cards with his name in script. He then furnished three handwritten copies of three sheets of paper entitled [redacted] September thru December, 1980".

The following descriptive data was obtained by interview and observation:

Sex:	Male
Race:	White
Hair:	[redacted] b6 b7C
Date of Birth:	
Business Address:	

After supplying the handwriting exemplars, [redacted] and his attorney proceeded to the New York office where [redacted] was photographed.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

Investigation on 6/7/82 at New York, New York File # NY 196-1774-40

by SA [redacted] :geg b6 b7C Date dictated 6/7/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/29/82

[redacted] provided the following information:

b6
b7C
b7D

[redacted]

[redacted]

b6
b7C
b7D

Copy provided
AUSA
6/29/82

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3C/ceS
(CDEU#01-081)

Telephonic

196B-1774-41

Investigation on 6/29/82 at New York, New York

SEARCHED NY 196B-1774
SERIALIZED FILED
JUN 29 1982
FBI - NEW YORK

by SA [redacted]:geg b6 b7C Date dictated 6/29/82

1602

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FO-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/29/82

[redacted] Texas, provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5 JC/EDS
(CDRU #01-081)

Telephonic

Investigation on 6/29/82 at New York, New York File # NY 196B-1774 -41

by SA [redacted] :geg

b6
b7C

Date dictated 6/29/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

"GRAND JURY MATERIAL - DISSEMINATE ONLY PURSUANT TO RULE 6 (E), FED. R. CRIM. P."

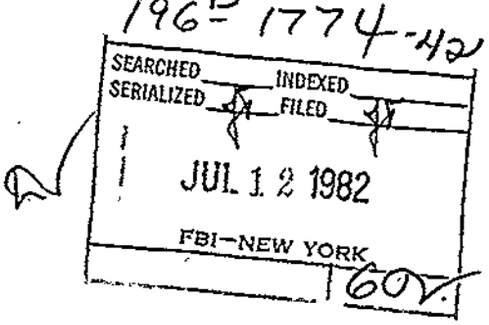
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP2 JC/PDS
(CDRU #01-081)

196B-1774-43

SEARCHED INDEXED
SERIALIZED FILED
JUL 12 1982
FBI — NEW YORK
60

"GRAND JURY MATERIAL-DISSEMINATE ONLY
PURSUANT TO RULE 6 (E), F.R.D. R. CR. P."

196B 1774-42



ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

196B-1774-44

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
JUL 12 1982
FBI—NEW YORK
602

FBI
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/ED'S
(CDRU #01-081)

I would
like all FBI
signed 64
U.S.A.

as shown

JUL 15 1982

TITLE: MARC RICH
dba Marc Rich
and Company;
[redacted]
FBW-ENERGY RELATED
(OO: NY)
FILE: 196B-1774
CASE AGENT: [redacted]
SUPERVISOR:

b6
b7C

I. Date Investigation Began: 10/21/81

II. Type of Investigation: GCI

III. Date of FBIHQ/Departmental Approval: N/A

IV. Date Investigative Authority Expires: N/A

V. Basis of Investigation:

[redacted]

b6
b7C
b7D

[redacted] These witnesses have provided [redacted]

VI. Major Objectives:

1) Identify all participants in scheme that are both actively and passively involved.

[redacted]

b7D
b7E

VII. Prosecutive Opinion:

AUSA [redacted], SDNY, has been actively involved in investigative effort to date. He has [redacted]

b6
b7C
b3

196B-1774-45

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JUL 13 1982
FBI—NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSTC/ED
(CDRU #01-081)

VIII. Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation, [redacted] Inc., 2/25/82.

3) Affidavits in support of [redacted] b6 b7C b3

4) Continued [redacted]

IX. Milestones Anticipated:

[redacted] b6 b7C b3

3) Obtain [redacted] b7D b7E
Subject to Judge's decision on [redacted]

4) Complete [redacted] type review of [redacted]

X. Unusual Investigative Techniques Employed/Anticipated:

None.

XI. Manpower Anticipated:

[redacted] b7E

XII. Accomplishments:

None.

X AIRTEL

8/13/82

TO: SAC, HOUSTON (196B-881)

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: HARC RICH
dba Harc Rich
and Company,
ET AL
FBW - ENERGY RELATED
(CO:NY)

ReHOairtel to New York, 5/19/82.

Enclosed for Houston are the original and one copy each of two subpoenas. Enclosed for Jacksonville is the original and one copy of a subpoena.

For information of Jacksonville, investigation at New York has determined that referenced energy-related fraud may exceed $25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoenas.

2 - Houston (Encls. 4)
2 - Jacksonville (Encls. 2)
(1) - New York

GJL:geg
(6)
1 - Supv. M-1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 2RSC/ERS
(CDRU #01-081)

196B-1774-46
SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
AUG 16 1982
FBI — NEW YORK

NYfile 196B-1774

JACKSONVILLE

AT JACKSONVILLE, FLORIDA. Will serve enclosed subpoena.

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 8-19-82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, JACKSONVILLE (196B-748) (RUC)

SUBJECT: MARC RICH
dba Marc Rich and
Company,
ET AL
FBW - ENERGY RELATED
OO: NEW YORK

ReNYairtel to Houston, 8-13-82.

Enclosed for New York, is an executed Federal Grand Jury subpoena for the Southern District of New York, dated 8-9-82, which was served on [redacted] on 8-17-82.

b6
b7C
b3

Inasmuch as there is no further investigation for Jacksonville Division, this matter is being placed in an RUC status.

Subpoena returned Re ALL 8K

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5 JC/RS
(CDRU #01-081)

b6
b7C

(2) - New York (Enc. - 1)
1 - Jacksonville
JS/lkr
(3)

196B-1774-47

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

X Airtel

8-19-82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, JACKSONVILLE (196B-748) (RUC)

SUBJECT: MARC RICH
dba Marc Rich and
Company,
ET AL
FBW - ENERGY RELATED
OO: NEW YORK

ReNYairtel to Houston, 8-13-82.

Enclosed for New York, is an executed Federal Grand Jury subpoena for the Southern District of New York, dated 8-9-82, which was served on [redacted] on 8-17-82.

b6
b7C
b3

Inasmuch as there is no further investigation for Jacksonville Division, this matter is being placed in an RUC status.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/21/01 BY SP5JC/RDS
(CDELL #01-081)

196B-1774-

(2) - New York (Enc. - 1)
1 - Jacksonville
JS/lkr
(3)

X AIRTEL

8/20/82

TO: SAC, LOS ANGELES

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH
dba Marc Rich and Company,
ET AL
FBW - ENERGY RELATED
(OO:NY)

Enclosed for Los Angeles is the original and one copy of a subpoena.

For information of Los Angeles, investigation at New York has determined that referenced energy-related fraud may exceed $25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEAD

LOS ANGELES

AT LOS ANGELES, CALIFORNIA. Will serve enclosed subpoena.

2 - Los Angeles (Encls. 2)
1 - New York

GJL:dap (4)

1 - Supervisor M-1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

196B-1774-48

62

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted] telephone [redacted] was furnished a copy of a subpoena duces tecum commanding that any [redacted] Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on [redacted] at [redacted] It commands [redacted] b6 b7C b3

Exhibit 1

1. [redacted]
2. [redacted]
3. [redacted]
4. [redacted]
5. [redacted]

b3

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/ECS (CDEU #01-081)

Investigation on 8/18/82 at [redacted] File # NY 196B-1774-49 HO 196B-881

by SA Helen I. Bachor/rbp Date dictated 8/19/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted]

b3

Exhibit 2

[redacted]

1.
2.
3.
4.
5.
6.
7.

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted] telephone [redacted] was furnished a copy of a subpoena duces tecum commanding that any [redacted] Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on [redacted] It commands [redacted] b6 b7C b3

[redacted]

Exhibit 1

[redacted]

1. [redacted]

2. [redacted]

3. [redacted]

4. [redacted]

5. [redacted]

b3

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/ERS (CDRU #01-081)

Investigation on 8/18/82 at [redacted] File # NY 196B-1775-19 HO 196B-881

by SA Helen I. Bachor/rbp Date dictated 8/19/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted] telephone [redacted] was advised of the identity of the interviewing agent and was furnished a copy of a subpoena duces tecum. The subpoena commanded that [redacted] before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on [redacted] at [redacted] It commands that [redacted]

b6
b7C
b3

[redacted]

Exhibit 1

[redacted]

1. [redacted]

b3

2. [redacted]

3. [redacted]

4. [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDRU #01-081)

Investigation on 8/19/82 at [redacted] File # NY 196B-1774-50
HO 196B-881

by SA Helen I. Bachor/rbp Date dictated 8/19/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

5. [redacted] b3

Exhibit 2

[redacted]

1.
2.
3.
4.
5.
6.
7.

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted] telephone [redacted] was advised of the identity of the interviewing agent and was furnished a copy of a subpoena duces tecum. The subpoena commanded that [redacted] before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on [redacted] at [redacted] It commands that [redacted]

b6
b7C
b3

[redacted]

Exhibit 1

[redacted]

1. [redacted]

b3

2. [redacted]

3. [redacted]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/RDS (CDRU #01-081)

4. [redacted]

Investigation on 8/19/82 at [redacted] File # NY 196B-1774-50 HO 196B-881

by SA Helen I. Bachor/rbp Date dictated 8/19/82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; It and its contents are not to be distributed outside your agency.

60

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 8/23/82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company;
ET AL
FBW - ENERGY RELATED
(OO: NY)

Re NY airtel to HO, 8/13/82.

Enclosed for New York are the original and one copy each of two FD-302s and two original subpoenas served on [redacted]

[redacted]

b6
b7C
b3

SDNY.

Original subpoenas furnished AUSA

[redacted]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/RDS (CDRU #01-081)

2 - New York (Enc.6)
1 - Houston
HIB/rbp
(3)

196B-1774-57

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FBI BLOCK STAMP
AUG 31 1982
NEW YORK

ORIGINAL DOCUMENTS ATTACHED

Approved: [redacted] Transmitted [redacted] (Number of items) Per [redacted]

b6
b7C

X Airtel

8/23/82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company;
ET AL
FBW - ENERGY RELATED
(OO: NY)

Re NY airtel to HO, 8/13/82.

Enclosed for New York are the original and one copy each of two FD-302s and two original subpoenas served on [redacted] b3

[redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3TC/CDS
(CDRU #01-081)

(2) - New York (Enc.6)
1 - Houston
HIB/rbp
(3)

196B-1774-51

ORIGINAL DOCUMENTS ATTACHED DO NOT BLOCK STAMP

D4, Asbury Park Press/Sun., Sept. 5, 1982

Many of U.S. 400 richest don't know their worth

The Washington Post

WHEN TEXAS billionaire Nelson Bunker Hunt was testifying at a congressional hearing two years ago, he startled the committee by saying he didn't know how much money he had.

"A fellow asked me that once and I said I don't know, but I do know people who know how much they are worth generally aren't worth much," said Hunt.

Billionaires may not bother to count their money, but Forbes magazine has done it for them, compiling for the first time a list of the 400 richest people in America.

According to Forbes, the richest man in America is Daniel Keith Ludwig, the 85-year-old New York shipping and real estate magnate, with a personal fortune estimated at more than $2 billion.

Ludwig has so much money, Forbes reckons, that he remains the richest American even after losing $1 billion trying to start a paper making empire in the Amazon jungle of Brazil.

THERE ARE a dozen other U.S. billionaires, the magazine reports in its Sept. 13 issue. They include Bunker Hunt and four of his brothers and sisters, Perry Richardson Bass and his son Sid, two other Texas oilmen worth $1 billion apiece; Gordon Peter Getty, son of the founder of Getty Oil Co.; David Packard, founder of Hewlett-Packard Co.; Marvin Davis, the Denver oil man who owns 20th Century Fox; Philip Anschutz, another Denver oil and real estate investor and Forrest Mars Sr., former head of Mars Inc., the world's largest candy company.

Most of the mega millionaires on the Forbes 400 list refused to cooperate with the magazine's survey. It took a year to glean the list of the really rich from stockholdings and real estate records, wills, biographies and business experts. The magazine plans to update the list annually, which should sell enough extra issues to enrich publisher Malcolm Forbes, who already qualifies for his own richest list.

FLAMBOYANT publisher Forbes would not reveal the size of his personal fortune to his own publication. The editors included him anyway, gave no estimate of his wealth.

The poorest person on the list of 400 fabulous fortunes is Armas C. Markkula, Jr., 40, of San Francisco, who helped start Apple computer in 1976 and now owns stock worth $91 million. Apple co-founder Steve Jobs, 27, is the youngest person on the list with $100 million worth of Apple stock.

From young self-made microprocessor millionaires the census of the really rich stretches to the scions of America's old money aristocrats, a dozen du Ponts, a rook of Rockefellers, a carload of Fords, the Kennedy family plus assorted Mellons.

At least one of the 400 richest people in America is in a mental hospital— H. L. (Hassie) Hunt III, 65, whose share of his father's estate is worth $600 million, has been hospitalized for some 20 years. Another is in exile— fugitive financier Robert Vesco had $235 million when he fled fraud charges.

CRIME SOMETIMES does pay, the compilation suggests, for the list includes Meyer Lansky, 80, of Miami, listed as "mob money man" with $100 million to his name, and Morris (Mo) Dalitz, 83 of Las Vegas, identified by the Kefauver crime commission in 1951 as a founder of a national crime syndicate.

So does entertainment: Bob "Thanks for the Memories" Hope is listed with $280 million. The late Beatle John Lennon left $150 million to Yoko Ono. Walt Disney's brother Roy is worth $150 million, Forbes figures.

Not surprisingly, 50 of the 400 richest people in the country are Texans, or at least live in the Lone Star State part of the year. Mere millionaires are so common in Texas that a $10 million fortune has become the standard "unit" by which wealth is measured.

In big bucks Texas talk, a $100 million fortune is "10 units" and half a billion dollars is just "50 units."

Runners-up to the $1 billion barrier, in estimated order, are David Rockefeller, of Chase Manhattan Bank, nearly $1 billion; Walter H. Annenberg, founder of TV Guide, $800 million; Stephen Bechtel Sr. and his son Stephen Jr., of the California construction company, almost a billion between them; Harry Helmsley, the New York real estate man, $750 billion; Sam Walton, an Arkansas discount store king, $690 million; William R. Hewlett, co-founder of Hewlett-Packard, $650 billion; Edward J. DeBartolo, a Youngstown, Ohio, shopping center builder, $500 million; Samuel J. Newhouse Jr. and his brother Donald, $600 million; Hassie Hunt, $600 million; William Caruth Jr., Dallas real estate, $600 million;

Cyril Wagner Jr. and Jack Brown, co-owners of a Texas oil company worth $1.1 billion; Henry L. Hillman, Pittsburgh banker, $500 million; Paul Mellon; four grandchildren of Houston wildcatter Hugh Roy Cullen, who share $2 billion; A.Alfred Taubman, a Michigan developer; Barbara Cox Anthony and Anne Cox Chambers of Atlanta, heirs to the Cox newspaper and broadcasting fortune.

Leonard N. Stern, son of the founder of Hartz Mountain pet food, $500 million; Richard Mellon Scaife, another Mellon heir, who "vigorously denies" Forbes' estimate of a $500 million fortune; Robert O. Anderson, chairman of Atlantic Richfield, $500 million; Curtis Carlson of the Minneapolis trading stamps and hotels businesses, worth $500 million;

Jack Kent Cooke, $500 million; Trammell Crow, Dallas real estate developer, $500 million; Kenneth W. Ford, Oregon lumber baron, $500 million; Samuel J. LeFrak, New York real estate, $500; and J.R. Simplot, the Idaho potato grower who invented frozen french fries, $500 million.

For info of file.

196-1774-52

ALL INFORMATION CONTAINED
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DATE 2/21/01 BY SP5JC/ROS
(CDRU #01-081)

FBI/DOJ

60

x AIRTEL

10/14/82

TO: SAC, HOUSTON (196B-881)

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH,
dba Marc Rich and Company
ET AL
FBW - ENERGY RELATED
(OO:NY)

ReHOairtel to New York, dated 8/23/82.

Enclosed for Houston are the original and one copy of two subpoenas.

For information of Houston, Grand Jury investigation at New York continuing.

LEAD

HOUSTON

AT HOUSTON, TEXAS. Will serve enclosed subpoena.

AT FREEPORT, TEXAS. Will serve enclosed subpoena.

2 - Houston (Encls. 4)
1 - New York

GJL:dap
(4)

1 - Supervisor M-1

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP3JC/RDS
(CDEU #01-081)

196-1774-53

OCT 15 1982

TITLE: MARC RICH
dba Marc Rich and Company;
[redacted]
FBW-ENERGY RELATED
(OO:NY)

b6
b7C

File: 196B-1774
Case Agent:
Supervisor: [redacted]

I. Date Investigation Began: 10/21/81

II. Type of Investigation: GCI

III. Date of FBIHQ/Departmental Approval: N/A

IV. Date Investigative Authority Expires: N/A

V. Basis of Investigation:

[redacted] provided information that subjects had [redacted]

These [redacted] have provided [redacted]

b6
b7C
b7D

VI. Major Objectives:

1) Identify all participants in scheme that are both actively and passively involved.

[redacted]

b7D
b7E

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/ERS
(CDRU #01-081)

196B-1774-54

VII. Prosecutive Opinion:

AUSA [redacted] SDNY, has been actively involved in investigative effort to date. He has [redacted] b6 b7C b3 b5

VIII. Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation, [redacted], 2/25/82. Additional U.S. Income of $15 million not taxed.

3) Affidavits in support of [redacted] b6 b7C b3

4) Motion [redacted]

5) Continued record production by [redacted]

6) Obtain [redacted] to date). b7E

IX. Milestones Anticipated:

1) [redacted]

2) Obtain cooperation of [redacted] November, 1982. (Negotiations continued). b6 b7C b7D b7E b3

3) Obtain [redacted] Subject to results of appeal now in process.

4) Complete [redacted]

5) Complete [redacted]

X. Unusual Investigative Techniques Employed/Anticipated:

[redacted] from a [redacted] to ensure [redacted] b3

XI. Manpower Anticipated:

[redacted] b7E

XII. Accomplishments:

Motion to [redacted] for production of [redacted] was settled in favor of the U.S. Government. b3

[redacted]

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/15/82

[redacted] accepted service of a subpoena for [redacted]

1 [redacted]

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Expected Subpoena Time
AUSA 10/26/82

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b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3JC/EDS
(CDRU #01-081)

Investigation on 10/15/82 [redacted] File # NY 196-1774 55

by SC [redacted] Date dictated 10/15/82

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/15/82

[redacted] accepted service of a subpoena for [redacted]

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DATE 2/21/01 BY SP5TC/ECS
(CDRU #01-081)

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b7C
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Investigation on 10/15/82 at [redacted] File # NY 196-1774-55

by SC [redacted] Date dictated 10/15/82

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FD-350 (Rev. 10-19-77)

U.S. Grand Jury Probing Shift Of Oil Profits

U.S. Grand Jury Probing Shift of Profits in Crude-Oil Trading

By Patrick E. Tyler
Washington Post Staff Writer

Marc Rich, half-owner of Twentieth Century-Fox Film Corp. and one of the largest crude-oil traders in the country, is under investigation by a federal grand jury in New York to determine whether he improperly diverted $23 million in questionable crude-oil profits in 1980 and 1981 from his U.S. company to a Swiss affiliate, according to a government source.

The investigation began earlier this year in the U.S. attorney's office for the Southern District of New York, which has sought assistance from the Internal Revenue Service to determine whether Rich's intricate worldwide oil trading practices violated tax law.

Central to the investigation is an alleged conspiracy between Rich and two Texas crude-oil traders, David Ratliff and John Troland, who at that time were operating West Texas Marketing Corp. of Abilene.

Both men pleaded guilty and served 10-month prison sentences in an unrelated series of oil transactions.

They have cooperated with federal authorities by outlining their allegedly illegal transactions with Rich's companies.

Ratliff and Troland said in telephone interviews during the weekend that they told federal investigators their firm engaged in the following transactions described in the investigation:

Marc Rich and his U.S. company, Marc Rich + Co. International Ltd., sold volumes of allegedly price-controlled crude to the West Texas company.

Subsequently, the Texas company allegedly claimed the crude was unregulated and more than doubled the price, thereby generating enormous profits.

Most of the profits allegedly were held for Rich and later transferred.

See PROBE, A14, Col. 1

PROBE, From A1

to the account of his Swiss company. To cover up those profits, Rich's Swiss affiliate and the Texas company allegedly faked additional transactions that lost an amount of money equal to those profits.

Rich could not be reached for comment on the allegations being investigated and declined an earlier request for an interview.

In an Oct. 7 letter, Rich's secretary said, "Mr. Rich has aked me to advise you that the policy of our firm is not to grant interviews."

Rich's attorney, Edward Bennett Williams, said, "I can't comment, I never comment on a client's problems or activities, especially when it involves a grand jury."

Rich's vast crude-oil trading operation reportedly has controlled tens of thousands, perhaps hundreds of thousands of barrels of crude oil a day and commanded premium prices on the spot market during the 1979 world oil crisis touched off by the Iranian revolution.

During the peak of the 1979 oil crisis, Rich and his affiliates reportedly controlled up to 150,000 barrels a day of Nigerian crude-oil production alone. One major U.S. oil company that fell drastically short of its crude requirements in early 1979 paid Rich $5-a-barrel and $8-a-barrel premiums over the official Nigerian price for access to some of that crude.

Rich, 48, runs his international commodities trading operation from Fifth Avenue offices in New York.

It is a business he built after leaving Philipp Brothers (now Phibro Corp.) in 1974.

At Philipp Brothers, Rich was a senior trader and reportedly quit in a salary dispute in the wake of the 1973 Mideast oil embargo.

Rich went out on his own, taking several top Philipp Brothers' traders with him.

Rich's name did not surface publicly when Denver oilman Marvin Davis negotiated the $722 million purchase of Twentieth Century-Fox that was approved by Fox's directors in June, 1981. Several months later, Fox officials said Rich was a partner in the deal.

In later filings with the Securities and Exchange Commission, Fox reported that Rich's Netherland Antilles affiliate, Richco, was a half-owner in TCF Holdings Inc., the Davis company that purchased Fox. Fox reported that Richco contributed at least $75 million in purchase money through an irrevocable letter of credit and an undisclosed amount of cash.

Rich's attorney, Edward Bennett Williams, said, "I can't comment, I never comment on a client's problems or activities, especially when it involves a grand jury."

Through the purchase, Davis and Rich have turned the publicly held film company into a privately held one.

Davis subsequently added several prominent Americans to its board of directors, including former President Gerald R. Ford, former secretary of state Henry A. Kissinger and Washington attorney Williams, who has handled legal matters for Davis for some time.

There has been no suggestion that Rich's participation in the purchase of Fox was related to the crude-oil transactions under investigation.

According to Ratliff of West Texas Marketing, he and Troland were introduced to the Rich organization by one of Troland's former colleagues who had gone to work for Rich.

Ratliff also told investigators that beginning in September, 1980, Rich's company allegedly sold cheap, price-controlled crude oil to West Texas Marketing, which in turn sold equal volumes of crude at world prices, thus creating a huge profit margin, much of which was retained for the Rich firm.

The transactions were allegedly illegal because they required West Texas Marketing to knowingly switch the certifications on volumes of crude oil from price-controlled levels of about $12 a barrel to high, world price levels of $30 a barrel or more.

Instead of paying the allegedly illegal profits directly to Rich's U.S. firm, the Texas firm allegedly transferred the money to Rich's overseas affiliate and disguised the actions by creating paper losses through a series of foreign crude transactions.

The transfer was allegedly arranged this way: Rich's Swiss affiliate sold on paper certain volumes of foreign crude oil at world prices to West Texas Marketing. The Texas firm turned around and resold the fictional crude at less than the world price, thus creating huge losses.

The losses were formulated to equal Rich's profits from the domestic crude-oil sales.

By manufacturing this loss, the West Texas Marketing officials "balanced" their books and allegedly hid the Marc Rich profits that had been transferred offshore to Marc Rich & Co., AG.

A spokesman for the U.S. attorney's office in New York declined to comment on the investigation.

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HEREIN IS UNCLASSIFIED
DATE 3/31/01 BY SP3C/EDS
(CDRU #01-081)

Date: 10/19/82
Edition: WASHINGTON POST
Title: PG A1

Character:
or
Classification: 196-1774
Submitting Office: SG

FD-350 (Rev. 10-19-77)

(Mount Clipping in Space Below)

Top Oil Trader Focus of Probe On Tax Evasion

By Patrick E. Tyler
The Washington Post

Marc Rich, half-owner of Twentieth Century-Fox Film Corp. and one of the largest crude-oil traders in the country, is under investigation by a federal grand jury in New York to determine whether he improperly diverted $23 million in questionable crude-oil profits in 1980 and 1981 from his U.S. company to a Swiss affiliate, according to a government source.

The investigation began earlier this year in the U.S. attorney's office for the Southern District of New York, which has sought assistance from the IRS to determine whether Rich's intricate worldwide oil-trading practices violated tax law.

Central to the investigation is an alleged conspiracy between Rich and two Texas crude-oil traders, David Ratliff and John Troland, who at that time were operating West Texas Marketing Corp. of Abilene. According to Ratliff, he and Troland were introduced to the Rich organization by one of Troland's former colleagues who had gone to work for Rich.

Both men pleaded guilty and served 10-month prison sentences in an unrelated series of oil transactions. They have cooperated with federal authorities by outlining their allegedly illegal transactions with Rich's companies. Ratliff and Troland said in telephone interviews that they told investigators their firm engaged in the following transactions: Rich and his U.S. company, Marc Rich + Co. International Ltd., sold volumes of allegedly price-controlled crude to the West Texas company. Subsequently, the Texas company allegedly claimed the crude was unregulated and more than doubled the price, thereby generating enormous profits. Most of the profits allegedly were held for Rich and later transferred to the account of his Swiss company. To cover up those profits, Rich's Swiss affiliate and the Texas company allegedly faked additional transactions that lost an amount of money equal to those profits.

The transactions were allegedly illegal because they required West Texas Marketing to knowingly switch the certifications on volumes of crude oil from price-controlled levels of about $12 a barrel to world price levels of $30 a barrel or more.

Rich, 48, could not be reached for comment on the allegations being investigated and declined an earlier request for an interview. In an Oct. 7 letter, Rich's secretary said, "Mr. Rich has aked me to advise you that the policy of our firm is not to grant interviews." Rich's attorney, Edward Bennett Williams, also declined to comment.

Rich's vast crude-oil trading operation reportedly has controlled perhaps hundreds of thousands of barrels of crude oil a day and commanded premium prices on the spot market during the 1979 world oil crisis.

(Indicate page, name of newspaper, city and state.)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 8/21/01 BY SP2 CTC/KDS (CDELU #01-087)

Date: 10/18/82
Edition: NEWSDAY

Title:

Character:
or 196-1774-57
Classification:
Submitting Office:

1602

FBI/DOJ

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 10/29/92

[redacted] telephone number [redacted] was advised of the identity of the interviewing agent and stated that he knew of the subpoena being served to him as he had been in contact with the U.S. Attorney's office in New York. [redacted] was served with a Grand Jury Subpoena Duces Tecum to bring [redacted] to the Southern District of New York.

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Original subpoena given to AUSA [redacted] 11/8/82.

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/PDS
(CDRU #01-081)

196B-1774-59

Investigation on 10/27/82 at [redacted] File # HO 196B-881
NY 196B-1774

by SA Helen I. Bachor/pbs Date dictated 10/27/82

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 10/25/82

[redacted] telephone number [redacted] was advised of the identity of [redacted] and stated that he knew of the purpose of the interview, as he had spoken with the Assistant United States Attorney (AUSA) in New York. [redacted] was served with a Grand Jury Subpoena Duces Tecum to bring [redacted] to the Southern District of New York.

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Original subpoena given to AUSA [redacted] 11/8/82

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Investigation on 10/20/82 at [redacted] File # HO 196B-881 NY 196B-1774-58

by AT [redacted] /pbs Date dictated 10/20/82

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 10/25/82

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[redacted] telephone number [redacted] was advised of the identity of [redacted] and stated that he knew of the purpose of the interview, as he had spoken with the Assistant United States Attorney (AUSA) in New York. [redacted] was served with a Grand Jury Subpoena Duces Tecum to bring [redacted] to the Southern District of New York.

ALL INFORMATION CONTAINED
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(CDRU #01-081)

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Investigation on 10/20/82 at [redacted] File # HO 196B-881
NY 196B-1774-37

by AT [redacted] pbs Date dictated 10/20/82

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 10/29/92

[redacted] telephone number [redacted] was advised of the identity of the interviewing agent and stated that he knew of the subpoena being served to him as he had been in contact with the U.S. Attorney's office in New York. [redacted] was served with a Grand Jury Subpoena Duces Tecum to bring [redacted] to the Southern District of New York.

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DATE 2/21/01 BY SP3JC/RDS
(CDRU#01-0881)

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Investigation on 10/27/82 at [redacted] File # HO 196B-881
NY 196B-1774 -59

by SA Helen I. Bachor/pbs Date dictated 10/27/82

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FD-36 (Rev. 5-22-78)

FBI

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☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 10/25/82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company
ET AL
FBW - ENERGY RELATED
(OO: NY)

Re New York airtel, dated 10/14/82.

Enclosed for New York are the original subpoena served on [redacted], and the original and one copy of [redacted] FD-302. Also enclosed are the original subpoena served on [redacted] and the original and one copy of an FD-302 on [redacted]

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b7C
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(2) - New York (Enc. 6) 91C
2 - Houston
JDB/pbs
(4)

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DATE 2/21/01 BY SP5JC/PDS
(CDRU #01-081)

196B-1774-60

[redacted]

1982

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Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

x Airtel

10/25/82

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH,
dba Marc Rich and Company
ET AL
FBW - ENERGY RELATED
(OO: NY)

Re New York airtel, dated 10/14/82.

Enclosed for New York are the original subpoena served on [redacted], and the original and one copy of [redacted] FD-302. Also enclosed are the original subpoena served on [redacted] and the original and one copy of an FD-302 on [redacted]

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b7C
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②- New York (Enc. 6)
2 - Houston
JDB/pbs
(4)

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HEREIN IS UNCLASSIFIED
DATE 2/2/01 BY SP3C/ed's
(CDRU #01-081)

196B-1774-60

1*

Memorandum

To : SAC, Div. II (196-1774)(P) Date 10/26/82

From : SA [redacted] b6 b7C

Subject : MARC RICH,
ET AL
FBW - ENERGY RELATED
OO: NY

Attached are photocopies of all FD-302#s which are part of the referenced case file to date. Another set of these FD-302s were furnished to AUSA [redacted] on 10/26/82. b6 b7C

AUSA [redacted] requested these documents on 10/25/82.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/ED5
(CDELL #01-081)

196B-1774-61

MWP 3

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/15/82

[redacted] accepted service of a subpoena for [redacted]

1 [redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
(CDRU #01-081)

Investigation on 10/15/82 at [redacted] File # NY 196-1774

by SC [redacted] Date dictated 10/15/82

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/82

[redacted] telephone [redacted] was advised of the identity of the interviewing agent and was furnished a copy of a subpoena duces tecum. The subpoena commanded that [redacted] before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on [redacted] at [redacted] It commands that [redacted]

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b7C
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[redacted]

Exhibit 1

[redacted]

1. [redacted]

2. [redacted]

3. [redacted]

4. [redacted]

b3

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/EDS (CDRU #01-081)

Investigation on 8/19/82 at [redacted] b3 File # NY 196B-1274-50 HO 196B-881

by SA Helen I. Bachor/rbp Date dictated 8/19/82

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5. [redacted]

b3

Exhibit 2

[redacted]

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/23/8

[redacted] telephone [redacted] was furnished a copy of a subpoena duces tecum commanding that any [redacted] appear before the Federal Grand Jury, U.S. District Court, Southern District of New York, New York City, New York on [redacted] at [redacted] It commands that [redacted]

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b7C
b3

[redacted]

Exhibit 1

[redacted]

1. [redacted]
2. [redacted]
3. [redacted]
4. [redacted]
5. [redacted]

b3

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 9/21/01 BY SP5JC/RDS (CDRU #01-081)

Investigation on 8/18/82 at [redacted] File # NY 196B-7754-119 HO 196B-88

by SA Helen I. Bachor/rbp Date dictated 8/19/82

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[redacted]

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Exhibit `2

[redacted]

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FD-302 (Rev. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/29/82

[redacted] provided the following information:

[redacted]

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b7D

[redacted]

b6
b7C
b7D

ALL INFORMATION CONTAINED
HEREIN IS U. CLASSIFIED
DATE 2/21/01 BY SP5TC/ED5
(CDRU #01-081)

Telephonic

Investigation on 6/29/82 at New York, New York File # NY 196B-177[illegible]-41

by SA [redacted]:gcg

b6
b7C

Date dictated 6/29/82

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FD-302 (Rev. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/7/82

[redacted] Apartment [redacted] New York, appeared at the United States Attorney's Office, One St. Andrews Plaza, New York, New York, accompanied by his attorney, [redacted], to provide handwriting exemplars. b6 b7C

[redacted] furnished ten 3"X5" cards with his name in script. He then furnished three handwritten copies of three sheets of paper entitled [redacted] September thru December, 1980".

The following descriptive data was obtained by interview and observation:

Sex:	Male
Race:	White
Hair:	[redacted]
Date of Birth:	[redacted] b6 b7C
Business Address:	[redacted]

After supplying the handwriting exemplars, [redacted] and his attorney proceeded to the New York office where [redacted] was photographed.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/KDS
(CDRU #01-081)

Investigation on 6/7/82 at New York, New York File # NY 196-1774

by SA [redacted] ger b6 b7C Date dictated 6/7/82

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FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 3/1/82

[redacted] telephone number [redacted] was contacted at his place of employment. b6 b7C b3

He was informed of the official identities of the interviewing Agents. Agent [redacted] served Mr. [redacted] a Grand Jury subpoena duces tecum, issued by the Southern District of New York, directed to [redacted]. The subpoena commands an appearance and the production of [redacted] U.S. Courthouse, Foley Square, in the Borough of Manhattan, City of New York.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/ED5
(CDRU #01-081)

b6
b7C
b3

Investigation on 2/18/82 at [redacted] File # NY 196B-1774-29
Houston 196B-881

by SAs [redacted] and DAS/dls Date dictated 2/22/82

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FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 3/1/82

[redacted] Texas, telephone number [redacted] was contacted at his place of employment.

b6
b7C
b3

He was informed of the official identities of the interviewing Agents. SA [redacted] served Mr. [redacted] a Grand Jury subpoena duces tecum, issued by the Southern District of New York, directed to [redacted] The subpoena commands an [redacted] U.S. Courthouse, Foley Square, in the Borough of Manhattan, City of New York.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5 C/ERS
(CDRH #01-081)

b6
b7C
b3

Investigation on 2/18/82 at [redacted] File # NY 196B-1774-28
Houston-196-881

by SAs [redacted] and DAS/dls Date dictated 2/22/82

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/28/81

[redacted] provided the following information:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted]

[redacted]

b6
b7C
b7D

[redacted]

[redacted]

b6
b7C
b7D

[redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5IC/RDS
(CDEU #01-081)

Investigation on 12/28/81 at New York, New York File # NY 196B-177477

by 62 SA [redacted] /ras

b6
b7C

Date dictated 12/28/81

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[redacted]

b6
b7C
b7D

FD-302 (rev. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 12/11/81

[redacted] provided the below described original documents: b6 b7C b7D b3

1. [redacted]

2. [redacted]

3. [redacted]

4. [redacted] b7D b3

5. [redacted]

6. [redacted]

7. [redacted] b7D b3

8. [redacted]

9. [redacted]

see 1A #1

Interviewed on 11/9/81 at [redacted] File # NY 196B-1774-A

By SA [redacted] kvk b6 b7C b7D b3 Date Dictated 11/13/81

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5/JC/RDS (CDRU#01-081)

10. [redacted]

11. [redacted]

b7D
b3

12. [redacted]

13. [redacted]

14. [redacted]

15. [redacted]

16. [redacted]

b6
b7C
b7D
b3

17. [redacted]

18. [redacted]

19. [redacted]

20. [redacted]

21. [redacted]

FD-302 (Rev. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/21/81

advised that his residence is at

b6
b7C
b7D

b6
b7C
b7D

Copies To AUSA 10/26/81

6/18/81, 7/30/81 and 9/30/81

Investigation on at

NY 196B-1774-11
HO 196B-881

File #

by SAs and MMW/rbp

Date dictated 10/21/81

b6
b7C
b7D

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

SEARCHED INDEXED
SERIALIZED FILED

YORK

b6
b7C

[redacted]

b6
b7C
b7D

[redacted]

[redacted]

[redacted]

b6
b7C
b7D

[redacted]

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 11/9/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)

RE: MARK RICH,
dba Marc Rich and Company
Et Al
FBW - ENERGY RELATED
OO: New York

Re New York airtel to Los Angeles dated 8/20/82.

Enclosed for New York is original subpoena served on [redacted] on 8/31/82. b3

[redacted] On 11/9/82, it was determined that the subpoenaed will be furnished directly to the U.S. Attorney's Office, New York City.

b6
b7C
b3

Subpoena given to [redacted] AUSA 11/29/82

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/KDS (CDRU #01-081)

196B-1774-62

2 - New York (Enc. 1) 91C
1 - Los Angeles

TRG/BAR
(3)

b6
b7C

Approved: RTB/Rg Transmitted _____ (Number) (Time) Per _____

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 11/9/82

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)

RE: MARK RICH,
dba Marc Rich and Company
Et Al
FBW - ENERGY RELATED
00: New York

Re New York airtel to Los Angeles dated 8/20/82.

Enclosed for New York is original subpoena served on [redacted] on 8/31/82. b3

[redacted] On 11/9/82, it was determined that the subpoenaed will be furnished directly to the U.S. Attorney's Office, New York City.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/ED5

196B-1774-62

(2) - New York (Enc. 1)
1 - Los Angeles

TRG/BAR
(3)

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

AIRTEL

12/16/82

TO: SAC, CLEVELAND

FROM: SAIC, NEW YORK (196B-1774) (P) (C-1)

SUBJECT: MARC RICH;
LT AL,
FIN - ENERGY RELATED
(OO: NY)

Ref Airtel to New York, 11/9/82; and Hoistel to New York, 10/25/82.

Enclosed for Cleveland is the original and one copy of a subpoena. Enclosed for Houston is the original and one copy of a subpoena. Enclosed for Los Angeles are the original and one copy each of two subpoenas. Enclosed for Louisville is the original and one copy of a subpoena.

For the information of Cleveland and Louisville, investigation at New York has determined that referenced energy related fraud may exceed $25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

CLEVELAND DIVISION

AT CLEVELAND, OHIO.

Will serve enclosed Subpoena.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/EDS (CDRU #01-081)

2 - Cleveland (Encs. 2)
2 - Houston (196B-381) (Encs. 2)
2 - Los Angeles (196L-2709) (Encs. 4)
2 - Louisville (Encs. 2)
2 - New York

602

CJH:bmm (1 - Supv. M-1)
(10)

196B-1224-63

NY 196D-1774
CJL:mas

HOUSTON DIVISION

AT HOUSTON, TEXAS.

Will serve enclosed subpoena.

LOS ANGELES DIVISION

AT LOS ANGELES, CALIFORNIA.

Will serve enclosed subpoenas.

LOUISVILLE DIVISION

AT ASHLAND, KENTUCKY.

Will serve enclosed subpoena.

Memorandum

To : Special Clerks (196-1774) Date 12/20/82

From : SA [redacted] (M-1)

b6
b7C

Subject : MARC RICH
~~ET~~ AC
FBW - Energy Related
OO: NY

It is requested that ~~the~~ attached subpoena be served on ~~the~~ [redacted]

[redacted]

b6
b7C
b3

[redacted]

Original subpoena returned to AUSA 1/14/83.

12-20-82
OR
B

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/2/01 BY SP5JC/RDS
(CDRU #01-081)

196-B 1774-63A
SEARCHED
SERIALIZED

LEAD TO → SC

RC

AIRTEL

12/21/82

TO: SAC, CHICAGO

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH;
ET AL;
FBW - ENERGY RELATED
(OO: NY)

ReNYairtel to Cleveland, dated 12/16/82.

Enclosed for Chicago is the original and one copy of a subpoena. Enclosed for Houston are the original and one copy each of two subpoenaes.

For the information of Chicago, investigation at New York has determined that captioned energy-related fraud may exceed $25 million. AUSA, SDNY has initiated Grand Jury segment of investigation.

LEADS

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5 IC/ecs (CDRW #01-081)

CHICAGO DIVISION

AT SCHAUMBERG, ILLINOIS. Will serve enclosed subpoena.

HOUSTON DIVISION

AT HOUSTON, TEXAS. Will serve enclosed subpoenaes.

2 - Chicago (Encs. 2)
2 - Houston (Encs. 4)
2 - New York

GJL:mms
(6)

196B-1224-64
SEARCHED ... INDEXED ...
FILED ...
16R

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 12/27/82

TO: ADIC, New York (196B-1774) (M-1)

FROM: SAC, Cleveland (196B-956) (SQ. 6) (RUC)

MARC RICH;
ET AL.
FBW - ENERGY RELATED
OO: New York

Reurairtel, 12/16/82.

Enclosed for New York is the original of a subpoena received with referenced airtel. Service of subpoena is noted on the back of the subpoena.

Original executed Subpoena returned to AUSA on 1/5/83

b6
b7C
b3

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/EDS (CDRU #01-081)

2 - New York (Enc. 1) (RM)
- Cleveland

JLR/hs
(3)

b6
b7C

196B-1774-65
SEARCHED INDEXED
SERIALIZED FILED
JAN 4 1983
FBI NEW YORK

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

XAirtel

12/27/82

TO: ADIC, New York (196B-1774) (M-1)

FROM: SAC, Cleveland (196B-956) (SQ. 6) (RUC)

MARC RICH;
ET AL.
FBW - ENERGY RELATED
OO: New York

Reurairtel, 12/16/82.

Enclosed for New York is the original of a subpoena received with referenced airtel. Service of subpoena is noted on the back of the subpoena.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SJSJC/EDS
(CDRU #01-081)

2 - New York (Enc. 1) (RM)
1 - Cleveland

JLR/hs
(3)

196B-1774-65
SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
JAN 4 1983
FBI — NEW YORK

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/3/83

1

On December 28, 1982, [redacted] telephone number [redacted] was contacted at the [redacted] by Special Agent [redacted] who identified himself to him as a Special Agent of the Federal Bureau of Investigation (FBI). b6 b7C b3

[redacted] was served with a Federal Grand Jury subpoena Duces Tecum, to testify and provide [redacted] at the United States District Court, Southern District of New York, New York, New York, on [redacted] was advised that any questions concerning this subpoena should be directed to Assistant United States Attorney [redacted] U.S. Attorney's Office, New York, New York, telephone [redacted]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/RDS (CDRN#01-081)

NY 196-1774-66

Investigation on 12/28/82 at [redacted] File # ~~196B-891~~ b6 b7C b3

by SA [redacted] bgp Date dictated 12/28/82

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FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ _____	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 1/3/83

TO: ADIC, NEW YORK (196-1774) (M-1)

FROM: SAC, HOUSTON (196-881) (P)

MARC RICH
ET AL;
FBW-ENERGY RELATED
(OO;NY)

Re NY airtel to CV, 12/15/82.

Enclosed is one original subpoena for [redacted] Also enclosed is the original and one copy of an FD-302, reflecting service of the subpoena on 12/28/82.

b6
b7C
b3

1/10/83

Subpoena & copy of FD-302 furnished AUSA

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5/JC/PJS (CDRU #01-081)

2 - New York (Enc. 3)
2 - Houston
(1-196B-881)
(1-66-1872)
HLL/bgp

(4)

[redacted]

196-1774-67

SEARCHED INDEXED
SERIALIZED FILED
JAN 6 1983
FBI - NEW YORK

b6
b7C

Approved: _____ Transmi[redacted] Per _____

(Numbe[redacted])

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ _____	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 1/3/83

TO: ADIC, NEW YORK (196-1774) (M-1)

FROM: SAC, HOUSTON (196-881) (P)

MARC RICH
ET AL;
FBW-ENERGY RELATED
(OO;NY)

Re NY airtel to CV, 12/15/82.

Enclosed is one original subpoena for [redacted] Also enclosed is the original and one copy of an FD-302, reflecting service of the subpoena on 12/28/82. b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDEU #01-081)

(2) - New York (Enc. 3)
2 - Houston
(1-196B-881)
(1-66-1872)
HLL/bgp

(4)

196-1774-67

SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____
JAN 6 1983
FBI — NEW YORK

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

U.S. GOVERNMENT PRINTING OFFICE: 1982 O - 369-895

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription January 4,1982

On December 27, 1982 [redacted] was contacted at [redacted] by Special Agent (SA) [redacted], who identified himself to her as a Special Agent of the Federal Bureau of Investigation, (FBI).

b6
b7C
b3

[redacted] was served with a Federal Grand Jury, (FJG) subpoena duces tecum, to testify and provide [redacted], concerning [redacted] at the United States District Court, Southern District of New York, New York, New York on [redacted] was advised that any questions concerning this subpoena should be directed to Assistant U.S. Attorney (AUSA) [redacted], U.S. Attorney's Office, New York, New York, [redacted].

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/LDS
(CDBU #01-081)

b6
b7C
b3

Investigation on December 27, 1982	at [redacted]	File # Houston:196B-1774 Houston:66-1872 ~~New York:196B-1774~~ 68
by Special Agent [redacted] : md		Date dictated December 27,1982

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription January 4,1983

On December 27, 1982, [redacted] was contacted at [redacted] by Special Agent [redacted], who identified himself to her as a Special Agent of the Federal Bureau of Investigation, (FBI).

b6
b7C
b3

[redacted] was served with a Federal Grand Jury, (FGJ) subpoena duces tecum to testify and provide [redacted] concerning [redacted] at the United States District Court, Southern District of New York, New York, New York, on [redacted] was advised that any questions concerning this subpoenas should be directed to Assistant U.S. Attorney (AUSA) [redacted], U.S. Attorney's Office, New York, New York, [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3TC/RDS
(CDRU #01-081)

b6
b7C
b3

December 27,1982 [redacted]

Investigation on at File # Houston: 196B-881
Houston:66-1872
New York:196B-1774-69

Special Agent [redacted] md

by Date dictated December 27,1982

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FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 1/4/83

TO: SAC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) (C) RUC

MARC RICH;
ET AL
FBW - ENERGY RELATED
(OO: NY)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP3JC/KDS (CDRU #01-081)

Re NY airtel to Chicago dated 12/21/82.

Enclosed are two original subpoenas for [redacted] Also, enclosed is the original and one copy of two FD-302s reflecting service of subpoenas to the [redacted] b3

On December 27, 1982, the two subpoenas were served to the above [redacted]

Houston Division is placing this matter as RUC.

Subpoenas returned to AUSA 1/13/83.

b6
b7C
b3

2-New York (Encs.6)
2-Houston (1-196B-881)(1-66-1822)
HLL/md
(4)

196B-1774-70
SEARCHED SERIALIZED INDEXED FILED
JAN 12 1983
FBI
1662

SPECIAL DOCUMENT(S) ENCLOSED

DO NOT BLOCK STAMP

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

AIRTEL

1/4/83

TO: SAC, NEW YORK (196B-1774)

FROM: SAC, HOUSTON (196B-881) (RUC)

MARC RICH;
ET AL
FBW - ENERGY RELATED
(OO: NY)

Re NY airtel to Chicago dated 12/21/82.

Enclosed are two original subpoenas for [redacted]. Also, enclosed is the original and one copy of two FD-302s reflecting service of subpoenas to the [redacted] b3

On December 27, 1982, the two subpoenas were served to the above [redacted]

Houston Division is placing this matter as RUC.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/ED5
(CDRU #01-081)

2-New York (Encs.6)
2-Houston (1-196B-881)(1-66-1822)
HLL/md
(4)

196B-1774-20

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 1/5/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, LOUISVILLE (196B-744) RUC

MARC RICH;
ET AL;
FBW - ENERGY RELATED

OO: NEW YORK

Re New York airtel to Cleveland, 12/16/82.

On 12/28/82, [redacted] was served with a Grand Jury subpoena duces tecum issued by U.S. Clerk for the Southern District of New York, dated 12/14/82, returnable 1/7/83, U.S. District Courthouse, Borough of Manhatten, New York City. b6 b7C b3

2 - New York
1 - Louisville
GJD:kms
(3)

Wherein subpoena?

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/PDS (CDELK #01-081)

196B-1774-7f

SEARCHED SERIALIZED INDEXED FILED

JAN 11 1983

FBI [redacted]

b6 b7C

1*

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

X Airtel

1/5/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, LOUISVILLE (196B-744) RUC

MARC RICH;
ET AL;
FBW - ENERGY RELATED

OO: NEW YORK

Re New York airtel to Cleveland, 12/16/82.

On 12/28/82, [redacted] was served with a Grand Jury subpoena duces tecum issued by U.S. Clerk for the Southern District of New York, dated 12/14/82, returnable 1/7/83, U.S. District Courthouse, Borough of Manhatten, New York City. b6 b7C b3

(2) - New York
1 - Louisville
GJD:kms
(3)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY DPSUC/EDS
(CDRU #01-081)

96B-1774-71

RECORDED SERIALIZED FILED
JAN 11 1983
FBI - NEW YORK

1*

FD-302 (REV. 3-8-77.

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/13/83

Ms. [redacted], accepted service of a subpoena for any and [redacted]

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/ERS
(CDRU #01-081)

Investigation on 1/12/83 at [redacted] File # NY 196-1774-72

b6
b7C
b3

by SC [redacted] rvc Date dictated 1/13/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/13/83

Ms. [redacted] accepted service of a subpoena for any and [redacted]

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/ED5
(CDRU #01-081)

Investigation on 1/12/83 at [redacted] File # NY 196-1774-22

b6
b7C
b3

by SC [redacted] / rvc Date dictated 1/13/83

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FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 1/13/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, CHICAGO (196B-1865) (RUC)

MARC RICH;
ET AL;
FBW-ENERGY RELATED
(OO: NEW YORK)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 1/21/01 BY SP5TC/EDS
(CDRU #01-081

Re: New York airtel to Chicago dated 12/21/82.

Enclosed for New York is the original returned subpoena duces tecum issued by the U.S. District Court, Southern District of New York, dated 12/20/82.

On 1/3/83, a copy of the enclosed subpoena was provided to [redacted] advised that she could not accept service of the subpoena because the [redacted] for that purpose [redacted] stated that she would begin to retrieve the [redacted] called for in the subpoena in order to meet the deadline of [redacted]

On 1/7/83, the enclosed subpoena was served on [redacted] advised that she would contact AUSA [redacted] Southern District of New York at telephone number [redacted] and make arrangements to provide him with the [redacted] called for in this subpoena.

No investigation remains for Chicago, this matter is considered RUC'd.

2 - New York (Enc. 1)
1 - Chicago
DJH/cjc
(3)

Original subpoena returned to AUSA 2/1/83 GR

196B-1774-72A

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN 27 1983
NEW YORK [redacted]

Approved: EDH/KWM Transmitted _____ (Number) _____ (Time) Per _____

b6
b7C
b3

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
XX Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 1/13/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, CHICAGO (196B-1865) (RUC)

MARC RICH;
ET AL;
FBW-ENERGY RELATED
(OO: NEW YORK)

Re: New York airtel to Chicago dated 12/21/82.

Enclosed for New York is the original returned subpoena duces tecum issued by the U.S. District Court, Southern District of New York, dated 12/20/82.

On 1/3/83, a copy of the enclosed subpoena was provided to [redacted] Attorney, [redacted] advised that she could not accept service of the subpoena because the [redacted] employed [redacted] for that purpose [redacted] stated that she would begin to retrieve the [redacted] called for in the subpoena in order to meet the deadline of [redacted]

b6
b7C
b3

On 1/7/83, the enclosed subpoena was served on [redacted] advised that she would contact AUSA [redacted], Southern District of New York at telephone number [redacted] and make arrangements to provide him with the [redacted] called for in this subpoena.

b6
b7C
b3

No investigation remains for Chicago, this matter is considered RUC'd.

2 - New York (Enc. 1)
1 - Chicago
DJH/cjc
(3)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/2/01 BY SP3JC/RDS
(CDRU #01-081)

196B-1774-224

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN 27 1983
FBI — NEW YORK

Approved: _____ Transmitted _____ (Number) (Time) Per _____

TITLE: MARC RICH
dba Marc Rich and Company;
[redacted]
FBW-ENERGY RELATED
(OO:NY)

JAN 14 1983

b6
b7C

File: 196B-1774
Case Agent:
Supervisor: [redacted]

I. Date Investigation Began: 10/21/81

II. Type of Investigation: GCI

III. Date of FBIHQ/Departmental Approval: N/A

IV. Date Investigative Authority Expires: N/A

V. Basis of Investigation:

[redacted] provided information that [redacted]

b6
b7C
b7D

VI. Major Objectives:

1) Identify all participants in scheme that are both actively and passively involved.

[redacted]

b7D
b7E

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDELL #01-081)

196 B-1774-93

VII. Prosecutive Opinion:

AUSA [redacted] SDNY, has been actively involved in investigative effort to date. He has [redacted] b6 b7C b3 b5

VIII. Milestones Completed:

1) DOJ Tax Division approval for Grand Jury, 2/22/82.

2) Identification of passive participation, [redacted], 2/25/82. Additional U.S. Income of $15 million not taxed.

3) Affidavits in support of [redacted] b6 b7C b3 b7E

4) Motion [redacted]

5) Continued [redacted]

6) Obtain [redacted] b7E to date).

[redacted]

8) Cooperation of [redacted] could not be obtained. b6 b7C

9) [redacted] b3 b7D b7E

IX. Milestones anticipated:

1) Obtain cooperation of [redacted] b6 b7C

2) Obtain [redacted] b3 b7D b7E
Subject to results of appeal now in process. Still pending.

3) Complete [redacted]

4) Indictment Possible by May, 1983.

X. Unusual Investigative Techniques Employed/Anticipated:

[redacted] from a [redacted] to ensure [redacted] b3

XI. Manpower Anticipated:

[redacted] b7E

XII. Accomplishments:

Motion to [redacted] for production of [redacted] was settled in favor of the U.S. Government. [redacted] b3

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/24/83

Ms. [redacted] b6 b7C

[redacted] b3

accepted service of a subpoena for the following:

[redacted]

1) [redacted]

2) [redacted] b3

3) [redacted]

4) [redacted]

5) [redacted]

6) [redacted]

7) [redacted]

8) [redacted]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5 JC/EDS CDBU #01-081)

Original Subpoena returned to AUSA

1/25/83 GCL

[redacted] b6 b7C b3

190B 1774-74

[redacted]

Investigation on 1/18/83 at [redacted] File # NY 196-1774

by S.C. [redacted] mcp Date dictated 1/24/83

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 1/24/83

Ms. [redacted] b6 b7C b3

[redacted]

accepted service of a subpoena for the following:

[redacted]

1) [redacted] b3

2)

3)

4)

5)

6)

7)

8)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/2DS
(CDRU #01-081)

Investigation on 1/18/83 at [redacted] File # NY 196B-1774-24

b6 b7C b3

by S.C. [redacted] /mcp Date dictated 1/24/83

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Memorandum

To : Special Clerks (196-1774)(P) Date 1/18/83

From : SA [redacted] (M-1) b6 b7C

Subject : MARC RICH;
ET AL
FBW (B)

Attached is a subpoena re captioned matter. It is requested that special clerks serve this subpoena.

[redacted] b6 b7C b3

62-42230

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
(CDR #01-081)

LEAD TO
SC's
1/18/83

196B 177 4-75

Copied 1-24-83

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62

FD-36 (Rev. 5-22-78)

FBI

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☐ Facsimile	☐ Priority	☐ SECRET
X ☐ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 1/28/83

TO: SAC, ALEXANDRIA

FROM: ADIC, NEW YORK (196B-1774) (P) (M-1)

SUBJECT: MARC RICH;
dba MARC RICH AND CO;
ET AL
FBW-ENERGY RELATED
(CO:NY)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

ReLAairtel to New York, dated 11/9/82.

Enclosed for Alexandria is one subpoena, Chicago are two subpoenas, Kansas City are two subpoenas, Los Angeles is one subpoena, New Orleans is one subpoena, Oklahoma City are three subpoenas, Philadelphia are two subpoenas, St. Louis is one subpoena, San Antonio is one subpoena and San Francisco is one subpoena.

For information of Receiving Offices, New York Office investigation involves the [redacted] This investigation is in the grand jury stage and depends to a large extent on the results of lengthy [redacted] review. b3

2 - Alexandria (Enc. 1)
2 - Chicago (Enc. 2)
3 - Kansas City (Enc. 2) (196-902)
2 - Los Angeles (Enc. 1) (196-2709)
2 - New Orleans (Enc. 1)
3 - Oklahoma City (Enc. 3)
3 - Philadelphia (Enc. 2)
2 - St. Louis (Enc. 1)
2 - San Antonio (Enc. 1)
2 - San Francisco (Enc. 1)
(2) - New York

196B 1774-76

b6
b7C [redacted] 79

GJL:dap
(26)

6R

M-1

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

LEADS

ALEXANDRIA

AT FAIRFAX, VIRGINIA. Will serve enclosed subpoena.

CHICAGO

AT CHICAGO, ILLINOIS. Will serve enclosed subpoenas.

KANSAS CITY

AT WICHITA, KANSAS. Will serve enclosed subpoena.

AT MC PHERSON, KANSAS. Will serve enclosed subpoena.

LOS ANGELES

AT LOS ANGELES, CALIFORNIA. Will serve enclosed subpoena.

NEW ORLEANS

AT SHREVEPORT, LOUISIANA. Will serve enclosed subpoena.

OKLAHOMA CITY

AT BARTTESVILLE, OKLAHOMA. Will serve enclosed subpoena.

AT TULSA, OKLAHOMA. Will serve enclosed subpoenas.

PHILADELPHIA

AT PHILADELPHIA, PENNSYLVANIA. Will serve enclosed subpoena.

AT WAYNE, PENNSYLVANIA. Will serve enclosed subpoena.

ST. LOUIS

AT ST. LOUIS, MISSOURI. Will serve enclosed subpoena.

SAN ANTONIO

AT SAN ANTONIO, TEXAS. Will serve enclosed subpeona.

SAN FRANCISCO

AT SAN FRANCISCO, CALIFORNIA. Will serve enclosed subpeona.

FD-350 (Rev. 10-19-77)

(Mount Clipping in Space Below)

26 THE WALL STREET JOURNAL, Monday, January 31, 1983

Chase Is Trapped In Legal Tug of War Between U.S., Britain

* * *

High Court in London Orders Bank to Ignore Subpoena For Records by Grand Jury

By a WALL STREET JOURNAL *Staff Reporter*

NEW YORK—Chase Manhattan Bank is caught in a tug of war between a federal grand jury and a British court over access to some of the bank's records.

Late last week, a judge of the High Court in London ordered the London branch of Chase Manhattan to ignore a subpoena from a federal grand jury in New York to supply records related to Marc Rich & Co., a closely held international oil-trading firm here.

The grand jury is reportedly investigating various transactions of Marc Rich to determine whether the company violated any U.S. laws. The company has banking relations with Chase Manhattan.

Spokesmen for Chase Manhattan, Marc Rich and the U.S. attorney's office in New York declined to comment on the matter.

One of the issues raised by the dispute is to what extent records of U.S. banks held in their overseas offices are subject to access by U.S. law enforcement agencies. Chase Manhattan now faces the possible choice of defying the British court injunction or facing potential contempt-of-court charges in the U.S. for failing to comply with the subpoena.

The High Court judge apparently based his order on the grounds the records were confidential between the bank and its client.

A story in Friday's Financial Times said the grand jury subpoena sought documents of three companies, but none was named.

Besides Marc Rich, the identities of any other companies involved couldn't be ascertained.

(Indicate page, name of newspaper, city and state.)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/EDS (CDRH # 01-081)

Date: 1/31/83
Edition: NY
WALL ST JOURNAL
Title: PG. 26

Character:
or 196B-1774
Classification: 77
Submitting Office:

FBI—NEW YORK

602

FBI/DOJ

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
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☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/3/83

TO: ADIC NEW YORK (196B-1774) (M-1)

FROM: SAC, CHICAGO (196B-1865) (RUC) (SQUAD 7C)

MARC RICH; dba
Marc Rich and Co.;
ET AL;
FBW-ENERGY RELATED;
00:NEW YORK

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP3IC/EDS (CDRU#01-081)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are the originals of two Federal grand jury (FGJ) subpoenaes issued by the United States District Court (USDC), Southern District of New York (SDNY), New York, New York, served on [redacted]

On 2/3/83, the above described subpoenas were served on [redacted] to accept such subpoenaes and [redacted] will probably furnish the subpoenaed [redacted] by registered mail to AUSA [redacted] SDNY, New York, New York, or furnish them to the Chicago Office of the FBI.

b6
b7C
b3

Chicago is placing this matter in an RUC status and will reopen this matter if [redacted] furnishes the subpoenaed [redacted] to Chicago, forwarding the same to New York.

2 - New York (Encl. 2)
1 - Chicago
CAK:tm
(3)

Original subpoenaes returned to AUSA 2/10/83

1*

b6
b7C
b3

196B-1774-78

Approved: ED H/cm Transmitted _____ (Number) _____ (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

x Aiirtel

2/3/83

TO: ADIC NEW YORK (196B-1774) (M-1)

FROM: SAC, CHICAGO (196B-1865) (RUC) (SQUAD 7C)

MARC RICH; dba
Marc Rich and Co.;
ET AL;
FBW-ENERGY RELATED;
00:NEW YORK

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3C/RDS
(CDEU #01-081)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are the originals of two Federal grand jury (FGJ) subpoenaes issued by the United States District Court (USDC), Southern District of New York (SDNY), New York, New York, served on [redacted]

b6
b7C
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Chicago is placing this matter in an RUC status and will reopen this matter if [redacted] furnishes the subpoenaed documents to Chicago, forwarding the same to New York.

(2) - New York (Encl. 2)
1 - Chicago
CAK:tm
(3)

196B-1774-78
ST SP

M-1

1*

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
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☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/2/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, NEW ORLEANS (196B-999) (RUC)

MARC RICH, dba,
Marc Rich and Co.;
ET AL;
FBW - Energy Related
(OO:NY)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York is one copy of a subpoena served on [redacted] b6 b7C b3

[redacted] advised the subpoena would be made available to [redacted] on 2/3/83.

Original subpoena returned to AUSA 2/10/83

b6 b7C b3

2 - New York (Enc. 1) OK
1 - New Orleans (196B-999)
TRR:drs
(3)

196B-1774-79

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 9 1983
FBI - NEW YORK

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/2/01 BY SP3C/CDS (CDRU #01-081)

1*

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

FD-36 (Rev. 5-22-78)

FBI

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☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/2/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, NEW ORLEANS (196B-999) (RUC)

MARC RICH, dba,
Marc Rich and Co.;
ET AL;
FBW - Energy Related
(OO:NY)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York is one copy of a subpoena served on [redacted] b6 b7C b3

[redacted] advised the subpoena would be made available to [redacted] on 2/3/83.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3C/EDS
(CDRU #01-081)

2 - New York (Enc. 1)
1 - New Orleans (196B-999)
TRR:drs
(3)

196B-1774-79
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 9 1983
FBI — NEW YORK

1*

Approved: _____ Transmitted _____ (Number) (Time) Per _____

FD-302 (REV. 3-8-77)

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted] received a Federal Grand Jury Subpoena issued by the U.S. District Court, Southern District of New York on January 26, 1983, directing [redacted] to provide [redacted] etc. specified on said subpoena, by [redacted]

b6
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b3

[redacted] was directed to call AUSA [redacted] Southern District New York, [redacted], as soon as possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/ED5
(CDRU #01-081)

Investigation on 2/3/83 at [redacted] File # LA 196B-2709
NY 196B-1774-80

b6
b7C
b3

by SA [redacted] /BAR Date dictated

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED 2/25/83 FILED [redacted]
FEB 25 1983
FBI — NEW YORK FBI/DOJ

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FD-302 (REV. 3-8-77)

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

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[redacted] was directed to call AUSA [redacted] Southern District New York, [redacted], as soon as possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/DS
(CDRU #01-081)

Investigation on 2/3/83 at [redacted] File # LA 196B-2709
NY 196B-1774-80

b6
b7C
b3

by SA [redacted] /BAR Date dictated 2/3/83

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FBI/DOJ

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted] telephone [redacted], received Federal Grand Jury subpoena issued by USDC, Southern District of New York on December 14, 1982, commanding [redacted] to provide [redacted] etc., as specified on the subpoena, by [redacted]

[redacted] was directed to call AUSA [redacted] Southern District New York, [redacted] as soon as possible.

b6
b7C
b3

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/EDS (CDRU #01-081)

Investigation on 1/24/83 at [redacted] File # LA 196B-2709 ~~NY 196B-1774~~ 81

by SA [redacted] /BAR b6 b7C b3 Date dictated

RECEIVED 1/28/83 INDEXED SERIALIZED FILED

FEB 25 1983

FBI — NEW YORK FBI/DOJ

GR

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted]

telephone [redacted], received Federal Grand Jury subpoena issued by USDC, Southern District of New York on December 14, 1982, commanding [redacted] to provide [redacted], etc., as specified on the subpoena, by [redacted] b6 b7C b3

[redacted] was directed to call AUSA [redacted] Southern District New York, [redacted] as soon as possible.

ALL INFORMATION CONTAINED
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DATE 2/21/01 BY SPSJC/EDS
(CDRU #01-081)

Investigation on 1/24/83 at [redacted] File # LA 196B-2709 ~~NY 196B-1774-81~~

by SA [redacted] /BAR b6 b7C b3 Date dictated 1/28/83

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FBI/DOJ

FD-302 (REV. 3-8-77)

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted] telephone [redacted], received Federal Grand Jury subpoena issued by U.S.D.C. Southern District at New York on December 14, 1982, commanding [redacted] to provide [redacted] etc. as indicated on the subpoena, by [redacted]

b6
b7C
b3

[redacted] was directed to call AUSA [redacted] Southern District New York, [redacted] as soon as possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/RDS
(CDRU #01-081)

Investigation on 1/24/83 at [redacted] File # LA 196B-2709
NY 196B-1774 82

by SA [redacted] /BAR b6 b7C b3 Date dictated 1/28/83

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INDEXED
SERIALIZED FILED
FEB 25 1983
FBI/DOJ
FBI — NEW YORK
62

FD-302 (REV. 3-8-77)

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

[redacted] telephone [redacted] received Federal Grand Jury subpoena issued by U.S.D.C. Southern District at New York on December 14, 1982, commanding [redacted] to provide [redacted] etc. as indicated on the subpoena, by [redacted]

b6
b7C
b3

[redacted] was directed to call AUSA [redacted], Southern District New York, [redacted] as soon as possible.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
CDRU #01-081

Investigation on 1/24/83 at [redacted] File # LA 196B-2709
NY 196B-1774-82

b6
b7C
b3

by SA [redacted] /BAR Date dictated 1/28/83

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FBI/DOJ

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/8/83

TO: ADIC, NEW YORK (196B-1774)(M-1)(P)

FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)

RE: MARC RICH;
dba Marc Rich and Co.,
Et Al
FBW; ENERGY RELATED
00: New York

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TCP/EDS (CDBU#01-081)

Re New York airtel to Alexandria, 1/28/83 and New York airtel to Cleveland, 12/16/82.

Enclosed for New York are original Federal Grand Jury subpoenas for return and original and one copy of FD-302 interviews regarding the following:

[redacted] served on [redacted]
[redacted] served on [redacted]
[redacted] served on [redacted]

b6
b7C
b3

Original subpoenas given to AUSA 2/23/83

ADMINISTRATIVE

New York should note that captioned matter was not reopened and assigned at Los Angeles until 1/14/83. AUSA [redacted] telephonically advised case agent, Los Angeles, to change to FJG appearance dates re [redacted] as indicated on enclosed original subpoenas.

b6
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b3

DETAILS:

All three of the enclosed FG[redacted]enas were served prior to the appearance dates, and the [redacted] recipients were instructed to contact AUSA [redacted] S[redacted] as soon as possible.

196B-1774-83

FEB 22 1983

2 - New York (Enc. 9) OK
1 - LosAngeles
JLP/BAR
(3)

Approved: RTB/lg Transmitted _____ (Number) (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

As all investigation at Los Angeles is complete, this matter is RUC.

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/8/83

TO: ADIC, NEW YORK (196B-1774)(M-1)(P)

FROM: SAC, LOS ANGELES (196B-2709)(WCC-1)(RUC)

RE: MARC RICH;
dba Marc Rich and Co.,
Et Al
FBW; ENERGY RELATED
OO: New York

Re New York airtel to Alexandria, 1/28/83 and New York airtel to Cleveland, 12/16/82.

Enclosed for New York are original and one copy of FD-302 interviews regarding the following:

[redacted] served on [redacted]
[redacted] served on [redacted]
[redacted] served on [redacted]

b6
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b3

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP3IC/ADS (CDRU #01-081)

ADMINISTRATIVE

New York should note that captioned matter was not reopened and assigned at Los Angeles until 1/14/83. AUSA Weinberg, telephonically advised case agent, Los Angeles, to change to FJG appearance dates re [redacted] as indicated on enclosed original subpoenas.

b6
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b3

DETAILS:

196B-1774-83

All three of the enclosed FGJ subpoenas were served prior to the appearance dates, and the subpoena recipients were instructed to contact AUSA [redacted] SDNY, [redacted] as soon as possible.

SEARCHED INDEXED SERIALIZED FILED
FEB 22 1983
FBI — NEW YORK

2 - New York (Enc. 9)
1 - LosAngeles
JLP/BAR
(3)

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

FD-[redacted] 5-22-78

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
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☐ Facsimile	☐ Priority	☐ SECRET
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		☐ UNCLAS

Date 2/10/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, OKLAHOMA CITY (196B-1149) -RUC-

SUBJECT: MARC RICH;
dba Marc Rich and Company;
ET AL
FBW-ENERGY RELATED
(OO: New York)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for the New York Division are the return on three Southern District of New York subpoenas which were served 2/7/83 at [redacted] and 2/8/83 at [redacted] b3

[redacted].

No further investigation remains in the Oklahoma City Division, the case is being placed in an RUC status.

Ordered by Deputy Director Edward Heath 2/18/83

b6
b7C
b3

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5JC/RDS (CDEU #01-081)

196B-1774-84

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 17 1983
FBI - NEW YORK

2 - New York (Enc. 3)
1 - Oklahoma City
GRZ:cl
(3)

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
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- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 2/10/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, OKLAHOMA CITY (196B-1149) -RUC-

SUBJECT: MARC RICH;
dba Marc Rich and Company;
ET AL
FBW-ENERGY RELATED
(OO: New York)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for the New York Division are the return on three Southern District of New York subpoenas which were served 2/7/83 at [redacted] and 2/8/83 at [redacted] [redacted] b3

No further investigation remains in the Oklahoma City Division, the case is being placed in an RUC status.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/RDS
(CDRII #01-081)

196B-1774-84

SEARCHED INDEXED
SERIALIZED FILED
FEB 17 1983
FBI — NEW YORK

2 - New York (Enc. 3)
1 - Oklahoma City
GRZ:cl
(3)

Approved: _____ Transmitted _____ (Number) (Time) Per _____

U.S. GOVERNMENT PRINTING OFFICE : 1982 0 - 369-895

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 2/10/83

On February 1, 1983, a United States District Court subpoena was served on [redacted]

The subpoena was issued in New York City and concerns the presentation [redacted] to the United States District Court in the Borough of Manhattan, New York City, on [redacted] was advised of the content of the subpoena and was instructed to call [redacted] the Assistant United States Attorney, at [redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SPSIC/EDS
(CDEU #01-081)

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b3

Investigation on 2/1/83 at [redacted] File # Alexandria 196B-573
New York 196B-1774

by SA [redacted] gaj Date dictated 2/1/83

SEARCHED [redacted] INDEXED [redacted] FILED [redacted]
FEB 25 1983
FBI — NEW YORK

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 2/10/83

On February 1, 1983, a United States District Court subpoena was served on [redacted]

The subpoena was issued in New York City and concerns the presentation [redacted] to the United States District Court in the Borough of Manhattan, New York City, on [redacted] was advised of the content of the subpoena and was instructed to call [redacted] the Assistant United States Attorney, at [redacted]

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY OPSJC/KDS
(CDRU #01-081)

Investigation on 2/1/83 at [redacted] File # Alexandria 196B-573
New York 196B-1774

by SA [redacted] :gaj b6 b7C b3 Date dictated 2/1/83

85

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FD-36 (Rev. 5-22-78)

FBI

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☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/10/83

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, ALEXANDRIA (196B-573) (RUC)

MARC RICH
dba MARC RICH AND CO;
ET AL
FBW - ENERGY RELATED
(OO:NY)

Re ADIC, New York airtel to Alexandria, dated 1/28/83.

Enclosed for New York are the following:

The original and one copy of an FD-302 re serving of United States District Court subpoena on [redacted] and the original subpoena which was served them on 2/1/83. b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/KDS
(CDRU #01-081)

2-New York (Enc. 3)
1-Alexandria
DAL:gaj
(3)

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b7C [redacted]

196B-1774-86

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SERIALIZED FILED

FEB 18 1983

FBI — NEW YORK

1*

Approved: _____ Transmitted 90 (Number) _____ (Time) Per 602

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

x Airtel

2/10/83

TO: ADIC, NEW YORK (196B-1774)

FROM: SAC, ALEXANDRIA (196B-573) (RUC)

MARC RICH
dba MARC RICH AND CO;
ET AL
FBW - ENERGY RELATED
(OO:NY)

Re ADIC, New York airtel to Alexandria, dated 1/28/83.

Enclosed for New York are the following:

The original and one copy of an FD-302 re serving of United States District Court subpoena on [redacted] and the original subpoena which was served them on 2/1/83. b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5 JC/ED5
(CDRU #01-081)

2-New York (Enc. 3)
1-Alexandria
DAL:gaj
(3)

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196B-1774-86

SEARCHED INDEXED
SERIALIZED FILED
FEB 18 1983
FBI — NEW YORK

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 2/10/83

A Federal Grand Jury Subpoena Duces Tecum was served to [redacted] by Special Agent [redacted] on February 2, 1983 at 11:10 a.m.

b6
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This subpoena was issued from the United States Attorney's Office for the Southern District of New York and dated January 26, 1983.

[redacted] agreed to comply with the subpoena and would contact the San Antonio Field Office of the FBI for any further information.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDEU #01-081)

Investigation on 2/2/83 at [redacted] File # San Antonio 196B-806

ny 196B-1774-87

by SA [redacted] csh MCA

b6
b7C
b3

Date dictated 2/4/83

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FBI/DOJ

FD-36 (Rev. 5-22-78)

FBI

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☐ Facsimile	☐ Priority	☐ SECRET
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		☐ UNCLAS

Date 2/11/83

TO: SAC, NEW YORK (196B-1774)

FROM: SAC, SAN ANTONIO (196B-806) (RUC)

MARC RICH
DBA Marc Rich and Co.
et al
FBW - ENERGY RELATED
OO: NEW YORK

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SESIC/EDS (CDRU #01-081)

Re New York airtel to San Antonio dated 1/28/83.

Enclosed is original Subpoena Duces Tecum.

Grand Jury Subpoena Duces Tecum received and served to [redacted] on 2/2/83, at 11:10 a.m. Also enclosed are original and one copy of FD-302 re service.

b6
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A copy of subpoena was given to [redacted] personally and compliance is forthcoming. The original subpoena is being returned to New York Office.

Original subpoena & copy of 302 given to AUSA [redacted] 2/23/83.

b6
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b3

[redacted]

196B-1774-88

SEARCHED INDEXED SERIALIZED FILED

FEB 23 1983

FBI — NEW YORK

66

2-New York (Enc. 3) OK
1-San Antonio
WCH/csh
(3)

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

☆ U.S. GOVERNMENT PRINTING OFFICE: 1980-305-750/5402

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
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		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/11/83

TO: SAC, NEW YORK (196B-1774)

FROM: SAC, SAN ANTONIO (196B-806)(RUC)

MARC RICH
DBA Marc Rich and Co.
et al
FBW - ENERGY RELATED
OO: NEW YORK

Re New York airtel to San Antonio dated 1/28/83.

Enclosed is original Subpoena Duces Tecum.

Grand Jury Subpoena Duces Tecum received and served to [redacted] on 2/2/83, at 11:10 a.m. Also enclosed are original and one copy of FD-302 re service.

b6
b7C
b3

A copy of subpoena was given to [redacted] personally and compliance is forthcoming. The original subpoena is being returned to New York Office.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5TC/EDS
(CDRU #01-081)

196B-1774-88
SEARCHED ✓ INDEXED ✓
SERIALIZED ✓ FILED ✓
FEB 23 1983
FBI — NEW YORK

2-New York (Enc. 3)
1-San Antonio
WCH/csh
(3)

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

☆ U.S. GOVERNMENT PRINTING OFFICE: 1980-305-750/5402

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 2/11/83

[redacted] telephone number [redacted] was served with a Federal Grand Jury subpoena which ordered that certain [redacted] be brought before the Grand Jury. This subpoena commanded appearance on [redacted]

b6
b7C
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/EDS
(CDRU #01-081)

Investigation on 2/3/83 at [redacted] File # NY 196B-1774-89 SF 196B-1536

by SA [redacted] sih

b6
b7C
b3

Date dictated 2/7/83

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FEB 25 1983
FBI — NEW YORK

6R

FBI/DOJ

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FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 2/11/83

[redacted] telephone number [redacted] was served with a Federal Grand Jury subpoena which ordered that certain [redacted] be brought before the Grand Jury. This subpoena commanded appearance on [redacted].

b6
b7C
b3

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5JC/ADS
(CDEU #01-081)

Investigation on 2/3/83 at [redacted] File # NY 196B-1774-89
SF 196B-1536

by SA [redacted] sih b6 b7C b3 Date dictated 2/7/83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
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XXX ☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
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Date 2/14/83

TO: SAC, NEW YORK (196B-1774)(P)(M-1)

FROM: SAC, SAN FRANCISCO (196B-1536)(RUC)(Squad 8)

SUBJECT: MARC RICH
dba Marc Rich and Co.;
ET AL
FBW - ENERGY RELATED
OO: New York

Re New York airtel to Alexandria, dated 1/28/83.

Enclosed for New York is the following:

1) Original and one copy of an FD-302 reflecting service of subpoena;

2) Original executed subpoena.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP5/JC/EDS
(CDRU #01-081)

(2) - New York (Enc. 3)
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Approved: _____ Transmitted _____ Per _____
(Number) (Time)

FD-36 (Rev. 5-22-78)

FBI

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☐ Facsimile	☐ Priority	☐ SECRET
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		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/14/83

TO: SAC, NEW YORK (196B-1774) (P) (M-1)

FROM: SAC, SAN FRANCISCO (196B-1536) (RUC) (Squad 8)

SUBJECT: MARC RICH
dba Marc Rich and Co.;
ET AL
FBW - ENERGY RELATED
OO: New York

Re New York airtel to Alexandria, dated 1/28/83.

Enclosed for New York is the following:

1) Original and one copy of an FD-302 reflecting service of subpoena;

2) Original executed subpoena.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY SP3IC/DSS
(CDRU #01-081)

(2) - New York (Enc. 3)
1 - San Francisco
FW/sih
(3)

196B-1774-90

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

FD-350 (Rev. 10-19-77)

(Mount Clipping in Space Below)

Washington Post

TUESDAY, FEBRUARY 15, 1983

Higher in Areas Approximately 75 Miles From District of Columbia (See Box on A2)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY SP5TC/RDS (CDRA #01-081)

Oil Trader Got Millions From ARCO

By Patrick E. Tyler
Washington Post Staff Writer

A New York oil trader's international companies received possibly as much as $119 million in revenue in an 18-month period by acting as middlemen in the sale of Nigerian crude oil to Atlantic Richfield Co. (ARCO) during the oil crisis of 1979-80.

The oil trader, Marc Rich, now a co-owner of Twentieth Century-Fox Film Corp. with Denver oilman Marvin Davis, had gained access to a large quantity of Nigerian oil production before 1979 and was able to command premium prices by reselling it. ARCO lost substantial oil supplies in Iran in early 1979 and subsequently acquired much of Rich's Nigerian oil by agreeing to pay his companies large premiums.

The crude oil was pumped from Nigerian wells and loaded into oil tankers bound for ARCO refineries. Officials of the oil company said they were willing to pay the premiums because the only alternative was to pay higher prices elsewhere.

ARCO first paid the premiums under two contracts signed with Marc Rich & Co. in mid-1979. The contracts guaranteed Rich $5-a-barrel and $8-a-barrel markups over the official price of the Nigerian state oil company on 40,000 barrels of oil a day.

New contracts were negotiated in the summer of 1980 for the Nigerian oil. One carried a $3-a-barrel markup over Nigeria's official price. The other, signed directly between ARCO and Nigeria, provided a $2.50-a-barrel consultant fee to Marc Rich & Co.

In some cases, the markup paid by ARCO to Rich's companies under the contracts represented as much as one-third of the price for a 42-gallon barrel of oil. ARCO officials said they did not know what Rich's costs were and what premiums he paid, if any, to obtain the Nigerian oil. His net profits cannot be determined.

The Energy Department began looking into Rich's crude oil trading profits in late 1978, according to L. Kenneth Jones, then a senior en-

See ARCO, A8, Col. 1

2/15/83
Title: PBI - Washington Post
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or 196-1774-90A
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FEB 2 1983
FBI—NEW YORK

FBI/DOJ

Ruth J. ASAC
mailed 4/6/83

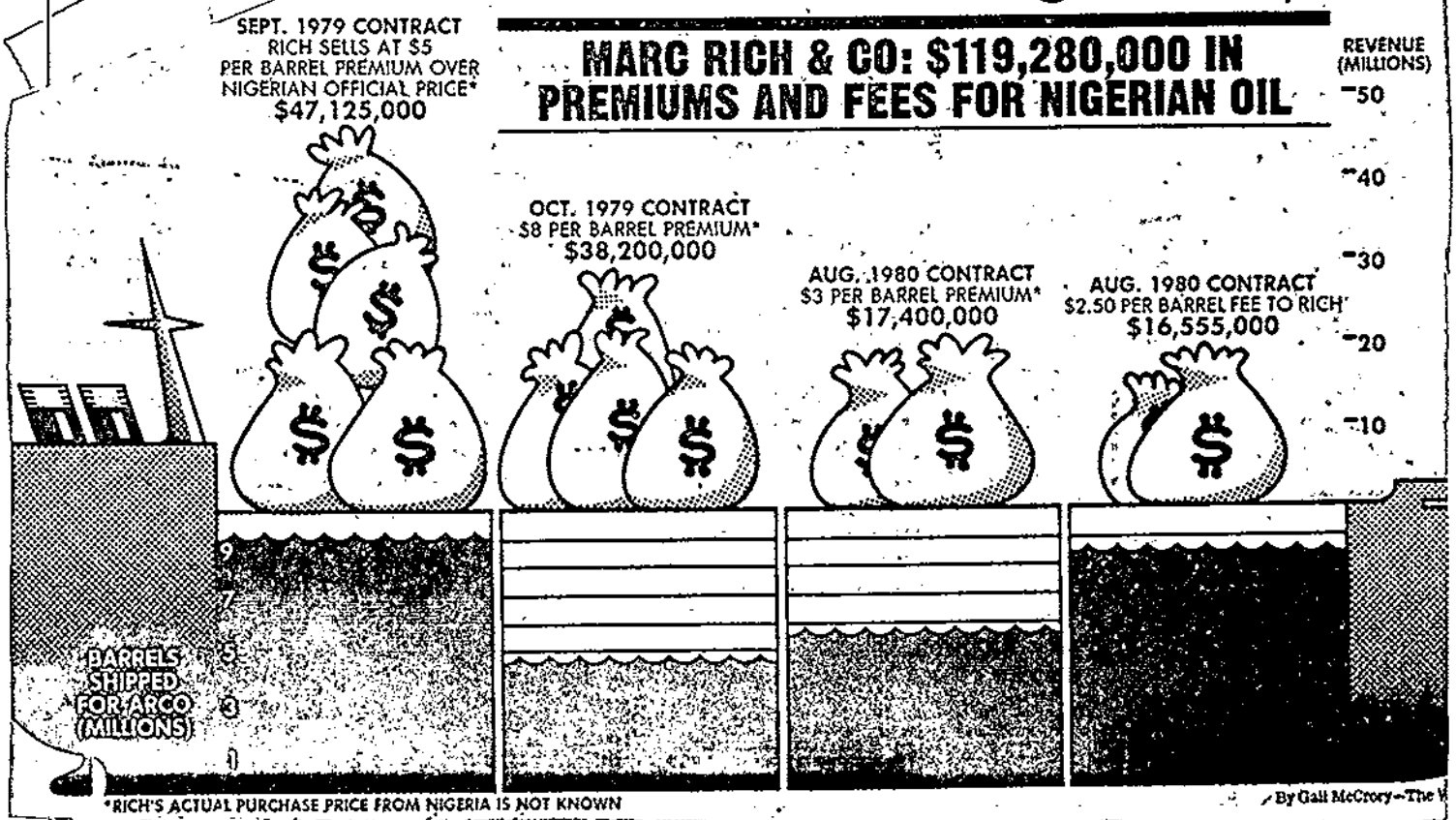
PB 1/7

FD-350 (Rev. 10-19-77)

A8 Tuesday, February 15, 1983 THE WASHINGTON POST

Trader Made Millions

From ARCO on Nigerian Oil



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FBI/DOJ

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FD-350 (Rev. 10-19-77)

ARCO, From A1

forcement official. Jones and other enforcement officials said price control regulations in effect at the time generally restricted—to their historical levels—the amount of net profit that traders could charge, usually less than $1 a barrel.

On Feb. 5, 1981, the Energy Department issued a subpoena for the records of Rich's oil trading activities from October, 1978, through December, 1980, and some records have been produced, according to Jones and Energy Department status reports. Last fall, the department projected that the investigation would be completed later this year.

Neither Rich nor his Washington attorney, Edward Bennett Williams, would comment.

Since Rich and Davis purchased Twentieth Century-Fox Film in April, 1981, the company has repurchased its publicly traded stock and returned to private status.

As such, the new owners have attracted prominent figures to sit on Fox's board of directors, including former president Gerald R. Ford, former secretary of state Henry A. Kissinger and Williams, who is also Davis' attorney.

In an unrelated matter, The Washington Post reported last October that Rich was under investigation by a federal grand jury in New York for allegedly diverting $23 million in profits from west Texas crude oil transactions to his Swiss firm in an alleged tax avoidance plan.

There were widespread reports at the time of the 1979-80 oil crisis about huge premiums being paid to oil traders who, by good fortune or business connection, positioned themselves to profit from the chaos of the international petroleum markets.

But the story of ARCO's relationship with Marc Rich and Nigeria represents the first documented account of one company's arrangement with a major trader and gives a glimpse of a heady international market, normally hidden from public view by competitive secrecy and extremely high stakes.

Rich was able to command the premium prices from ARCO in part because ARCO had been more heavily dependent on Iranian crude oil for its foreign supplies than most other U.S. companies.

When Iran, at the height of its revolution, abruptly canceled contracts to supply ARCO with 125,000 barrels of oil a day, ARCO officials said they were forced to scramble to find new supplies.

According to ARCO attorney Richard C. Morse, the company turned to Marc Rich because the prices he was offering, though high, were still less than the prices on the international spot market, where crude oil is traded at auction.

During most of the 12-month term of the initial contracts, spot market prices for Nigerian oil remained above the price levels ARCO was paying to Rich. But they later fell below the level fixed in the ARCO contracts.

"At the time we signed them [the contracts], they were very attractive deals," Morse said. "Had Atlantic Richfield not entered into its Nigerian oil supply contracts, but instead stayed with the volatile spot market, it probably would have ended up paying more than it did pay."

ARCO decisions, Morse added, "in hindsight, were appropriate . . . . History has confirmed the correctness of Atlantic Richfield's decisions . . . to help keep its refineries full and its customers supplied . . . . Adequate supplies dampened a potentially explosive panic situation . . . ."

The spot market is where various volumes of crude oil are traded on a one-time basis, as opposed to being purchased under long-term contracts.

"Spot" transactions are arranged by professional traders, governments and oil companies over telephone and telex lines for uncommitted tanker-loads or pipeline "batches" around the world. The market is monitored from trading centers such as Rotterdam, New York and Houston.

How Rich had the foresight to lock up substantial supplies of Nigerian oil in late 1978 before the world crisis struck is not known. But a trader in such a position gambles against economic devastation if prices do not advance.

The figures relating to ARCO's contracts with Marc Rich & Co. were provided by ARCO officials during four months of inquiry by The Washington Post. Under the contracts, ARCO received 27 million barrels of Nigerian crude oil from late 1979 to early 1981.

Depending on what, if any, pre-

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FBI/DOJ

FD-350 (Rev. 10-19-77)

(Mount Clipp

miums Marc Rich & Co. paid to obtain the Nigerian oil that was resold to ARCO, the contract figures indicate that his maximum revenues were as much as $85 million on the two 1979 contracts and $34 million on the 1980 contracts.

The fact that large premiums added significantly to the overall price of a barrel of oil also supports the claim of some government officials that the skyrocketing prices felt by consumers in the United States and the world were not exclusively the result of price increases by the Organization of Petroleum Exporting Countries (OPEC).

Energy Department price control regulations also allowed U.S. oil companies to increase their profit-taking in the tight market fostered by the Iranian crisis.

From January, 1979, through early 1981, the world price of crude oil raced from about $15 a barrel to more than $40 a barrel. Today, the Saudi Arabian benchmark price is $34 a barrel, but average world prices are closer to $29.

During the period of the contracts, ARCO often paid more for Nigerian crude oil than did any other American company, according to an official familiar with the Energy Department's confidential "Crude Watch" computer survey.

The official, Paul L. Bloom, then special counsel for Energy Department price control enforcement, said in an interview, "The department's monitoring of costs of crude oil lifted for import to the United States through many months in 1979 and 1980 frequently and repeatedly demonstrated that ARCO was paying prices for Nigerian crudes significantly higher than prices paid by any other importers of the same crudes."

ARCO officials said they could not get better prices in Nigeria and that the alternatives elsewhere were more costly.

Marc Rich operates through Marc Rich + Co. International Ltd. in New York, Mark Rich + Co. A.G. in Zug, Switzerland, and other affiliates in London and the Netherlands Antilles. Though not well known publicly, Rich is something of a legend in the oil industry as one of the largest international crude oil traders who broke away from Philipp Brothers in 1974.

Before the Iranian crisis, in November, 1976, and again in September, 1978, Rich's companies in Switzerland and New York obtained crude oil supply contracts from the Nigerian National Petroleum Co. (NNPC), according to Nigerian government documents. Industry newsletters reported that Rich controlled as much as 50,000 barrels a day of Nigerian production. The world price of oil then was about $15 a barrel.

In the fall of 1978, the momentum of the Iranian revolution was spreading to Iran's oil fields. By the end of the year, all production had ceased. "The cutoff was immediate, with ships in transit," said ARCO's Morse.

At the same time, ARCO was notified that other U.S. oil companies were curtailing supplies of Saudi Arabian crude that they had been selling to ARCO. By the end of 1979, ARCO's total loss of supply grew to 200,000 barrels a day out of an 800,000 barrel-a-day requirement, company officials said.

"What we had to do was to go out and buy that crude from somebody, somewhere," said James S. Morrison, president of ARCO Petroleum Products Co., which supervises crude oil purchases. "We had a lot of purchases on the spot market, and we made the decision that if we were to try to buy all of it on the spot market . . . we could . . . cause the spot market to go even higher than it was."

(Indicate page, name of newspaper, city and state.)

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FD-350 (Rev. 10-19-77)

(Mount Clipping in Space Below)

The energy secretary at the time, James R. Schlesinger, was calling on American oil companies to refrain from making purchases in the spot market out of fear that higher prices paid there would put pressure on OPEC countries to accelerate their price increases.

Morrison said ARCO officials decided in mid-1979 to try to obtain contracts for some of the crude oil it was purchasing on a "spot" basis up until that time.

In August, 1979, ARCO turned to Rich, from whom it had been buying Nigerian crude on a "spot" basis for some time. "There were lots of phone-booth operators who claimed to have all kinds of crudes," Morse said. "We knew him well and knew that he was one of the most reliable traders in the sense that if he had some crude, he really had it."

"Two contracts were executed on Aug. 28, 1979, one for 25,000 barrels per day effective Sept. 1, 1979, and the other effective Oct. 1, 1979, for 15,000 barrels per day," Morse said.

According to Morse and Morrison, ARCO paid Rich the official posted price of the Nigerian state oil company plus $5 a barrel on the 25,000 barrel-a-day contract. On the second contract, for 15,000 barrels a day, ARCO paid Rich the official government price plus $8 a barrel.

With the official Nigerian price for its various grades of crude oil then ranging from $22 to $24 a barrel, the $8-a-barrel premium represented one-third of a barrel's official price.

"It's kind of like buying a car," Morse said. "You never know if you've gotten the best deal the guy was willing to give, but you had a sense that . . . if you aren't willing to pay it, you won't get a contract."

ARCO officials said they received a total 9.4 million barrels during the term of the first contract for 25,000 barrels a day, which yielded up to $47.1 million in premium payments to Rich. On the second contract for 15,000 barrels a day, the company said it received 4.8 million barrels of crude, which increased the gross revenues to Rich for the first 12 months to as much as $85 million.

Rich's revenues may have been reduced by premiums he may have been forced to pay the Nigerian state oil company. Nigeria was one of several OPEC countries that attempted to extract from its customers a premium over and above Nigeria's official price during 1979.

Industry newsletters were reporting that some companies were paying a premium of $1.50 a barrel over the official price. Since Rich had signed some supply contracts in Nigeria before the crisis when oil was plentiful, it is not known whether Rich paid any additional premiums to Nigeria.

Petroleum Intelligence Weekly reported in September, 1979, that Nigerian oil officials had "settled for price surcharges of roughly 50 cents a barrel over official prices (trivial in the context of current world prices for 'extra' oil supplies) and in some cases even zero premiums." If Rich were paying a $1.50 a barrel premium, his revenues in the first 12 months would have been reduced from $85 million to about $64 million.

"He [Rich] probably made a fortune," Morrison said. "It's a big margin for a trader, but at the same time . . . he wanted the same kind of arrangement we wanted. We wanted some assurance that we'd have the product in the future and . . . he wants to be sure he has some kind of term sale for it."

By the end of the 12 months, the international petroleum market had cooled significantly. ARCO officials said they then decided to try to establish a long-term supply relationship directly with the Nigerian state

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oil company to meet ARCO's projections that demand for petroleum products would continue rising and prices would remain high.

"Executives responsible for crude oil supply . . . made numerous, unsuccessful visits to Lagos [the Nigerian capital] attempting to arrange for the supply of crude," Morse said.

In July, 1980, ARCO again turned to Rich. By this time, ARCO's Morse said, spot market prices had dipped below the official Nigerian price of about $37 a barrel. ARCO signed one new contract with Marc Rich & Co. agreeing to pay the official Nigerian selling price plus a $3-a-barrel markup beginning Aug. 1, 1980. This contract generated gross revenues to Rich of up to $17.4 million over its term.

A second contract was signed directly with the Nigerian state oil company, but Marc Rich & Co. received a consulting fee for having helped arrange this contract and for "contract administration services."

Spot market prices recovered briefly that fall and then continued at a steady downward pace through the rest of 1980 and into 1981. On a number of purchases in 1980 and 1981, ARCO paid more for Nigerian crude purchased through Rich than it could have paid for similar crude on the international spot market.

ARCO officials said they had not foreseen the drop in spot market prices. Morse said ARCO was aware of projections in the industry that demand for oil would fall in late 1980. But he said ARCO continued to concentrate on purchases of Nigerian oil because it still wanted to build a long-term supply relationship with Nigeria and because Nigeria's high-grade crudes were well suited to the technical requirements of ARCO's Philadelphia refinery.

"In hindsight, we should have known we were right on the brink of that precipice" of falling prices, said Morrison, noting that after "signing this deal . . . demand started going to the toilet, but that's the benefit of hindsight, and that's what makes horse races, I guess."

On the second of the two contracts—the one made directly with the Nigerian oil company, with assistance from Rich—ARCO drew 8.6 million barrels over nine months. ARCO paid the Nigerians the official selling price for this crude and in the side agreement ARCO agreed to pay Marc Rich & Co. a consulting fee of $2.50 a barrel for Rich's assistance.

This consulting fee amounted to $16.5 million, and ARCO included the fee as part of its crude oil costs that were reported to the Energy Department. According to Morrison, ARCO paid the consulting fee to Rich for using "his good offices to help us be able to make a deal straight with Nigeria."

"When it was discovered that we had been incorporating that fee into the . . . [cost] of crude, it was my judgment that that was not something that the [federal] regulations contemplated," Morse added.

He said that, although the fee was inadvertently included in ARCO's costs that could be passed through to consumers, the oil company did not pass it through "because of the market."

By the time Morse and others discovered that the fee had been included in ARCO's crude cost base, President Reagan had abolished price controls on oil. In a May, 1981, letter attached to a monthly filing to the Energy Department, ARCO stated that the fees to Rich had been "backed out," for accounting purposes, of ARCO's crude cost records for the period, Morse said.

Bloom, the Energy Department's special counsel at the time, called ARCO's treatment of the Rich consultant's fee an act of "dubious legality . . . as a legitimate cost under the regulations in my opinion."

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Bloom also said that in 1980 he began a separate investigation of ARCO's contract arrangements in Nigeria. This investigation remained incomplete when he left office in early 1981.

Bloom said he called Morse and other ARCO officials to meetings in Washington and questioned them about the details of the contracts. In answering questions, ARCO officials "never disclosed," Bloom said, the consulting fees to Rich or the fact that the payments were being included in the cost of crude oil as calculated under the price control regulations.

"That would have been a tremendous red flag if they [ARCO officials] were paying a broker or fees on what was being reported as direct contract purchases from the Nigerian government," Bloom said.

"My best recollection is that we did disclose that we had entered a services and consulting agreement whereby we paid fees to Marc Rich," replied Morse, "but that they were not included in the cost of crude because . . . I was operating on the assumption that they were not included."

Morse said he only discovered months later that he had been wrong on how ARCO treated the Rich fees. He said the Energy Department was notified about this in the May, 1981, letter, after Bloom had left.

Morrison, when asked why it was in Rich's interest to help ARCO deal directly with the Nigerian government, thus eliminating the need for a middleman, replied, "Well, we paid him for helping and it was either that or he wasn't going to get anything . . . [and] he probably was tumbling to the realization that the Nigerians are going to cut him out anyway."

Another issue faced by ARCO was that Rich was doing business in a country widely known for its persistent corruption problems.

"We explained our understanding of the Foreign Corrupt Practices Act to Mr. Rich and he understood and knows that law and we have a provision in our contract with him that none of the fees were to be used for any unlawful payments," Morse said, adding that Rich had legitimate arrangements for dealing with the Nigerian government.

ARCO maintained the contract relationship until May, 1981. "While it [ARCO] had the option of breaching the 1980 contracts when, in late 1980-early 1981 it appeared they were not advantageous, ARCO elected to terminate as contractually permitted rather than prematurely breach its covenants," Morse said.

ARCO's Morrison said the company's original justification for pursuing a Nigerian connection had fallen by the wayside for two reasons: first, spot market prices were destined to stay below the prices ARCO had contracted for in Nigeria and, second, the worldwide demand for crude had subsided causing ARCO to cut back its refinery operations.

"So you say, 'Now the world looks different to me than it did nine months ago,' and making another call I say, 'Have I made a bad investment and should I cut my losses?'" Morrison said the answer was yes: "The whole rationale underlying the need for that Nigerian contract and a permanent on-going relationship is much diminished."

"You have to keep in mind that the overall framework at the time, political sensitivities and so forth, that the paramount thing in our mind is keep the refineries full and don't be accused of creating a shortage," Morrison added. "And, for Christ's sake, try to buy the crude at the lowest price you can, but get the crude. That was the orders for the crude people."

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P8 7/7

FD-302 (Rev. 3-10-82)

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/16/83

A Federal Grand Jury subpoena issued by U.S. District Court for the Southern District of New York to [redacted], was served on [redacted] at that address by SA [redacted]

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ALL INFORMATION CONTAINED
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DATE 2/21/01 BY SP5JC/RDS
(CDRU #01-081)

NY 196B-1774-91

Investigation on 2/4/83 at [redacted] File # PHILADELPHIA 196B-1214 (NSRA)

by SA [redacted] mmn b6 b7C b3 Date dictated 2/10/83

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FD-302 (Rev. 3-10-82)

1

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/16/83

A Federal Grand Jury subpoena issued by U.S. District Court for the Southern District of New York to [redacted] was served on [redacted] at that address by SA [redacted]

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(CDRU #01-081)

Investigation on 2/4/83 at [redacted] File # 196B-1214 (NSRA) 196B-1994-91

by SA [redacted]:nmn b6 b7C b3 Date dictated 2/10/83

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FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 2/8/83

On February 8, 1983, [redacted] telephonically contacted [redacted] telephone number [redacted] regarding service of a subpoena for [redacted]

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Ms. [redacted] advised that she would accept service of the subpoena by mail at [redacted]

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(CDRU #01-081)

Investigation on 2/8/83 at [redacted] File # New York 196B-1774-92
Philadelphia 196B-1214(SQ9)

by AT [redacted] :dd

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Date dictated 2/8/83

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FD-36 (Rev. 5-22-78)

FBI

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- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 2/16/83

TO: SAC, NEW YORK (196B-1774) (M-1)

FROM: SAC, PHILADELPHIA (196B-1214) (NSRA) (RUC)

SUBJECT: MARC RICH, dba
Marc Rich & Company;
ET AL
FBW-ENERGY RELATED
(OO: NEW YORK)

Re New York airtel to Alexandria, 1/28/83.

Enclosed for New York are returned copies of Federal Grand Jury subpoenas served by Philadelphia. Also enclosed for New York are original and one copy each of FD-302s setting forth the details of those subpoena services.

Original subpoenas returned to AUSA on 2/22/83

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196B-1774-93

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NEW YORK
607

(2) New York (196B-1774) (M-1) (Encs. 6)
1- Philadelphia (196B-1214) (NSRA)

FJK/nmn
(3)

Approved: JLH/FJK Transmitted _____ (Number) _____ (Time) Per _____

AIRTEL

2/16/83

TO: SAC, NEW YORK (196B-1774) (M-1)

FROM: SAC, PHILADELPHIA (196B-1214) (NSRA) (RUC)

SUBJECT: MARC RICH, dba
Marc Rich & Company;
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 8/21/01 BY SP5JC/ED3
(CDRU #01-081)

(2) New York (196B-1774) (M-1) (Encs. 6)
1- Philadelphia (196B-1214) (NSRA)

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196B-1774-93

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FBI — NEW YORK

FD-36 (Rev. 5-22-78)

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		☐ UNCLAS

Date 2/23/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, KANSAS CITY (196B-902) (WRA-SRA) (RUC)

MARC RICH;
dba Marc Rich and Company;
ET AL
FBW;
ENERGY RELATED
OO: NY

Re New York airtel to Alexandria dated 1/28/83.

The subpoena for [redacted] enclosed in the referenced airtel was served on 2/8/83, [redacted], advised that the items requested in the subpoena had previously been forwarded to New York City based on a subpoena served at [redacted] on a previous date.

On 2/11/83, the subpoena for [redacted] enclosed in the referenced airtel was served on [redacted] provided [redacted] reflecting [redacted] advised that [redacted] had become associated with [redacted] with [redacted]

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2-New York
1-Kansas City
DSB:jlh
(3)

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196B-1774-94

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Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

KC 196B-902

[redacted]

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The [redacted] provided were sent via registered mail to the U.S. Attorney's Office, Attn: [redacted] (AUSA), [redacted] One Street Andrew's Plaza, New York, New York, 10007. The [redacted] were provided in this manner due to a request from AUSA [redacted] per a telephone conversation on 2/11/83.

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
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☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 2/23/83

TO: ADIC, NEW YORK (196B-1774) (M-1)

FROM: SAC, KANSAS CITY (196B-902) (WRA-SRA) (RUC)

MARC RICH;
dba Marc Rich and Company;
ET AL
FBW;
ENERGY RELATED
OO: NY

Re New York airtel to Alexandria dated 1/28/83.

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(2)-New York
1-Kansas City
DSB:jlh
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196B-1224-94

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☐ Routine

CLASSIFICATION:
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☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 3/2/83

TO : ADIC, NEW YORK (196B-1774)

FROM : SAC, ST. LOUIS (196B-718) (RUC)

SUBJECT: MARC RICH,
dba Marc Rich & Company;
et al;
FBW - ENERGY RELATED (B)
OO: NEW YORK

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/22/01 BY SP6JC/RDS (CDRU #01-081)

Re: New York airtel to Alexandria, 1/28/83.

Enclosed for New York is original subpoena which was enclosed in referenced airtel. A copy of this subpoena was served on 2/4/83 on [redacted] telephone number [redacted]

On 2/22/83 [redacted] advised that [redacted] had required [redacted] to retrieve the subpoenaed [redacted] and due to the unavailability and subsequent uncooperativeness of the AUSA in New York City, [redacted] had to go to court to get an [redacted] also had to hire an attorney in New York, and [redacted] advised that when all [redacted] are retrieved they will probably be forwarded to this attorney for presentation to the U. S. Attorney's Office, Southern District of New York.

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subpoena returned AUSA 3/14/83

2-New York (Enc. 1)
1-St. Louis
RJW:kyw
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196B-1774-95

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Time [redacted]

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☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 3/2/83

TO : ADIC, NEW YORK (196B-1774)

FROM : SAC, ST. LOUIS (196B-718) (RUC)

SUBJECT: MARC RICH,
dba Marc Rich & Company;
et al;
FBW - ENERGY RELATED (B)
OO: NEW YORK

Re: New York airtel to Alexandria, 1/28/83.

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ALL INFORMATION CONTAINED
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DATE 2/22/01 BY SP5JC/EDS
(CDEU #01-081)

2-New York (Enc. 1)
1-St. Louis
RJW:kyw
(3)

196B-1774-95

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
FBI — NEW YORK

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Approved: _____ Transmitted _____ Per _____
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Total Deleted Page(s) = 31
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FD-340a (Rev. 10-3-77)

(Title)

(File No:) 196B-1274-1A5

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5tc/ldS
(CDRU-01-081)

Item	Date Filed	To be returned Yes	To be returned No		Disposition
20	9/23/83		X	Copies of arrest Warrants for Marc Rich & Pincus Green	ff
21	10/12/83		X	Subpoena served on [redacted]	ff
22	12/2/83		X	Photo of M Rich & Pincus Green plus negative	ff
23	1/10/84		X	Copy of fingerprint card of Pincus Green	ff
24	1/19/84		X	Cassette tape of "The Oil Game" aired by ABC News 4/30/81	ff
25	2/85		X	1 Microfilmed copy of Passport records DO 7/025 X3045402 A2663963, & X 3617833, All in name of Marc Rich bn: 12/18/34. @	b6 b7C b3
26	2/85		X	Microfilmed Copies of Passport records: [redacted] All in name of Pincus Green, bn: [redacted] @	
27	3/87		X	Fortune Magazine article containing recent photos of Pincus Green	
1A28	1/84			Portrait bldg in Zug, Switzerland Rich & Green reportedly have their Company offices	
1A29	5-10-91		X	Copy of subpoena served at [redacted]	
1A30	5-10-91		X	FD-192 : 1B2 destroyed per AUSA [redacted] Per SA [redacted] 10-17-97 ODT memo dtd 8-31-87 see 1A6	

any more 1A's see vol 3

* do not enter

196B-1274-1A5

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SERIALIZED ____ FILED ____
SEP 1983
FBI — NEW YORK

FD-340 (REV. 6-29-81)

Field File No. _____

00 and File No. NY 196-1774-1A9

Date Received 11/3/82

From _____
(NAME OF CONTRIBUTOR)

_____
(ADDRESS OF CONTRIBUTOR)

_____
(CITY AND STATE)

By _____
(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

☐ Yes ☒ No **Grand Jury Material-Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure**

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Description:

PHOTOGRAPHS AND NEGATIVE

1 OF 1

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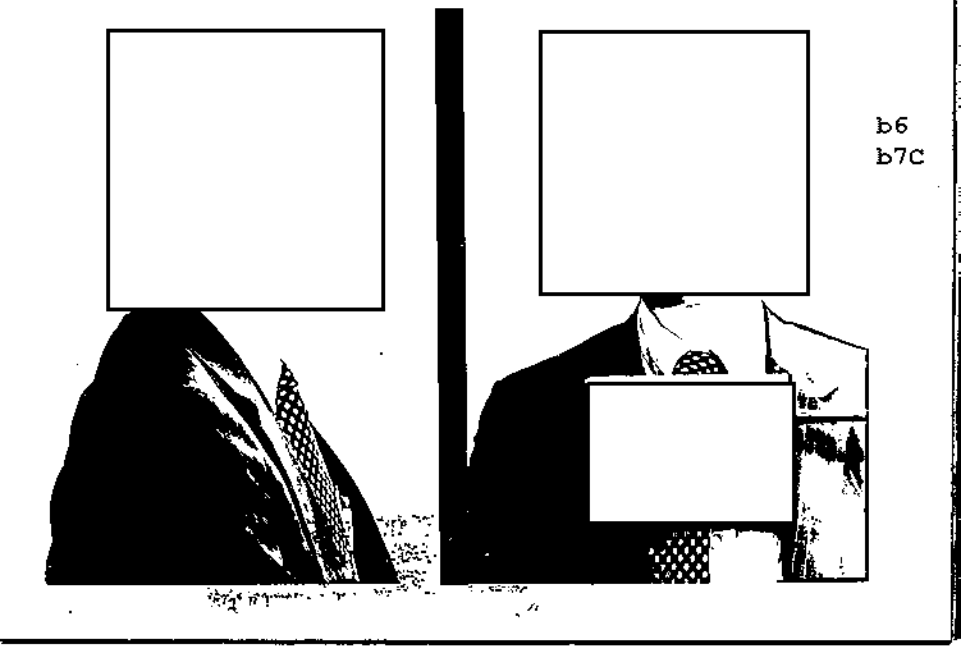
DATE 2/22/01 BY SP5/JGH/AR
01-0871



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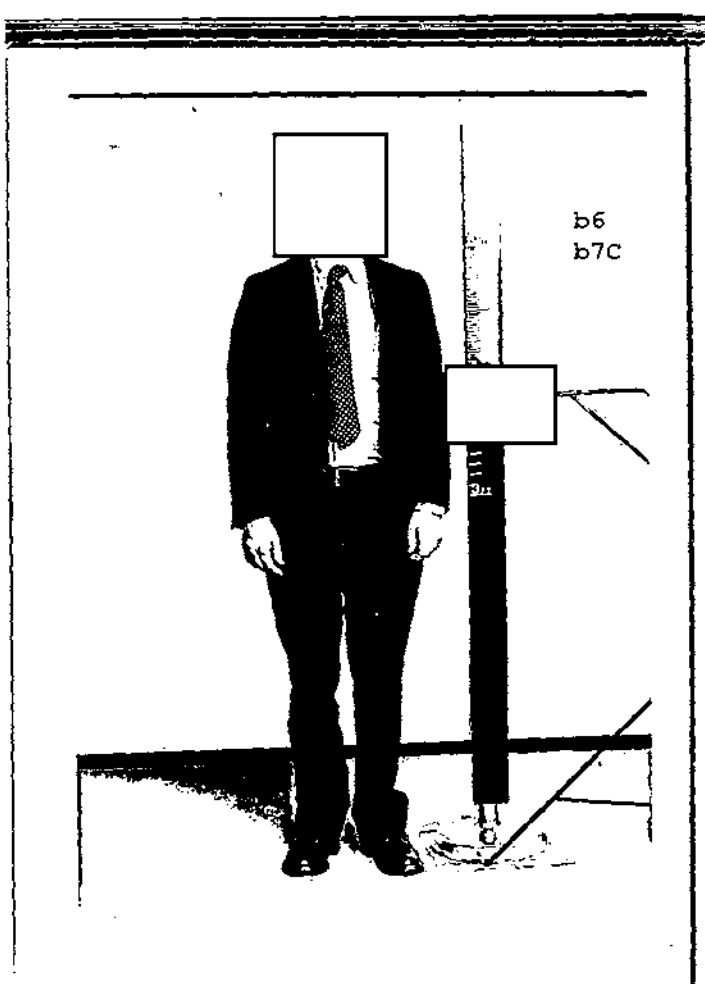
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FD-340 (REV. 6-29-81)

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By [redacted]

(NAME OF SPECIAL AGENT)

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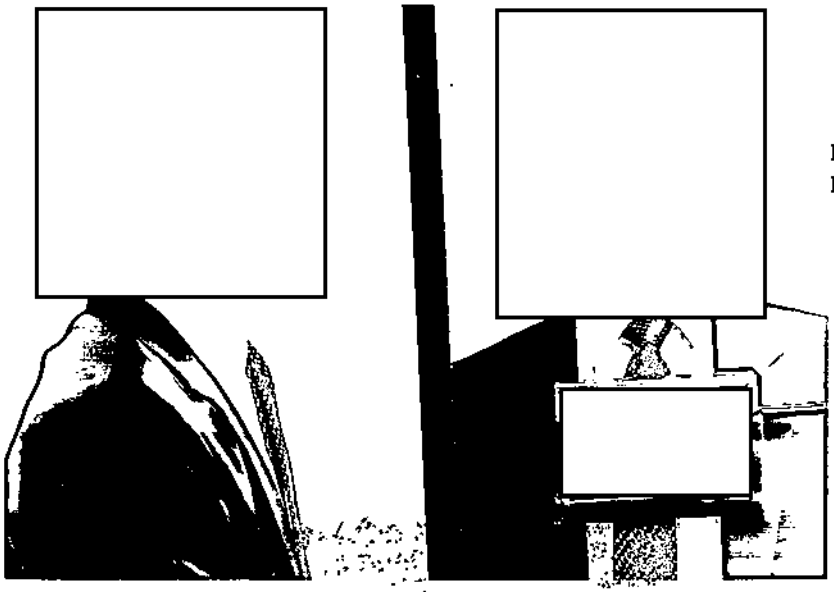

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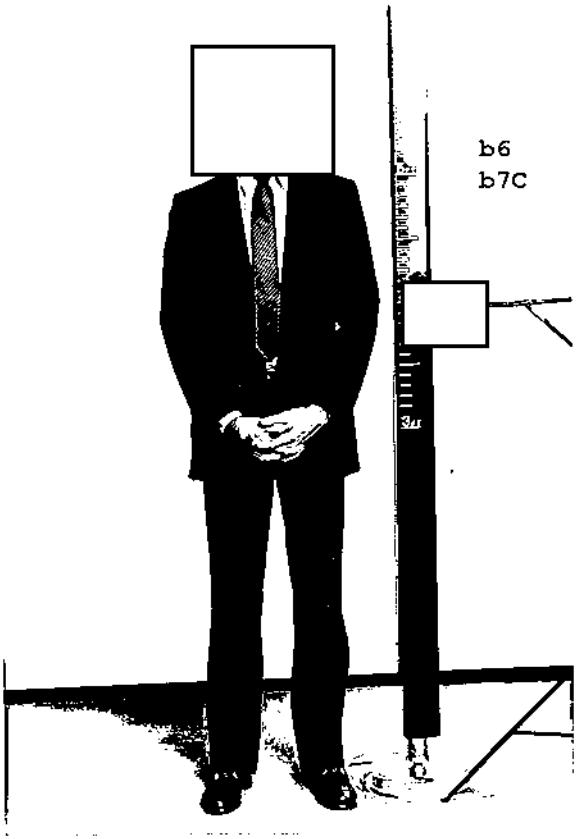

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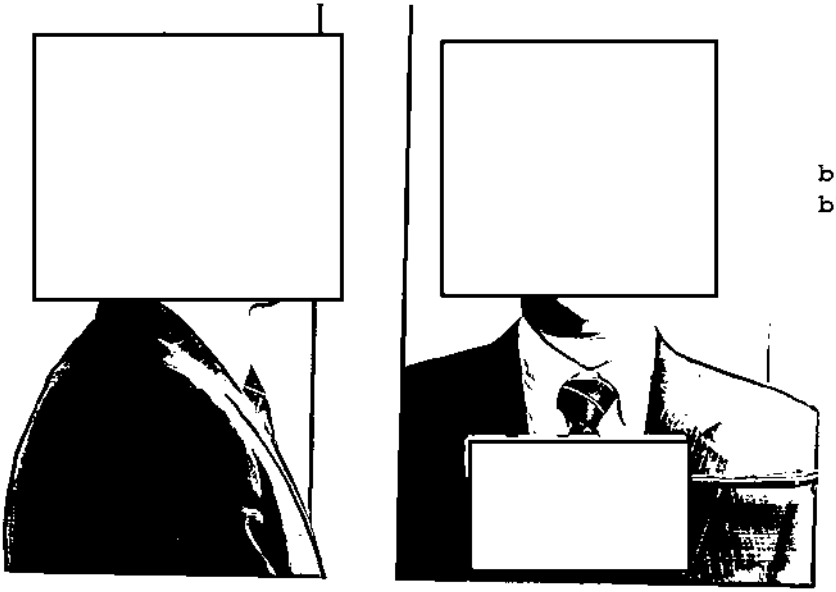

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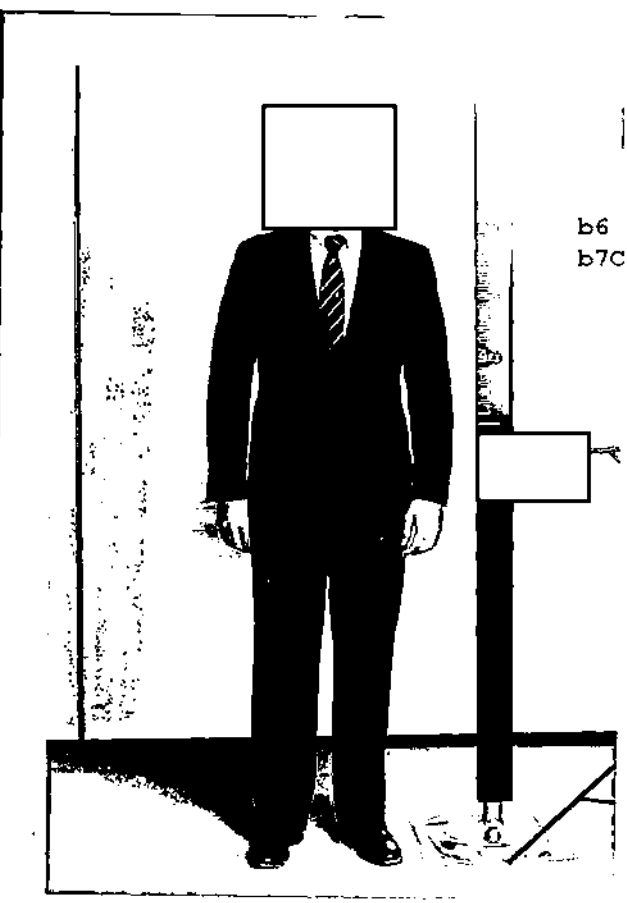

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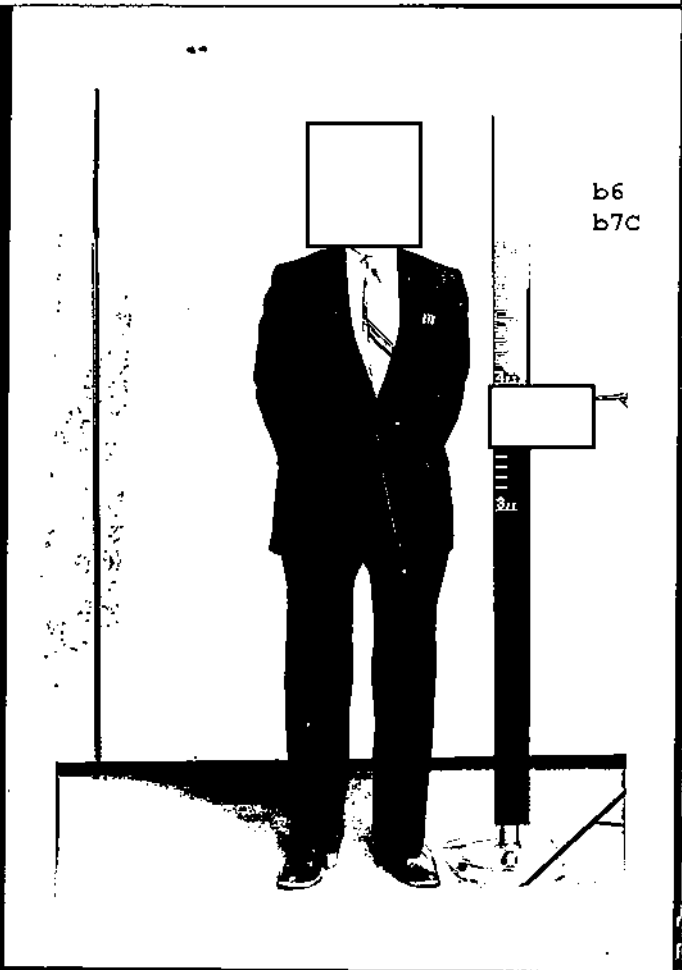

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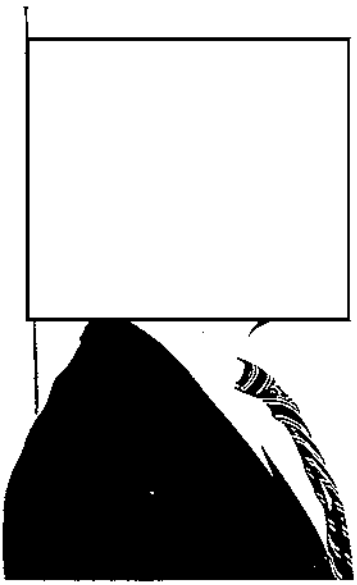

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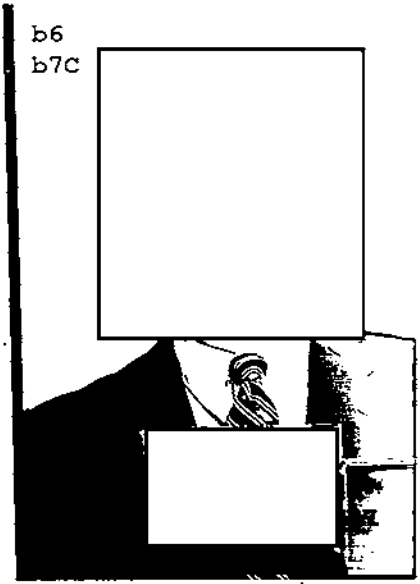

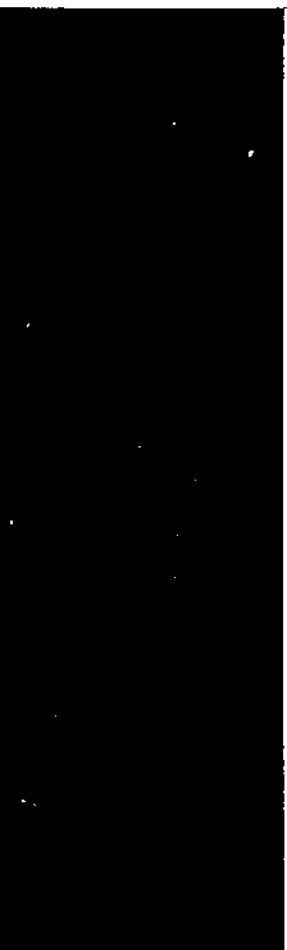

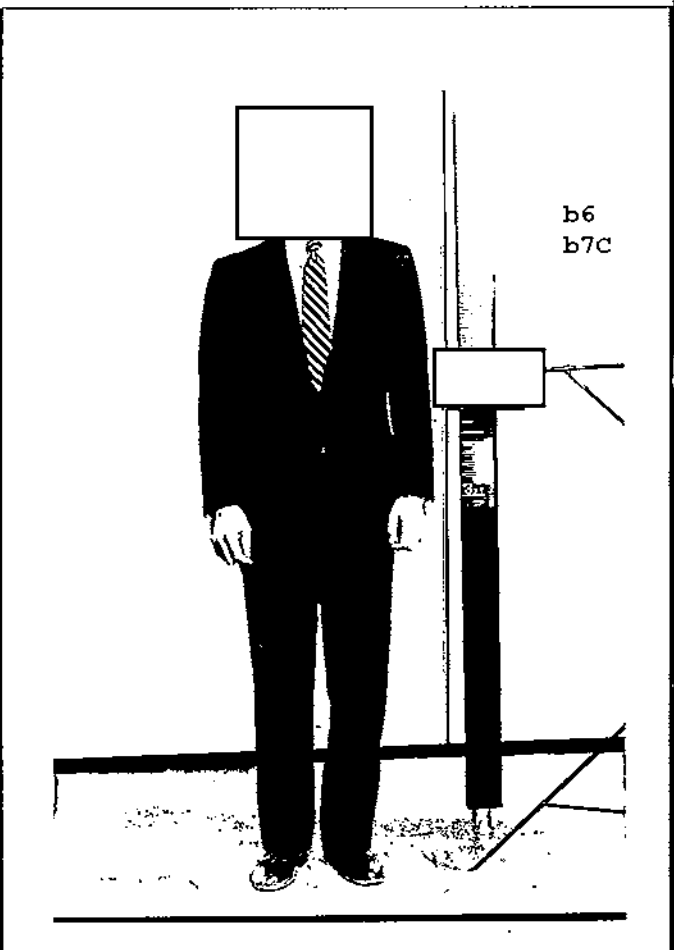

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OO and File No. NY 196A-1774

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To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

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Description:

- Copies of Arrest Warrants for MARC RICH and [redacted]

[redacted]

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CR 12 (Rev. 6/82)

WARRANT FOR ARREST

DOB 12/18/34

United States District Court	DISTRICT: SOUTHERN DISTRICT OF NEW YORK
UNITED STATES OF AMERICA v. MARC RICH	DOCKET NO.: 83 Cr. MAGISTRATE CASE NO.:
WARRANT ISSUED ON THE BASIS OF: ☒ Indictment ☐ Information ☐ Order of Court ☐ Complaint	NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED:
TO: ANY United States Marshal or any other authorized officer	DISTRICT OF ARREST: CITY:

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.

DESCRIPTION OF CHARGES

Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud; Income Tax Evasion; Trading with the Enemy.

Section: 7201, 535.206 (a) (4); 535.208, 535.701.

IN VIOLATION OF	UNITED STATES CODE TITLE: 18, 26.31	SECTION: 1962 (c)(d),1341,1343 & 2,
BAIL	OTHER CONDITIONS OF RELEASE	
ORDERED BY: U.S.D.J.	SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE): b6 b7C	DATE ORDERED: 09/19/83
CLERK OF COURT	(BY) DEPUTY CLERK	DATE ISSUED: 09/19/83

This warrant was received and executed with the arrest of the above-named person.

DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER
DATE EXECUTED		

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5JC/DS
(CDRU #01-081)

CR 12 (Rev. 6/82)

WARRANT FOR ARREST

D.B

b6
b7C

United States District Court	DISTRICT: SOUTHERN DISTRICT OF NEW YORK
UNITED STATES OF AMERICA V. PINCUS GREEN	DOCKET NO.: 83 Cr. MAGISTRATE CASE NO.:
WARRANT ISSUED ON THE BASIS OF: ☒ Indictment ☐ Information ☐ Order of Court ☐ Complaint	NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED:
TO: ANY United States Marshal or any other authorized officer	DISTRICT OF ARREST: CITY:

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.

DESCRIPTION OF CHARGES

Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud

Income Tax Evasion; Trading with the Enemy.

Section: 7201, 535.206 (a) (4); 535.208, 535.701.

IN VIOLATION OF	UNITED STATES CODE TITLE: 18, 26, 31	SECTION: 1962 (c)(d),1341,1343 & 2
BAIL	OTHER CONDITIONS OF RELEASE	
ORDERED BY: [redacted] U.S.D.J.	SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)	DATE ORDERED: 09/19/83
CLERK OF COURT: [redacted]	(BY) DEP: [redacted]	DATE ISSUED: 09/19/83 b6 b7C

This warrant was received and executed with the arrest of the above-named person.

DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER
DATE EXECUTED		

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.

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FD-340 (Rev. 4-23-82)

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By DON L. RAMSEY
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Receipt Given ☐ Yes
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By
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Receipt Given ☐ Yes ☐ No

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Description:

- Photographs of Marc Rich & Pincus Green

- Negative of Photographs

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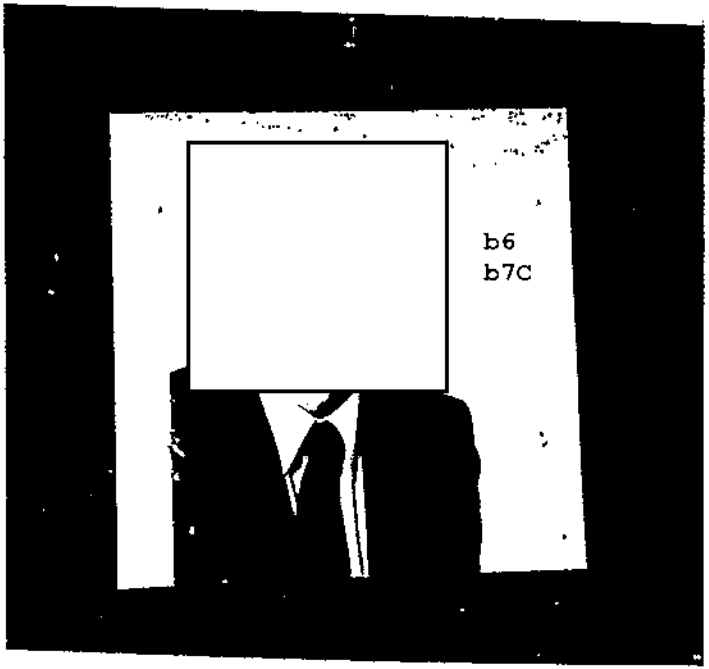
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- Copy of Fingerprint card of Pincus Green.

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Reference:
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Fortune Magazine Article Containing recent Photos of Rich

1A27

DECEMBER 22, 1986 VOL. 114, NO. 14

FORTUNE

Chief Executive Fred Joseph of Drexel Burnham Lambert, the firm at the center of the unfolding Boesky affair, posed in his Broad Street office for photographer Joyce Ravid. *See page 26.*

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THE EDITOR'S DESK

TIMOTHY GREENFIELD-SANDERS

Standing: writers Sherman, Williams, and Worthy. Seated: editors Faltermayer and Ehrbar.

WHEN THE BOESKY scandal broke, FORTUNE readers already had the issue (November 24) with our cover story analyzing why Wall Street's young stars, who profit so extravagantly from the takeover game, were headed for a fall. The day the Boesky news hit, we went to press with the issue (December 8) examining what the scandal said about the decline of business ethics. Now, in the cover package that begins on page 26, we examine the long-term consequences. Says Edmund Faltermayer, who edited the two main stories: "We look beyond the heat of the battle to answer the big questions." Among them: What will the scandal do to takeover fever? What will happen to junk bonds and the investment bankers who float them? What laws might Congress pass?

For the lead article, writer Ford Worthy interviewed dozens of investment bankers and the investors and arbitragers with whom they deal. His conclusion: "The public is going to be more skeptical about companies that specialize in takeovers and load up with debt. And things are ripe for regulation."

The story on Drexel Burnham Lambert was written by the author of our previous article on the investment bankers' clouded future, Stratford P. Sherman. He reports that Drexel's chief executive, Frederick H. Joseph, seemed unusually grim.

Just what is illegal insider trading? Remarkably, no statutes or regulations define it, so writer Monci Williams pored through mounds of case law for her definitive story on the subject, which was edited by Al Ehrbar.

As a bonus, Paris Bureau Chief Shawn Tully tracked down the central figure in an earlier scandal, commodities trader Marc Rich, who fled from the biggest tax evasion case in U.S. history. You may or may not be pleased, but you surely will be interested to know that he is alive, well, and prospering as never before in beautiful Switzerland.

Marc Rich (left) with writer Shawn Tully

ABBAS—MAGNUM

Marshall Loeb
MANAGING EDITOR

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The SEC has been fretting most in recent years about the informational advantage that stock market professionals sometimes have in takeover fights. In 1980 it adopted Rule 14e-3, which makes it illegal for *anyone* with inside information about a takeover to trade the target company's stock. An investor is in violation of the rule even if he doesn't get the information directly from an insider. He simply has to "know or have reason to know" that the information came from an insider.

Rule 14e-3 and Ivan Boesky were made for each other. Dennis Levine gave him advance word of takeovers and Boesky took big positions in the stocks of target companies, reaping enormous profits when the prices of the stocks subsequently shot up. Boesky sealed his guilt by agreeing to share his winnings with Levine, making it undeniable that he believed Levine was furnishing him with bona fide inside information and not just Wall Street scuttlebutt.

BY MOST ANY LOGIC, Boesky's big sell-off in advance of the SEC's announcement of the charges against him should be illegal too. In both cases Boesky came out ahead by virtue of inside information that was material to the prices of the securities he traded. But the SEC's logic is unlike any other, and it has different rules when the inside information does not involve a takeover. Part of its reasoning seems to be that the charges against Ivan may have been material to him and his investment partnership, but not to the companies whose stocks he sold.

What's more, it apparently is legal in many cases for an outsider to trade on material non-public information, provided he did not get it from an inside tipster and the information is about anything other than a tender offer. The SEC would prefer otherwise. It would like to prosecute anyone trading on undisclosed news. But the U.S. Supreme Court tied its hands in a case involving Raymond Dirks, the security analyst who uncovered the Equity Funding scandal in 1973.

Dirks discovered that the insurance company was cooking its books to a fare-thee-well and tipped off big clients of his brokerage firm before he told the SEC what he had found. The commission, furious that Dirks's customers got the information first, charged him with insider trading. Dirks took the case to the Supreme Court, which looked for evidence of fraud, the thing that the original statute prohibits. The justices ruled that Dirks had to be a fiduciary of Equity Funding in order to manipulate or deceive investors, and threw out the charges. Some legal experts say the Dirks ruling raises questions about whether Rule 14e-3—the one that helped the SEC nail Boesky—is valid.

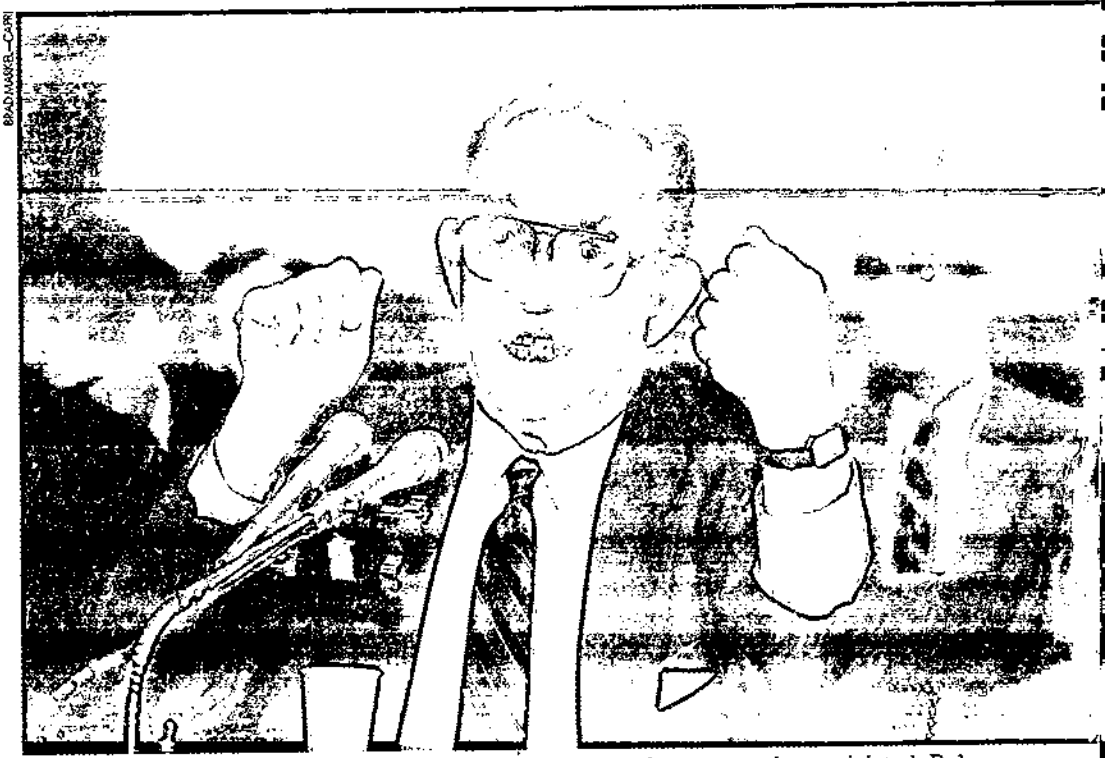
The SEC, meanwhile, is trying to free its hands to go after outsiders. It has expanded the scope of insider trading with something called the misappropriation theory, which holds that it is illegal to trade on stolen information. That's the argument the SEC used in its charges against Foster Winans, the *Wall Street Journal* reporter who passed on the names of stocks about to be mentioned in the newspaper's Heard on the Street column. Winans was not an insider and didn't even have material information about the companies involved. The SEC got a conviction against Winans by arguing that what he did was illegal because he stole the information from his employer. Winans is appealing and some legal experts think he could win.

What Winans did was plainly unfair to other investors—and unethical—even if it ultimately proves to have been legal. If the SEC loses the Winans appeal, it will figure out another way to go after similar actions in the future. Indeed, it seems safe to assume the commission will find some rationale to prosecute people for any kind of trading that it regards as unfair to investors in general.

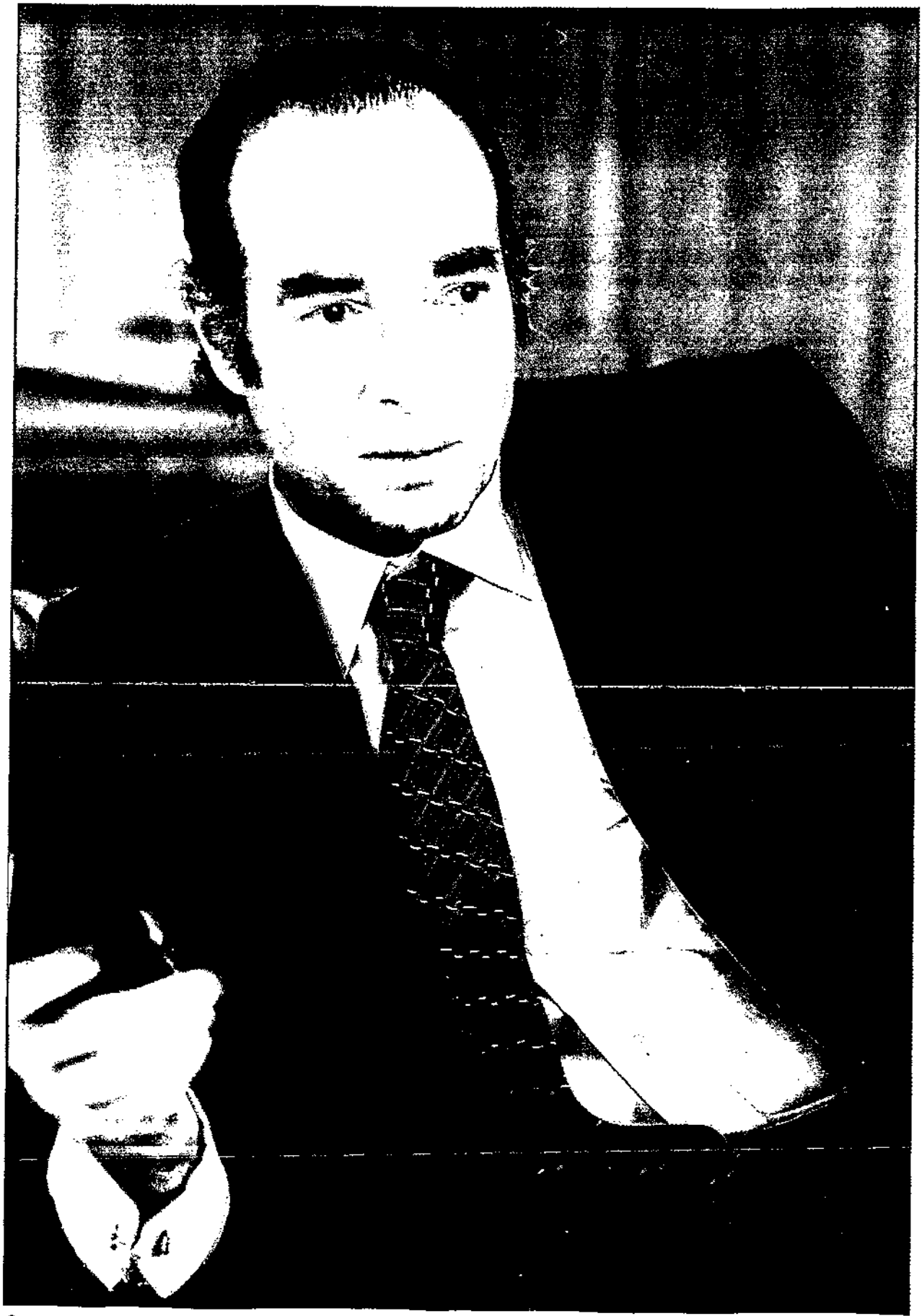
The SEC's refusal to come up with a clear definition of insider trading makes life precarious for arbitragers. Though many, like Boesky, are lawyers who know the regulations cold, they spend their lives working in the gray areas of the law. They trade information as furiously as they trade stocks, forever calling raiders, investment bankers, and takeover lawyers to get the slightest informational edge on their competitors. They walk up to the legal line the SEC has drawn and then lean as far over it as they can. Problem is, the SEC keeps moving the line.

That's a problem for ordinary corporate folks as well. Many people think the term insider applies just to officers and directors, the ones who have to file disclosure forms whenever they buy or sell their company's stock. In fact, anyone who is privy to important information about his or her employer can be an insider. If you find out someone has made a secret bid for your company and buy some shares, you have violated Rule 14e-3. The rules are more vague for other kinds of news. But all executives should keep a couple of thoughts in mind. Since they know more about their company than outsiders, they always are in possession of some inside information. And the Texas Gulf decision still stands. Whether information is "material" is a judgment call, and the SEC is the judge. So if you have any doubts about where you stand, don't trade before you consult your company's lawyer. F

SEC Chairman John Shad *says fuzzy laws enable him to do a better job against insider trading.*



"I'm a modest, quiet person who has never done anything illegal."



On top of the world *in Zug: Marc Rich has a five-bedroom house, a six-story office building, and an 11-digit company.*

PHOTOGRAPHS BY ABBAS—MAGNUM

THE LIFESTYLE OF RICH, THE INFAMOUS

Marc Rich, biggest tax fugitive in U.S. history, is in Switzerland happily running a commodities trading firm worth nearly $1 billion. ■ *by Shawn Tully*

BY STRIKING A DEAL with the SEC and federal prosecutors, Ivan Boesky avoided the hoary tactic of financiers in legal trouble—the quick flight to a country that will not extradite U.S. fugitives. Commodities trader Marc Rich, 52, fled first and tried to deal later. Facing a 65-count criminal indictment that could result in a 325-year prison term—the biggest tax evasion case in U.S. history—he may be abroad for a long time.

His life on the lam is luxurious—and lucrative. Unlike fugitive Robert Vesco, who is holed up less than splendidly in Cuba after years of spending his booty bribing various officials around the Caribbean, Rich is living grandly in Switzerland. Though he has long avoided the U.S. press, Rich spent a day talking to FORTUNE in November, his first interview with a non-European publication since he skipped out of New York.

Today his slim face is framed by slicked-back hair and bushy sideburns, and he has an air of dour refinement. In his office he chain-smokes imported cigars and downs Diet Coke. Rich's Swiss-based international commodities trading company, Marc Rich & Co. AG, has become one of the biggest in the world. He says that the company earned more than $100 million before taxes on trading volume of $12 billion in 1985 and that its capital stands at $950 million.

Rich has a five-bedroom house filled with valuable art in the picturesque village of Zug, 15 miles south of Zurich, and a ski chalet in St. Moritz. He is a regular at concerts in Zurich and Lucerne. His American wife has become a rather famous European pop-record star. Thanks to an expensive P.R. campaign and expansive charitable giving, Rich has achieved something resembling respect in Swiss society.

Living abroad is not a new experience for him. Born in Antwerp, Rich came to the U.S. with his parents at age 8. As a rising young commodities trader for New York–based Philipp Brothers, now a subsidiary of Salomon Inc., he resided in Spain for 14 years. Still, returning to America has become an obsession. "I want very badly to be able to go back," he says, speaking in a faintly European accent. "I think about the U.S. every day. My mother is there and my in-laws. It's a generous country that accepted my parents and me." (When his father died in New York last September, Rich was pained not to attend the funeral. Federal agents would have arrested him.)

To find some way out Rich has assembled an influential legal team headed by Washington superlawyer Edward Bennett Williams and including Leonard Garment, former special counsel on the Nixon White House staff. Robert Gray, the Washington public relations consultant who was secretary to the Cabinet in the Eisenhower Administration and co-chairman of Ronald Reagan's first inaugural, is on retainer.

"I've made mistakes," Rich says, in what starts out sounding like contrition. "I guess my reputation will never fully recover." Then it becomes clear he is talking about legal strategy. He argues that what he really has is an image problem. "I've been portrayed in a horrible way," he says, "as a workaholic, a loner, a money machine. It's not a true picture. I'm a modest, quiet person who has never done anything illegal." Sometimes he portrays himself as victim: "What happened to me was an unfortunate chain of events that hasn't shaken my faith in the U.S."

The Justice Department isn't buying any of that. In the prosecutors' view, Rich and partner Pincus "Pinky" Green, 52, are simply fugitives. Assistant U.S. Attorney Martin Auerbach says his office is ready to go to trial if it can get its hands on the defendants. For U.S. authorities, Rich and Green are Vesco-size targets. Marshals have designed tantalizing schemes to nab them, especially Rich, who is the more active of the two. Rich has neared the bait several times, only to slip away at the last moment.

The case is hideously complicated, and some of it hinges on violations in 1980 and 1981 of oil price laws long since repealed and never particularly popular. If Rich and Green were fudging price controls, they had a lot of company. A number of major oil producers have long since settled similar cases. The fugitives are also accused of trading with the enemy, for buying

REPORTER ASSOCIATE *Nancy J. Perry*

oil from Iran during the hostage crisis in 1980.

If those issues were all the case involved, Rich and Green might have come home long ago, or might never have left. The big one is tax evasion. The government charges that the pair smuggled $105 million of profits from those illegal oil transactions to Switzerland to avoid paying $48 million in U.S. taxes. Shortly after fleeing to Switzerland, they reportedly offered to pay $100 million if the government would settle the charges—and were turned down flat. Says Auerbach: "They have broached this issue for a long time through a variety of channels in the Justice Department and anywhere else in Washington they can get a hearing. They are no closer to coming back to the U.S. now than when they left. They can't buy their way out of jail."

The Miró *behind the fugitive and his pop-singer wife shares space in their home with works of Braque, Léger, Giacometti, and, of course, Picasso.*

Faced with such an uncompromising position, Rich apparently has quietly switched legal strategies. His lawyers plan to attack the evidence in the tax case in hopes of persuading the government to drop the indictment. Says one: "We're going back and reviewing everything. What we're learning gives us some encouragement, but we're not Pollyannas."

Until his lawyers cooled on the idea, Rich was thinking of launching a major P.R. campaign in the U.S. In 1984 Robert Gray traveled to Zug with his associate Frank Mankiewicz, former head of National Public Radio, and Meryl Comer, a consultant to Gray who also co-anchors a business news show on ESPN, the cable-TV network. Gray advised Rich to go public in the U.S by granting interviews to U.S. newspaper and TV reporters. Comer even taped a practice interview with Rich to see how he came across.

Rich did buff up his image in Switzerland with a successful campaign led by Peter Hargitay, a Zurich P.R. man. Hargitay says he was paid a monthly retainer that added up to "the middle six figures" annually. In 1985 and 1986 Hargitay arranged about 30 interviews with Swiss newspapers, magazines, and TV stations. Sometimes he looked over the interviewers' questions in advance, then helped edit the interview. Most of the coverage was favorable, in part because the Swiss business press is notoriously flattering. Interviewers asked Rich about the future of OPEC, the commodities business, and how he liked Switzerland, but rarely broached the legal case. He told one that he missed New York "not at all" and wished he had "come to live in Switzerland many years ago."

Though a few Swiss grumble that Rich is trying to buy a good name, most seem happy to let him try, as long as he is willing to spend so much cash on the project. This year, among a host of charitable activities, Marc Rich AG set up a $3-million foundation to make grants to artists, scientists, and worthy organizations in Switzerland. In November the foundation sprinkled $150,000 among a Zurich chamber orchestra, a group that teaches the disabled to work, and the Catastrophe Dogs, an organization that uses dogs to find people in the rubble of earthquakes. The foundation's board is headed by a retired three-star general who runs Switzerland's Red Cross.

Lavish parties have helped Rich make friends. The splashiest was his 50th birthday bash two years ago in Lucerne's National Hotel. His wife sang two of her compositions: "Don't Look Back" and "The Years Go By So Quickly." Marc's partners gave him a ten-foot-long sailboat made of chocolate, plus the title to a motorboat to be delivered later. The highlight of the evening was a mock boxing match pitting a clown wearing the Marc Rich logo against one dressed as a New York cop. Another clown in judge's robes acted as referee.

THOUGH HE walked away from a ten-room Park Avenue apartment in New York, Rich has hardly taken a step down. His hilltop house has a breathtaking view of the misty lake of Zug. Cream-colored carpeting and sleek modern furniture designed by a California decorator set off superb works of art. His collection includes two Picassos, as well as paintings by Georges Braque, Fernand Léger, and Joan Miró, and a sculpture by Alberto Giacometti. A giant satellite dish captures programs from France, England, and Germany, as well as Cable News Network from the U.S. A Spanish couple serve as butler and maid.

Rich and his wife have three daughters: Gabriella, 17, and Daniella, 11, go to school in Switzerland and Ilona, 19, is an art student in France. Fluent in German, French, and Spanish, Rich speaks Spanish to his terrier, Macho, and to his daughters. The two oldest girls learned the language as very young children in Spain, and he doesn't want them to forget it.

On ski weekends in St. Moritz, Rich

BUG INDEED, Mr. Scrooge. Only those with the
it of Christmas would pay twenty dollars for a
otch. But then, THE GLENLIVET Scotch whisky
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Each morning, Rich takes a dip in his indoor pool, then is chauffeured in a gray Mercedes to the office ten minutes away.

often helicopters with other expert skiers to remote spots high above the lifts. Each weekday morning, he takes a dip in his indoor swimming pool, then is chauffeured in a gray Mercedes to the office ten minutes away.

His headquarters, a six-story cube of blue reflecting glass, is plushly appointed. Soft jazz and popular music fill the halls and elevators. The lobby floor is burgundy marble, and the carpeting is salmon pink. On the walls are a collage by Swiss artist and architect Le Corbusier and a painting by 20th-century Spanish painter Antonio Quirós. Rich's office is equipped with an electrically operated door so that he can buzz visitors in without leaving his desk or getting off the phone. At lunchtime he strolls across a parking lot to his private dining room at the Glashof, a restaurant owned by his company that offers both Swiss and kosher food.

HE CAN wolf down a three-course lunch in 30 minutes to rush back to the office. Windy discussions irk him. Occasionally he simply excuses himself, even from his own office, sending an underling back to finish the conversation. "He's always stressed and in a hurry," says one former associate. "And he never says thank you." But friends assert he is flawlessly considerate to them. He sends long, handwritten letters to friends and employees on the death of a parent or the birth of a child, and fetches coffee for business visitors. He is extremely soft-spoken. "Sometimes when I fire someone," he says, "they don't notice right away."

For Rich, once an inveterate globetrotter, the world has shrunk drastically. Tax evasion as defined by U.S. law is not included in Switzerland's extradition treaty with the U.S. Rich also can safely visit Spain. Several years ago he became a Spanish citizen, though neither he nor his lawyers will say how or why he arranged it. But many countries in Western Europe will extradite U.S. fugitives indicted for tax fraud.

In contrast to her cosmopolitan husband, Denise Rich is overwhelmingly American, a self-described "junk-food addict, pizza lover, and fan of deli corned-beef sandwiches." Daughter of a wealthy New England shoe manufacturer, she is a sunny optimist who gushes, "I'm surrounded by positive energy." Raven-black hair and almond eyes give her an exotic look. She and Marc met on a blind date in New York around Christmas 1965.

After years of trying, Denise hit the big time last year with her song "Frankie," sung by the American female rock group Sister Sledge and released on Atlantic Records. "Frankie" was the No. 1 hit in Britain for six weeks and sold more than 750,000 copies, winning a gold record. Meanwhile, Denise has sung on TV in Switzerland and Germany, and recently made a music video in London. Her new album for MCA Records, *Sweet Pain of Love,* is now on sale in Switzerland and will be distributed in the rest of Europe in February. She says that some of the songs are about Marc.

If Marc and Denise are an odd couple, Rich and Pinky Green are an equally unlikely twosome. Green is as playful as Rich is intense. "Pinky reminds me of Groucho Marx," says a former Rich associate. Tall and crewcut, Green is a confirmed quipster. Asked about the oil business, he shoots back, "Oil? Isn't that the stuff you pack sardines in?" Devoutly religious, Green has a home in Zug and another in the Enge Jewish quarter of Zurich, within walking distance of a synagogue. He rushes out of the office on Friday afternoon so he can start celebrating the Sabbath by sundown, in accordance with Orthodox Jewish tradition. He eats kosher food and keeps it simple—lox and tomatoes are a favorite lunch.

Rich and Green were able to flee the U.S. without skipping a business beat. Though they operated out of New York, their company had always been headquartered in Switzerland. In the early 1970s both had become star Philipp Brothers traders, Rich in Spain and Green in the company's office in Zug. Angered because they considered their bonuses for 1973 inadequate, the two bolted and started Marc Rich AG. Zug, a center of European commodities trading, seemed as good place as any to set up shop.

Shortly after the two fled New York in 1983, Marc Rich AG sold its U.S. affiliate to Alec Hackel, 58, a wiry, loquacious German who is a partner in the Zug operation. Authorities in the U.S. said it wasn't a real sale and froze the assets of the company, which had been renamed Clarendon Ltd. Unable to do business in the U.S., Marc Rich AG's trading volume dropped. In 1984 Clarendon paid the U.S. government $150 million to settle tax charges against the company—a separate issue from the criminal tax case against Rich. After that, Rich's business surged.

MARC RICH AG is run by a triumvirate of Rich, Green, and Hackel, who hold the majority of the company's stock. About 100 employees also own shares. Rich looks after oil, Hackel runs the metals and minerals division, and Green, nicknamed "the Admiral," handles shipping, along with finance and administration. Rich says he and the other top partners each earn $1 million or more a year.

Whatever U.S. prosecutors think about Rich, competitors and clients have respect for his abilities as a trader. They say he combines excellent judgment with a vast network of contacts around the world. "He has survived because he has the most talent," says Slimane Bouguerra, a competitor in Geneva. Adds Richard Perkins, head oil trader at Chevron International: "We do deals with him. Marc Rich has always performed on his contracts and has good standing with the majors." Rich estimates that Marc Rich AG trades 900,000 barrels a day in crude oil, and another 400,000 barrels of naphtha and other oil products.

According to Rich, the company has weathered the commodities recession better than other traders by carefully minimizing risks. It seldom buys a cargo, he says, without first lining up a customer—at a price that includes a slim trading margin. "We see the trading as a service business," he says. "We put producers and buyers together in exchange for a service charge. We hope not to be too dependent on price cycles. We're not sexy or speculative. It's insane to try for a killing in today's market." Some caution a few years ago might have saved Rich and Green a lot of lawyers' fees—not to mention one-way tickets to Zug. ■

FD-340 (Rev. 4-2-85)

Field File No. 196A-1774

Serial # of Originating Document

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PINCUS GREEN - FUG (B);
FBW
OO: NY

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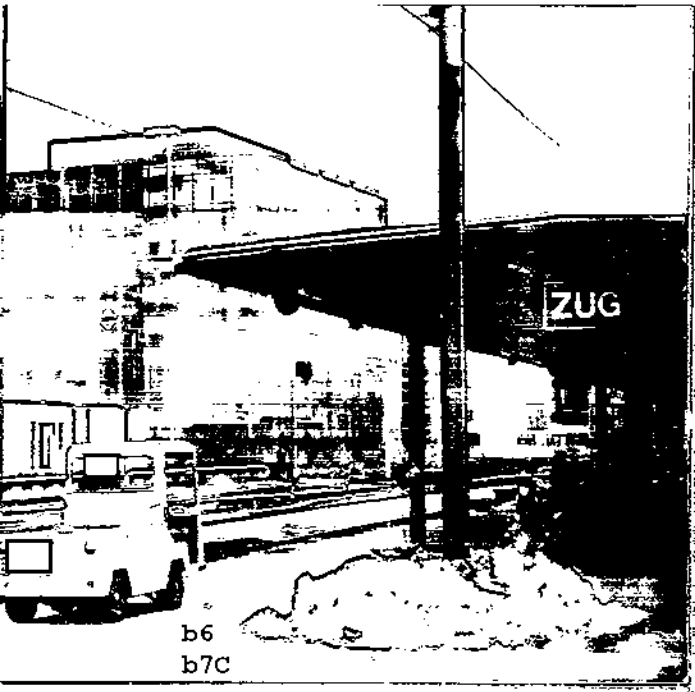
Reference: (DRU-01-081)
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Photograph of building in ZUG, SWITZERLAND where Rich and Green reportedly have their Company office — 1A28



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Building where Rich + Green reportedly have their office.

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196A-1774

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1A29

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Date Received 10/27/82

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(CITY AND STATE)

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Bulky Exhibit - Inventory of Property Acquired as Evidence
FD-192 (Rev. 5-23-80)

Date 7/28/81

Title and Character of Case
MARC RICH, DBA MARC RICH CO.;
[redacted]
b6
b7C

Date Property Acquired
7/13/81

[redacted]
b6
b7C
b7D

Location of Property or Bulky Exhibit
8th Floor Vault

Reason for Retention of Property and Efforts Made to Dispose of Same
EVIDENCE

To Be Returned ☐ Yes ☒ No

See Serial

Agent Submitting Property or Exhibit
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Agent Assigned Case
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SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

196B-881 1/82

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SERIALIZED ✓ FILED ✓
JUL 29 1981
FBI — HOUSTON

Field File # 196B-881

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Item No.	Remarks
	Reviewed by SA 4/16/87
	Destroyed per AUSA per SA on 10/17/87 DOT (see memo dated 8/31/87) (see) 1A6

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Bulky Exhibit - Inventory of Property Acquired as Evidence
FD-192 (Rev. 5-28-80)

Date 6/29/81

Title and Character of Case
MARK RICH, DBA MARK RICH Company;
[redacted] b6 b7C
FBCV

Date Property Acquired
6/18/81/6/19/81

Source [redacted]

Location of Property or Bulky Exhibit
Bulky 2nd Floor Unit Shelf 17

Reason for Retention of Property and Efforts Made to Dispose of Same
Evidence

To Be Returned: [X] Yes [ ] No

See Serial

Agent Submitting Property or Exhibit [redacted] b6 b7C b7D

Agent Assigned Case [redacted] b6 b7C b7D

[ ] Yes [X] No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

Records of [redacted] b6 b7C b7D

1. [redacted] with Name

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Disposition
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2. [redacted]

3. Packet of [redacted]

4. [redacted]

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1A31	5-10-91		X	Copy of Subpoena	
1A32	6/17/91		X	Photos of Pincus GREEN'S [redacted] GRADUATION (7c)	
1A33	6/92		X	Photos of Marc Rich from television program Dateline aired 5/6/92 SE	
1A34	10/13/92		✓	Photocopies of telex messages received by [redacted]	
1A35	"		✓	Orig. notes re interview of [redacted]	b6 b7C
1A36	11/3/92		✓	Orig notes re interview of [redacted] Photocopy of business card sent	
1A37	5/15/95		✓	Photo of [redacted] Pincus Greene (1c)	
1A38	1/9/97		✓	TWO photos (one [redacted] LHO claims to be Marc Rich (1c)	
1A39	6/25/97		✓	Photos of Pincus Green (Taken about 1981) (1c)	
1A40	4/20/98		✓	Arrest Warrant Issued 9/19/83 for Pincus Green	
1A41	4/20/98		✓	Arrest Warrant Issued 9/19/83 for Marc Rich	
1A42	5/20/11		✓	Copies of Fingerprint cards of Pincus Green (9c)	
1A43	12/16/13		✓	NYT Article "My Reasons for the Pardons by former President Clinton	
1A44	12/16/13		✓	Misc Photos of Marc Rich	
1A45	12/16/13		✓	Misc photos of Pincus Green	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5JC/pds
(CDFA # 01-081)

196A-1774 1AS
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
MAY 03 1996
FBI — NEW YORK

FD-340 (REV. 6-29-81)

Field File No. _____

OO and File No. NY 196-1774-1A10

Date Received 11/3/82

From _____
(NAME OF CONTRIBUTOR)

_____
(ADDRESS OF CONTRIBUTOR)

_____
(CITY AND STATE)

By _____
(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

☐ Yes ☒ No **Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure**

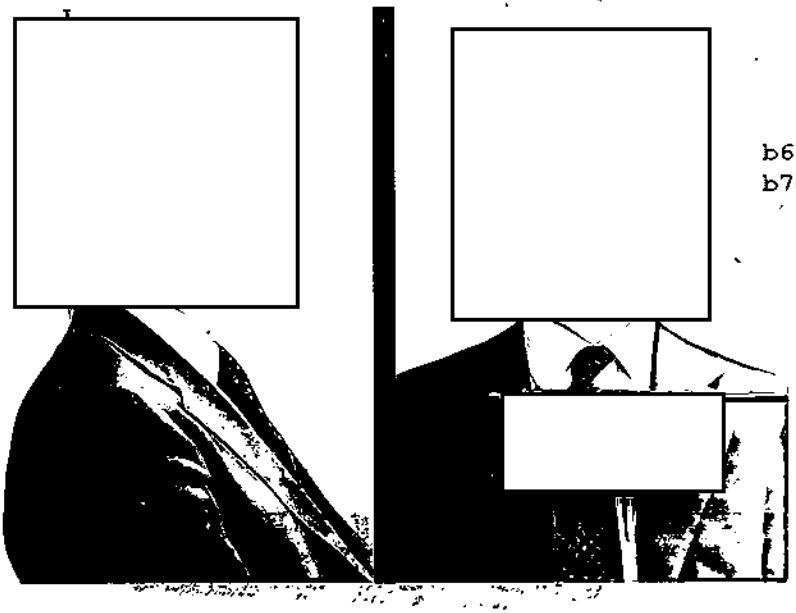
b6
b7C

Description:

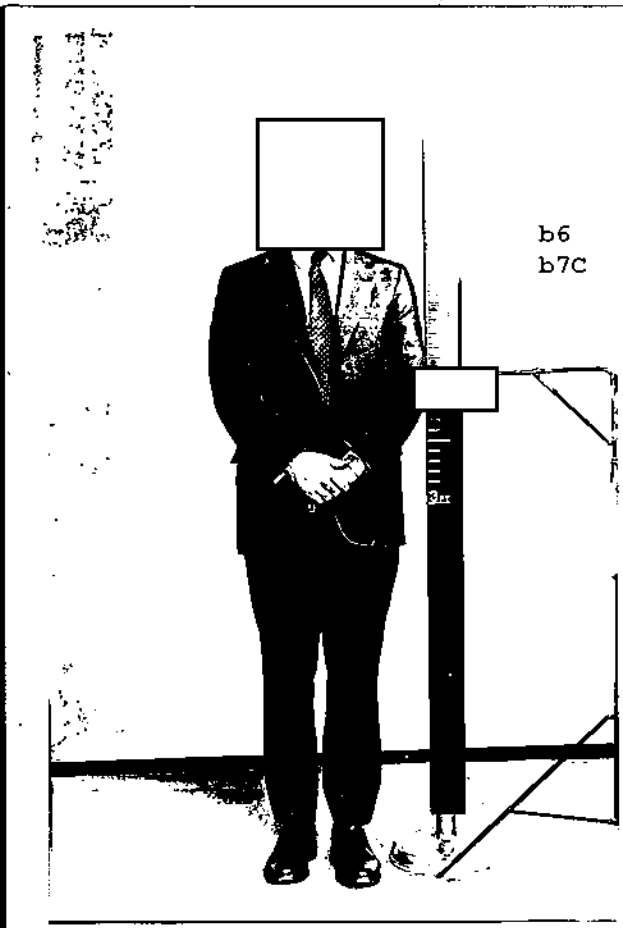
PHOTOGRAPHS AND NEGATIVE

OF

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-17-2011 BY SP5 TC/AB
01+881



b6
b7C



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b7C

Approved For Release 2001/12/27 : CIA-RDP78-04718A000100010001-3

Approved For Release 2001/12/27 : CIA-RDP78-04718A000100010001-3

FD-340 (REV. 6-29-81)

Field File No. _____

OO and File No. NY 196-1774-1A2

Date Received 11/3/82

From [redacted]
(NAME OF CONTRIBUTOR)

_____
(ADDRESS OF CONTRIBUTOR)

_____
(CITY AND STATE)

By [redacted]
(NAME OF SPECIAL AGENT)

b6
b7C

To Be Returned ☐ Yes
☒ No

Receipt Given ☐ Yes
☒ No

☐ Yes
☒ No

Grand Jury Material-Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

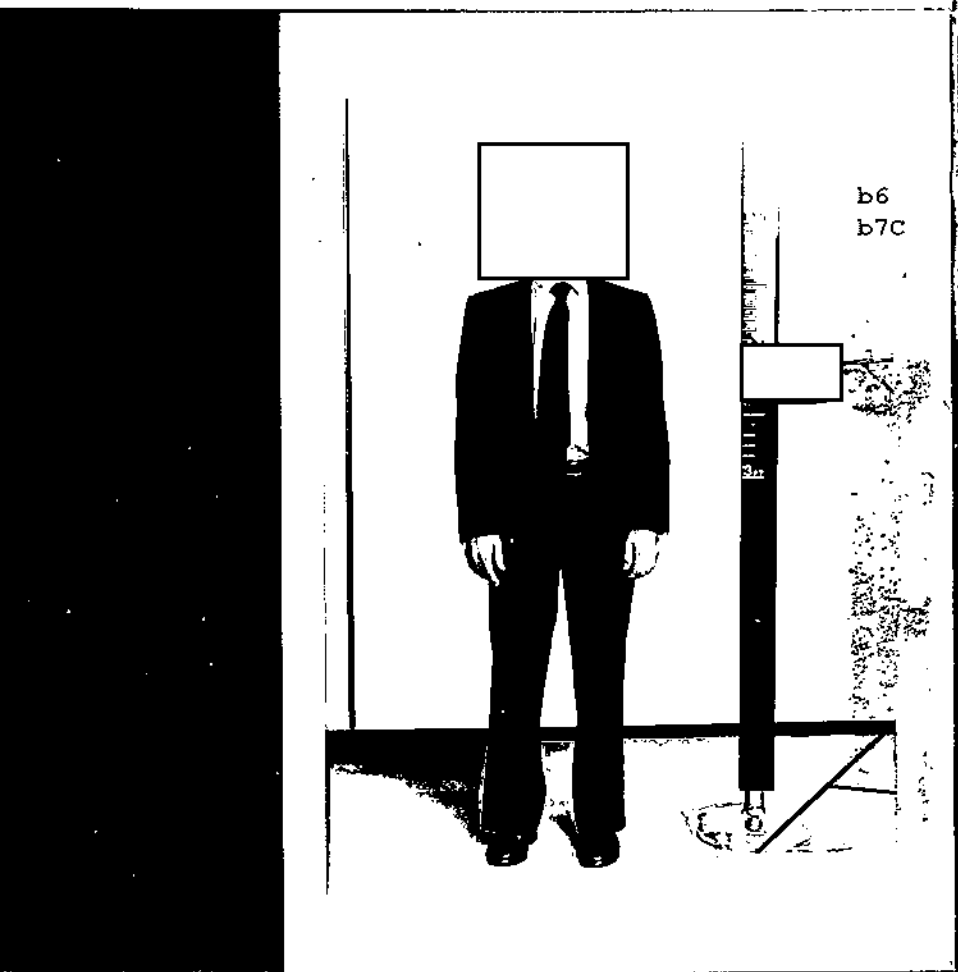
Description:

PHOTOGRAPHS AND NEGATIVE

OF [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2-22-2001 BY SP5 RCL/AB
01-081

b6
b7C


b6
b7C

SMU Libraries
2/22/01
SPS Lit Lab

FD-340 (REV. 6-29-81)

Field File No. 196-1774-1A[12]

OO and File No. _____

Date Received 3/8/83

From _____
(NAME OF CONTRIBUTOR)

_____
(ADDRESS OF CONTRIBUTOR)

_____
(CITY AND STATE)

By _____
(NAME OF SPECIAL AGENT)

b6
b7C

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

☐ Yes
☐ No

Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description:

FD 192 Evidence
copy for material
sent to AUSA
(see 192)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5 ICAN
01-081

Bulky Exhibit - Inventory of Property Acquired as Evidence
FD-192 (Rev. 6-9-82)

Date: 3/4/83

Title and Character of Case

MARC RICH
ET AL
FBW-ER

Date Property Acquired	Source From Which Property Acquired
3/4/83	FBI - HOUSTON OFFICE

Location of Property or Bulky Exhibit	Reason for Retention of Property and Efforts Made to Dispose of Same
VAULT SHELF	EVIDENCE PENDING CONCLUSION OF CASE

To Be Returned	See Serial	Agent Submitting Property or Exhibit	Agent Assigned Case
☒ Yes ☐ No	*	SA [redacted]	SA [redacted] M-1

b6
b7C

☐ Yes ☐ No X Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

ONE CELLOPHANE ENVELOPE CONTAINING:

MISCELLANEOUS RECORDS (see airtel for complete description)

*airtel dated 3/2/83 Reg number 063 171 447

For Valuable and/or Narcotics Evidence Only

Evidence Bag Seal # _____

Signature of Two Special Agents Verifying and Sealing Bag Contents _____

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

BLOCK STAMP

CLASSIFIED SERIALIZED FILED

FBI—NEW YORK

Field File # _____

OO: NY 196B-1774-1B1 M-1

EVIDENCE (PACKAGE COPY)

CHAIN OF CUSTODY

Accepted Custody	Date	Time	Released Custody	Date	Time
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		

Item No. **Remarks**

Bulky Exhibit - Inventory of Property Acquired as Evidence
FD-192 (Rev. 5-23-80)

Date 6/29/81

Title and Character of Case
MARK RICH, DBA MARK RICH Company;

b6
b7C

FBW

Date Property Acquired
6/18/81/6/19/81

S

Location of Property or Bulky Exhibit
8th Floor Vault

Reason for Retention of Property and Efforts Made to Dispose of Same
Evidence

b6
b7C
b7D

To Be Returned: [X] Yes [ ] No

See Serial

Agent Submitting Property or Exhibit

Agent Assigned Case

[ ] Yes [X] No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

Records of

b6
b7C
b7D

Sent to NY

1. with Name

2.

3. Packet of

4.

5.

6.

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

196-881-1 RJ

SEARCHED INDEXED
SERIALIZED FILED
JUN 29 1981
FBI - [illegible]

Field File # 196B-881

00: HQ.

b6
b7C

CHAIN OF POSSESSION

Item No.	Name				
1	From: [redacted]	To: [redacted]	Time: 3PM	Date: 3/2/83	
	From:	To:	Time: 3PM	Date: 3/2/83	
	From:	To:	Time: 4:03	Date: 3/4/83	
	From:	To:	Time: 9:08	Date: 3/8/83	
	From:	To:	Time:	Date:	
	From:	To:	Time:	Date:	
	From:	To:	Time:	Date:	
	From:	To:	Time:	Date:	
	From:	To:	Time:	Date:	
	From:	To:	Time:	Date:	

Item No.	Remarks
1	Sent to NY via US mail R# R063171468 AF
	Received from Boston 3/2/83 Reg No. 063-171-447

180-10

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5 TC/RB

FD-340 (REV. 6-29-81)

Field File No. 1A18

00 and File No. NY 196-1774-

Date Received 6/83

From [redacted]

(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☒ No

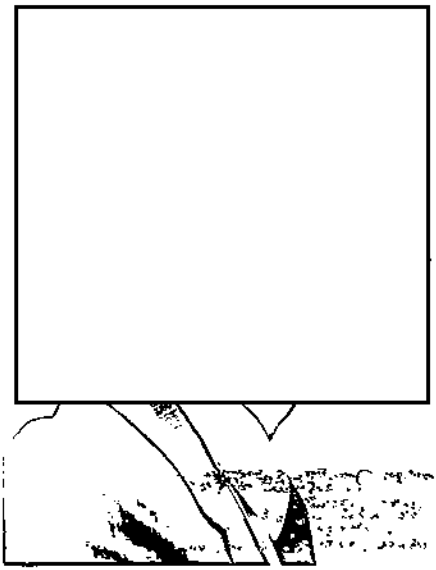
Receipt Given ☐ Yes ☒ No

☐ Yes ☒ No **Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure**

Description:

PHOTOS OF [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5TCDHB
01-081



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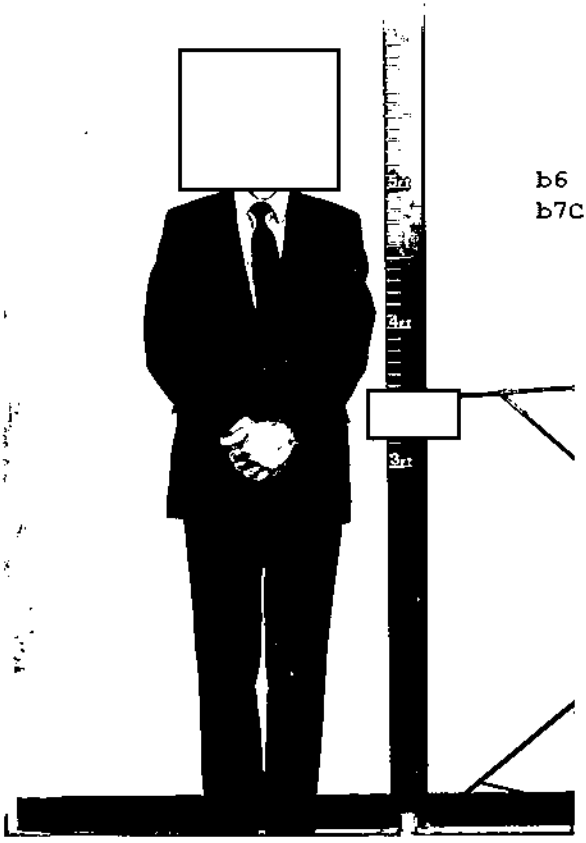
b7C



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/07 BY SP5 [illegible]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/07 BY SP5 [illegible]

FD-340 (REV. 6-29-81)

Field File No. _____

00 and File No. NY 196-1774-1A19

Date Received 6/83

From [redacted]
(NAME OF CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

(CITY AND STATE)

By [redacted]
(NAME OF SPECIAL AGENT)

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

☐ Yes ☒ No **Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure**

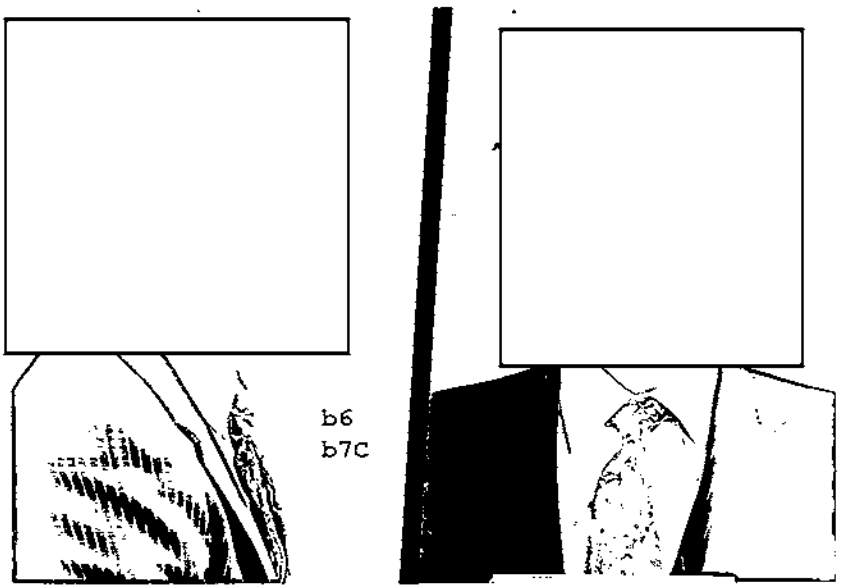
Description:

PHOTOS OF [redacted]

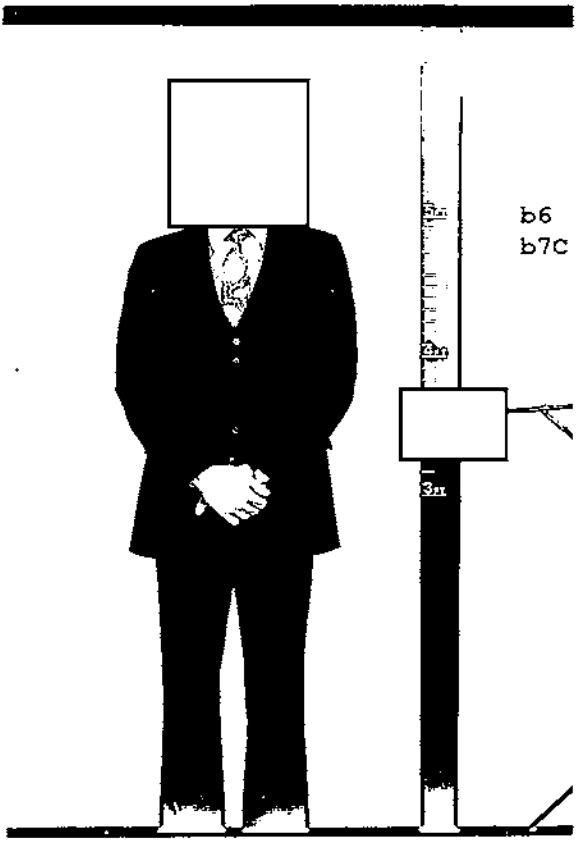
[redacted]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY SP5/JC/AB
01-081



b6
b7C



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b7C

NY 105-1221-1A ?

[redacted]

NY 105-1221-1A ?

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 4/22/01 BY SP5 JCW/MB

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 4/22/01 BY SP5 JCW/MB

FD-340 (Rev. 4-23-82)

1A-31

Field File No. 196B-881 1A 5

OO and File No. NY 196A-1774

Date Received 8-30-83

From NY
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☒ Yes
☐ No

Receipt Given ☐ Yes
☐ No

☒ Yes
☒ No

Grand Jury Material - Disseminate Only Pursuant to Rules 6(e), Federal Rules of Criminal Procedure

Description:

Copy of Subpoena

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5C/RDS
(CDRU #01-081)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SPSTC/EDS
(CDRU #01-081)

FD-340 (Rev. 4-2-85)

Field File No. 196A-NY-1774-1A32

Serial # of Originating Document _____

OO and File No. _____

Date Received 5/29/91

From _____
(Name of Contributor)

_____
(Address of Contributor)

By [redacted]

To Be Returned ☐ Yes ☐ No Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☐ No

Title: MARC RICH - FUG. (B);
PINCUS GREEN - FUG (B);
FBW, MF, RICO

Reference: OO: NY
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

PHOTOS OF PINCUS GREEN'S AT [redacted] GRADUATION. [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/CDS
(CDRU #01-081)

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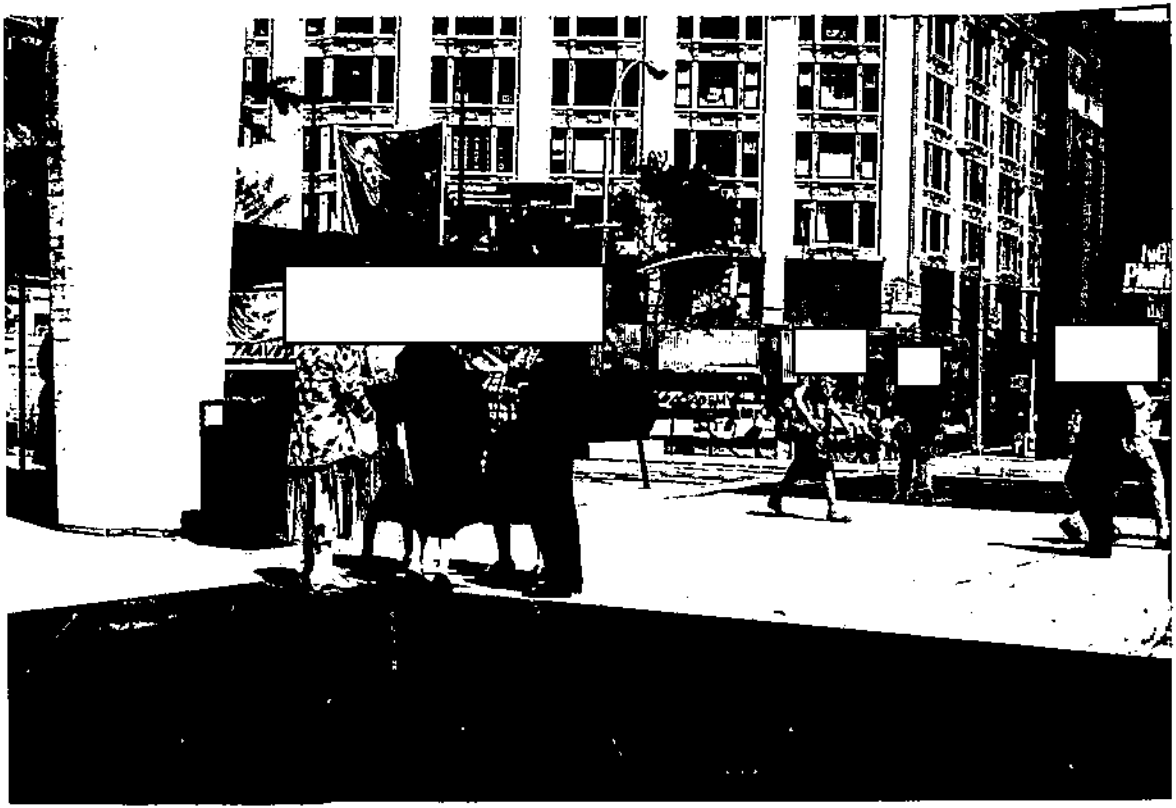
b7C



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/EDS
(CDRN #01-081)

196A-NY-1704-1A82

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b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/KDS
(CDRU #01-081)

196A-NY-1774-1A32

MRS [redacted]

[redacted] DR. [redacted]

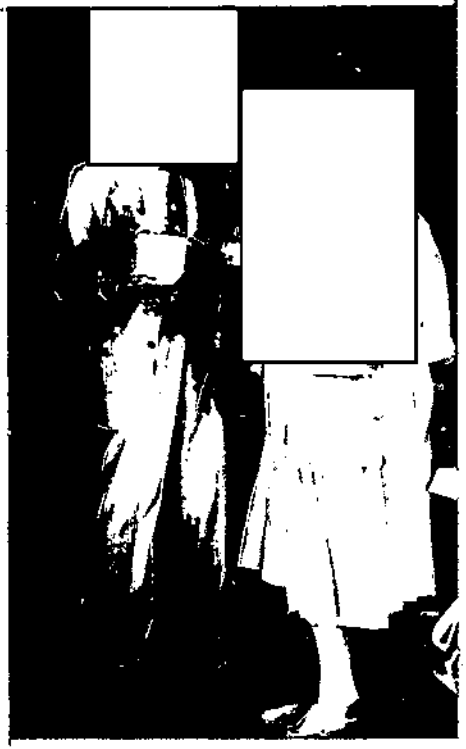
[redacted]

PINCUS GREEN'S [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/KDS
(CDRU #01-081)

196A-NY-1774-1A32

b6
b7C



1) Dr. [redacted]

PINCUS GREEN's [redacted]

[redacted]

2. [redacted]

PINCUS GREEN's [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 SP5TC/RDS
(CDRU #01-081)

196A-NY-1774-IA32

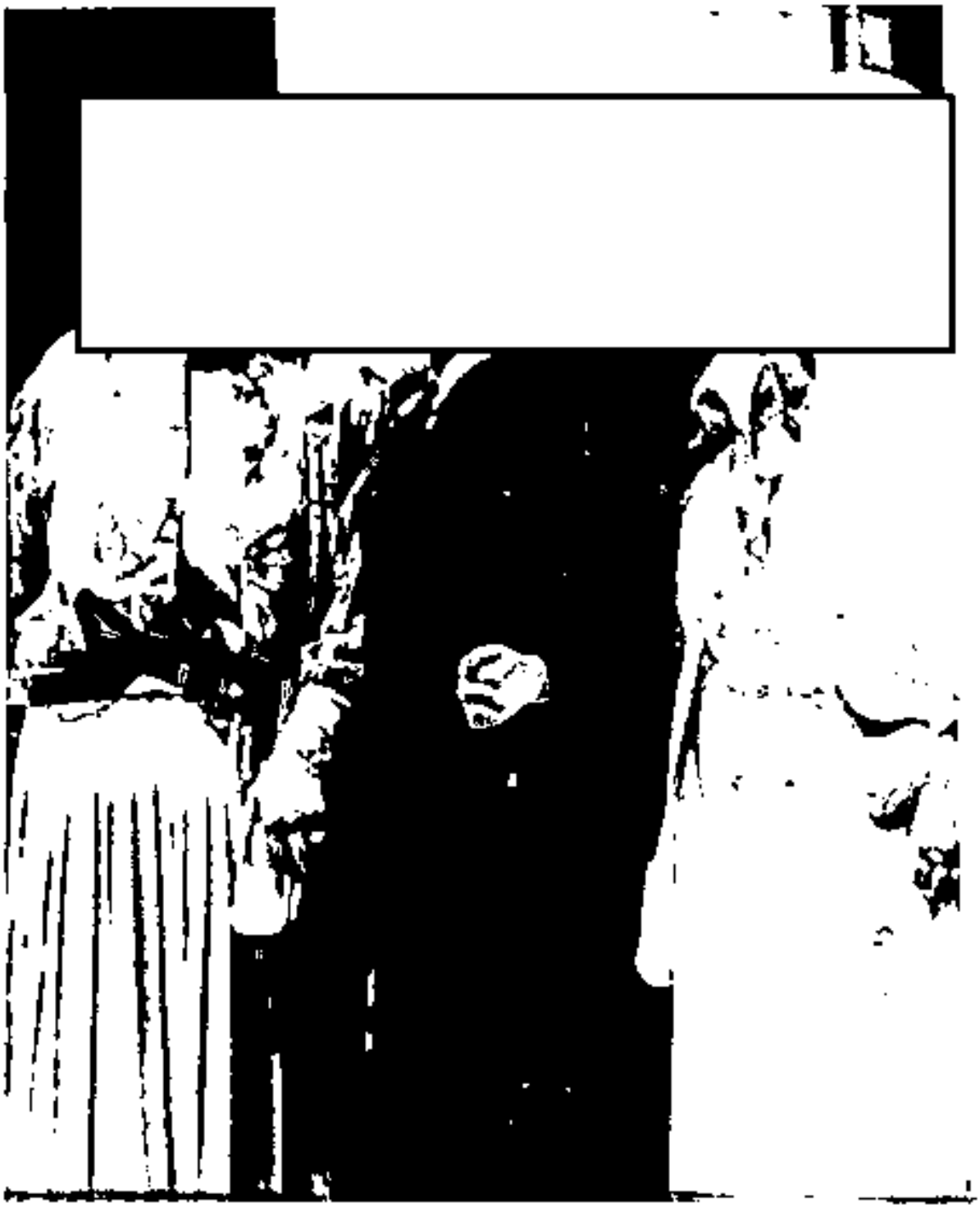
[redacted]

(PINCUS GREEN's [redacted]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 SP5TC/RDS
(CDRU #01-081)

196A-NY-1774-IA32



b6
b7C

[redacted]

(Far LEFT)

[redacted]

(2ND FROM LEFT)

b6
b7C

MRS [redacted]

(3RD FROM LEFT)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5JC/RDS

DR [redacted]

(CD

PINCUS GREEN'S [redacted]

[redacted]

(behind)

196A-NY-1174-1A32

FD-340 (Rev.8-18-89)

Universal File Case Number 196A-NY-177411133

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 5/6/92

From _____
(Name of Contributor)

_____
(Address of Contributor)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No

[illegible] IS CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/RDS

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) (CDEU #01-081)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: MARC RICH - Fug (B);
PINCUS GREEN - Fug (B);
FBIJ
OO: NY

Reference: _____
(Communication Enclosing Material)

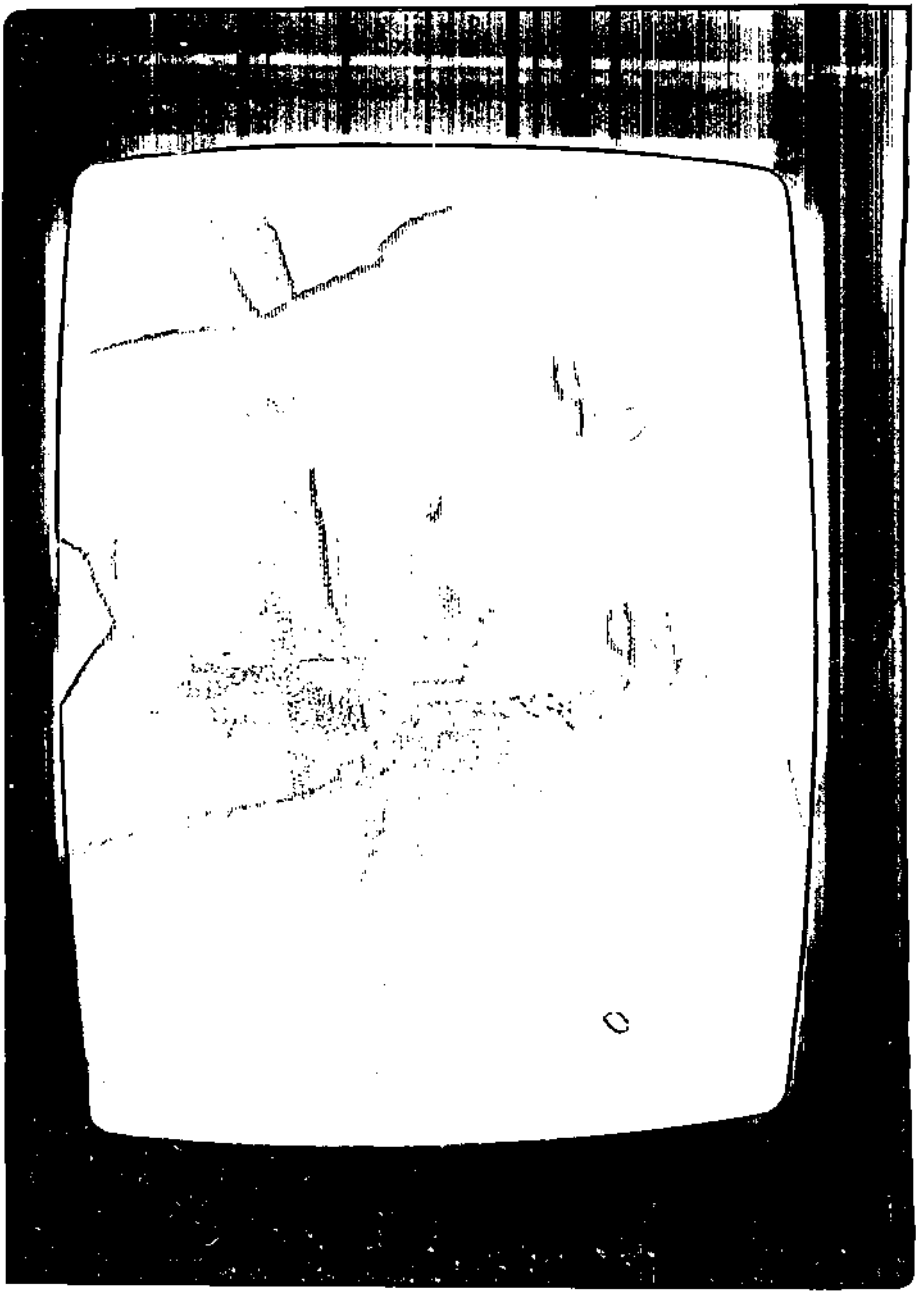
Description: ☐ Original notes re interview of
PHOTOS OF MARC RICH
FROM TELEVISION PROGRAM.
"DATELINE" AIRED 5/6/92.

1968-1774 1A33

2/28/01 SPSJC/EDS
(CDRH #01-081)

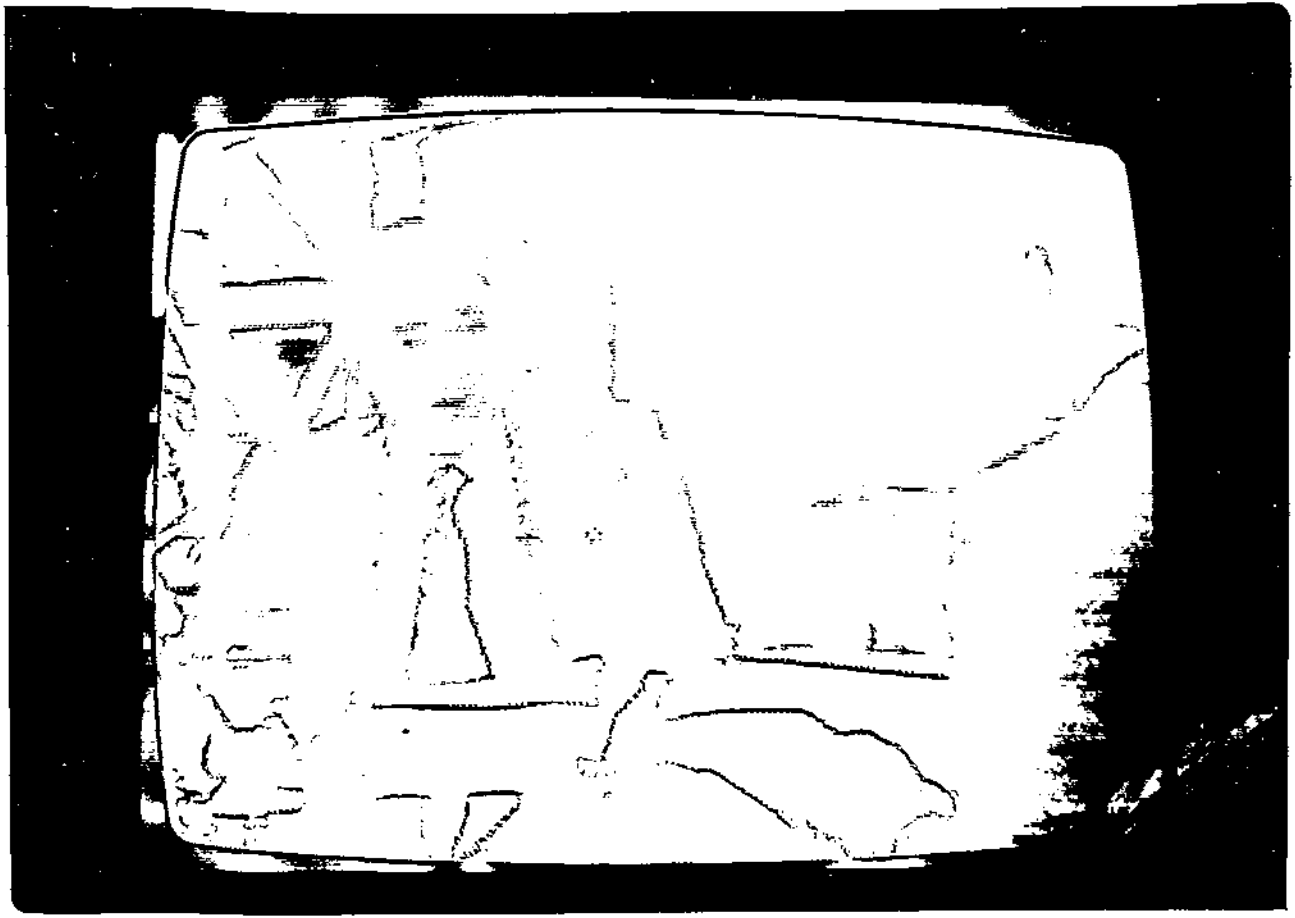
196B-1774 1A33

2/28/01 SPSJC/RDS
(CDRL #01-081)



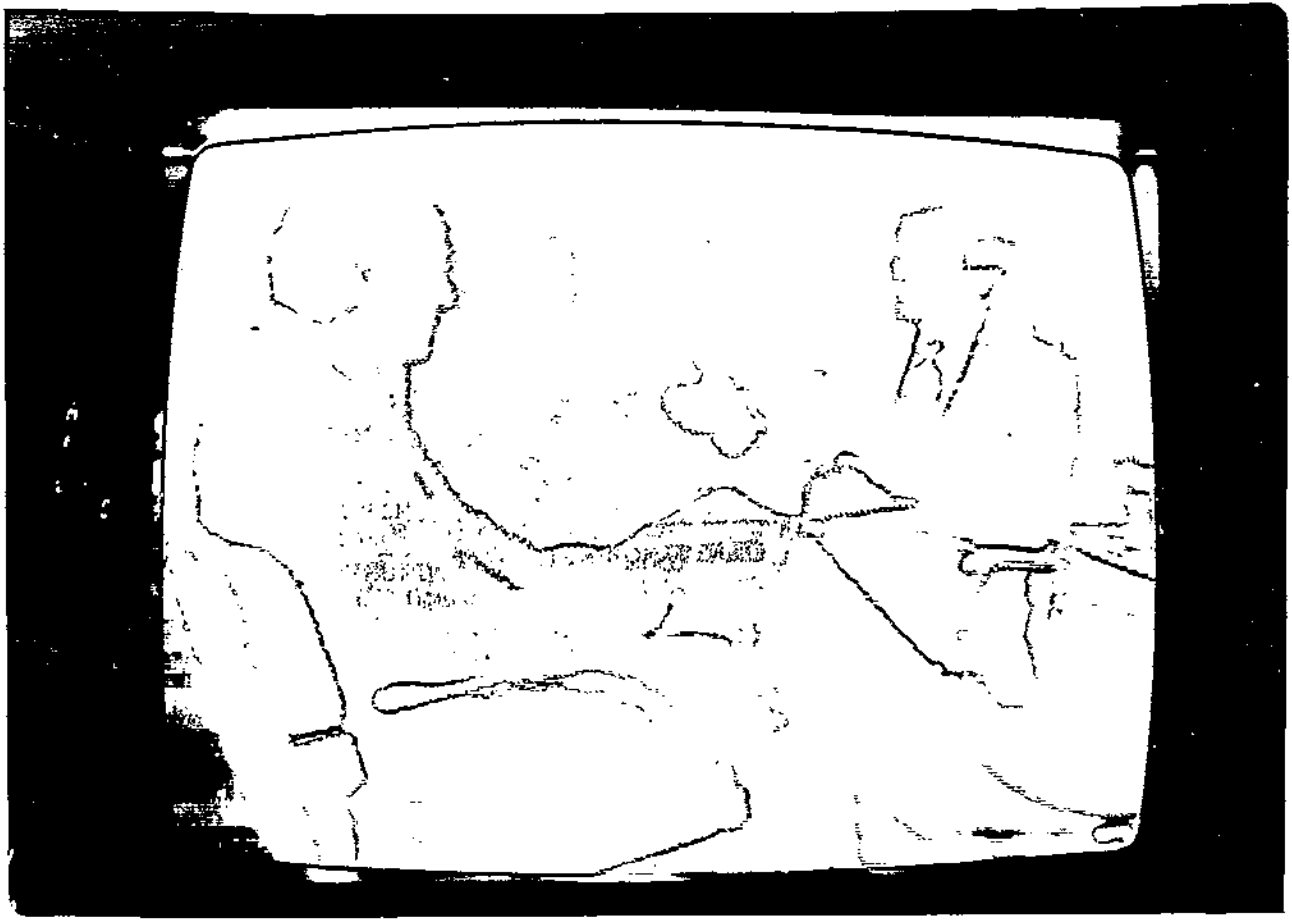
1968-1774 1A33

2/28/01 SPSTC/EDS
(CDRU #01-081)



1968-1774
1A32

2/28/01 SPSSC/EDS
(CDRH # 01-081)



196B 1774 1A33

2/28/01 SPSTC/EDS
(CDRH #01-081)

1968-1974 H433

2/28/01 SPSJC/RDS
(CDRL #01-081)

FD-340 (Rev. 4-2-85)

Field File No. 196A-NY-1774-1A34

Serial # of Originating Document

OO and File No.

Date Received 8/29/92

From [redacted]

(Address of Contributor)

[redacted] State)

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

b6
b7C

Title: Marc Rich - Fugitive

Reference:
(Communication Enclosing Material)

Description: ☒ Original notes re interview of

Photocopies of telex messages received

by [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SSTC/RDS
(CDRU #01-081)

AMYLUM N.V.
BURCHTSTRAAT 10
B 9300 AALST - BELGIUM

TELEPHONE NUMBER : 32 - (0) 53 - 73.32.95
TELEFAX NUMBER : 32 - (0) 53 - 79.01.67

AUG 27 1992

TELEFAX MESSAGE

To (company) : ADM, Decatur, IL 62525

Attention of : Mr. [redacted]

TELEFAX NUMBER : 00 - 1 - 217/424.5581

NUMBER OF PAGES
(including this page) : 2

b6
b7C

DATE OF TRANSMISSION : August 26, 1992

FROM :
OUR REF. : [redacted] YOUR REF.:

Herewith an information that I heard on the market place - without guarantees.

Cordially,

[redacted]

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5JC/eds
(CDRU #01-081)

Page: 1

b6
b7C

Printed By:
From:
To
CC
BCC:
Priority: Normal

Date sent: 26-08-1992

Amylum

Subject: Richco - Info

Time: 14:02

Date: 26-08-92

Mark Rich operations do not look as secure as before.
The last months he lost most of his senior collaborators and the market is full of rumors of high risk operations in the East combined with lavish bribery budgets .
Cargill and other compagnies put Rich on the list of "endangered species" and cut credit lines to a bare trickle.

FD-340 (Rev.8-18-89)

Universal File Case Number 196A-NY-1774-1A35

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 5/5/92

From _____
(Name of Contributor)

_____
(Address of Contributor)

By [redacted]

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: MARC RICH - Fug (B); PINCUS GREEN - Fug. (B); FBW

b6
b7C

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/RPS
(CDRU #01-081)

[redacted]

Zug

Tues.
5/5/92

[redacted]

b6
b7C

house in [redacted] building it

with
[redacted]

[redacted] is neighbor

[redacted]

[redacted]

Used to be [redacted]

She doesn't
know [redacted]

b6
b7C

Green has house in [redacted]

[redacted]

Doesn't drive Ryder trucks
outside of Switzerland with
exception of Spain

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5JC/RDS
(CDEU #01-081)

See Sect #6/9.

FD-340 (Rev. 4-2-85)

Field File No. 196A-NY-177724-1836

Serial # of Originating Document _____

OO and File No. _____

Date Received 8/11/92

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
ate)

By _____
l Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title: Marc Rich - Fugitive

b6
b7C

Reference: _____
(Communication Enclosing Material)

Description: ☒ Original notes re interview of _____, Photocopy of business card

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/pds
(CDEU #01-081)

8/11

[redacted]

b6
b7C

said he had seen Rich about 3 yrs - Rich asked for [redacted] a Barley w/ Russians
big dealer, ~~gas~~ corn, ~~trader~~ - ~~wrote~~ broken many deals that ADM make because he doesn't abide by laws
~~was~~ trades in Germany & Russia
also in South America
saw R at his office in Zug
~~trades~~ aluminum

- has an office in ~~Rotterdam~~ that ADM dealt w/ him at
ADM, at recommendation of Germany government, ADM
go should divide the 4,000,000 tons of grain & paid Rich 10%
Rich was

everyone that deals w/ him knows he's wanted

only has met w/ him in Zug & doesn't know of any
other location where he could be reached

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/EDS
(CDEU #01-081)

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b7C

[redacted]

RICHCO ROTTERDAM B.V.

COOLSE POORT
COOLSINGEL 139
P.O. BOX 1120
3000 BC ROTTERDAM

TELEX 26600 RICH NL
FAX 010 - 41 29 623
PHONE 010 - 40 44 400
U.K. OFFICE 0844 21 4646

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/KDS
(CDRU #01-081)

FD-340b (Rev. 8-18-89)

196A-NY-1774

Universal File Case Number ~~1037~~

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received _____

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B _____

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☐ No

Title: MARC Richt - FUG (B)
PINCUS GREEN

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

PHOTO OF _____

_____

_____ PINCUS GREEN)

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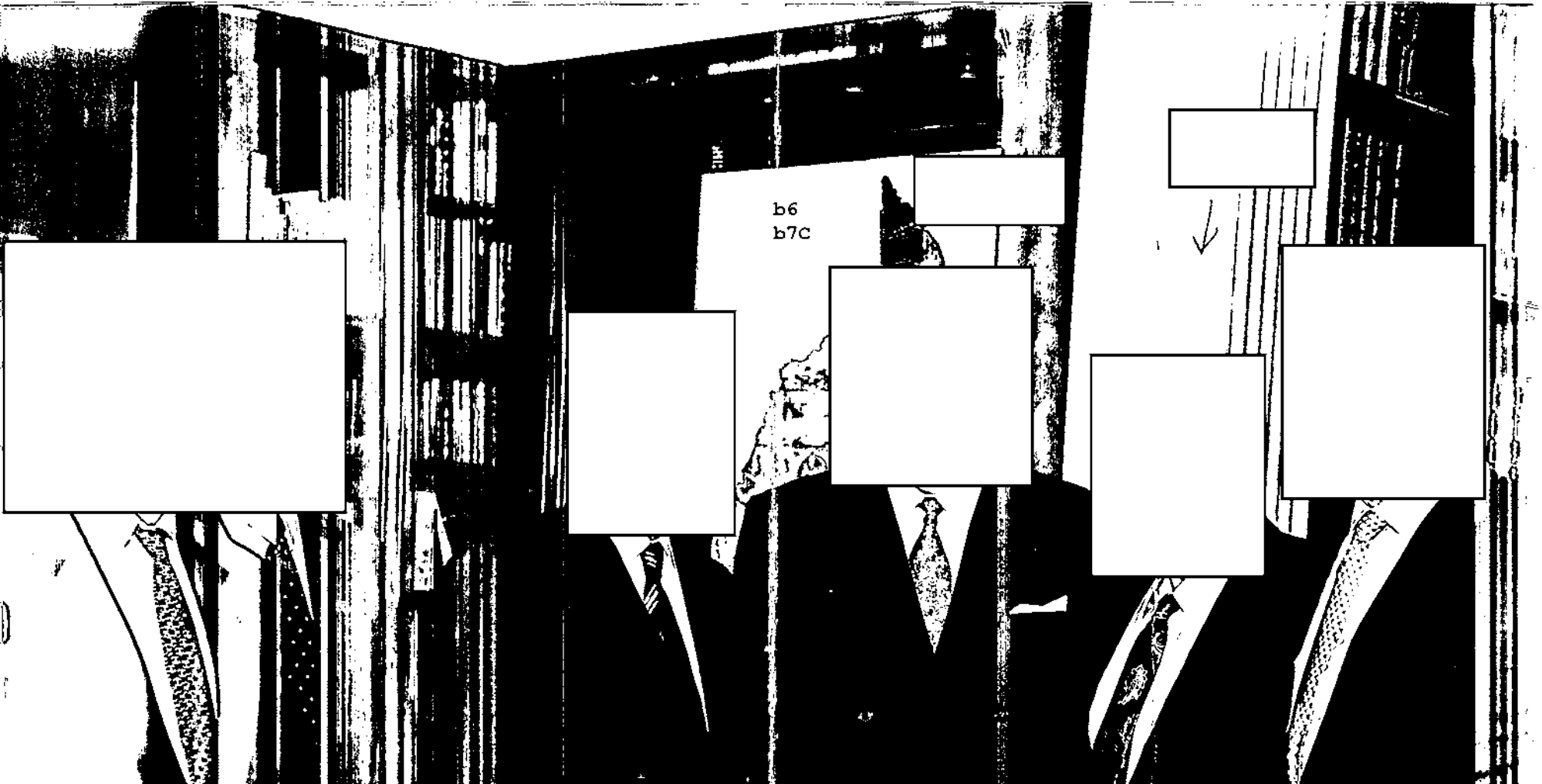
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5OC/EDS
(CDRU #01-081)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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP3JC/eds
(CDEU #01-081)

1964-1774-1437

1A38

FD-340 (Rev. 7-29-92)

Universal Case File Number 196D-NY-1774

Field Office Acquiring Evidence NY40

Serial # of Originating Document _____

Date Received 6/28/96

From [redacted] LNU
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[redacted]

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

b6
b7C

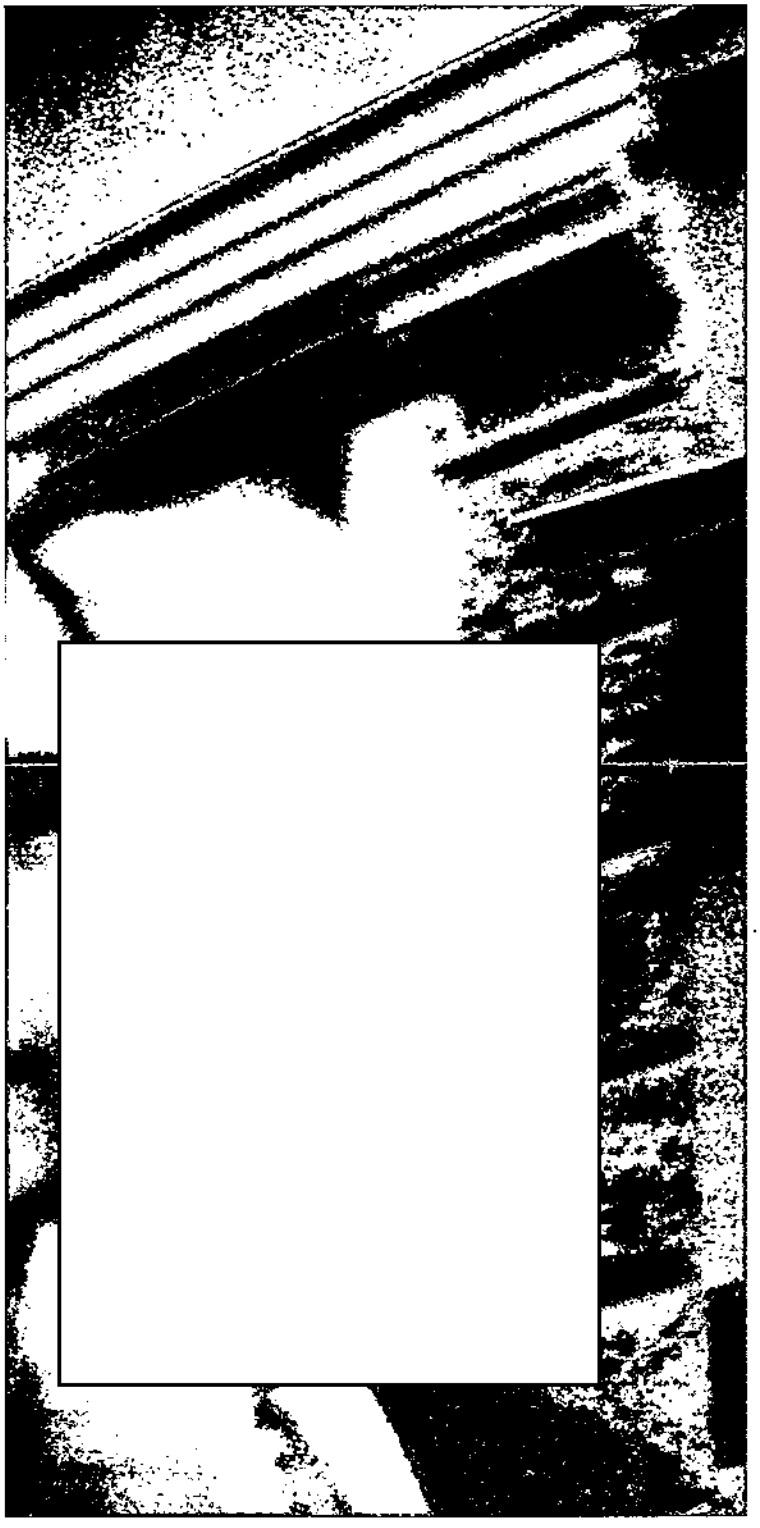
Title: MARC RICH - FUG (B); PINCUS GREEN - FUG. (B); FBW, MF, RICO

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

TWO PHOTOS (ONE [redacted] LNU claims TO be MARC RICH)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/ERS
(CDRU #01-081)



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5TC/ADS
(CDRU #01-081)

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/28/01 BY SP5ITC/DDS
(CDRU #01-081)

1A39

FD-340 (Rev. 7-29-92)

Universal Case File Number 196D-NY-1774

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received _____

From _____
(Name of Contributor)

_____
(Address of Contributor)

By [redacted]

b6
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To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

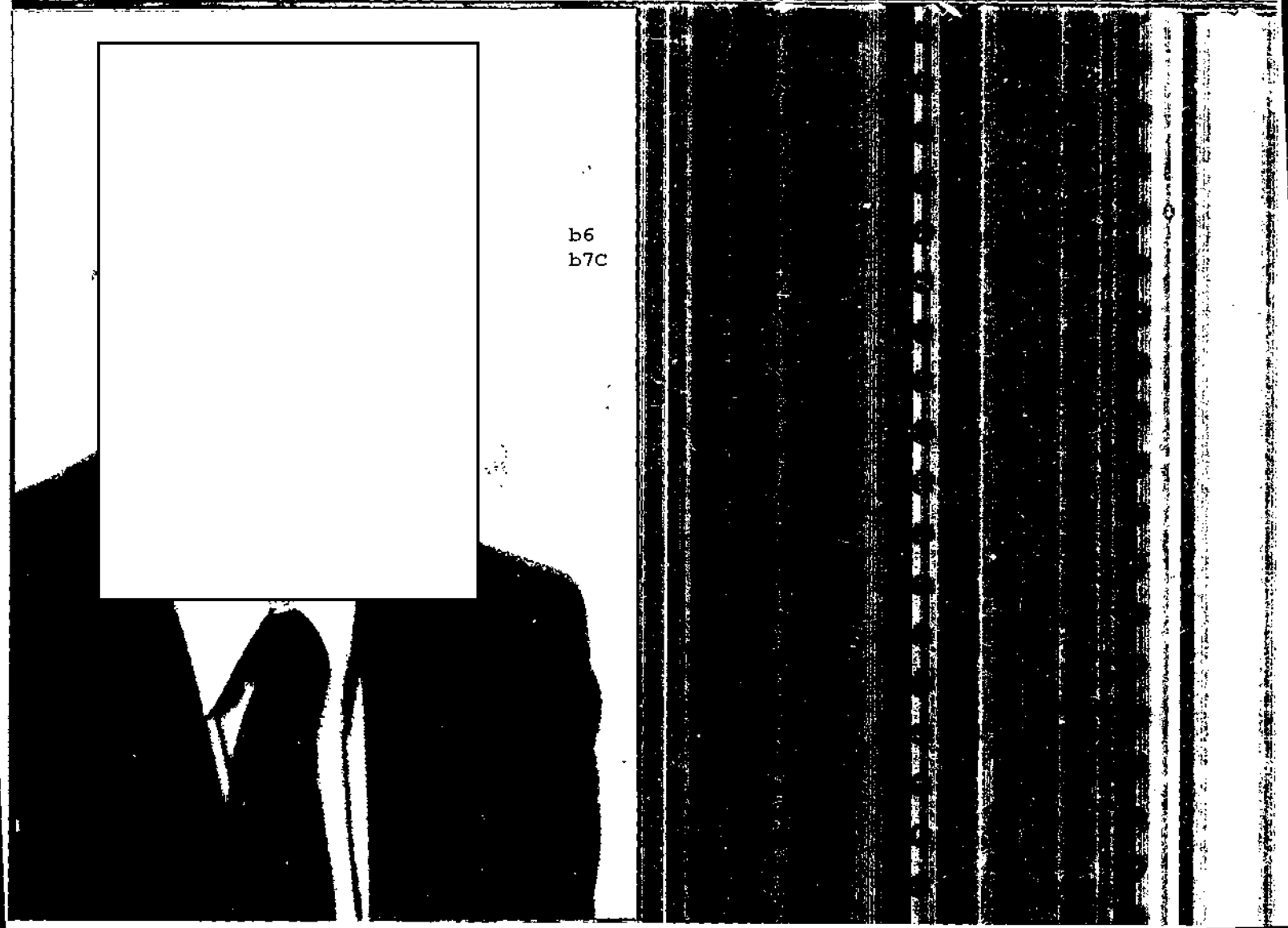
Title: MARC RICH - Fug (B);
PINCUS GREEN - Fug (B);
FBW, MF, RICO, Income TAX

Reference: _____
(Communication Enclosing Material)
EVASION, TRADING WITH THE ENEMY

Description: ☐ Original notes re interview of
PHOTOS OF PINCUS GREEN
(Taken about 1981)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP3TC/ERS

(CDRU #01-081)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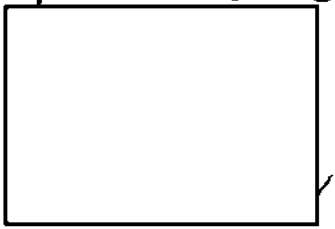
PINCUS GREEN



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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP3C/RDS
(CDRU #01-081)

196D-NY-1774

1981 photo

FD-340 (Rev. 7-29-92)

1A 40

Universal Case File Number 196B-NY-1774

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received _____

From _____
(Name of Contributor)

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(Address of Contributor)

By [redacted]

b6
b7C

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: MARC Rich - Fug (B), Pincus Green - Fug. (B); fBW, mf ; RICO, Income TAX EVASION, TRADING WITH The ENEMY

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Arrest warrant for Pincus Green issued 9/19/83.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5TC/EDS
(CDEU #01-081)

b6
b7C

CR 12 (Rev. 6/82)

WARRANT FOR ARREST

United States District Court	DISTRICT: SOUTHERN DISTRICT OF NEW YORK
UNITED STATES OF AMERICA V. PINCUS GREEN	DOCKET NO.: 83 Cr. ; MAGISTRATE CASE NO.:
WARRANT ISSUED ON THE BASIS OF: ☒ Indictment ☐ Information ☐ Order of Court ☐ Complaint	NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED:
TO: ANY United States Marshal or any other authorized officer	DISTRICT OF ARREST CITY

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.

DESCRIPTION OF CHARGES

Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud

Income Tax Evasion; Trading with the Enemy.

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b7C

Section: 7201, 535.206 (a) (4); 535.208, 535.701.

IN VIOLATION OF	UNITED STATES CODE TITLE: 18, 26, 31	SECTION: 1962 (c)(d),1341,1343 & 2

BAIL	OTHER CONDITIONS OF RELEASE	
ORDERED BY: , U.S.D.J.	SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)	DATE ORDERED: 09/19/83
CLERK OF COURT	(BY) DE	DATE ISSUED: 09/19/83

This warrant was received and executed with the arrest of the above-named person.

DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER
DATE EXECUTED		

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.

ALL FBI INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5JC/RDS
(CDRU #01-081)

FD-340 (Rev. 7-29-92)

1A41

Universal Case File Number 196B-NY-1774

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received _____

From _____
(Name of Contributor)

_____
(Address of Contributor)

By _____

b6
b7C

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: MARC RICH - FUG. (CB);
PINCUS GREEN - FUG. (CB);
FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Arrest Warrant for Marc Rich issued 9/19/83.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5JC/EDS
(CDRU #01-081)

CR-12 (Rev. 6/82)

WARRANT FOR ARREST

United States District Court	DISTRICT: SOUTHERN DISTRICT OF NEW YORK
UNITED STATES OF AMERICA v. MARC RICH	DOCKET NO.: 83 Cr. / MAGISTRATE CASE NO.:
WARRANT ISSUED ON THE BASIS OF: ☒ Indictment ☐ Information ☐ Order of Court ☐ Complaint	NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED:
TO: ANY United States Marshal or any other authorized officer	DISTRICT OF ARREST CITY

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the United States District Court to answer to the charge(s) listed below.

DESCRIPTION OF CHARGES

Racketeering and Racketeering Conspiracy; Mail Fraud and Wire Fraud; Income Tax Evasion; Trading with the Enemy.

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b7C

Section: 7201, 535.206 (a) (4); 535.208, 535.701.

IN VIOLATION OF	UNITED STATES CODE TITLE: 18, 26, 31	SECTION: 1962 (c)(d), 1341, 1343 & 2,
BAIL	OTHER CONDITIONS OF RELEASE	
ORDERED BY: U.S.D.J.	SIGNATURE (FEDERAL JUDGE/U.S. MAGISTRATE)	DATE ORDERED: 09/19/83
CLERK OF COURT	(BY) D	DATE ISSUED: 09/19/83

This warrant was received and executed with the arrest of the above-named person.

DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER
DATE EXECUTED		

Note: The arresting officer is directed to serve the attached copy of the charge on the defendant at the time this warrant is executed.

ALL FBI INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/1/01 BY SP5JC/RDS
(CDRU #01-081)

FD-340 (Rev. 8-7-97)

Universal Case File Number 196A-NY-1774-1A42

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 11/00

From _____
(Name of Contributor)

_____
(Address of Contributor)

By _____ b6 b7C

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

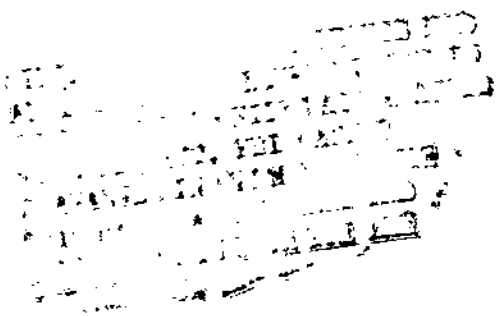
Title: MARC RICH - FUG. (SB); PINCUS GREEN - FUG. (SB); FBW

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

COPIES OF FINGERPRINT CARDS OF PINCUS GREEN

NJ. 196A. 1774. H42



NJ. 196 A. 1774 ~ 1A 42

FD-340 (Rev. 8-7-97)

Universal Case File Number 196A-NY-1774-A43

Field Office Acquiring Evidence NY6

Serial # of Originating Document

Date Received 2/18/01

From "NY Times" - 2/18/01
(Name of Contributor)

(Address of Contributor)

By [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: Marc Rich; Pincus Green; FBW, MF, Rico, Income Tax Evasion, Trading With The Enemy

Reference:
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

My Reasons For the Pardons by Former President Clinton

"...and he shall have Power to grant Reprieves and Pardons for Offenses against the United States..."

Wolfgang Rönnebeck

My Reasons for the Pardons

By William Jefferson Clinton

CHAPPAQUA, N.Y.

Because of the intense scrutiny and criticism of the pardons of Marc Rich and his partner Pincus Green and because legitimate concerns have been raised, I want to explain what I did and why.

First, I want to make some general comments about pardons and commutations of sentences. Article II of the Constitution gives the president broad and unreviewable power to grant "Reprieves and Pardons" for all offenses against the United States. The Supreme Court has ruled that the pardon power is granted "[t]o the [president] . . . and it is granted without limit" (United States v. Klein). Justice Oliver Wendell Holmes declared that "[a] pardon . . . is . . . the determination of the ultimate authority that the public welfare will be better served by [the pardon] . . ." (Biddle v. Perovich). A president may conclude a pardon or commutation is warranted for several reasons: the desire to restore full citizenship rights, including voting, to people who have served their sentences and lived within the law since; a belief that a sentence was excessive or unjust; personal circumstances that warrant compassion; or other unique circumstances.

The exercise of executive clemency is inherently controversial. The reason the framers of our Constitution vested this broad power in the Executive Branch was to assure that the president would have the freedom to do what he deemed to be the right thing, regardless of how unpopular a decision might be. Some of the uses of the power have been extremely controversial, such as President Washington's pardons of leaders of the Whiskey Rebellion, President Harding's commutation of the sentence of Eugene Debs, President Nixon's commutation of the sentence of James Hoffa, President Ford's pardon of forsons: (1) I understood that the other oil companies that had structured transactions like those on which Mr. Rich and Mr. Green were indicted were instead sued civilly by the government; (2) I was informed that, in 1985, in a related case against a trading partner of Mr. Rich and Mr. Green, the Energy Department, which was responsible for enforcing the governing law, found that the manner in which the Rich/Green companies had accounted for these transactions was proper; (3) two highly regarded tax experts, Bernard Wolfman of Harvard Law School and Martin Ginsburg of Georgetown University Law Center, reviewed the transactions in question and concluded that the companies were correct in their U.S. income tax treatment of all the items in question; and [that] there was no unreported federal income or additional tax liability attributable to any of the [challenged] transactions"; (4) in order to settle the government's case against them, the two men's companies had paid approximately $200 million in fines, penalties and taxes, most of which might not even have been warranted under the Wolfman/Ginsburg analysis that the companies had followed the law and correctly reported their income; (5) the Justice Department in 1989 rejected the use of racketeering statutes in tax cases like this one, a position that The Wall Street Journal editorial page, among others, agreed with at the time; (6) it was my understanding that Deputy Attorney General Eric Holder's position on the pardon application was "neutral, leaning for"; (7) the applications were reviewed and discussed at length by the pardon attorney, who recommended favorably; and (8) the pardons were supported by many people whose judgment I trusted, including members of Congress, religious leaders, former prosecutors and others who had closely followed the case for years.

> 'There was absolutely no quid pro quo.'

I was aware that prosecutors in the Southern District of New York had worked hard and conscientiously on the case and that Mr. Rich had refused to settle for many years. I was aware that Mr. Rich had been indicted on charges of tax evasion, wire fraud and oil trading with Iran during the Iran hostage crisis, when such trading was prohibited. I was aware that Mr. Rich had left the country and had been a fugitive from justice for 17 years. I was aware that Mr. Rich's ex-wife, Denise, had been a generous contributor to Democratic candidates and causes, including me, my library and my legal defense trust. I was aware that Mr. Rich had been a generous philanthropist, including substantial gifts to Israeli causes.

I was aware that the pardons would be controversial. But I believed that Mr. Rich and Mr. Green had suffered substantial punishment, including large financial penalties and long separation from their families, and that they had paid enough for their conduct. I believed that the pardons would be consistent with the standards that I had applied in granting 396 commutations and pardons during my presidency. I believed that the pardons would be supported by many people whose judgment I trusted. I believed that the pardons would be consistent with the Constitution and the rule of law. I believed that the pardons would be in the interest of justice and mercy.

I was aware that the pardons would be criticized. I knew that Mr. Rich's former wife, Denise, had been a generous contributor to Democratic candidates and causes, including me, my library and my legal defense trust. I knew that Mr. Rich had been a generous philanthropist, including substantial gifts to Israeli causes. I knew that Mr. Rich had been indicted on charges of tax evasion, wire fraud and oil trading with Iran during the Iran hostage crisis, when such trading was prohibited. I knew that Mr. Rich had left the country and had been a fugitive from justice for 17 years. I knew that prosecutors in the Southern District of New York had worked hard and conscientiously on the case and that Mr. Rich had refused to settle for many years.

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ington's pardons of leaders of the Whiskey Rebellion, President Harding's commutation of the sentence of Eugene Debs, President Nixon's commutation of the sentence of James Hoffa, President Ford's pardon of former President Nixon, President Carter's pardon of Vietnam War draft resisters, and President Bush's 1992 pardon of six Iran-contra defendants, including former Defense Secretary Weinberger, which assured the end of that investigation.

On Jan. 20, 2001, I granted 140 pardons and issued 36 commutations. During my presidency, I issued a total of approximately 450 pardons and commutations, compared to 486 issued by President Reagan during his two terms. During his four years, President Carter issued 566 pardons and commutations, while in the same length of time President Bush granted 77. President Ford issued 409 during the slightly more than two years he was president.

The vast majority of my Jan. 20 pardons and reprieves went to people who are not well known. Some had been sentenced pursuant to mandatory-sentencing drug laws, and I felt that they had served long enough, given the particular circumstances of the individual cases. Many of these were first-time nonviolent offenders with no previous criminal records; in some cases, codefendants had received significantly shorter sentences. At the attorney general's request, I commuted one death sentence because the defendant's principal accuser later changed his testimony, casting doubt on the defendant's guilt. In some cases, I granted pardons because I felt the individuals had been unfairly treated and punished pursuant to the Independent Counsel statute then in existence. The remainder of the pardons and commutations were granted for a wide variety of fact-based reasons, but the common denominator was that the cases, like that of Patricia Hearst, seemed to me deserving of executive clemency. Overwhelmingly, the pardons went to people who had been convicted and served their time, so the impact of the pardon was principally to restore the person's civil rights. Many of these, including some of the more controversial, had vigorous bipartisan support.

The pardons that have attracted the most criticism have been the pardons of Marc Rich and Pincus Green, who were indicted in 1983 on charges of racketeering and mail and wire fraud, arising out of their oil business.

Ordinarily, I would have denied pardons in this case simply because these men did not return to the United States to face the charges against them. However, I decided to grant the pardons in this unusual case for the following legal and foreign policy reasons: (1) the crimes charged were business crimes for which pardons are frequently granted; (2) the men have been out of the country for 17 years, and the passage of time lessens the need for punishment; (3) the pardons would not have been granted for tax violations, which are usually not pardoned; (4) the men's sentences would probably have been substantially reduced or altogether eliminated if they had been convicted after trial rather than after pleading guilty; (5) the pardons were supported by many distinguished people who I believe reached a different conclusion than I would have reached but whose motives I do not question and whose views I respect; I agreed with at the time; (6) it was my understanding that Deputy Attorney General Eric Holder's position on the pardon application was "neutral, leaning for"; (7) the applications were reviewed and advocated not only by my former White House counsel Jack Quinn but also by three distinguished Republican attorneys: Leonard Garment, a former Nixon White House official; William Bradford Reynolds, a former high-ranking official in the Reagan Justice Department; and Lewis Libby, now Vice President Cheney's chief of staff; (8) finally, and importantly, many present and former high-ranking Israeli officials of both major political parties and leaders of Jewish communities in America and Europe urged the pardon of Mr. Rich because of his contributions and services to Israeli charitable causes, to the Mossad's efforts to rescue and evacuate Jews from hostile countries, and to the peace process through sponsorship of education and health programs in Gaza and the West Bank.

While I was troubled by the criminalization of the charges against Mr. Rich and Mr. Green, I also wanted to assure the government's ability to pursue any Energy Department, civil tax or other charges that might be available and warranted. I knew the men's companies had settled their disputes with the government, but I did not know what personal liability the individuals might still have for Energy Department or other violations.

Therefore, I required them to waive any and all defenses, including their statute of limitations defenses, to any civil charge the government might bring against them. Before I granted the pardons, I received from their lawyer a letter confirming that they "waive any and all defenses which could be raised to the lawful imposition of civil fines or penalties in connection with the actions and transactions alleged in the indictment against them pending in the Southern District of New York."

I believe my pardon decision was in the best interests of justice. If the two men were wrongly indicted in the first place, justice has been done. On the other hand, if they do personally owe money for Energy Department penalties, unpaid taxes or civil fines, they can now be sued civilly, as others in their position apparently were, a result that might not have been possible without the waiver, because civil statutes of limitations may have run while they were out of the United States.

While I was aware of and took into account the fact that the United States attorney for the Southern District of New York did not support these pardons, in retrospect, the process would have been better served had I sought her views directly. Further, I regret that Mr. Holder did not have more

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William Jefferson Clinton was the 42nd president of the United States.

FD-340 (Rev. 8-7-97)

Universal Case File Number 196A-NY-1774-1A44

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received _____

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(Address of Contributor)

By [redacted]

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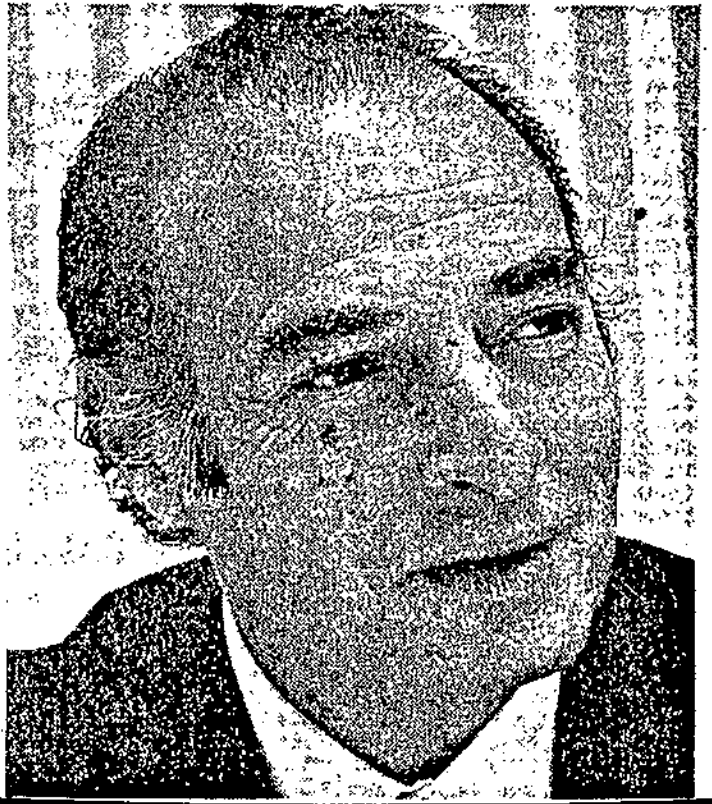
To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: MARC RICH - FUG. (CB);
PINCUS GREEN - FUG. (CB);
FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

MISCELLANEOUS PHOTOS OF MARC RICH



Marc Rich
12/18/34

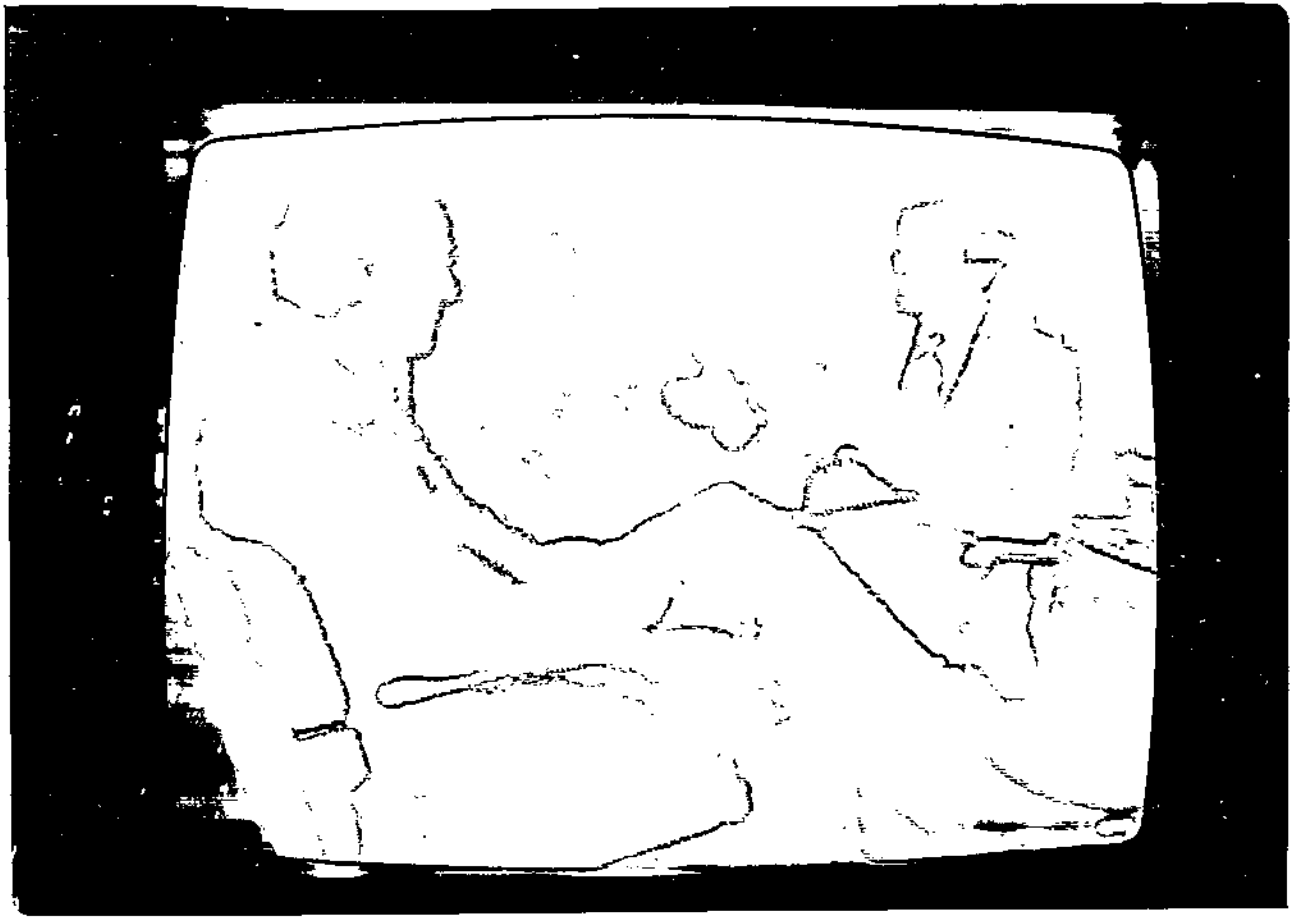
Marc Rich
12/18/34

Marc Rich
12/18/34

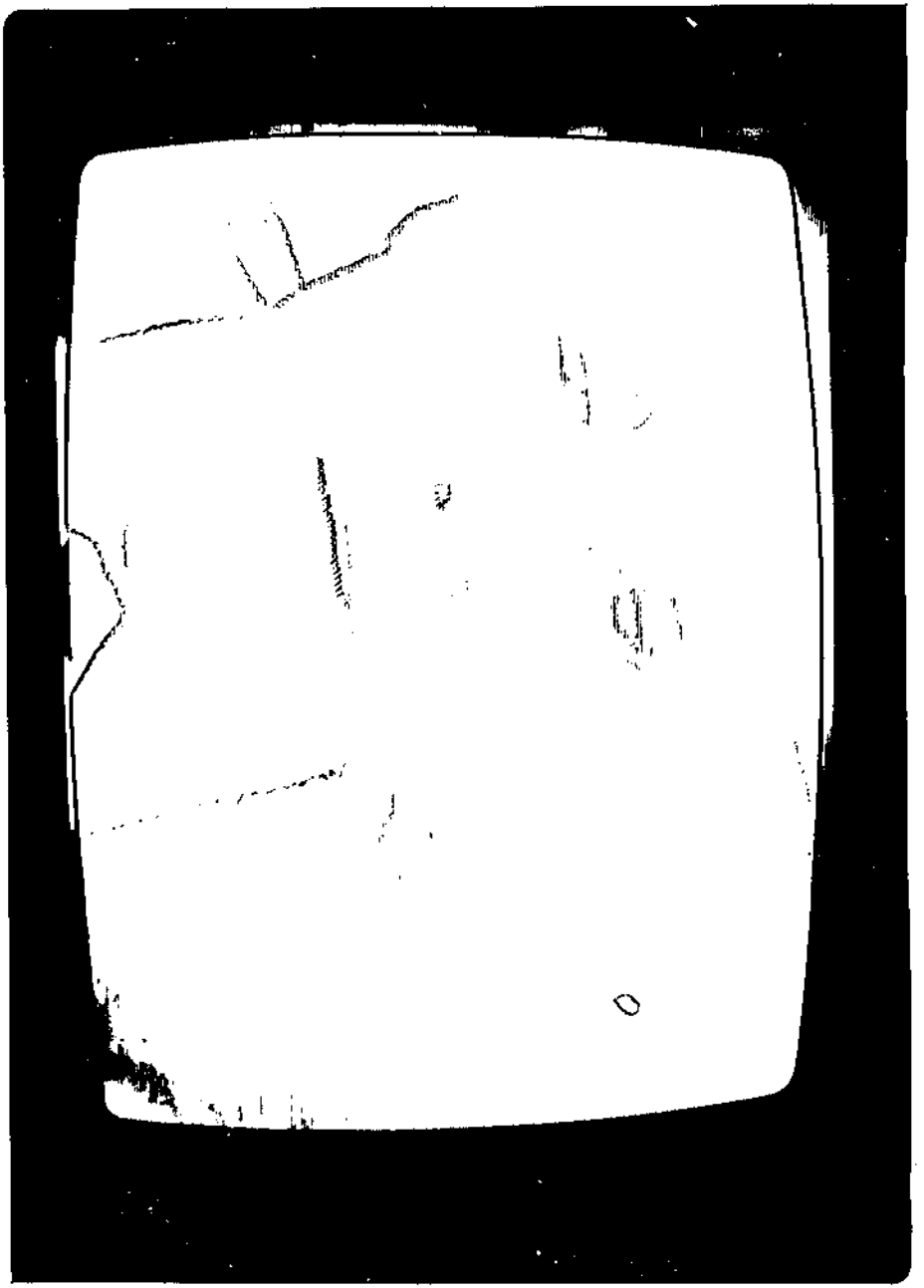
Marc Rich

12/18/34

Marc Rich
12/18/34



Marc Rich
12/18/34



Marc Rich
12/18/34

Marc Rich
12/18/34

Marc Rich
12/18/34

FD-340 (Rev. 8-7-97)

Universal Case File Number 196A-NY-1774 - 1145

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received _____

From _____
(Name of Contributor)

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(Address of Contributor)

By [redacted]

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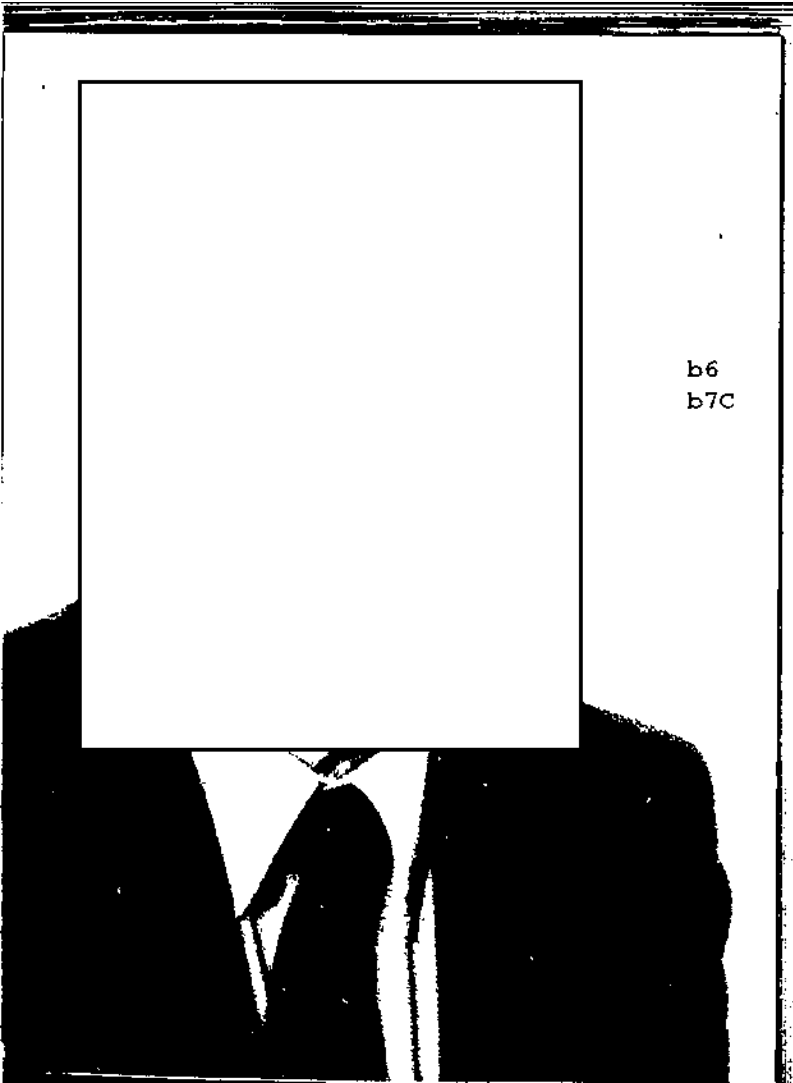
To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title: MATC RICH - FUG. (B); PINCUS GREEN - FUG. (B); FBW

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of
MISCELLANEOUS PHOTOS OF PINCUS GREEN



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PINCUS GREEN

[redacted]

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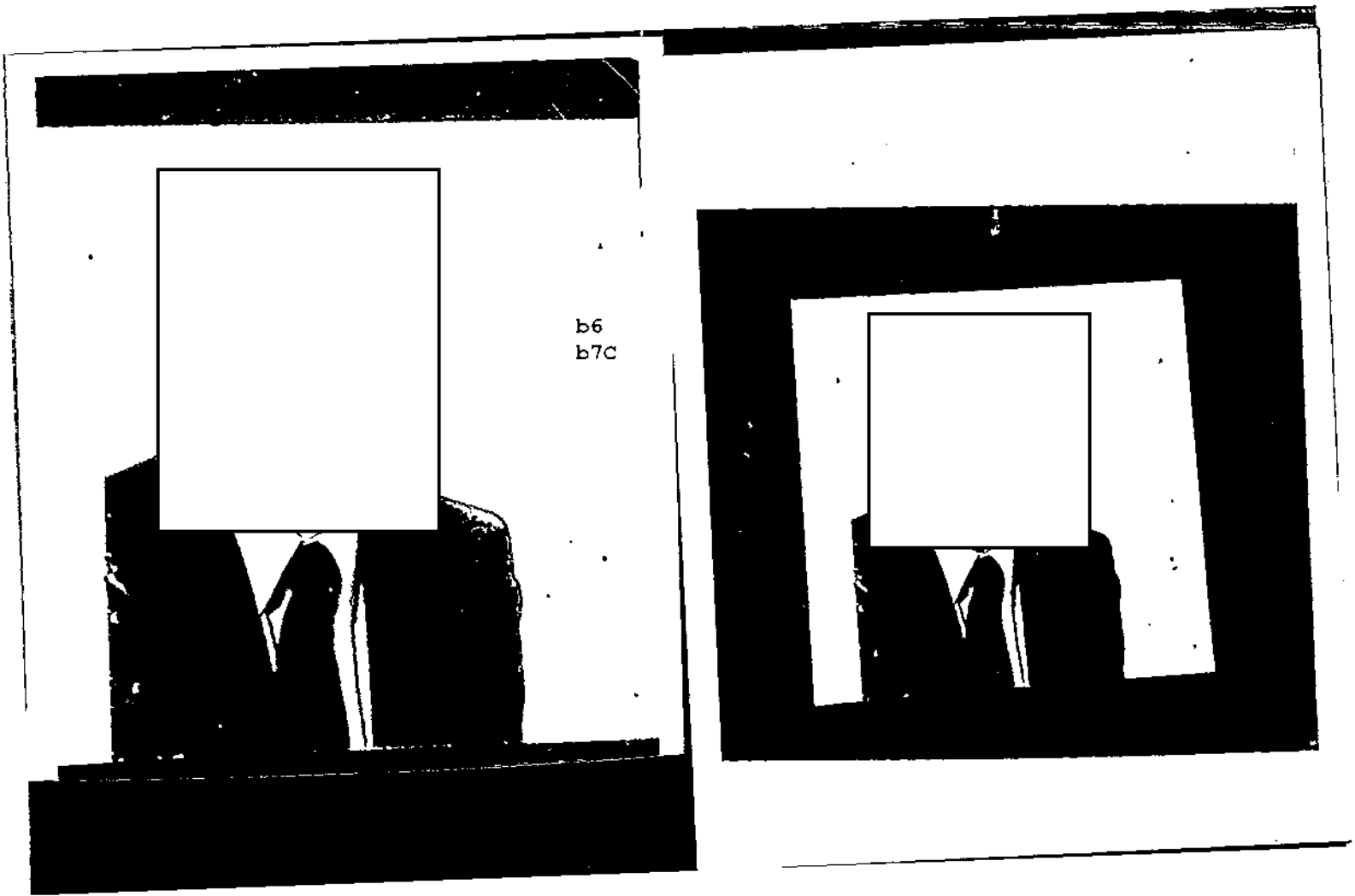
171307 0711 3 8

Taken 1983



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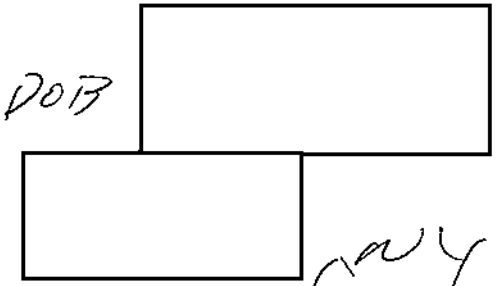
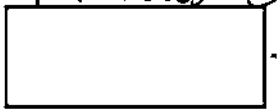
Pincus Green



Taken 1983

Pincus Green



b6
b7C

Taken 1983