

FEDERAL BUREAU OF INVESTIGATION

| | | | |
|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| REPORTING OFFICE LAS VEGAS | OFFICE OF ORIGIN LAS VEGAS | DATE 8/3/71 | INVESTIGATIVE PERIOD 2/8/71 - 7/30/71 |
| TITLE OF CASE IRVING RESNICK, aka Ash-Resnick | | REPORT MADE BY SA | TYPED BY lrd |
| | | CHARACTER OF CASE ITSP | |

EC 9-

REFERENCE

Las Vegas airtel to Los Angeles, dated 7/30/71.

-P-

LEADSLOS ANGELES DIVISIONAT BEVERLY HILLS, CALIFORNIA.

1. Will expeditiously locate
 Beverly Hills, for the purpose of developing
 circumstances surrounding the loss of securities in this case.

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*87 Recovery LV
Bonds.*

| ACCOMPLISHMENTS CLAIMED | | | | | | <input checked="" type="checkbox"/> NONE | ACQUIT- TALS | CASE HAS BEEN: |
|-------------------------|-------|------|-------|---------|------------|------------------------------------------|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CONVIC | AUTO. | FUG. | FINES | SAVINGS | RECOVERIES | | | |
| | | | | | \$50,000 | | | PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |

APPROVED *W* SPECIAL AGENT
IN CHARGE

COPIES MADE:

- 1 - Bureau
- 1 - Los Angeles Strike Force
(Attn:)
- 1 - USA, Las Vegas
- 1 - Houston (87-12717) (Info)
- 2 - Los Angeles (87-34087) Ebl ct
- 2 - Las Vegas (87-8351) E.B.

DO NOT WRITE IN SPACES BELOW

| | | |
|-------------------------|--------|--------|
| 87 | 117334 | MCT-61 |
| b6 b7c AUG 9 1971 | | REC 25 |

| Dissemination Record of Attached Report | | | | | Notations |
|-----------------------------------------|---------------|-----------|----------|--|-----------|
| Agency | Request Recd. | Date Fwd. | How Fwd. | | |
| | | | | | |
| | | | | | |
| | | | | | |

34 AUG 26 1971

LV 87-8351

2. Will also furnish Las Vegas with any background concerning [redacted] not previously made available to Las Vegas.

3. Will secure questioned securities in this case.

LAS VEGAS DIVISION

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AT LAS VEGAS, NEVADA.

1. Will interview Attorney [redacted]

2. Will follow and report investigation in this matter and report investigation and prosecutive action in this matter.

ADMINISTRATIVE

The lengthy investigative period in this report is due to the fact that the Las Vegas Office first learned of this possible violation on 2/8/71, but it was not known at that time whether there was, in fact, a theft involved. In fact, the legal owner of the securities in question has not yet been located, but the fact that they are stolen now appears to be beyond question and the Departmental Attorney has indicated he will consider prosecution in this case. In view of this, and in view of the fact a potential subject resides in the Las Vegas Division, Las Vegas has assumed origin in this case.

INFORMANTS

Sources of the Las Vegas Office advised on confidential basis on 2/8/71, that the certificates in this matter were presented by ASH RESNICK to Mitchum, Jones & Templeton (MJT) (stockbrokerage firm) for sale. MJT subsequent learned that these certificates were allegedly

LV 87-8351

stolen and that they were formally notifying the First Trust Company, Houston, Texas (issuer) of the fact that these securities had come into their possession.

The source advised that RESNICK informed him that an individual had presented these certificates to RESNICK to hold as collateral on a personal loan. The personal loan sought was supposedly for the purpose of raising bail money. It was not made clear to the source whether the bail money was for a friend of person borrowing money from RESNICK or for that person's own alleged bond.

COVER PAGE C

LV 87-8351

SENSATIVE SOURCE INFORMATION

The source of the Las Vegas Office who has requested anonymity concerning personal conversation he had with RESNICK is [redacted] Mitchum, Jones & Templeton.

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[redacted] realizes that should this matter come to trial, he will be a necessary witness, but has no objection to this and will testify as to the actual presentation to [redacted] by RESNICK of these certificates and of the records concerning this transaction of Mitchum, Jones & Templeton.

COVER PAGE D*

**UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION**

Copy to:

1 - Los Angeles Strike Force, Attn: [REDACTED]
1 - United States Attorney, Las Vegas, Nevada

Report of:

SA [REDACTED]

Office:

LAS VEGAS

Date:

8/3/71

Field Office File #:

87-8351

Bureau File #:**Title:**

IRVING RESNICK

Character:

**INTERSTATE TRANSPORTATION OF
STOLEN PROPERTY**

Synopsis:

On 1/29/71, subject presented two collateral trust notes (total face value \$50,000) to Mitchum, Jones & Templeton, Las Vegas, Nevada, for sale. Certificates subsequently determined to have been reported "lost, strayed or stolen". Subject alleges in interview certificates were offered to him by [REDACTED] about September, 1968, as collateral for a loan. Subject claims did not make loan on advice of attorney, but that Caesars Palace Hotel loaned [REDACTED] \$1,500, which was repaid within the month; however, RESNICK retained the certificates in his possession. RESNICK claimed no proprietary right to these certificates, claiming he thought nothing further concerning them until he decided to determine whether they were saleable. Alleged owner, [REDACTED] not yet located.

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DETAILS:

LV 87-8351

Investigation in this case was initiated for the purpose of determining whether there was a possible violation of the Interstate Transportation of Stolen Property (ITSP) statute, in connection with the attempted sale of two First Trust Company of Houston, Texas collateral trust notes at Las Vegas, Nevada, by IRVING RESNICK.

FEDERAL BUREAU OF INVESTIGATION

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Date 4/16/71

[redacted] Mitchum, Jones, and Templeton, Incorporated, 11th Floor, 312 West 5th Street, Los Angeles, California, telephone number 625-3511, advised that on January 29, 1971, [redacted] of the Las Vegas Office of the aforementioned firm accepted two original Collateral Trust Notes numbered RMT 2148 and RMI 2149, each in the amount of \$25,000. [redacted] advised that these trust notes were issued by the First Trust Company of Houston, Houston, Texas, and that they are presently in her [redacted] custody.

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[redacted] stated that these trust notes were presented by a customer of their Las Vegas Office, Mr. IRVING RESNICK of 3515 Cochise Lane, Las Vegas, Nevada.

[redacted] stated that she has been advised by the House Attorney [redacted] to maintain the original trust notes in Los Angeles.

[redacted] advised that [redacted] can be located at [redacted], Las Vegas, Nevada.

[redacted] made available copies of the above described Collateral Notes which are attached hereto and included herein:

On 4/15/71 at Los Angeles, California File # LA 87-34087

by SA [redacted] 3 Date dictated 4/16/71

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First Trust Company of Houston

INCORPORATED

COLLATERAL TRUST NOTE

\$25,000.00

No. RMI 2148

WHEREAS, FIRST TRUST COMPANY OF HOUSTON, INC., Trustee for ROCKY MOUNTAIN INVESTMENT CORPORATION, a Colorado Corporation, under Trust No. PNT 15968 has received, as Trustee, notes and collateral amounting to sums in excess of One Million (\$1,000,000.00) Dollars, which sums are due and payable on or before the maturity of this note;

NOW, THEREFORE, FIRST TRUST COMPANY OF HOUSTON, INC., promises to pay to the order of bearer the sum of Twenty-Five Thousand (\$25,000.00) Dollars with interest thereon at the rate of six (6%) per cent per annum payable as follows:

- (a) Payment of accrued interest only on June 15, 1968.
- (b) Commencing on July 15, 1968, the sum of Five Hundred Fifty-Five Dollars (\$555.00) shall be paid monthly on account of principal and interest until the entire amount of principal is paid in full. Each payment shall be credited first on interest then due and the remainder on principal; and interest shall thereupon cease upon the principal amount so credited.

The above payments shall be made out of the proceeds collected from the trust referred to above.

This note is payable in lawful money of the United States of America at the main office of FIRST TRUST COMPANY OF HOUSTON, INC., 2100 Travis, Houston, Texas.

FIRST TRUST COMPANY OF HOUSTON, INC.

By Ralph J. Johnson
Trust Officer

DATED: September ____, 1967
at Houston, Texas

First Trust Company of Houston

INCORPORATED

COLLATERAL TRUST NOTE

\$25,000.00

No. RMI 2149

WHEREAS, FIRST TRUST COMPANY OF HOUSTON, INC., Trustee for ROCKY MOUNTAIN INVESTMENT CORPORATION, a Colorado Corporation, under Trust No. PNT 15968 has received, as Trustee, notes and collateral amounting to sums in excess of One Million (\$1,000,000.00) Dollars, which sums are due and payable on or before the maturity of this note;

NOW, THEREFORE, FIRST TRUST COMPANY OF HOUSTON, INC., promises to pay to the order of bearer the sum of Twenty-Five Thousand (\$25,000.00) Dollars with interest thereon at the rate of six (6%) per cent per annum payable as follows:

- (a) Payment of accrued interest only on June 15, 1968.
- (b) Commencing on July 15, 1968, the sum of Five Hundred Fifty-Five Dollars (\$555.00) shall be paid monthly on account of principal and interest until the entire amount of principal is paid in full. Each payment shall be credited first on interest then due and the remainder on principal; and interest shall thereupon cease upon the principal amount so credited.

The above payments shall be made out of the proceeds collected from the trust referred to above.

This note is payable in lawful money of the United States of America at the main office of FIRST TRUST COMPANY OF HOUSTON, INC., 2100 Travis, Houston, Texas.

FIRST TRUST COMPANY OF HOUSTON, INC.

By Ralph J. Anderson
Trust Officer

DATED: September ___, 1967
at Houston, Texas

FIRST TRUST COMPANY OF HOUSTON

INCORPORATED

811 RUSK AVENUE
HOUSTON, TEXAS 77002

713-223-1010

March 3, 1971

AIR MAIL
SPECIAL DELIVERY

[REDACTED]
Mitchum, Jones & Templeton Incorporated
510 South Spring Street
Los Angeles, California 90013

Subject: RMI 2148, RMI 2149; \$25,000 each

Dear [REDACTED]

This is formal notification to you that the two instruments in your possession were previously reported to this Trust Company to have been "lost, strayed or stolen."

Conforming to the actions of your firm's Los Angeles attorney, we have notified the FBI, and are working with Special Agent [REDACTED] of the Houston office, in this matter.

Accordingly, please advise [REDACTED] in the Las Vegas office that the instruments which his customer, Ash Resnick, has presented for collection, are in fact reported "lost, strayed or stolen" securities.

[REDACTED] ought to advise his customer further that the local office of the FBI will be contacting him in the very near future to ascertain the facts surrounding his customer's possession thereof.

Should there be any further questions, please do not hesitate to contact the writer and we thank you for all the courtesies displayed by your firm to this Trust Company in this matter.

Very truly yours,

FIRST TRUST COMPANY OF HOUSTON, INC.

BY: [REDACTED]

[REDACTED] Esq.
Senior Trust Officer

RF:bw

cc: [REDACTED]

Mitchum, Jones & Templeton, Inc.
P. O. Box 1659, Las Vegas, Nevada

cc: [REDACTED] Special Agent, FBI
515 Rusk Avenue, Houston, Texas

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FEDERAL BUREAU OF INVESTIGATION

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Date 5/20/71b6
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On May 19, 1971, Mr. ASH RESNICK was interviewed at Caesars Palace Hotel. At the outset of the interview Mr. RESNICK was advised that the FBI was investigating the interstate transportation of First Trust Company of Houston bonds numbered RMI2148 and 2149 in the amount of \$25,000 each.

After being presented and reading a "Your Rights" form, Mr. RESNICK advised as follows:

Mr. RESNICK said that if these were the bonds that he recently presented to Mitchum, Jones, and Templeton they came to be in his possession in the following fashion:

Approximately three to four years ago one [] came to him with the bonds wanting to use them as collateral for a personal loan to him from RESNICK. He said that accompanying [] was an individual known to him as [] and another person whose name he does not now recall. He said [] made some comments to him about the fact they could not be cashed at the present time, but he does not recall the reasons given as to why this could not be done. He said that he requested [] to accompany him to his attorney which [] willingly did and they therefore talked to [] Mr. RESNICK's attorney. Following the discussion with the attorney it was decided that the bonds were not acceptable as collateral and the loan was not made. He said some time subsequent to this he recalls [] called and asked concerning the location of the bonds and he said he had one and his attorney had one and that they were available upon his request, but he never heard from him.

Mr. RESNICK then consulted a casino credit card which shows [] California, on September 4, 1968, was given a loan in the amount of \$1500 by Caesars Palace which was approved by RESNICK. These records also reflect on September 25, 1968, this debt was paid off with a \$5,000 check with the balance

On 5/19/71 at Las Vegas, Nevada File # LV 87-8351

by SA [] sf Date dictated 5/20/71

LV 165-1005

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of \$3500 being given to [REDACTED]

After reviewing these records, Mr. RESNICK said it must have been on about September 4, 1968, that [REDACTED] first approached him with the securities in question.

He said that these securities had been held by him in a safe deposit box since that time and he thought nothing further about them until he decided to determine whether or not they were saleable and had turned them over to [REDACTED] of Mitchum, Jones, and Templeton for sale.

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LV 87-8351

On April 23, 1971 and June 17, 1971, the Los Angeles Office advised that in contacts with the Los Angeles County Sheriff's Office and the Los Angeles Police Department, contacts with credit reporting agencies in Los Angeles and contacts with the Beverly Hills, California Police Department, and the various divisions of these police departments, failed to disclose that a burglary or robbery had been reported concerning the certificates in question.

On these same dates, the Los Angeles Office advised efforts to locate [redacted] alleged legal owner of the certificates in question, have been negative.

On May 24, 1971, the Houston Office advised that information received from [redacted] First Trust Company of Houston, Texas, reflected that the last address they had for [redacted] was [redacted] Beverly Hills, California.

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On July 30, 1971, this matter was discussed with Departmental Attorney [redacted], Los Angeles Strike Force, at which time he advised that he thought the facts in this case as they concerned RESNICK merited prosecutive action and would consider presenting the matter to a Federal Grand Jury as soon as the facts in this case were fully developed.

FEDERAL BUREAU OF INVESTIGATION

| | | | |
|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| REPORTING OFFICE LAS VEGAS | OFFICE OF ORIGIN LAS VEGAS | DATE 1/13/72 | INVESTIGATIVE PERIOD 8/6/71 - 1/11/72 |
| TITLE OF CASE IRVING RESNICK, aka Ash Resnick | | REPORT MADE BY SA | TYPED BY jr |
| | | CHARACTER OF CASE ITSP | |

REFERENCE: Las Vegas report of SA 8/3/71.

- P -

LEADS:

LAS VEGAS DIVISION:At Las Vegas, Nevada:

Will follow this matter with Los Angeles Strike Force and obtain IRS report concerning collateral matter for review for any information of value they may have relating to this case and/or other possible violations.

Will follow this matter with Departmental Attorney as to any prosecution.

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| ACCOMPLISHMENTS CLAIMED | | | | | <input type="checkbox"/> NONE | ACQUIT- TALS | CASE HAS BEEN: |
|-------------------------|-------|------|-------|---------|-------------------------------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CONVIC | AUTO. | FUG. | FINES | SAVINGS | RECOVERIES | | |
| | | | | | | | PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO |

| | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------------------------------------------------------|
| APPROVED | SPECIAL AGENT IN CHARGE | DO NOT WRITE IN SPACES BELOW |
| COPIES MADE: ① - Bureau (87-117334) 1 - Los Angeles Strike Force (Attn:) 1 - USA, Las Vegas 1 - Houston (87-12717) (Info) 1 - Los Angeles (87-34087) (Info) 2 - Las Vegas (87-8351) | | 87-117334-2 10 JAN 17 1972 REC-66 117 |

| Dissemination Record of Attached Report | | | | | Notations |
|-----------------------------------------|-----------------------|--|--|--|------------------------------------------------|
| Agency | | | | | |
| Request Recd. | | | | | |
| Date Fwd. | | | | | |
| How Fwd. | | | | | |
| By | 51 JAN 24 1972 | | | | Notations <i>John B. S. STAFF SECT.</i> |

LV 87-8351

ADMINISTRATIVE:

Information copies are being furnished to Houston and Los Angeles in order to furnish them information they would need in handling future investigations, primarily the information set forth on administrative pages below.

Investigative period in this case is extensive; however, this has been occasioned by the fact that Los Angeles and Houston have had difficulty in attempting to contact potential witnesses in this case whose testimony is necessary in order to clarify the entire case. Also, the possible violation is not entirely clear and [] has advised that the only reason he is entertaining the matter further is because of the involvement in this matter by RESNICK. [] has advised that since RESNICK is the principal in this matter he will take any possible violation to prosecution.

For the information of the Bureau and auxiliary offices, the following is set forth for a better understanding of background concerning the Notes involved in this matter.

On 11/18/71, IRS Agent [] appeared at the Las Vegas Office as a result of possible leads he had developed in connection with a case he has under question. At this time the following information was learned from [] IRS has an extensive and involved investigation concerning the Baptist Foundation, which organization is a complete scam and from which apparently numerous indictments will flow. [] investigation regarding the Baptist Foundation has revealed that the Rocky Mountain Investment Corporation (the corporation behind the Notes in instant case) was set up with assets comprised solely of worthless stock of the Baptist Foundation. [] advised that his investigation was nearing completion and he anticipated his first and complete report covering said investigation would be completed shortly after the middle of December, 1971. He also advised that this investigation would be submitted to the Los Angeles Strike Force and thus the results would be available to the FBI through this source.

It should be noted that due to the suspected reputation of [] First Trust Company of Houston, that [] must have known that

LV 87-8351

the Notes he caused to be issued over the name of his company for Rocky Mountain Investment Corporation were worthless. In letters prepared by [redacted] directed to Attorney [redacted] in Las Vegas and to [redacted]

[redacted] (previously reported), he is less than truthful about his knowledge of these certificates. In fact, in his advice to [redacted] (Instant report) he leaves the clear indication that the Notes were in fact stolen, which is undoubtedly not so. There appears to be no question that the Notes in instant case are completely fraudulent. While [redacted] has advised the other potential subjects in this case have little prosecutive appeal, he nevertheless is willing to consider the matter further simply because of the involvement on the part of RESNICK. [redacted] was last contacted on 1/11/72, at which time he advised he has learned the report of IRS is presently in preparation and should be received by him in the very near future. [redacted] said that he will make this report available to the Las Vegas Office for review and at that time will give his final decision as to what future action will be considered.

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

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Copy to: 1 - Los Angeles Strike Force, Attn: [REDACTED]
1 - United States Attorney, Las Vegas, Nevada

Report of:

SA [REDACTED]

Office: LAS VEGAS

Date:

1/13/72

Field Office File #: LV 87-8351

Bureau File #: 87-117334

Title: IRVING RESNICK

Character: INTERSTATE TRANSPORTATION
OF STOLEN PROPERTY

Synopsis:

RESNICK's attorney advised Notes in question in this matter were first brought to his attention in October, 1967, and he had advised RESNICK not to accept these as collateral on any loan he might make and this attorney had personal contact at that time with

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[REDACTED] who represented themselves as owners either of the Notes in question or of various items of heavy equipment which were also offered as possible collateral. [REDACTED] First Trust Company of Houston, [REDACTED] stated he had previously received advice from [REDACTED] that securities in question were lost, strayed or stolen and that they had been stolen during a burglary of his home. [REDACTED] and [REDACTED] either evading contacts or uncooperative.

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FEDERAL BUREAU OF INVESTIGATION

Date 8/30/71

[redacted] IRVING RESNICK, contacted Special Agent (SA) [redacted] on August 19, 1971, at Caesars Palace Hotel and furnished the following information:

RESNICK referred to an interview he had with SA [redacted] on May 19, 1971, and advised that during that interview he had discussed with SA [redacted] a loan which had been given to [redacted] by Caesars Palace Hotel in the amount of \$1500. He claimed that the loan was given to [redacted] using a considerable amount of heavy equipment which belonged to [redacted] as collateral for the loan. RESNICK advised this loan had no connection with bonds which [redacted] had previously attempted to use as collateral in obtaining a loan.

On 8/19/71 at Las Vegas, Nevada File# LV 87-8351 -

by [redacted] Date dictated 8/25/71

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FEDERAL BUREAU OF INVESTIGATION

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Date August 27, 1971

[redacted] was contacted in his office at the Houston Club Building. [redacted] furnished the following information:

[redacted] advised that he is the Senior Trust Officer for the First Trust Company of Houston. He advised that the First Trust Company of Houston issued collateral trust notes, Number RMI2148 and RMI2149. He stated that to the best of his memory these notes were issued on September 27, 1967. He stated that he was advised that these securities were lost, strayed, or stolen by [redacted] the person to whom these securities had been issued, that they had been stolen in a burglary of his home. He stated that this information was relayed to him through a telephone call. He stated that [redacted] told him that a variety of securities were stolen and that [redacted] was calling all the companies involved, to notify them of the theft. He stated that this telephone conversation was confirmed by a letter from [redacted] attorney whose name he recalled to be [redacted]. [redacted] also advised that the date on the seal on these notes is the date of First Trust Company of Houston, Incorporated, charter. He stated that as he recalled the space at the bottom of the trust note for the date was filled in at the time the notes were issued and that this space had been filled in with the dated September 27, 1967. [redacted] stated that the 27th. must have been removed from the securities.

[redacted] also advised that he did not know anyone with the name IRVING RESNICK or [redacted]. He stated that he does not recall sending a letter to [redacted] but that the statement that the RMI Certificates were not suitable for collateral and could not be transferred, was in fact true. [redacted] advised that due to the fact that he had recently moved his office to its present location, many of his records have been boxed and that he does not have a file in this matter readily available. He stated however, that he would have this file available within a week or so.

[redacted] stated that he would be the person to testify to the authenticity of the securities in this case.

On 8/26/71 at Houston, Texas File # HO 87-12717
by SA [redacted] je Date dictated 8/27/71

LV 87-8351

On August 27, 1971, Houston Office advised that in addition to the information furnished by [redacted] on August 26, 1971, that [redacted] advised he could not determine if Rocky Mountain Investment Corporation (RMIC) Notes, bearing serial number RMI 2146 and RMI 2147 were reported lost, strayed or stolen without reviewing his file. He advised that he could have this information available by September 3, 1971.

On November 3, 1971, the Los Angeles Office advised that numerous attempts to contact [redacted] Beverly Hills, California, have met with negative results despite leaving messages to have him contact the FBI Office and personal contacts with [redacted] wife.

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Los Angeles advised that [redacted] is described as white male American, born [redacted] height 6 feet, weight [redacted] brown hair and eyes.

On December 16 and December 30, 1971, the Los Angeles and Houston Offices respectively advised that numerous additional attempts to contact [redacted] and [redacted] had met with negative results.

FEDERAL BUREAU OF INVESTIGATION

Date 8/9/71

[redacted] Mitchum, Jones & Templeton, advised that ASH RESNICK is a customer of his whom he has known and done business with for several years.

at [redacted] advised that on or about January 25, 1971, he was at Caesars Palace, where Mr. RESNICK is employed, for the purpose of picking up some stock certificates that RESNICK had sold previously. He said that RESNICK obtained these certificates from a lock box at Caesars Palace and in the process, noted two Trust Company of Houston, Inc., Collateral Trust Notes, numbered RMI2148 and RMI2149, face value, \$25,000 each. [redacted] said that including the other stock certificates which he picked up at this time from RESNICK, RESNICK had a \$24,117.59 debit balance with Mitchum, Jones & Templeton.

[redacted] said that he is not certain as to precisely what instructions were given to him by RESNICK concerning these certificates, but he is certain in his own mind as to the intent. That intent, he feels, was that if there was a trading market for these securities they would be sold in that manner, or that they would be surrendered to the issuer. It was [redacted] impression money from any such sale would be applied against the debit balance referred to. [redacted] pointed out that had Mr. RESNICK wanted only to inquire as to the market value of these securities, it would not have been necessary for him to turn these securities over to Mitchum, Jones & Templeton.

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[redacted] furnished a copy of a receipt which was made out by his secretary for RESNICK concerning the acceptance of the notes in question. This receipt is set forth on the following page.

On 8/6/71 at Las Vegas, Nevada File # LV 87-8351

by SA [redacted] lrd Date dictated 8/9/71

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

No 21520

MITCHUM, JONES & TEMPLETON
INCORPORATED

510 SOUTH SPRING STREET
LOS ANGELES, CALIF. 90013

555 CALIFORNIA STREET
SAN FRANCISCO, CALIF. 94104

Temporary Receipt Only—To Be Followed By An Official Receipt From The Main Office

Received from . Ash Resnick
3515 Cochise Lane
Las Vegas, Nevada 89109

ACCOUNT NO.

21

95567

80

SPECIAL INSTRUCTIONS

Give to Army Jacobo to check
and see if there is any value to
these. If she finds there is a valu
call Darlene in the LV office.

| QUANTITY | DESCRIPTION | CERT. NO'S |
|-----------------|---------------------------------------------|------------|
| \$50,000.00 | First Trust Company of Houston Incorporated | |
| | Collateral Trust Note | |
| 2 X \$25,000.00 | RMI 2148 *RMI 2149 | |

Dated January 25, 1971

MITCHUM, JONES & TEMPLETON
INCORPORATED

By

OFFICE COPY

713-223-1010

*Rocky Mtn
Copper
note
Ralph Friedman*

8/6/71

FEDERAL BUREAU OF INVESTIGATION

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Date 8/27/71

[redacted] Attorney, was interviewed at his office, 302 East Carson Avenue, Suite 800, Las Vegas, Nevada.

[redacted] related the following concerning his knowledge of their dealings with ASH RESNICK in connection with this matter.

On October 18, 1967, RESNICK telephoned [redacted] advising that an individual wanted to make a loan which RESNICK wanted to make for him and RESNICK desired [redacted] to look at the collateral being offered. [redacted] said at that particular time he was extremely busy and agreed to see them for a short period of time. Later that same date RESNICK appeared accompanied by [redacted] other individuals identified as [redacted]

[redacted] did not know these individuals previously but they identified themselves by these names and signed documents in these names in [redacted] presence. The documents referred to are identified below.

[redacted] after examining certain collateral that was offered, specifically two collateral trust notes issued by First Trust Company of Houston, Inc., Houston, Texas, face amount \$25,000 each, serial numbers RMI 2146 and RMI 2147. These two trust notes which are still in [redacted] possession were part of four allegedly offered RESNICK for the purpose of serving as collateral on a \$30,000 loan which was to be made by RESNICK to [redacted]

Upon examining the two certificates presented to [redacted] noted that the notes were dated September 27, 1967, at Houston, Texas, and he thought this "kind of suspicious" that they would be offered as collateral on a loan so soon after purchase. Primarily because of this, [redacted] advised RESNICK not to accept these Notes as collateral but that if he wanted to make the loan that it be on the basis of other collateral which was also offered.

The other collateral offered included:

On 8/24/71 at Las Vegas, Nevada File # LV 87-8351

by SA [redacted] l ad Date dictated 8/26/71

Q O
LY 87-8351

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1. A Michigan Tractor Shovel, serial number [redacted]

2. John Deere 2110 Crawler Loader, 1½ yard bucket, #36 rear mounted ripper, serial number 675.

The above two items of equipment are identified through bill of sale signed by [redacted] Secretary-Treasurer, dated October 19, 1967, and by [redacted] President.

Another bill of sale signed only by [redacted] under the date of October 19, 1967, concerns:

1. A Motor Grader, serial number AT14782, model 12-D100HP Diesel engine.
2. Model D-8A Series, 191 EHP Diesel engine, weight 39,500 pounds, w/12' angle blade, hydraulic Kelly 6' ripper, serial number 3677.

It is to be noted [redacted] is also in possession of a bill of sale dated October 18, 1967, signed by [redacted] concerning four collateral trust notes, namely the two mentioned above and two others of the same description bearing serial numbers RMI 2148 and RMI 2149.

[redacted] is also in possession of four bills of sale concerning the sale of the above-described heavy equipment from previous owners and these are briefly described as one bill of sale from Harvey Construction Company, Pomona, California, conveying the John Deere Crawler Loader to United Funding Inc. This bill of sale is signed [redacted] and bears a notary stamp of [redacted] Notary Public, State of Nevada, Clark County, with a notation that the commission expires April 27, 1969, and bears [redacted] signature.

Another bill of sale conveys the Michigan Tractor Shovel to United Funding by one [redacted] bearing the same notary stamp of [redacted] signature and also the signature of [redacted]. It is to be noted that neither of the above two bills of sale bears a date.

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Two bills of sale reflects conveying the Motor Grader and the D-8 Tractor from Danco to [redacted]. Both of these bills of sale dated January 12, 1965, and since the [redacted] Notary Public Stamp reflects the expiration of his commission on April 27, 1969, [redacted] pointed out that this might represent an area worth inquiry into since notary commissions are made for four years and this exceeds the four-year period. He said that to his knowledge these commissions are sometimes approved in advance of this four-year period but the three and a half month advance on this would appear to be a matter of some question. Also the signature on these latter two bills of sale are not the signature of [redacted].

It is to be noted that this signature is not clearly legible on the copies of these bills of sale which were made available by [redacted].

[redacted] is also in possession of a Promissory Note dated October 18, 1967, signed by [redacted] and [redacted] calling for the payment of \$30,000 on or before November 17, 1967.

[redacted] is also in possession of a handwritten note signed by [redacted] dated October 18, 1967, calling for delivery of the above described four collateral trust notes to ASH RESNICK.

[redacted] said that after the above meeting he inquired of the First Trust Company of Houston, Texas, concerning these notes and received a letter from [redacted] Security Trust Officer of that company dated November 24, 1967 (received by [redacted] November 27, 1967) in which letter [redacted] pointed out that the notes were investment letter investments and were not transferrable and, therefore, not suitable as collateral. [redacted] advised that because of this he did not think they had any value and is not certain as to whether he had any discussion with RESNICK following the receipt of this letter from [redacted] but that he possibly told him about this.

[redacted] also pointed out that he has no knowledge whatsoever that any loan of any kind was made by RESNICK to either [redacted] other than the small loan which was made almost a year later by RESNICK in the amount of about \$1500 which he learned of after Special Agent [redacted] discussed this matter with RESNICK.

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4

[redacted] pointed out that he is presently involved in a civil matter involving [redacted] which matter came up after the above situation and during his involvement in this matter has learned that [redacted] reputation is "the worst possible." He pointed out, however, that [redacted] reputation at the time of this original contact with him as well as the reputations of the other individuals involved was completely unknown to him.

[redacted] was advised the two collateral trust notes which were not left in his possession had been determined to have been reported "lost, strayed or stolen" and that inquiry would be immediately instituted in an effort to determine the status of the two certificates in his possession. [redacted] advised that he would retain these certificates until recontacted by Special Agent [redacted]

F B I

Date: 4/28/72

Transmit the following in _____
(Type in plaintext or code)Via AIRTEL _____
(Priority)

TO: DIRECTOR, FBI (87-117334)
FROM: SAC, LAS VEGAS (87-8351) (P)
SUBJECT: IRVING RESNICK, aka
Ash Resnick
ITSP
OO: Las Vegas

Rerep of SA [REDACTED] 1/13/72, at Las Vegas.

On 4/28/72, this matter as further discussed with Departmental Attorney [REDACTED] as to prosecutive potential.

At this time it was decided that in order to evaluate this potential it would be necessary to call [REDACTED] and [REDACTED] and possibly subject RESNICK, before an FGJ, it being noted that [REDACTED] and [REDACTED] have evaded interview.

[REDACTED] advised that he would issue subpoenas for this grand jury appearance at his earliest opportunity after returning to Los Angeles.

2 - Bureau
1 - Las Vegas
WES:jsb
(3)

REC-21

87-117334-3

20 MAY 2 1972
MAY 3 1972

SIX

Approved: [Signature]
MAY 8 1972 Special Agent in Charge

Sent _____ M Per _____

F B I

Date: 6/30/72

Transmit the following in _____
(Type in plaintext or code)Via AIRTEL _____
(Priority)b6
b7C

TO: ACTING DIRECTOR, FBI (87-117334)
FROM: SAC, LAS VEGAS (87-8351) (P)
SUBJECT: IRVING RESNICK, aka
ITSP
OO: Las Vegas

Remyairtel, 4/28/72.

Following submission of referenced airtel advice was received from Departmental Attorney [redacted] [redacted] Los Angeles Strike Force, that he would attempt to call pertinent witnesses in this matter before a grand jury in Las Vegas sometime in June.

On 6/27/72, [redacted] advised that due to the press of other business he had not been able to handle this during the June grand jury and pointed out that no grand jury is meeting in Las Vegas during July. However, he stated that he has requested of the U. S. Attorney's Office in Las Vegas for witnesses in this case to appear before the grand jury meeting in Las Vegas during the early part of August, 1972.

2 - Bureau
1 - Las Vegas
WES:jsb
(3)

EX-114

REC-10

87-117334-4

JUL 4 1972

SIX

Approved: _____
Special Agent in Charge

Sent _____ M Per _____

54 JUL 11 1972

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION

AUG 10 1972

TELETYPE

b6
b7c

| | |
|------------------|-------|
| Mr. Felt | _____ |
| Mr. Bishop | _____ |
| Mr. Bishop | _____ |
| Mr. Callahan | _____ |
| Mr. Campbell | _____ |
| Mr. Cleveland | _____ |
| Mr. Conrad | _____ |
| Mr. Dalbey | _____ |
| Mr. Jenkins | _____ |
| Mr. Marshall | _____ |
| Mr. Miller, E.S. | _____ |
| Mr. Ponder | _____ |
| Mr. Soyars | _____ |
| Mr. Walters | _____ |
| Tele. Room | _____ |
| Mr. Kinley | _____ |
| Mr. Armstrong | _____ |
| Ms. Herwig | _____ |
| Mrs. Neenan | _____ |

NR 002 LV PLAIN

8:02 PM NITEL 8/10/72 MRM

TO: ACTING DIRECTOR (87-117334)

LOS ANGELES (87-34087)

FROM: LAS VEGAS (87-8351) (P) 1P

IRVING RESNICK, AKA; ITSP: 00: LAS VEGAS.

REREP OF SA [REDACTED], JANUARY THIRTEEN LAST AT
LAS VEGAS.

THIS CASE APPEARS TO HAVE LIMITED POTENTIAL FOR PROSECUTION
IN THE ABSENCE OF TESTIMONY FROM POSSIBLE WITNESSES, [REDACTED]

[REDACTED] ACCORDING TO LOS ANGELES STRIKE FORCE
ATTORNEY [REDACTED] CONSEQUENTLY, [REDACTED]

[REDACTED] AND ALSO THE SUBJECT ARE BEING SUBPOENAED TO APPEAR
BEFORE FGJ, LAS VEGAS, NEVADA, AUGUST TWENTYNINE NEXT.

PREVIOUSLY IN THIS CASE, LOS ANGELES HAD CONSIDERABLE
DIFFICULTY ARRANGING FOR AN INTERVIEW WITH [REDACTED] AND FOR THIS
REASON IT IS BELIEVED THAT IF THE USM ATTEMPTED TO SERVE THIS
SUBPOENA BY CALLING AT HIS RESIDENCE, THE EXCUSE WOULD BE USED
THAT HE WAS OUT OF THE COUNTRY OR SOME OTHER REASON TO AVOID
SERVICE.

END PAGE ONE

1 AUG 15 1972

3 84

10 AUG 11 1972

LV 87-8351

PAGE TWO

IN VIEW OF THE ABOVE, UACB, LOS ANGELES WILL ATTEMPT TO
SERVE WITH HIS SUBPOENA AT THE EARLIEST POSSIBLE DATE.

SUBPOENA BEING FORWARDED BY SEPARATE COMMUNICATION.

END

HOLD