

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>LAS VEGAS</b>	OFFICE OF ORIGIN <b>LAS VEGAS</b>	DATE <b>8/3/71</b>	INVESTIGATIVE PERIOD <b>2/8/71 - 7/30/71</b>
TITLE OF CASE <b>IRVING RESNICK, aka Ash-Resnick</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span>	TYPED BY <b>lrd</b>
		CHARACTER OF CASE <b>ITSP</b>	

*EC 9-*

REFERENCE

Las Vegas airtel to Los Angeles, dated 7/30/71.

-P-

*87 Recovery LV  
Bonds.*

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LEADS

LOS ANGELES DIVISION

AT BEVERLY HILLS, CALIFORNIA.

1. Will expeditiously locate   
 Beverly Hills, for the purpose of developing  
circumstances surrounding the loss of securities in this case.

*4  
217*

*See index*

ACCOMPLISHMENTS CLAIMED					<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC	AUTO.	FUG.	FINES	SAVINGS	RECOVERIES		
					\$50,000		PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

APPROVED *W* SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

- 1 - Bureau
- 1 - Los Angeles Strike Force (Attn: )
- 1 - USA, Las Vegas
- 1 - Houston (87-12717) (Info)
- 2 - Los Angeles (87-34087) *Ebl ct*
- 2 - Las Vegas (87-8351) *F B*

*87* 117334-1

**MCT-61**

REC 25

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**AUG 9 1971**

**87-117**

Dissemination Record of Attached Reports

Agency			
Request Recd.			
Date Fwd.			
How Fwd.	<i>95</i>		

Notations  
11731

*43*  
**STAT SECT**

**34 AUG 26 1971**

LV 87-8351

2. Will also furnish Las Vegas with any background concerning [redacted] not previously made available to Las Vegas.

3. Will secure questioned securities in this case.

LAS VEGAS DIVISION

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AT LAS VEGAS, NEVADA.

1. Will interview Attorney [redacted]

2. Will follow and report investigation in this matter and report investigation and prosecutive action in this matter.

ADMINISTRATIVE

The lengthy investigative period in this report is due to the fact that the Las Vegas Office first learned of this possible violation on 2/8/71, but it was not known at that time whether there was, in fact, a theft involved. In fact, the legal owner of the securities in question has not yet been located, but the fact that they are stolen now appears to be beyond question and the Departmental Attorney has indicated he will consider prosecution in this case. In view of this, and in view of the fact a potential subject resides in the Las Vegas Division, Las Vegas has assumed origin in this case.

INFORMANTS

Sources of the Las Vegas Office advised on confidential basis on 2/8/71, that the certificates in this matter were presented by ASH RESNICK to Mitchum, Jones & Templeton (MJT) (stockbrokerage firm) for sale. MJT subsequent learned that these certificates were allegedly

COVER PAGE B

LV 87-8351

stolen and that they were formally notifying the First Trust Company, Houston, Texas (issuer) of the fact that these securities had come into their possession.

The source advised that RESNICK informed him that an individual had presented these certificates to RESNICK to hold as collateral on a personal loan. The personal loan sought was supposedly for the purpose of raising bail money. It was not made clear to the source whether the bail money was for a friend of person borrowing money from RESNICK or for that person's own alleged bond.

COVER PAGE C

LV 87-8351

SENSITIVE SOURCE INFORMATION

The source of the Las Vegas Office who has requested anonymity concerning personal conversation he had with RESNICK is [redacted] Mitchum, Jones & Templeton.

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[redacted] realizes that should this matter come to trial, he will be a necessary witness, but has no objection to this and will testify as to the actual presentation to [redacted] by RESNICK of these certificates and of the records concerning this transaction of Mitchum, Jones & Templeton.

COVER PAGE D\*

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to: 1 - Los Angeles Strike Force, Attn: [redacted]  
1 - United States Attorney, Las Vegas, Nevada

Report of: SA [redacted] Office: LAS VEGAS  
Date: 8/3/71

Field Office File #: 87-8351 Bureau File #:

Title: IRVING RESNICK

Character: INTERSTATE TRANSPORTATION OF  
STOLEN PROPERTY

Synopsis: On 1/29/71, subject presented two collateral trust notes (total face value \$50,000) to Mitchum, Jones & Templeton, Las Vegas, Nevada, for sale. Certificates subsequently determined to have been reported "lost, strayed or stolen". Subject alleges in interview certificates were offered to him by [redacted] about September, 1968, as collateral for a loan. Subject claims did not make loan on advice of attorney, but that Caesars Palace Hotel loaned [redacted] \$1,500, which was repaid within the month; however, RESNICK retained the certificates in his possession. RESNICK claimed no proprietary right to these certificates, claiming he thought nothing further concerning them until he decided to determine whether they were saleable. Alleged owner, [redacted] not yet located.

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STATISTICS TAKEN

Calhoun

-P-

DETAILS:

LV 87-8351

Investigation in this case was initiated for the purpose of determining whether there was a possible violation of the Interstate Transportation of Stolen Property (ITSP) statute, in connection with the attempted sale of two First Trust Company of Houston, Texas collateral trust notes at Las Vegas, Nevada, by IRVING RESNICK.

## FEDERAL BUREAU OF INVESTIGATION

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Date 4/16/71

[redacted] Mitchum, Jones, and Templeton, Incorporated, 11th Floor, 312 West 5th Street, Los Angeles, California, telephone number 625-3511, advised that on January 29, 1971, [redacted] of the Las Vegas Office of the aforementioned firm accepted two original Collateral Trust Notes numbered BMT 2148 and RMI 2149, each in the amount of \$25,000. [redacted] advised that these trust notes were issued by the First Trust Company of Houston, Houston, Texas, and that they are presently in her [redacted] custody.

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[redacted] stated that these trust notes were presented by a customer of their Las Vegas Office, Mr. IRVING RESNICK of 3515 Cochise Lane, Las Vegas, Nevada.

[redacted] stated that she has been advised by the House Attorney [redacted] to maintain the original trust notes in Los Angeles.

[redacted] advised that [redacted] can be located at [redacted], Las Vegas, Nevada.

[redacted] made available copies of the above described Collateral Notes which are attached hereto and included herein:

On 4/15/71 at Los Angeles, California File # LA 87-34087

by SA [redacted] 3 Date dictated 4/16/71

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**First Trust Company of Houston**  
INCORPORATED

**COLLATERAL TRUST NOTE**

\$25,000.00

No. RMI 2148

WHEREAS, FIRST TRUST COMPANY OF HOUSTON, INC., Trustee for ROCKY MOUNTAIN INVESTMENT CORPORATION, a Colorado Corporation, under Trust No. PNT 15968 has received, as Trustee, notes and collateral amounting to sums in excess of One Million (\$1,000,000.00) Dollars, which sums are due and payable on or before the maturity of this note;

NOW, THEREFORE, FIRST TRUST COMPANY OF HOUSTON, INC., promises to pay to the order of bearer the sum of Twenty-Five Thousand (\$25,000.00) Dollars with interest thereon at the rate of six (6%) per cent per annum payable as follows:

- (a) Payment of accrued interest only on June 15, 1968.
- (b) Commencing on July 15, 1968, the sum of Five Hundred Fifty-Five Dollars (\$555.00) shall be paid monthly on account of principal and interest until the entire amount of principal is paid in full. Each payment shall be credited first on interest then due and the remainder on principal; and interest shall thereupon cease upon the principal amount so credited.

The above payments shall be made out of the proceeds collected from the trust referred to above.

This note is payable in lawful money of the United States of America at the main office of FIRST TRUST COMPANY OF HOUSTON, INC., 2100 Travis, Houston, Texas.

FIRST TRUST COMPANY OF HOUSTON, INC.

By Ralph Johnson  
Trust Officer

DATED: September \_\_\_\_\_, 1967  
at Houston, Texas



# First Trust Company of Houston

INCORPORATED

## COLLATERAL TRUST NOTE

\$25,000.00

No. RMI 2149

WHEREAS, FIRST TRUST COMPANY OF HOUSTON, INC., Trustee for ROCKY MOUNTAIN INVESTMENT CORPORATION, a Colorado Corporation, under Trust No. PNT 15968 has received, as Trustee, notes and collateral amounting to sums in excess of One Million (\$1,000,000.00) Dollars, which sums are due and payable on or before the maturity of this note;

NOW, THEREFORE, FIRST TRUST COMPANY OF HOUSTON, INC., promises to pay to the order of bearer the sum of Twenty-Five Thousand (\$25,000.00) Dollars with interest thereon at the rate of six (6%) per cent per annum payable as follows:

- (a) Payment of accrued interest only on June 15, 1968.
- (b) Commencing on July 15, 1968, the sum of Five Hundred Fifty-Five Dollars (\$555.00) shall be paid monthly on account of principal and interest until the entire amount of principal is paid in full. Each payment shall be credited first on interest then due and the remainder on principal; and interest shall thereupon cease upon the principal amount so credited.

The above payments shall be made out of the proceeds collected from the trust referred to above.

This note is payable in lawful money of the United States of America at the main office of FIRST TRUST COMPANY OF HOUSTON, INC., 2100 Travis, Houston, Texas.

FIRST TRUST COMPANY OF HOUSTON, INC.

By Ralph J. Anderson  
Trust Officer

DATED: September \_\_\_\_, 1967  
at Houston, Texas



**FIRST TRUST COMPANY OF HOUSTON**

INCORPORATED

811 RUSK AVENUE  
HOUSTON, TEXAS 77002

713-223-1010

March 3, 1971

AIR MAIL  
SPECIAL DELIVERY

[REDACTED]  
Mitchum, Jones & Templeton Incorporated  
510 South Spring Street  
Los Angeles, California 90013

Subject: RMI 2148, RMI 2149; \$25,000 each

Dear [REDACTED]

This is formal notification to you that the two instruments in your possession were previously reported to this Trust Company to have been "lost, strayed or stolen."

Conforming to the actions of your firm's Los Angeles attorney, we have notified the FBI, and are working with Special Agent [REDACTED] of the Houston office, in this matter.

Accordingly, please advise [REDACTED] in the Las Vegas office that the instruments which his customer, Ash Resnick, has presented for collection, are in fact reported "lost, strayed or stolen" securities.

[REDACTED] ought to advise his customer further that the local office of the FBI will be contacting him in the very near future to ascertain the facts surrounding his customer's possession thereof.

Should there be any further questions, please do not hesitate to contact the writer and we thank you for all the courtesies displayed by your firm to this Trust Company in this matter.

Very truly yours,

FIRST TRUST COMPANY OF HOUSTON, INC.

BY: [REDACTED]

[REDACTED] Esq.  
Senior Trust Officer

RF:bw

cc: [REDACTED]

Mitchum, Jones & Templeton, Inc.  
P. O. Box 1659, Las Vegas, Nevada

cc: [REDACTED]

Special Agent, FBI  
515 Rusk Avenue, Houston, Texas

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## FEDERAL BUREAU OF INVESTIGATION

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Date 5/20/71b6  
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On May 19, 1971, Mr. ASH RESNICK was interviewed at Caesars Palace Hotel. At the outset of the interview Mr. RESNICK was advised that the FBI was investigating the interstate transportation of First Trust Company of Houston bonds numbered RMI2148 and 2149 in the amount of \$25,000 each.

After being presented and reading a "Your Rights" form, Mr. RESNICK advised as follows:

Mr. RESNICK said that if these were the bonds that he recently presented to Mitchum, Jones, and Templeton they came to be in his possession in the following fashion:

Approximately three to four years ago one [redacted] came to him with the bonds wanting to use them as collateral for a personal loan to him from RESNICK. He said that accompanying [redacted] was an individual known to him as [redacted] and another person whose name he does not now recall. He said [redacted] made some comments to him about the fact they could not be cashed at the present time, but he does not recall the reasons given as to why this could not be done. He said that he requested [redacted] to accompany him to his attorney which [redacted] willingly did and they therefore talked to [redacted] Mr. RESNICK's attorney. Following the discussion with the attorney it was decided that the bonds were not acceptable as collateral and the loan was not made. He said some time subsequent to this he recalls [redacted] called and asked concerning the location of the bonds and he said he had one and his attorney had one and that they were available upon his request, but he never heard from him.

Mr. RESNICK then consulted a casino credit card which shows [redacted] California, on September 4, 1968, was given a loan in the amount of \$1500 by Caesars Palace which was approved by RESNICK. These records also reflect on September 25, 1968, this debt was paid off with a \$5,000 check with the balance

On 5/19/71 at Las Vegas, Nevada File # LV 87-8351

by SA [redacted] sf Date dictated 5/20/71

LV 165-1005

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of \$3500 being given to [REDACTED]

After reviewing these records, Mr. RESNICK said it must have been on about September 4, 1968, that [REDACTED] first approached him with the securities in question.

He said that these securities had been held by him in a safe deposit box since that time and he thought nothing further about them until he decided to determine whether or not they were saleable and had turned them over to [REDACTED] of Mitchum, Jones, and Templeton for sale.

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LV 87-8351

On April 23, 1971 and June 17, 1971, the Los Angeles Office advised that in contacts with the Los Angeles County Sheriff's Office and the Los Angeles Police Department, contacts with credit reporting agencies in Los Angeles and contacts with the Beverly Hills, California Police Department, and the various divisions of these police departments, failed to disclose that a burglary or robbery had been reported concerning the certificates in question.

On these same dates, the Los Angeles Office advised efforts to locate [redacted] alleged legal owner of the certificates in question, have been negative.

On May 24, 1971, the Houston Office advised that information received from [redacted] First Trust Company of Houston, Texas, reflected that the last address they had for [redacted] was [redacted] Beverly Hills, California.

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On July 30, 1971, this matter was discussed with Departmental Attorney [redacted], Los Angeles Strike Force, at which time he advised that he thought the facts in this case as they concerned RESNICK merited prosecutive action and would consider presenting the matter to a Federal Grand Jury as soon as the facts in this case were fully developed.

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>LAS VEGAS</b>	OFFICE OF ORIGIN <b>LAS VEGAS</b>	DATE <b>1/13/72</b>	INVESTIGATIVE PERIOD <b>8/6/71 - 1/11/72</b>
TITLE OF CASE <b>IRVING RESNICK, aka Ash Resnick</b>		REPORT MADE BY SA <span style="border: 1px solid black; display: inline-block; width: 150px; height: 15px;"></span>	TYPED BY <b>jr</b>
		CHARACTER OF CASE  <b>ITSP</b>	

*plh*

REFERENCE: Las Vegas report of SA  8/3/71.

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LEADS:

*K*

LAS VEGAS DIVISION:

At Las Vegas, Nevada:

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Will follow this matter with Los Angeles Strike Force and obtain IRS report concerning collateral matter for review for any information of value they may have relating to this case and/or other possible violations.

Will follow this matter with Departmental Attorney  as to any prosecution.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC	AUTO.	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

APPROVED *[Signature]*  
SPECIAL AGENT IN CHARGE

- COPIES MADE:
- ① - Bureau (87-117334)
  - 1 - Los Angeles Strike Force (Attn: )
  - 1 - USA, Las Vegas
  - 1 - Houston (87-12717) (Info)
  - 1 - Los Angeles (87-34087) (Info)
  - 2 - Las Vegas (87-8351)

DO NOT WRITE IN SPACES BELOW

87-117334-2

REC-66

10 JAN 17 1972

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Dissemination Record of Attached Report				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.	<i>Eq</i>			
By	<b>51 JAN 24 1972</b>			

Notations

*[Handwritten Signature]*  
STAT. SECT.

LV 87-8351

ADMINISTRATIVE:

Information copies are being furnished to Houston and Los Angeles in order to furnish them information they would need in handling future investigations, primarily the information set forth on administrative pages below.

Investigative period in this case is extensive; however, this has been occasioned by the fact that Los Angeles and Houston have had difficulty in attempting to contact potential witnesses in this case whose testimony is necessary in order to clarify the entire case. Also, the possible violation is not entirely clear and [redacted] has advised that the only reason he is entertaining the matter further is because of the involvement in this matter by RESNICK. [redacted] has advised that since RESNICK is the principal in this matter he will take any possible violation to prosecution.

For the information of the Bureau and auxiliary offices, the following is set forth for a better understanding of background concerning the Notes involved in this matter.

On 11/18/71, IRS Agent [redacted] appeared at the Las Vegas Office as a result of possible leads he had developed in connection with a case he has under question. At this time the following information was learned from [redacted] IRS has an extensive and involved investigation concerning the Baptist Foundation, which organization is a complete scam and from which apparently numerous indictments will flow. [redacted] investigation regarding the Baptist Foundation has revealed that the Rocky Mountain Investment Corporation (the corporation behind the Notes in instant case) was set up with assets comprised solely of worthless stock of the Baptist Foundation. [redacted] advised that his investigation was nearing completion and he anticipated his first and complete report covering said investigation would be completed shortly after the middle of December, 1971. He also advised that this investigation would be submitted to the Los Angeles Strike Force and thus the results would be available to the FBI through this source.

It should be noted that due to the suspected reputation of [redacted] First Trust Company of Houston, that [redacted] must have known that

LV 87-8351

the Notes he caused to be issued over the name of his company for Rocky Mountain Investment Corporation were worthless. In letters prepared by [redacted] directed to Attorney [redacted] in Las Vegas and to [redacted] [redacted] (previously reported), he is less than truthful about his knowledge of these certificates. In fact, in his advice to [redacted] (Instant report) he leaves the clear indication that the Notes were in fact stolen, which is undoubtedly not so. There appears to be no question that the Notes in instant case are completely fraudulent. While [redacted] has advised the other potential subjects in this case have little prosecutive appeal, he nevertheless is willing to consider the matter further simply because of the involvement on the part of RESNICK. [redacted] was last contacted on 1/11/72, at which time he advised he has learned the report of IRS is presently in preparation and should be received by him in the very near future. [redacted] [redacted] said that he will make this report available to the Las Vegas Office for review and at that time will give his final decision as to what future action will be considered.



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

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Copy to: 1 - Los Angeles Strike Force, Attn: [redacted]  
1 - United States Attorney, Las Vegas, Nevada

Report of: SA [redacted]  
Date: 1/13/72

Office: LAS VEGAS

Field Office File #: LV 87-8351

Bureau File #: 87-117334

Title: IRVING RESNICK

Character: INTERSTATE TRANSPORTATION  
OF STOLEN PROPERTY

Synopsis:

RESNICK's attorney advised Notes in question in this matter were first brought to his attention in October, 1967, and he had advised RESNICK not to accept these as collateral on any loan he might make and this attorney had personal contact at that time with [redacted]

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[redacted] who represented themselves as owners either of the Notes in question or of various items of heavy equipment which were also offered as possible collateral. [redacted] First Trust Company of Houston, [redacted] stated he had previously received advice from [redacted] that securities in question were lost, strayed or stolen and that they had been stolen during a burglary of his home. [redacted] and [redacted] either evading contacts or uncooperative.

