

7/26/73

AIRTEL

TO: DIRECTOR, FBI

FROM: SAC, CHARLOTTE (47-4187) (RUC)

UNSUB;  
INDIVIDUAL IMPERSONATING SECRETARY  
OF TREASURY GEORGE P. SCHULTZ  
TO U. S. SENATORS SAM J. ERVIN AND  
HOWARD H. BAKER, JR.  
7/19/73  
IMPERSONATION

(OO: WFO)

Re Charlotte nitel to Bureau and WFO dated 7/20/73.

The following investigation was conducted by SA

On 7/23/73, [redacted] Radio Station WKJK,  
Granite Falls, N. C., personally contacted and furnished the  
following information:

[redacted] of  
[redacted] had been involved in  
numerous incidents in and around [redacted]  
[redacted] wherein he has impersonated individuals. About three  
years ago, [redacted] impersonated a number of country music  
stars and radio station WKJK was completely taken in by [redacted]  
and the incident proved quite embarrassing to the radio station.

2 - Bureau  
2 - WFO  
1 - Charlotte

BDC/lhh  
(5)

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CE 47-4187

In addition, about a year and a half or two years ago [ ] implicated himself in a murder which had occurred at Ft. Bragg, N. C., that received national publicity. At that time, [ ] had indicated to authorities that he either was involved or knew something about it and had newsmen or camera men from WBTV, Charlotte, N. C., interviewing him concerning the matter.

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[ ] stated that [ ] has been in Broughton Mental Hospital, Morganton, N. C., in the past and apparently is suffering from a mental condition. He has been convicted and served time in the North Carolina Prison System for minor crimes.

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In addition, he is well known to officers of the Lenoir PD, having been convicted of a crime involving a service station at Lenoir, N. C.

[ ] stated that he has no basis whatsoever for believing that [ ] was involved in captioned matter and since making the telephone call to the Charlotte Office on 7/20/73 he has received information through newspaper stories that a woman was involved in the hoax call to U. S. Senator SAM ERVIN and for this reason now believes that [ ] is not involved in the hoax calls to the Senators on 7/19/73; however, [ ] is the type of person who would commit a crime such as this.

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On 7/23/73, [ ] Lenoir PD, Lenoir, N. C., advised that [ ] was mistaken in his information concerning [ ]. He stated that [ ] was personally known by [ ] and that he had a good reputation in the community; however, [ ] NEIL KEITH BRASWELL, is the one who perpetrated the hoax on the radio station WKJK in Granite Falls, N. C., about

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CE 47-4187

three years ago and is the person who has been involved with local authorities on several occasions and is generally known by officers as a "mental case". NEIL KEITH BRASWELL has been confined in Broughton Mental Hospital, Morganton, N. C., on several occasions.

[redacted] stated that in addition to the hoax which NEIL KEITH BRASWELL had pulled on the radio station that about a year or two ago during the time the McDONALD murder case at Ft. Bragg, N. C., which involved the murder of the wife of [redacted] and his two children and which received widespread national publicity that NEIL KEITH BRASWELL had notified the Army Criminal Intelligence Division Agents that he was responsible for the murder. In addition he had contacted the radio and TV officials at Charlotte and these officials came to Lenoir, N. C., along with CID, but determined that NEIL KEITH BRASWELL was only seeking publicity and was mentally disturbed.

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[redacted] stated that he had investigated NEIL KEITH BRASWELL in connection with some thefts of material from a Lenoir Sheet Metal Firm where BRASWELL was working and had recovered the materials, however, the firm had declined to prosecute. In addition, NEIL KEITH BRASWELL on one occasion while working for a service station reported he was robbed but investigation determined that this was a hoax and the Lenoir PD had charged him with Larceny of the Money, but did not convict him of this charge.

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[redacted] stated that NEIL KEITH BRASWELL presently resides between Lenoir and Hudson, N. C., and is well known by COP [redacted] of the Hudson PD. He stated he does not personally know where NEIL KEITH BRASWELL was presently working, but that he was the type of person who if the idea of calling the Senator and impersonating the Secretary of Treasury came to his mind he would do so, but then would confess to it in order to get the publicity.

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b6 per Army CIDC  
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CE 47-4187

Their records reveal NEIL KEITH BRASWELL is described as follows:

Race:	White	
Sex:	Male	
DOB:	12/23/45	
POB:	Caldwell County, N. C.	
Height:	5'8"	
Weight:	145 pounds	
Eyes:	Brown	
Hair:	Brown	b6 per Army CIDC b7C

On 7/23/73, [redacted] COP, Hudson, N. C., PD. advised that he is personally acquainted with [redacted] and NEIL KEITH BRASWELL and that [redacted] had a good reputation in the community, but [redacted] NEIL KEITH BRASWELL was a person with mental problems and who had been confined at Morganton, N. C., on several occasions.

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In addition, NEIL KEITH BRASWELL was the type of person who would do anything to receive publicity and had just recently while working for some company in Virginia told the people that he worked for that his wife and children had just run off the mountains in North Carolina and all were killed. Two or three of the company employees drove NEIL KEITH BRASWELL to his home located between Hudson and Lenoir, N. C., and had taken up money and given to him believing that his wife and children had been killed; however, this was just a lie told by BRASWELL and the day after this occurred he had indicated he had no idea how he had gotten home.

b6 per Army CIDC  
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[redacted] stated that as recently as two weeks ago on a Saturday night BRASWELL had called CID Agents at Ft. Bragg, N. C., and had indicated he wanted to confess to the MC DONALD murder. As a result three CID Agents came to the Hudson, N. C., PD, and [redacted] explained to them that BRASWELL had previously implicated himself in this murder and that he was a mental nut,



CE 47-4187

b6 per Army CIDC

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however, they did contact BRASWELL and later came back and told Chief [ ] that they agreed with him that BRASWELL was a mental case.

b6 per Army CIDC

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[ ] stated that he has had many contacts with BRASWELL, but that he did not believe he was smart enough to make the call involving the Secretary of the Treasury, but that if he had thought about it or it had come to his mind he would not hesitate to do something of this nature in order to receive publicity. He stated that he would contact his sources to ascertain if NEIL KEITH BRASWELL was in or around Lenoir, N. C., on Thursday, 7/19/73.

[ ] stated he did not recommend that BRASWELL be personally contacted concerning this matter as it might give him some ideas and that he would then start making calls to Washington, D. C.

b6 per Army CIDC

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On 7/24/73, [ ] stated that he had determined that NEIL KEITH BRASWELL was working at Shew Wood Carving Company, Lenoir, N. C., and was in Caldwell County all last week. In addition, one of his officers had been called to the BRASWELL residence on the night of 7/20/73 because of a domestic problem and at that time BRASWELL was quite intoxicated. He stated there was no indication that BRASWELL had anything whatsoever to do with the hoax call to Senator SAM ERVIN.

b6 per Army CIDC

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[ ] stated that in his opinion NEIL KEITH BRASWELL is potentially dangerous because of his mental condition.

Charlotte is conducting no further investigation in this matter.

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1277335-0

Total Deleted Page(s) = 9

Page 57 ~ b3; b7E;  
Page 58 ~ b7E;  
Page 59 ~ b3; b7E;  
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Page 62 ~ b3; b7E;  
Page 63 ~ b3; b7E;  
Page 64 ~ b7E;  
Page 65 ~ b3; b7E;

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4522

## FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WASHINGTON FIELD	OFFICE OF ORIGIN BUREAU	DATE 3/20/85	INVESTIGATIVE PERIOD 3/13-20/85
TITLE OF CASE  HOWARD HENRY BAKER, JR.		REPORT MADE BY SA [REDACTED]	TYPED BY
		CHARACTER OF CASE SPIN	

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REFERENCE: Bureau teletype dated 3/8/85.

ADMINISTRATIVE

P\*

"Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted."

"WFO indices reveal no derogatory information identifiable with the applicant, his/her relatives, his/her social acquaintances, and his/her references."

LEAD: AT FEDERAL ELECTIONS COMMISSION (FEC), WASHINGTON, D.C.  
In as much as all record checks at FEC are done via written requests, response time is anticipated to be from 30-60 days. WFO will furnish HQS results as soon as they become available.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT  
IN CHARGE

COPIES MADE:

DO NOT WRITE IN SPACES BELOW

2-Bureau  
1-WFO (161-17895)

CC DESTROYED

161-18508-20

NOT RECORDED  
23 NOV 15 1985

Dissemination Record of Attached Report					Notations  [Handwritten Signature]
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

53 FEB 1 1986

A\*  
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of:

SA [REDACTED]

Office:

WASHINGTON, D.C.

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Date:

MARCH 20, 1985

Field Office File #:

161-17895

Bureau File #:

Title:

HOWARD HENRY BAKER, JR.

Character:

SPECIAL INQUIRY

Synopsis:

Records Office, WHO, verified several Presidential Appointments for appointee. President's Foreign Intelligence Advisory Board, OEOB, verified through one associate. Residence at 3224 Woodland Dr., NW, WDC, verified and all recommend favorably. Reference Senator MATHIAS recommends. Associate, Treasury Secretary BAKER recommends. Credit satisfactory. PD checks negative. No derogatory information at USSS. US Attorney's Office, no records could be located. No derogatory information at SEC. US District Ct. revealed two cases, but that each was dismissed with no trials.

P\*

DETAILS: AT WASHINGTON, D.C.

WFO 161-17895  
MDS:slh

EMPLOYMENT

U.S. SENATE  
Washington, D.C.

On April 1, 1985, SA [redacted] conducted  
the following record check at the U.S. Senate.

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[redacted] Clerk, Senate Disbursing Office,  
provided the following employment history for SENATOR HOWARD  
BAKER, JR.

1/3/67	Elected, Senator, State of Tennessee.
1/4/77	Began Service as Minority Leader, U.S. Senate (Republican Party)
1/3/81	Began Service as Majority Leader, U.S. Senate, (Republican Party)
1/3/85	Retired from Service as Republican Senator and Majority Leader.

WFO 161B-17895  
KJF:slh

WHITE HOUSE OFFICE (WFO)

The following investigation was conducted at the Records Office, WHO, by Special Agent (SA) [REDACTED] on March 14, 1985, in regard to the appointee, HOWARD HENRY BAKER, JR.

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A review of the files containing the Commissions and Appointments revealed the following information:

1. HOWARD HENRY BAKER, JR., Republican United States Senator from the State of Tennessee was commissioned to be a Representative of the United States of America to the 31st Sessions of the General Assembly of the United Nations on September 24, 1976.

2. The appointee was commissioned to be a member of the United States Delegation to the Funeral of Soviet Chairman YURI ANDROPOV. "To be held in Moscow on February 14, 1984."

3. The appointee was commissioned to be a member of the United States Delegation attending the Funeral of Prime Minister INDIRA GANDHI on November 2, 1984.

WFO 161B-17895  
KJF:slh

WHITE HOUSE

PRESIDENT'S FOREIGN INTELLIGENCE ADVISORY BOARD  
OLD EXECUTIVE OFFICE BUILDING  
WASHINGTON, D.C.

The following investigation was conducted by Special Agent (SA) [redacted] in regard to the appointee, HOWARD HENRY BAKER, JR.

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On March 14, 1985, [redacted] President's Foreign Intelligence Advisory Board, Old Executive Office Building, was contacted and requested to furnish the names of any Advisory Board Members who would have knowledge regarding the appointee. [redacted] stated that Board Member HARRISON H. SCHMITT, a Former United States Senator would have professional and personal knowledge regarding the appointee.

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\* On March 14, 1985, HARRISON H. SCHMITT, Member, President's Foreign Intelligence Advisory Board, Old Executive Office Building, advised that he is a Former United States Senator and United States Astronaut. SCHMITT further stated that he has been associated with the appointee on both a professional and social basis since January, 1977. SCHMITT stated that he first met BAKER when he entered into the United States Senate. SCHMITT described the appointee as a reserved but personable individual, particularly from a political point a view. In addition, he stated that BAKER is the type of individual who is oriented to seeking consensus approaches to issues. SCHMITT also stated that occasionally BAKER becomes distracted from the issue at hand during personal conversations. SCHMITT advised that based on the appointee's government experience, background, and sound judgement, BAKER is an excellent candidate for membership on the President's Foreign Intelligence Advisory Board. SCHMITT stated that he has never observed BAKER drink more than one alcoholic drink at any one time and that he certainly has no knowledge of the appointee abusing the use of alcoholic beverages. SCHMITT further stated that he has heard that the appointee's wife has had problems with the abuse of alcoholic beverages. SCHMITT advised that he had no knowledge that the appointee used any type of illicit drug. SCHMITT stated that he has met the appointee [redacted] and that to the best of his knowledge they have not had any problems with the abuse of alcoholic beverages or the use of illicit drugs.

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SCHMITT considered BAKER to be a honest, trustworthy individual and that he has no reason to question the appointee's loyalty to the United States Government. He further stated that BAKER is very cautious when it comes to matters of a sensitive nature. In addition, SCHMITT stated that he has never known BAKER to associate with people of poor character and reputation voluntarily. SCHMITT stated that there are times when BAKER was forced to associate with people of bad character and reputation because of his position as a United States Senator. SCHMITT considered the appointee to be in good physical and mental health but stated that he thinks BAKER is over weight particularly for his height. SCHMITT highly recommended BAKER for a position of trust and confidence with the United States Government. SCHMITT stated "that his only concern was that BAKER might not be able to attend Advisory Board meetings due to other obligations that he might have. SCHMITT went on to say that the Advisory Board is only as good as the participation of it members."



WFO 161B-17895

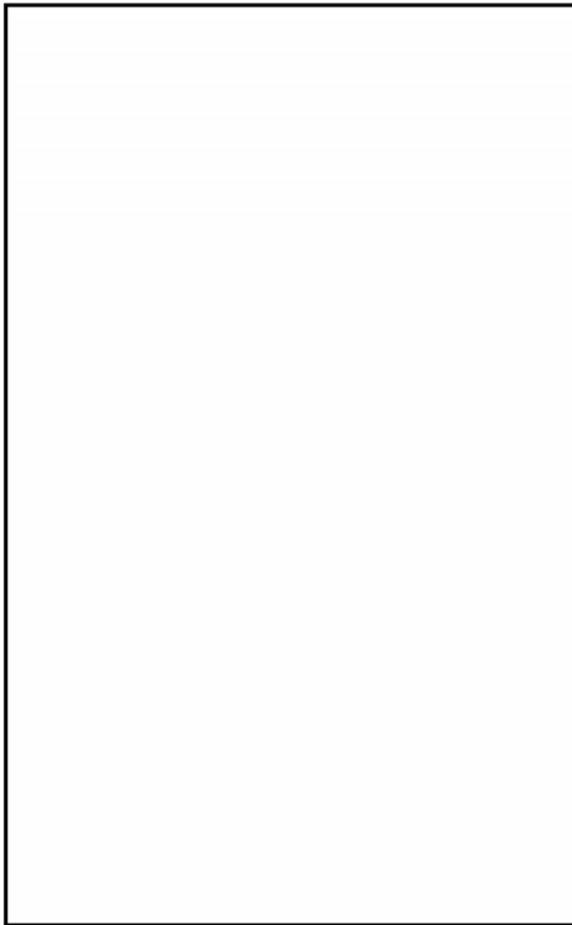
RTC:mag

RESIDENCE:

3224 Woodland Drive, N.W.  
Washington, D.C.

A neighborhood investigation was conducted in the vicinity of the above address by SA [REDACTED] The following individuals were contacted and each of them commented favorably regarding the character, associates, reputation and loyalty of former Senator HOWARD HENRY BAKER, JR. Each person contacted stated that he or she knew of no alcohol abuse or use of illegal drugs by the appointee. Each person contacted recommended the appointee for a position of trust and confidence with the United States Government. The persons contacted were:

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WFO 161-17895  
MDS:tlg

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REFERENCE

On March 15, 1985, Senator CHARLES MC CURTIS MATHIAS, JR., advised SA [ ] that he has known HOWARD BAKER for eighteen years. He said that his relationship is professional based on their association as U.S. Senators from the same party and as personal friends, whose families have socialized together over the past years.

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MATHIAS said that BAKER could not have become the majority leader of the Senate if he were not outstanding in personal or professional qualities. He earned the trust and respect of his party and the members of the Senate and House as well as the Executive Branch with his leadership and ability.

MATHIAS said that he knows the Senator as a man and felt comfortable in saying that he was not aware of any personal vices or faults including alcohol abuse or illegal drug use. He said he could vouch for his character, reputation, loyalty and associates.

MATHIAS said that because of his experience, intelligence, and moderating approach, he felt that he would be an excellent choice for a position of trust and confidence on the President's Foreign Intelligence Oversight Board.

WFO 161B-17985  
RTC:mag

On March 14, 1985 SA [REDACTED] FEDERAL BUREAU OF INVESTIGATION, WASHINGTON FIELD OFFICE, conducted the following interview regarding the perspective appointment of former Senator HOWARD HENRY BAKER, JR. to the President Foreign Intelligence Advisory Board:

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\* Secretary of the Treasury JAMES A. BAKER III, DEPARTMENT OF THE TREASURY, 15th and Pennsylvania Avenue, Washington, D.C., advised that he has known former Senator BAKER since 1975 on a close personal as well as professional basis.

Secretary BAKER described Senator BAKER's character, associates, reputation, and loyalty to the United States Government in the very highest of praise. Secretary BAKER says that Senator BAKER is an excellent choice for the position on the Foreign Intelligence Advisory Board or for any other position in the Administration.

Secretary BAKER knows of no drug use or alcohol abuse on the part of Senator BAKER.

Secretary BAKER stated that he would give Senator BAKER the very highest of recommendations for this position or any other position of trust and confidence with the United States Government.

WFO 161B-17895

LLS:act

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CREDIT AND POLICE AGENCIES

IA [ ] caused a search to be made of the files of the Credit Bureau, Incorporated, Langley Park, Maryland, and was advised on March 19, 1985, that the files contained a satisfactory credit record for the appointee.

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On March 13, 1985, a query was made in the Washington Area Law Enforcement computer (WALES) and it was determined that no record was located for the Metropolitan Police Department concerning the appointee or relatives.

It is to be noted that at all times an indefinite number of unidentified records may not be in the computer and not available for review.

On March 15, 1985, IA [ ] determined that no record was contained in the Department of Transportation, Bureau of Motor Vehicle Services, Government of the District of Columbia files concerning the appointee.

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On March 15, 1985, IA [ ] searched the files of the United States Park Police and no identifiable adult criminal record could be located regarding the appointee or relatives.

MISCELLANEOUS

IA [ ] caused a search to be made of the files of the U.S. Secret Service, Department of the Treasury, and was advised on March 20, 1985, that no derogatory information was found concerning the appointee.

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WFO 161-17895  
GBM:glm

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U.S. Attorney's Office  
3rd Street and Constitution Avenue, N.W.;  
Washington, D. C.

On March 15, 1985, IA [ ] caused a search to be made of the pending and closed cases of the Criminal Division of the U.S. Attorney's Office. The following individuals advised that no identifiable record could be located regarding the appointee; HOWARD HENRY BAKER, JR.

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[ ]  
Fraud and Major Crimes  
Trial and Grand Jury  
Closed Files

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On March 14, 1985, IA [ ] caused a search to be made of the pending and closed cases of the Civil Division of the U.S. Attorney's Office. [ ] advised that no identifiable record could be located regarding the appointee; HOWARD HENRY BAKER, JR. It should be noted that the index system of the Civil Division, U.S. Attorney's Office contains only names of plaintiffs, except in civil actions brought by the United States against a particular defendant. Suits against government employees who are represented by the United States Attorney would be filed by plaintiff's name and docket number.

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WFO 161-17895

PA:lr

Securities and Exchange Commission  
Office of Enforcement  
Washington, D.C.

On March 15, 1985, [redacted] Office of Enforcement,  
advised IA [redacted] that no derogatory information was found  
on the appointee, HOWARD HENRY BAKER, JR.

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WFO 161-17895  
GBM:glm

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U.S. District Court  
Clerk of the Court House  
3rd Street and Constitution Avenue, N.W.;  
Washington, D. C.

On March 15, 1985, IA [ ] conducted a search of the civil docket records of the above office from 1950 until the present time. The following records were located regarding HOWARD HENRY BAKER, JR.; date of birth November 15, 1925.

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Civil action number 81-2839, WILLIAMS -vs- BUSH; and civil action number 83-264 ARNEY -vs- REAGAN. Both cases are now closed according to the U.S. District Court, Docket Clerk.

- Civil Rights -

WFO 161B-17895  
LLS:act

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U.S. District Court  
Clerk of the Court  
Washington, D.C.

On March 18, 1985, [redacted] Civil Division, U.S. District Court, advised SA [redacted] that the cases of Williams-v-Bush, Action Number 81-2839 and Arney-v-Reagan, Action Number 83-264 have both been dismissed without trial.

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DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATION MESSAGE FORM

Ju-A

155

PAGE 1 OF 2	DATE MARCH 31 1987	CLASSIFICATION UNCLAS	PRECEDENCE IMMEDIATE
062/11558			
FM DIRECTOR FBI (161-18508)			
TO: FBI KNOXVILLE (161-1038) IMMEDIATE			
FBI WASHINGTON FIELD (161-17895) IMMEDIATE			
BT			
UNCLAS			
HOWARD HENRY BAKER, JR., SPIN (B)-EXPANDED NAME CHECK; BUDED: 3/11/87.			
BUREAU HAS BEEN REQUESTED TO CONDUCT EXPEDITE EXPANDED NAME CHECK OF MR. BAKER FOR THE POSITION OF CHIEF OF STAFF, EXECUTIVE OFFICE OF THE PRESIDENT.			
BORN ON NOVEMBER 15, 1925, IN HUNTSVILLE, TENNESSEE; RESIDES 3224 WOODLAND DRIVE, NORTHWEST, WASHINGTON, D. C.; AND MAINTAINS A SECOND RESIDENCE AT POST OFFICE BOX 8, HUNTSVILLE, TENNESSEE; IS CURRENTLY EMPLOYED AS SENIOR PARTNER, VINSON AND ELKINS, WASHINGTON, D. C., AND AS PARTNER, BAKER, WORTHINGTON, CROSSLEY, STANSBERRY AND WOLF, HUNTSVILLE, TENNESSEE; AND HAS SSAN: 414-44-9634.			
DO NOT WRITE IN THESE SPACES			
APPROVED <i>[Signature]</i>	DATE 3/3/87	TIME 18035	FILE NO. 4228

RETURN TO [REDACTED] ROOM 18035

REQUEST RECEIVED MARCH 31, 1987, FROM [REDACTED]  
COUNSEL TO THE PRESIDENT.

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS CENTER

2359  
MAR 03 1987

NOV 4 1987

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

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60 NOV 13 1987

RECEIVING OFFICES CHECK INDICES AND ELSUR FILES BASED UPON AVAILABLE INFORMATION CONCERNING APPOINTEE, IF UTILIZING MAJOR CASE INFORMATION SYSTEM, ALSO CHECK THOSE DATA BASES. PROMPTLY SUTEL RESULTS {POSITIVE OR NEGATIVE} BY TELETYPE SUMMARY AND IF POSITIVE, FOLLOW WITH COPIES OF DOCUMENTS BY AIRTEL.

RECEIVING OFFICES WILL ALSO CONTACT THE UNITED STATES ATTORNEY'S OFFICE COVERING AREAS WHERE APPOINTEE RESIDES AND IS EMPLOYED TO DETERMINE IF THERE IS ANY INDICATION APPOINTEE HAS BEEN INVOLVED IN A MATTER HANDLED BY THE UNITED STATES ATTORNEY'S OFFICE {EITHER CRIMINAL OR CIVIL, IN CLOSED OR CURRENT FILES}.

NO FURTHER INVESTIGATION IS REQUESTED AT THIS TIME; HOWEVER, SUBMIT RESULTS BY BUDED BY SUMMARY TELETYPE, NO REPORT NECESSARY.

DIRECT RESULTS TO THE ATTENTION OF  SPECIAL INQUIRY UNIT {SPIN UNIT}, ROOM 1B035.

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THE WHITE HOUSE  
WASHINGTON

March 2, 1987

(Date)

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TO: FBI, LIAISON  
FROM: PETER J. WALLISON  
SUBJECT: FBI Investigations

Subject's Name: BAKER, HOWARD HENRY, JR. (SS#: 414-44-9634)  
Date of Birth: 11/15/25 Place of Birth: Huntsville, TN  
Present Address: 3224 Woodland Dr., NW, Washington, DC  
We request: P.O.Box 8, Huntsville, TN

Copy of Previous Report  
Name Check ☒ Expanded Name Check  
Full Field Investigation NO IRS TO FBI  
Limited Update

The person named above is being considered for:

White House Staff Position  
Presidential Appointment

Attachments:

SF 86 1 2  
SF 87, Fingerprint Card  
SF 86, Supplement

Remarks/Special Instructions: EXPANDED NAME CHECK

Business address: Senior Partner, Vinson & Elkins  
Washington, DC  
Partner, Baker, Worthington, Crossley,  
Stansberry, & Woolf

Phone numbers: 615-663-2211 UNLISTED/home  
615-663-2255 business

Chief of Staff

NOTE: WE DO HAVE COPIES OF THE 1985 REPORT AND THE  
EXPANDED NAME CHECK DATED 1/11/85

MAR 4 1987

161-18508-41  
ENCLOSURE

14031

ORGANIZED CRIME INFORMATION SYSTEM (OCIS)

FOR UNIT : SPIN AS OF MARCH 03 1987  
ASSIGNED TO :

PAGE NO: 46

APPLICANT NAME : BAKER, HOWARD HENRY

NAME SEARCHED : BAKER, HOWARD HENRY

NAME	ALIAS	FO	ADDRESS	DOB	PLACE OF BIRTH	SSN
------	-------	----	---------	-----	----------------	-----

TYPE OF SEARCH : MATCH ON EXACT LAST AND FIRST NAMES

BAKER, HOWARD

LA  
HO

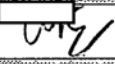
RECEIVED  
MAR 1 5 20 PM '87  
FEDERAL BUREAU OF INVESTIGATION  
U.S. DEPARTMENT OF JUSTICE

## INVESTIGATIVE SUPPORT INFORMATION SYSTEM (ISIS)

SIX WAY SEARCH

FOR UNIT : SPIN AS OF MARCH 03 1987

PAGE NO: 1

ANALYST : b6  
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APPLICANT NAME : BAKER\*HOWARD HENRY

NAME SEARCH : BAKER\*HOWARD HENRY

NAME FOUND

DATA BASE

FILE NO.

BAKER, HENRY MNU

CONSUB

DE209-353 21

UNIRACNY

NY183-340 170PP

UNIRACNY

NY183-340 170R

UNIRACNY

NY183-340 186

BAKER, HOWARD H

ABSCAM

HQ87-147437 1448B

REAGAT

WF175-311 D 2

REAGAT

WF175-311 D 5AA

BAKER, HOWARD MNU

ABSCAM

HQ87-147437 1554E

ABSCAM

HQ87-147437 1561X

ABSCAM

NY194-127 B 78

ABSCAM

NY194-127 1B411

BATSCAN

CV49-993 1A 293B

BIDRIG

ME60-361 GU R11

BIDRIG

ME60-361 GU T13

INTLAWN

PX183A-183 275

MAYBAN

KX29-1397 INDEX 1

MAYBAN

KX29-1397 INDEX 1R

MAYBAN

KX29-1397 SB C 210

MAYBAN

KX29-1397 SB C 2465

MAYBAN

KX29-1397 SB C 2930

MAYBAN

KX29-1397 SB C 51

ORBIT

PH183-422 M 17

REAGAT

WF175-311 D 116

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WF175-311 D 160

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ME194-75 M 04 251

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ME194-75 M 04 320

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SPECIAL DELIVERYb6  
b7C

4140 ~~3-20~~ b6  
b7C

~~SECRET~~

APPLICANTS FOR THE SPIN UNIT ON IIS

DATE	APPLTANT	NAME	DOB	RESIDENCE	ANALYST
10MAR81	BAKER*HOWARD HENRY	BAKER*HOWARD <i>NE State of TN</i>	11/15/25	HUNTSVILLE TN	

1OMAR81 BAKER\*HOWARD HENRY

BAKER\*HOWARD

N. liberta

11/15/25 HUNTSVILLE TN

b6  
b7C

14031

TO: [ ] Room 4166 TL 232  
ELSUR Room 4997 TL 114  
NCIC Room 7233 TL 243  
Wanted & CCH Files  
Attn: [ ]

b6  
b7C  
b7E

NADDIS

OCIS, ISIS, [ ] Entered on 3/3/87

FROM:

SPECIAL INQUIRY Room 18035 254 [ ] TL [ ]

SUBJECT:

Howard Henry Baker, Jr., SPIN, BUDED: 3/11/87

The Bureau has been requested to conduct an expedite investigation of the above-captioned subject, who is being considered for an unspecified Presidential appointment. The indicated unit is requested to check appropriate indices based upon available information concerning subject, employment, and all close relatives. It is requested that the results of your checks, positive or negative, be indicated in the spaces provided below, and relayed to the Special Inquiry Unit (SPIN), Room 5161, TL 233, via routing slip marked "URGENT", on or before BUDED.

Subject is described as follows:

Result

*SA checked destroyed*  
*NR*

Name: Howard Henry Baker, Jr.  
DOB: 11/15/25  
POB: Huntsville, TN  
SSAN: 414-44-9634  
Current residence: 3224 Woodland Dr. NW.  
WASH., DC. APO F.O. Box 8 Huntsville, TN  
Employment: WHITE HOUSE  
WASH., DC.

Close Relatives

Result	Relationship	Name	DOB	Residence
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
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_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Check conducted by

[ ]

3/6/87

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b7C



CLERK  
SRC'D  
SER  
REC

November 17, 1989

HOWARD H. BAKER, JR.

① Captioned individual, also known as Howard Henry Baker, Jr., who you advised was born on November 15, 1925, in Huntsville, Tennessee, and holds Social Security Number 414-44-9634, was the subject of an applicant investigation in 1985 and expanded name checks in 1985 and 1987 by the FBI. Attached are five summary memoranda which contain the results.

The central files at FBI Headquarters, the records of the Identification Division and appropriate computer data bases contain no additional pertinent information identifiable with the captioned individual based upon background information furnished in connection with this name check request.

Enclosures (5)

1 - [redacted] Room 4371  
1 - [redacted]  
1 - [redacted]  
161-18508

PJL:efk (5)

NOTE: Per request from C. Boyden Gray, Counsel to the President, at the White House (PA).

b6  
b7C

161-18508 46

Exec AD Inv. \_\_\_\_\_  
Exec AD Adm. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
Intell. \_\_\_\_\_  
Laboratory \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Plan. & Insp. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Public Affs. Off. \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

MAIL ROOM ☐

DELIVERED TO CUBA HW  
DATE 11-17-89  
None checked



THE WHITE HOUSE  
WASHINGTON

NOV 8 1989

NOV 8 1989

520  
24

November 6, 1989

(Date)

TO: FBI, LIAISON  
FROM: C. BOYDEN GRAY  
SUBJECT: FBI Investigations

Subject's Name BAKER, HOWARD H., JR. (SS#: 414 44 9634)  
Date of Birth 11/15/25 Place of Birth Huntsville, TN  
Present Address DC area and Huntsville, TN

We request: ☒ Copy of Previous Report  
☐ Name Check  
☐ Expanded Name Check  
☐ Full Field Investigation  
☐ Limited Update  
☐ Other

The person named above is being considered for:

☐ White House Staff Position  
☒ Presidential Appointment (PA)  
☐ \_\_\_\_\_

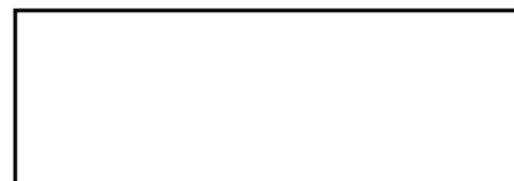
Attachments:

☐ SF 86  
☐ SF 87, Fingerprint Card  
☐ SF 86, Supplement

b7E

Remarks/Special Instructions:

PFIAB Current member



03/01/01 THU 15:01 FAX 2024567810

OPP WHO  
THE WHITE HOUSE

Washington

Date March 1, 2001

3/5/01

To: Federal Bureau of Investigation  
Attn: EADSU (Room 4965)

☐ SIGBIU (Room 4371)

Howard Henry Baker Jr.  
414-44-9634 11-15-25

From: Office of Counsel to the President

Subject's full name Howard Henry Baker, Jr.

Other names used (including birth, prior married, and nicknames) none

Social Security Number 414-44-9634 Date of birth 11/15/25 Place of birth Huntsville, TN

Permanent address  
(also current residence, if different) 407 Woodland Place, Huntsville, TN 37756

Current employer(s) Baker, Donelson, Bearman & Caldwell

SUBJECT'S CONSENT: I hereby authorize the FBI to provide the information specified below to the OPE  
*[Signature]* 3/1/01 (Date)

Request of FBI (Use of this form to request information developed by the FBI or contained in FBI files requires the subject's consent. Exceptions will only be permitted as authorized by the Attorney General/Deputy Attorney General.)

b7E

- ☒ Name check (EADSU) ☐ Copy of previous report (EADSU)  
☒ Expanded name check (SIGBIU)  
☐ Full field investigation (SIGBIU) ☐ Level 1 ☐ Level 2 ☐ Level 3  
☐ 5-year reinvestigation (SIGBIU) ☐ Level 2 ☐ Level 3  
☐ Limited update investigation (SIGBIU)  
☐ Other (specify) \_\_\_\_\_

The applicant is being considered for:

- ☐ Presidential appointment  
☐ White House staff position  
☐ Access: ☐ Detail/other government employee  
☐ Presidential recognition  
☐ Other (specify) \_\_\_\_\_

☒ Position requiring Senate confirmation

☐ Contractor ☐ Intern ☐ Volunteer  
Attachments: ☐ SF-86 ☐ SF-86 Supplement ☐ Fingerprint Card ☐ Other

Remarks/  
Special instructions:

I certify, subject to 18 U.S.C. § 1001, that the above is sought for official purposes only and I understand that obtaining this information under false pretenses or any unauthorized disclosure may be a violation of the Privacy Act, 5 U.S.C. § 552a.

Requested by: *[Signature]*

This request has been reviewed and approved by the Counsel's Office.

Approved by: *[Signature]*

REQUEST REVIEWED AND  
CLEARED FOR RESPONSE  
BY FBI OGC

INITIAL

DATE

3-06-01

LEV 1 - 2 OF 25 STORIES

Copyright 1998 The Denver Post Corporation  
The Denver Post

January 5, 1998 Monday 2D EDITION

SECTION: BUSINESS; Pg. E-03

LENGTH: 553 words

HEADLINE: Entrepreneur's pairing of land, Feds paying off

BYLINE: By Jeanne Cummings, The Wall Street Journal

## BODY:

To most people, Dawson Ridge looks like just another vacant stretch of Colorado prairie. But to Franklin Haney, it is money.

Haney bought the tract in 1993. Two years later, he needed more than \$ 120 million to refinance the Portals, a troubled office-tower complex in Washington. And Dawson Ridge held the key.

Haney pulled off a hat trick: Through three different entities, he put the land up for sale, bought it back and financed the whole transaction with a tax-exempt-bond sale. He was thus able to generate much of the money to finance the Portals, which will now be leased by the Federal Communications Commission for the next 20 years.

Sound complex? This is the world of Franklin Haney, 57. In the past three decades, the Tennessee entrepreneur has found a profitable niche in government and real estate. His career is a case study in how the combination works.

Haney's business career tends to provoke strong reactions. Tennessee state Sen. Ward Crutchfield calls him "an entrepreneur's dream." Even Haney's detractors marvel at his skill in figuring out the intricacies of government contracting and the constantly changing rules of tax-exempt finance. But another prominent Tennessee real-estate developer and longtime competitor, T.A. Lupton, objects to Haney's frequent reliance on government-subsidized financing. "I never felt it was the government's obligation to finance my buildings," he says.

In the course of his career, Haney also has assembled a hefty political Rolodex. In the last election cycle, he donated more than \$ 200,000 to the Democratic Party. He is a friend of Tennessee's premier Democratic family, the Gores. He was a guest of President Clinton on Air Force One.

Jim Sasser, ambassador to China and a former senator from Tennessee, attended this year's debut of Haney's daughter at the Chattanooga Cotton Ball.

Haney makes no secret of these friendships. With his "aw-shucks," style, he is "very colorful, very gregarious, very disarming," says Dana Ledbetter, a board member of a Colorado property that Haney once coveted.

The question of whether his political contacts have helped Haney win government contracts and secure tax-free financing has recently set off some debate. After press reports speculated whether his ties to Gore helped secure the FCC as a Portals tenant, House Republican investigators subpoenaed

58A-42-1193317-6SA

The Denver Post, January 5, 1998 Monday

documents from Haney.

For all his success in working the system, Haney probably wouldn't be facing congressional inquiries had he kept his business well below the Mason-Dixon line. But the lucrative Washington Portals lease - which will bring in about \$ 400 million over 20 years - has led Republicans to scrutinize a \$ 1 million fee Haney paid Washington lawyer Peter Knight, one of Gore's trusted advisers, and chairman of the 1996 Clinton-Gore re-election campaign.

Haney declined repeated requests for interviews, but in response to written questions, he says through his spokesman, Kenneth Vest, that he has many prominent friends - including former Tennessee Republican Sen. Howard Baker, who now sublets Haney's Washington apartment. Haney has never been accused of any illegality, and, Vest says, "his business success over the years is the result of hard work, ingenuity and the willingness to take risks others have shied away from."

GRAPHIC: The Denver Post Dawson Ridge deal (map)

LOAD-DATE: January 06, 1998



DEFENSE INVESTIGATIVE SERVICE  
1900 HALF ST., S.W.  
WASHINGTON, D.C. 20324-1700

MAY 15 1985

FEDERAL GOVERNMENT

APR 9 1985

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b7C

Reply to  
Attn of: V0101

SUBJECT: BAKER, Howard Henry Jr.  
M 414-44-9634 25/11/15 59

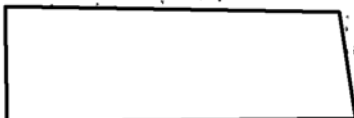
TO: Federal Bureau of Investigation  
Intelligence Division/Liaison  
J. Edgar Hoover Building  
Washington, DC 20535

1. Former U.S. Senator Howard Baker as a director of AT&T requires a Top Secret security clearance in connection with his duties.
2. Request that the FBI provide a copy of any prior investigation to DIS to preclude any duplication of effort in the requisite Background Investigation



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b7C

An April 1985 investigation was conducted by the FBI regarding the activities of the House.



1 copy Return DIS via Hahn  
on 6/10/85/SLC

NOT RECORDED

JUN 13 1985

b6  
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File in  
161-18508

RECEIVED BY LIAISON

DATE

Resubmitted 5/13/85  
RR EAU 6/10/85 SLC

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b7C



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D.C. 20535

BY COURIER

Date: 3-6-85

To:

In Reply, Please Refer to  
File No.Return to Room 5161  
Attn:b6  
b7C  
b7E

From: Director, Federal Bureau of Investigation

Subject: HOWARD HENRY BAKER, JR.

FEDERAL GOVERNMENT

## NAME CHECK REQUEST

It is requested this Bureau be furnished all information available in the files of your Agency's  
☐ Office of the Director of Security ☒ Office of the Deputy Director for Operations, concerning captioned subject.  
 Positive information should be attached to a copy of this form classified where appropriate and returned to this  
 Bureau. If the requested check is negative, a stamped notation to this effect and return of a copy of this form is  
 requested.

Date and Place of Birth 11-15-25 Huntsville, Tenn.	Aliases none	Sex M	Marital Status Married	Spouse's Name Danice Joy Baker; nee Dirksen
Residence Address Woodlawn Place, Huntsville, Tenn. 3224 Woodland Dr., Washington, DC	Occupation Partner, Attorney at Law			
Current Employer Vinson & Elkins, 1101 Connecticut Ave., NW, Suite 900, Washington, DC	Former Employments — United States Senate Washington, D.C.			
Additional Remarks: BAKER has traveled extensively throughout the world in connection with his work as United States Senator.				

2 - Deputy Director for Operations  
 2 - Director of Security

NOT RECORDED

23 NOV 15 1985

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ENCLOSURE

53 FEB 1 1986

SPECIAL INQUIRY

FBI/DOJ

1 -  
1 -

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APR 3 1985

BY COURIER

4-3-85  
dy

Honorable Fred F. Fielding  
Counsel to the President  
The White House  
Washington, D. C.

FEDERAL GOVERNMENT

Dear Mr. Fielding:

In accordance with a request received from you on March 6, 1985, an investigation has been conducted concerning Mr. Howard Henry Baker, Jr. Transmitted herewith is a summary memorandum containing the results of this investigation.

An expanded name check was conducted concerning Mr. Baker and was provided to you on January 11, 1985.

A request for a check of the records of the Federal Election Commission has been outstanding since the outset of this inquiry. To date, the results have not been received. We consider our investigation to be complete at this time, and we will forward the results of the above-mentioned records check to you when that information is made available.

Sincerely yours,

Oliver B. Revell E-133  
Assistant Director  
Criminal Investigative Division

NOT RECORDED

23 NOV 15 1985

NOTE: Appointee is the former United States Senator from the State of Tennessee and is under consideration for a Presidential appointment to the President's Foreign Intelligence Advisory Board, Washington, D. C. Since leaving office in January, 1985, appointee has been a partner in the law firms of Baker, Worthington, Crossley, Stansberry, and Woolf, Huntsville, Tennessee; and Vinson and Elkins, Washington, D. C. In addition, he is also a member of the Board of Directors of numerous corporations. Investigation is favorable, except for a 1983 speeding ticket. Investigation is complete, except for a check of FEC files.

RETURN TO ROOM 5158

b6  
b7C

\*Pending Inactive

MAIL ROOM

REVERSE SIDE  
DISSEMINATED

Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_\_  
Crim. Inv. \_\_\_\_\_  
Ident. \_\_\_\_\_  
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Lab. \_\_\_\_\_  
Legal Coun. \_\_\_\_\_  
Off. Cong. & Public Affs. \_\_\_\_\_  
Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

53 FEB 1986

APR 3 1985

HOWARD HENRY BAKER, JR.

324 WOODLAND DR  
N.W. WASHINGTON, D.C.

THE INVESTIGATION OF MR. BAKER COVERED INQUIRIES IN THE UNITED STATES AND ENGLAND AS TO HIS CHARACTER, LOYALTY, AND GENERAL STANDING, BUT NO INQUIRIES WERE MADE AS TO THE SOURCES OF HIS INCOME.

### Birth

Mr. Baker was born on November 15, 1925, in Huntsville, Tennessee.

### Education

July, 1943, to  
February, 1944

University of the South, Sewanee,  
Tennessee, receiving no degree

March, 1944, to  
February, 1945

Tulane University, New Orleans,  
Louisiana, receiving no degree

Fall, 1946, to  
June, 1949

University of Tennessee,  
Knoxville, Tennessee, receiving a  
J.D. degree

### Military Service

Mr. Baker enlisted in the United States Navy Reserve on June 2, 1943, and served in an inactive status until July 1, 1943. On July 2, 1943, he entered the United States Navy and served on active duty. On March 5, 1945, he was terminated as a midshipman and on March 6, 1945, he was commissioned as an ensign. He continued to serve on active duty until June 25, 1946, when he was honorably released as an ensign and was transferred to the United States Navy Reserve. He served in an inactive status until he was honorably discharged on May 7, 1959. His service record is clear.

Exec AD Adm. \_\_\_\_  
Exec AD Inv. \_\_\_\_  
Exec AD LES \_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_  
Crim. Inv. \_\_\_\_  
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Intell. \_\_\_\_  
Lab. \_\_\_\_  
Legal Coun. \_\_\_\_  
Off. Cong. &  
Public Affs. \_\_\_\_  
Rec. Mgnt. \_\_\_\_  
Tech. Servs. \_\_\_\_  
Training \_\_\_\_  
Telephone Rm. \_\_\_\_  
Director's Sec'y \_\_\_\_

RR:vm (2)

RETURN TO [ ] ROOM 5158

161-18508 38  
ENCLOSURE

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b7C



Howard Henry Baker, Jr.

Employment

1949 to  
January, 1967; and  
January, 1985, to  
the present

Law firm of Baker, Worthington,  
Crossley, Stansberry, and Woolf,  
Huntsville, Tennessee, as a  
partner. The name of this law  
firm has evolved through the  
years, and was originally known  
as Baker and Baker.

January, 1967, to  
January, 1985

United States Senator from the  
State of Tennessee

January, 1985, to  
the present

Law firm of Vinson and Elkins,  
Washington, D. C.

In addition to the above, since January, 1985,  
Mr. Baker has been a member of the Boards of Directors of the  
following:

American Telephone and Telegraph Company,  
New York, New York

Gannett Company, Incorporated (newspaper publishers),  
Rochester, New York

MCA, Incorporated (television production and  
distribution),  
Universal City, California

Advisory Council, Merrill Lynch and Company,  
Incorporated,  
New York, New York

Since February, 1985, Mr. Baker also has been a member  
of the Board of Trustees of the Mayo Foundation, Rochester,  
Minnesota; and since January, 1985, he has been a consultant for  
the Merrill Lynch Private Capital Company, Incorporated,  
New York, New York.

In addition, since an unrecorded period, Mr. Baker has  
been [redacted]  
Huntsville, Tennessee, [redacted]  
[redacted] maintains a rental house in Huntsville, Tennessee.

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Howard Henry Baker, Jr.

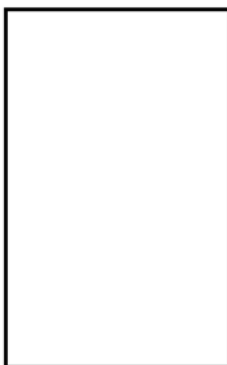
#### Family Status

Mr. Baker is married to the former Danice Joy Dirksen, who he has indicated is a United States citizen. They reside at 3224 Woodland Drive, Northwest, Washington, D. C.

Mr. and Mrs. Baker also maintain a residence in Woodlawn Place, Huntsville, Tennessee, and a vacation home at 9909 Collins Avenue, Miami Beach, Florida. In addition, they also own property at 3030 Kingston Pike, Knoxville, Tennessee, which is currently under renovation.

Mr. Baker's parents, Howard and Dora Baker, and his stepmother, Irene Bailey Baker, are deceased.

In addition to his wife, Mr. Baker has listed the following living close relatives:



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Based on the background information furnished by Mr. Baker, he has no close relatives residing in communist-controlled countries.

#### Interviews

Thirty-six persons, consisting of current and former supervisors and colleagues, present neighbors, references, associates, and social acquaintances, were interviewed. They advised Mr. Baker is a loyal American whose character, reputation, and associates are above reproach. Mr. Baker was described by his colleagues as an individual who is knowledgeable in a variety of areas. They also advised that Mr. Baker possesses a good feeling for what is right and wrong, and that he always exercises good judgment. It was stated by Mr. Baker's colleagues that he does outstanding work on any job which he undertakes, and he was described as a shrewd, intelligent, and perceptive individual. It was stated that Mr. Baker is oriented

Howard Henry Baker, Jr.

toward seeking consensus approaches to issues, and that he considers both sides of an issue. It was also stated that Mr. Baker is excellent at problem solving, and that he can quickly identify and implement a solution. Virtually everyone interviewed during the course of this investigation described Mr. Baker as an individual of unquestionable honesty and integrity, and stated that he is an excellent choice for a Presidential appointment in view of his experience, intelligence, and moderating approach. Those individuals who have known Mr. Baker as an attorney described him as a superb trial lawyer and negotiator. They also stated that Mr. Baker is very cautious regarding sensitive issues. Those individuals who have known Mr. Baker socially as well as professionally described him as a genuinely nice person who is the same man both in private and in public. They also described him as a warm, charming and personable man, who maintains an excellent sense of values. No one interviewed knew of any illegal drug use or alcohol abuse on the part of Mr. Baker. All persons interviewed highly recommended him for a position of trust and responsibility.

Among those interviewed are the following:

Harrison H. Schmitt, Member, President's Foreign Intelligence Advisory Board, Washington, D. C.

Charles McC. Mathias, Jr., United States Senator from the State of Maryland, Washington, D. C.

James A. Baker, III, Secretary of the Treasury, Department of the Treasury, Washington, D. C.

[redacted] law firm of Baker,  
Worthington, Crossley, Stansberry, and Woolf, Huntsville,  
Tennessee

b6  
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[redacted] law firm of Baker,  
Worthington, Crossley, Stansberry, and Woolf, Huntsville,  
Tennessee

[redacted] First National Bank of  
Oneida, Huntsville, Tennessee

b6  
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[redacted] The Harriman Oil Company,  
Harriman, Tennessee

Howard Henry Baker, Jr.

#### Credit and Arrest Checks

Information has been received from appropriate credit reporting agencies indicating their files contain either no record or no additional pertinent information concerning Mr. Baker.

Information has been received from appropriate law enforcement agencies indicating their files contain no record concerning Mr. Baker or his close relatives, except the following:

The records of the Town of Jacksboro, Tennessee, indicate that on December 21, 1983, Mr. Baker was cited for speeding for which he paid a \$55 fine on December 27, 1983.

#### Bar Affiliations

Mr. Baker is eligible to practice law in the State of Tennessee. No grievances have been filed against him.

Information has been received from appropriate bar organizations in the District of Columbia indicating their files contain no record concerning Mr. Baker.

#### Miscellaneous

The records of the Scott County, Tennessee, Circuit Court, indicate the following information concerning Mr. Baker:

On September 16, 1974, the case entitled Howard H. Baker, Jr., and Joy D. Baker, plaintiffs, v Matthiessen and Hegeler Corporation, La Salle, Illinois, defendant was filed. The plaintiffs alleged that roofing materials manufactured by the Matthiessen and Hegeler Corporation which were used in the 1969 construction of a dwelling in Huntsville, Tennessee, had deteriorated. The plaintiffs sued the defendants for \$9,500.00 in damages, and on April 2, 1976, an Order of Dismissal was filed which stated that the parties had reported to the Court that all matters in the controversy had been compromised and fully settled.

Howard Henry Baker, Jr.

Agency Checks

Information has been received from the following governmental agencies indicating their files contain either no record or no additional pertinent information concerning Mr. Baker:

Office of Personnel Management,  
Defense Central Index of Investigations,  
Tennessee State Election Commission,  
Tennessee State Election Coordinator's Office,  
  
Securities and Exchange Commission,  
United States Secret Service,  
and the White House Office.

b7E

General files at FBI Headquarters, files of the Identification Division and appropriate computer data bases contain no additional pertinent information concerning Mr. Baker.

44031

1 - [redacted]  
1 - [redacted]

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MAR 17 1987

BY COURIER

3-17-87  
[signature]

Honorable Peter J. Wallison  
Counsel to the President  
The White House  
Washington, D. C.

FEDERAL GOVERNMENT

Dear Mr. Wallison:

In accordance with a request received from you on March 4, 1987, an expanded name check has been conducted concerning Mr. Howard Henry Baker, Jr. Enclosed is a summary memorandum containing the results of this expanded name check.

An expanded name check and a background investigation were conducted concerning Mr. Baker in January, 1985, and April, 1985, respectively. The results of those inquiries have been previously provided to your office.

This investigation is complete except for one FBI Headquarters file which has yet to be reviewed. When this is completed you will be advised.

Sincerely yours,

[signature]

Floyd I. Clarke  
Assistant Director  
Criminal Investigative Division

Rdd  
Enclosure  
WDE:at (4)  
[signature]

(LWD)

NOTE: Mr. Baker is currently being considered for a Presidential appointment as Chief of Staff, Executive Office of the President, which will not require Senate confirmation. 161-18508-44

Note Continued

RETURN TO [redacted] ROOM 1B035

b6  
b7C

- Exec AD Adm. \_\_\_\_\_
- Exec AD Inv. \_\_\_\_\_
- Exec AD LES \_\_\_\_\_
- Asst. Dir.:
- Adm. Servs. \_\_\_\_\_
- Crim. Inv. \_\_\_\_\_
- Ident. \_\_\_\_\_
- Insp. \_\_\_\_\_
- Intell. \_\_\_\_\_
- Lab. \_\_\_\_\_
- Legal Coun. \_\_\_\_\_
- Off. Cong. & Public Affs. \_\_\_\_\_
- Rec. Mgnt. \_\_\_\_\_
- Tech. Servs. \_\_\_\_\_
- Training \_\_\_\_\_
- Telephone Rm. \_\_\_\_\_
- Director's Sec'y \_\_\_\_\_

ENCLOSURE  
CC: [unclear]  
DOJ OSS  
RR. 4/13/89  
Apr 25 2000  
ANS BY [unclear]

photo encl.  
CC TO: [unclear]  
REQ. REC'D 11/18/89  
NOV 17 1989  
AN: [unclear]  
BY: [unclear]

NOV 4 1987  
[unclear]  
[unclear]

MAIL ROOM

Honorable Peter J. Wallison

FBIHQ File 161-18248-1596 indicates that an expanded name check was conducted concerning Mr. Baker in January, 1985. The results of that expanded name check have been previously provided to the White House.

FBIHQ File 161-18508 indicates that a background investigation was conducted concerning Mr. Baker in April, 1985. The results of this investigation were also previously furnished to the White House.

FBIHQ File 58-11887-451 is maintained in the Special File Room, and requires special clearances to review this material. When this review is completed and coordinated with the appropriate FBIHQ personnel, the results will be forwarded to the White House.

Pending

MAR 17 1987

HOWARD HENRY BAKER, JR.

This summary memorandum contains the results of an expanded name check concerning Mr. Baker, who was born on November 15, 1925, in Huntsville, Tennessee.

Agency Checks

Information has been received from the United States Attorney's Offices in Knoxville, Tennessee, and Washington, D. C., which cover the areas where Mr. Baker currently resides and is employed, indicating their civil and criminal files contain no record concerning him.

A search of the records of the FBI, including central records at FBI Headquarters, the records of the Identification Division, the files of appropriate field offices, as well as appropriate computer data bases, did not identify any files that contain pertinent information identifiable with Mr. Baker except the following:

\*

FBI files indicate that an expanded name check and a background investigation were conducted concerning Mr. Baker in January, 1985, and April, 1985, respectively. The results of those inquiries were previously provided to the White House.

One FBI file which contains a reference to Mr. Baker has yet to be reviewed.

\* FBIHQ Files 161-18248-1596, 161-18508

Exec AD Adm. \_\_\_\_  
Exec AD Inv. \_\_\_\_  
Exec AD LES \_\_\_\_  
Asst. Dir.:  
Adm. Servs. \_\_\_\_  
Crim. Inv. \_\_\_\_  
Ident. \_\_\_\_  
Insp. \_\_\_\_  
Intell. \_\_\_\_  
Lab. \_\_\_\_  
Legal Coun. \_\_\_\_  
Off. Cong. &  
Public Affs. \_\_\_\_  
Rec. Mgnt. \_\_\_\_  
Tech. Servs. \_\_\_\_  
Training \_\_\_\_  
Telephone Rm. \_\_\_\_  
Director's Sec'y \_\_\_\_

WBE:at (2)

RETURN TO

ROOM 1B035

ENCLOSURE

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APR 1 1987

BY COURIER

4187  
2/21

Honorable Arthur B. Culvahouse, Jr.  
Counsel to the President  
The White House  
Washington, D. C.

FEDERAL GOVERNMENT

Dear Mr. Culvahouse:

Reference is made to my letter dated March 17, 1987, which furnished you the partial results of an expanded name check concerning Mr. Howard Henry Baker, Jr.

Transmitted herewith is a summary memorandum containing the results of an additional inquiry in this matter.

This completes our investigation.

Sincerely yours,

*Floyd I. Clarke*

Floyd I. Clarke  
Assistant Director  
Criminal Investigative Division

~~ENCLOSURE~~

Enclosure

WBE:ajk (4)

NOTE: The language used in this summary was coordinated with Supervisory Special Agent (SSA)  Team Leader, Office of the Independent Counsel. SSA  advised he did not believe the language used concerning the FBI file review would adversely affect the ongoing investigation.

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Exec AD Adm. \_\_\_\_\_  
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Rec. Mgnt. \_\_\_\_\_  
Tech. Servs. \_\_\_\_\_  
Training \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

Closed

RETURN TO  ROOM 1B035

14-15006-45

TO: \_\_\_\_\_  
REQ. REC'D  
NOV 17 1989

NOV 4 1987  
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4-13-60  
APR 25 2000  
ANS BY twindg

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FBI/DOJ

APR 1 1987

HOWARD HENRY BAKER, JR.

The information in this summary memorandum supplements the information contained in a summary memorandum dated March 17, 1987.

Agency Checks

In 1986, at the direction of the Attorney General, Edwin Meese, an investigation was instituted by the FBI concerning the sale of arms to Iran and the possible diversion of proceeds from those sales to the Nicaraguan "Contras."

There is only one reference in FBI files\* to Mr. Baker concerning this investigation. On December 19, 1986, Lawrence E. Walsh was named as Independent Counsel and now has control over this matter. The nature of the reference to Mr. Baker cannot be provided to you at this time.

\*58-11887-451

*WFE*  
WFE:ajk (4)  
*WJ*

RETURN TO  ROOM 1B035

Exec AD Adm. \_\_\_\_\_  
Exec AD Inv. \_\_\_\_\_  
Exec AD LES \_\_\_\_\_  
Asst. Dir.:  
  Adm. Servs. \_\_\_\_\_  
  Crim. Inv. \_\_\_\_\_  
  Ident. \_\_\_\_\_  
  Insp. \_\_\_\_\_  
  Intell. \_\_\_\_\_  
  Lab. \_\_\_\_\_  
  Legal Coun. \_\_\_\_\_  
  Off. Cong. &  
  Public Affs. \_\_\_\_\_  
  Rec. Mgnt. \_\_\_\_\_  
  Tech. Servs. \_\_\_\_\_  
  Training \_\_\_\_\_  
Telephone Rm. \_\_\_\_\_  
Director's Sec'y \_\_\_\_\_

MAIL ROOM ☐

ENCLOSURE

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FBI/DOJ

161-18508-45

UNITED STATES GOVERNMENT

*Memorandum*

TO : FBIHQ

DATE: 5-18-89

FROM : SAC, NEW ORLEANS (161B-R-23)

☐ RUC

SUBJECT:

Howard Henry Baker, Jr  
(Title)☒ File Destruction Program

Enclosed are \_\_\_\_\_ items.

These items are forwarded your office since:

☐ All logical investigation completed in this Division☐ You were OO at the time our case was RUC'd.

Enclosures are described as follows:

☒ 1-A EXHIBITS

\_\_\_\_\_ LAB REPORTS

\_\_\_\_\_ FD-302's

\_\_\_\_\_ BULKY EXHIBITS

Enc.

NOTE: DO NOT BLOCK STAMP ORIGINAL ENCLOSURES.

ENCLOSURE ATTACHED

161-18508  
NOT RECORDED

2 MAY 23 1989



Field File No. NO 161B.R-23-1A

OO and File No. \_\_\_\_\_

Date Received 3/20/85

From [Redacted] REGISTRAR'S  
(Name of Contributor)

Office, TULANE UNIVERSITY  
(Address of Contributor)

NEW ORLEANS LA

By [Redacted]  
(Name of Special Agent)  
EA

To Be Returned ☐ Yes

☐ No

☐ Yes

☐ No

Receipt Given ☐ Yes

☐ No

Grand Jury Material - Disseminate  
Only Pursuant to Rules 6(e),  
Federal Rules of Criminal  
Procedure

Description: \_\_\_\_\_

Scholarship record for  
HOWARD S. BAKER from  
TULANE UNIVERSITY

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RECORD OF THE TULANE UNIVERSITY OF LOUISIANA  
NEW ORLEANS

Baker, Howard Henry, Jr. in the College of Engineering

Last Name First Name Middle Name

Date of birth Nov. 15, 1925 Place of birth Huntsville, Tenn.

Name of Parent or Guardian Howard H. Baker Address Huntsville, Tenn.

ADMITTED March 6, 1944 GRADUATED

Basis of Admission Navy V-12 Program Transfer from Univ. of the South, Sewanee, Tenn.

Graduate of McCallie School, Chattanooga, Tenn. Date of Graduation Not stated Third Not stated

ENTRANCE UNITS: Offered: History 2 Chemistry 1

English 4 Latin Civics 1 Bible 1

Algebra 2 French Gen. Sci. 1 Gen. Math. 1

Plane Geom. 1 German

Solid Geom. Spanish 2 Biology 1

Total 15 1/2

Session	1943-44	Class	Soph.	Program	Elect. Eng'g.	Session	Class	Program	Gr.	Gr.	Cr.	Q. P.
Course and No.	Spring	Descript. Title	Gr.	Gr.	Cr.	Q. P.	Course and No.	Descript. Title	Gr.	Gr.	Cr.	Q. P.
C.1	General Chemistry	A	-	3			Navy Student - record from University of the South, Sewanee, Tenn. not evaluated.					
C.1L	General Chemistry Lab.	A	-	1								
A.1	Analytical Mech.-Statics	C	-	3								
E.L21	Mechanics Lab.	C	-	2								
Econ.41	Economics	B	-	3								
M.5	Differential Calculus	C	-	4								
Phy.Tr.3	Physical Training	A	-	1								
N.3	Nav. Hist. & Elem.Strat.	C	+	3								
SESSION 1944-1945 - Fall Semester												
C2	General Chemistry	C	+	2								
C2L	General Chemistry Lab.	C	+	2								
E. 22	Mechanics	E	+	0								
Econ. 8	Labor Problems	E	+	3								
E.E.22	Prin. of Elect. Eng'g	F	-	0								
E.E.L22	Prin. of Elect.Eng'g.Lab.	C	-	2								
Math. M6	Integral Calculus	D	-	4								
Phy.Tr.4	Physical Training	A	-	2								

Not registered in Eng'g. Winter Semester

NO FURTHER RELEASE WITHOUT  
STUDENT'S WRITTEN CONSENT

002-1081

161-18508

① - [ ]

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JUL 19 1988  
BY COURIER

Honorable Arthur B. Culvahouse, Jr.  
Counsel to the President  
The White House  
Washington, D. C.

Dear Mr. Culvahouse:

In accordance with a request received from your office on July 13, 1988, an expanded name check has been conducted concerning Mr. Howard Henry Baker, Jr. Transmitted herewith is a summary memorandum containing the results of this expanded name check.

A background investigation and two expanded name checks have been conducted concerning Mr. Baker. The most recent expanded name check was conducted in April, 1987, and the results of those inquiries have been previously provided to your office.

Sincerely yours,

Floyd I. Clarke  
Assistant Director  
Criminal Investigative Division

Enclosure

PEB:vm (3)

NOTE: Mr. Baker is currently associated with Baker, Worthington, Crossley, Stansberry and Woolf, Huntsville, Tennessee, as a partner. He is being investigated for a Presidential appointment on the President's Foreign Intelligence Advisory Board. Investigation revealed Mr. Baker is referenced in the Iran/Contra investigation. The language used in this summary was reviewed by SSA [ ] Office of the Independent Counsel. SSA [ ] requested that the nature of the reference to Mr. Baker not be provided to the White House at this time.

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SEE NOTE PAGE 2

OFFICE SIDE  
DISSEMINATION

Honorable Arthur B. Culvahouse, Jr.

On July 15, 1988, SSA [redacted] SPIN Unit, telephonically advised [redacted] the White House, of the results of this expanded name check and that one file referenced to Mr. Baker was in the possession of Independent Counsel, Laurence E. Walsh.

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Reference reviewed by PSS [redacted] and which is considered possibly pertinent is the following: [redacted]

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Investigation is complete.





U.S. Department of Justice

Federal Bureau of Investigation

Washington, D.C. 20535

JUL 19 1988

HOWARD HENRY BAKER, JR.

This summary memorandum contains the results of an expanded name check concerning Mr. Baker, who was born on November 15, 1925, in Huntsville, Tennessee.

Agency Checks

Information has been received from the United States Attorneys' Offices in Knoxville, Tennessee, and in Washington, D. C., which cover the areas where Mr. Baker currently resides and is employed, indicating their civil and criminal files contain no record concerning him.

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the index of Identification Division, the indices of appropriate field offices and other appropriate computer data bases, did not identify any documents that contain pertinent information identifiable with Mr. Baker, except the following:

Mr. Baker was the subject of an FBI background investigation and two expanded name checks, the most recent of which was conducted in April, 1987, in connection with a Presidential appointment.

In 1986, at the direction of Attorney General Edwin Meese, III, an investigation was instituted by the FBI concerning the sale of arms to Iran and the proceeds from those sales to the Nicaraguan "Contras." This investigation has identified a reference to Mr. Baker.

On December 19, 1986, Lawrence E. Walsh was named as Independent Counsel and Mr. Walsh now has control over this investigation and the FBI files concerning it.



7/19

Howard Henry Baker, Jr.

A representative from Mr. Walsh's staff has requested that the nature of the reference to Mr. Baker not be provided to you at this time. Additional inquiries regarding those references should be addressed to Mr. Lawrence E. Walsh.

It should be noted that the currency of the data input into the various indices can vary from days to even months from the date of the document as a result of existing clerical backlogs throughout the FBI.



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535-0001

March 9, 2001

HOWARD HENRY BAKER, JR.

Captioned individual, who you advised was born November 15, 1925, in Huntsville, Tennessee, and holds Social Security Number 414-44-9634, was the subject of expanded name checks completed in January 1985, 1987, and 1988 and a background investigation by the FBI in 1985. Attached are six memoranda which contain the results.

In 1986, at the direction of Attorney General Edwin Meese, an investigation was instituted by the FBI concerning the sale of arms to Iran and the possible diversion of proceeds from these sales to Nicaraguan Contras. In December 1986 Lawrence E. Walsh was named as Independent Counsel over this matter. In January 1994 Mr. Walsh released his report, concluding his seven-year probe. There is a reference to Baker in this investigation; however, he was not named as a subject, nor was he one of the 14 individuals who were prosecuted or who were convicted or pled guilty in this matter.

The central files at FBI Headquarters, records of the Criminal Justice Information Services Division, and appropriate computer data bases contain no additional pertinent information identifiable with captioned individual based upon background information furnished in connection with this name check request.

Enclosures (6)

1 - [redacted]  
1 - [redacted] Room 4371  
1 - [redacted]  
1 - HQ 161-18508  
JJS:jtg (5)

NOTE: Per request from [redacted] White House Counsel's Office (PAS).

b6  
b7c

DRW/KLao

MAR 09 2001

REVERSE SIDE

DISSEMINATION

HQ 161-18508-47



United States Department of State  
Diplomatic Security Service  
Special Agent in Charge  
P.O. Box 66  
Dunn Loring, Virginia 22027

April 5, 2001

[Redacted]  
Office of Counsel to The President  
The White House  
Washington, DC

Dear [Redacted]

Howard Henry Baker, who was born November 15, 1925 in Huntsville, Tennessee, SSN: 414-44-9634, is being considered for a position with the Department of State in a high level position. It is understood that the FBI inquiries were conducted at the direction of the White House.

It would be appreciated if you authorized the FBI to furnish this office with a copy of all previous and current reports concerning Mr. Baker to assist in the necessary security clearance procedures.

Thank you for your assistance in this matter.

Sincerely,

[Redacted Signature]

4-6-01

Release authorized.

[Redacted]

Clearance Counsel  
Office of Counsel to the President

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1cc of memo dated 3-9-2001 and six enclosures were sent to DSS - Diplomatic Security  
4-10-01-PR-4-4-01-JAD

# Name Check Request

**Requesting Office:**  
 United States Department of State  
 Bureau of Diplomatic Security  
 Washington Field Office  
 P.O. Box 66  
 Dunn Loring, VA 22027

**Date:** 04/02/2001

**From:**

**Liaison Officer**

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b7E

**Full Name of Person or Firm:**  
 BAKER, Howard Henry

**Date of Birth:**  
 11/15/1925

**Place of Birth:**  
 Huntsville, TN

**Aliases or Nicknames:**

**Sex:**  
 Male

**Marital Status:**  
 Married

**Social Security Number:**  
 414-44-9634

**Military Service Number:**  
 433208

**Address:**  
 407 Woodland Place, Huntsville, TN

**Naturalization Certificate Number:**

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This reply is a result of a check of FBI Headquarters investigative files only. To check on a name and request must be sent to the Criminal Justice Information Services Division. Fingerprints are necessary for a positive check.

**Date:**

**Checked by:**