

Memorandum

100 - 35



Subject

George Michael Steinbrenner
FBI No. 383 595 MI
Applicant for pardon

Date

March 12, 1986

FEDERAL GOVERNMENT

To

Director, FBI
Att'n: Paul F. Nolan, Chief
Background Investigation
Unit
Room 5136, J.E.H. Bldg.

From

David C. Stephenson
Pardon Attorney

Enclosed is a copy of a new pardon application filed by subject, whose earlier petition was investigated by your Bureau in 1979. The closing report of that inquiry was made by [REDACTED] of your Pittsburgh office, and was dated April 23, 1979.

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I would appreciate your authorizing a supplemental investigation to bring the inquiry up-to-date and furnishing two copies of the report to this office when the investigation has been completed.

Please note that we have attached five character affidavits. It is requested that all character affiants be interviewed.

DE-79

73-17114-13

1-ENCLOSURE

64 FEB 22 1988

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PETITION FOR PARDON AFTER COMPLETION OF SENTENCE

DEC 26 AM 10 29

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Petitioner shall typewrite or print the answers in ink or ballpoint pen. Each question must be answered fully, truthfully, and accurately. If the space for any answer is insufficient, petitioner may complete his answer on an attachment. Submission of false information is punishable by imprisonment of up to five years and a fine of not more than \$10,000. 18 U.S.C. 1001.

THE PRESIDENT OF THE UNITED STATES:

The undersigned petitioner prays that he be granted a pardon and in support thereof states as follows:

PRELIMINARY INFORMATION

1. State full name: George Michael Steinbrenner III
First Name Last
 Address: [REDACTED] Tampa Florida 38618
No. Street City State Zip Code
 Telephone number (include area code): (W) 813/886-7130 (H) [REDACTED]
 Social Security No. [REDACTED] Date of Birth: July 4, 1930
 Are you a United States citizen? Yes If not, state nationality and give alien registration number: George M. Steinbrenner III

If you have ever been known by any other name, state in full every other name by which you have been known, including names under which you were convicted, the reason therefor, and the inclusive dates so known, and, if married woman, give maiden name:

None

Marital status: Married

For each marriage give the following: Name of spouse, date and place of marriage, and if applicable, date and place of divorce:

List your children by name and age: [REDACTED]

If not supporting family, explain:

OFFENSE(S) FOR WHICH PARDON IS SOUGHT

2. Petitioner was convicted in the United States District Court for the Northern
(Eastern, Western, etc.)
 District of Ohio Cleveland on a plea of
(State) (City)
Guilty of the crime of conspiracy to make corporate campaign con-
(Guilty, not guilty, etc.) (Describe specifically) tributions in violation of and was
 sentenced on August 30 19 74 to N/A the fact for
(length of sentence) N/A and/or to pay a fine of \$15,000 Petitioner was
43 years of age when the crime was committed

73-1974-13

(2)

If conviction was appealed, complete the following paragraph:

3. Petitioner appealed to the United States Court of Appeals, where the judgment was affirmed on _____, 19 _____. An appeal _____ taken to the Supreme Court. The Supreme Court _____ a petition for writ of certiorari on _____, 19 _____. If _____ (granted, denied) certiorari was granted, the judgment was affirmed on _____, 19 _____. If case was published, give citation(s): _____
4. Petitioner began service of his sentence on _____, 19 _____, was released on _____, 19 _____ from _____ and was finally discharged by expiration of sentence on _____, 19 _____. The fine _____ (has, has not) been paid in full. If not, explain why: _____

5. Give a detailed account of the offense including dates (or time span) of the offense, names of codefendants and, where applicable, the amount of money involved and whether restitution has been made. You are expected to describe the factual basis of your offense completely and accurately and not rely on code or name reference only. If your conviction resulted from a plea agreement, describe fully the extent of your total involvement in the criminal transaction as alleged by the prosecution not limiting yourself to the conviction itself.

See Memorandum in Support of the Petition for Pardon

RESIDENCES

6. List every residence you have had within the last 10 years. Begin with present and go back.

From	To	Number and Street	City	State
1975	Present	[REDACTED]	Tampa	Florida

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EMPLOYMENT

7. Name and address of employer: American Shipbuilding Company - 2502 Rocky Point Road, Tampa, Florida 33607
 Type of business: Shipbuilding Your position: Chairman of the Board
 Dates of employment: From August 18, 1967 to present.

LIST BELOW ALL PRIOR EMPLOYMENTS FOR THE LAST 10 YEARS

Name and address of employer: New York Yankees, Yankee Stadium, Bronx, New York
 Type of business: Baseball Your Position: Principal Owner & General Partner
 Dates of employment: From 1972 to Present

Name and address of employer: _____

Type of business: _____ Your position: _____

Dates of employment: From _____ to _____

Have you ever been discharged from employment for any reason? No (yes/no). Have you ever resigned after being informed that your employer intended to discharge you for any reason? No (yes/no). If you answered yes to either question, explain fully: _____

PRIOR AND SUBSEQUENT CRIMINAL RECORD

8. Have you ever been arrested, taken into custody, held for investigation or questioning, or charged by any law enforcement authority, whether Federal, state, local or foreign, either as a juvenile or adult? (yes/no). For each incident list date, nature of offense charged, law enforcement authority involved, location and disposition (Any omission will be construed as falsification):

None other than noted in question #2

CIVIL AND FINANCIAL INFORMATION

9. Are you in default in any way, in the performance or discharge of any debt or obligation imposed upon you? No (yes/no). Within the last 10 years, has any lien (including Federal or state tax liens) been filed against you, or have you been a party to any lawsuits or bankruptcy proceedings? Yes (yes/no). Do you have any pending matters with the Federal or state governments? Yes (yes/no). If you answered yes to any question, explain fully:

I am involved in numerous business ventures. With some, I actively participate in their management; with most, however, I do not. Some of these business ventures have been involved in adversary proceedings. All the adversary proceedings are civil proceedings. In some of these proceedings, I was named as a party. I am also currently undergoing an Internal Revenue Service audit. A complete list of all current adversary proceedings in which I have been named is attached.

(4)

MILITARY RECORD

10. Have you ever served in the armed forces of the United States: Yes (yes/no). If so, state nature of your separation(s) from the service: Honorable (if other than honorable, specify type thereof and circumstances surrounding your release(s):

List inclusive dates of service: 1952-1954

- b6 Serial number(s): [REDACTED] Branch(es) of service: U.S. Air Force While serving in the armed forces, were any charges or complaints ever made or filed against you, or were you the recipient of non-judicial punishment, or the defendant in any court-martial? No (yes/no). If yes, state fully the nature of the charge, relevant facts, disposition of the proceedings, the date thereof, and the name and address of the authority in possession of the records thereof. (If you were convicted of an offense by court-martial, complete, with appropriate modifications, paragraph 2 also):

REASONS FOR PARDON

11. State briefly your reasons for seeking a pardon (You are not expected to reargue your case, assert innocence or otherwise attack the validity of your conviction.):

See Memorandum in Support of the Petition for Pardon

12.

CERTIFICATION AND PERSONAL OATH

I hereby certify that all answers to the above questions and all statements contained herein are true, and I understand that any misstatements of material facts contained in this petition will cause adverse action on my application for Executive clemency in addition to any other penalties provided by law.

In petitioning the President of the United States for pardon, I do solemnly swear that I will be law-abiding and will support and defend the Constitution of the United States against all enemies, foreign and domestic, and that I take this obligation freely and without any mental reservation whatsoever, So Help Me God.

RECEIVED
DEPT. OF JUSTICE

6 MAR 7 4 8 : 42

PARDON ATTORNEY

Respectfully,

[Signature]
(Signature of petitioner)

Subscribed and sworn to before me this 18 day of January, 19 86

[Signature]
Notary Public

Notary Public: State of Texas
My Commission Expires 12-31-88

GEORGE M. STEINBRENNER, III

PENDING LEGAL ACTIONS

JANUARY 28, 1986

(ANSWER TO QUESTION 9)

<u>OPPOSING PARTY</u>	<u>COURT & CASE NUMBER</u>
Florida Cities Publishing Co.	Circuit Civil, Hillsborough County, Florida 83-9815 Division "W"
Claevely, Beeler & Jacksonville Monthly	District Court of Appeal Second District of Florida 85-1309
St. Philips Towing Co.	U.S. District Court Tampa Division 83-1464-Civ-T-15
Haught, Poston, Forlezo, et al.	U.S. District Court Tampa Division 81-1091-Civ-T-17
Bruce Poston and Chemical Bank	U.S. District Court Southern District of New York 82-Civ-0894
Ed Linn and CBS, Inc.	Supreme Court of New York County of Bronx Index No. 8098/84
Peavey Company and ConAgra, Inc.	U.S. District Court Western District of New York Civ 84-0878
Commissioner of Internal Revenue	U.S. Tax Court Docket No. 27952-83
United States of America	U.S. Court of Claim 52-83T

UNITED STATES
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT
ON BEHALF OF

George Michael Steinbremer III
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

I, Tom McIlven, residing at [REDACTED] Tampa, Florida
(print or type name of affiant) No. Street City State Zip Code

whose occupation is Sports Editor of the Tampa Tribune
certify that I have personally known the petitioner for 12 years. Except as otherwise indicated below,
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's
reputation, conduct and activities, including whether he has been arrested or had any other trouble with public
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

Subscribed and sworn to before me this _____ day of _____, 19____

Notary Public.

AFFIDAVIT OF TOM MCEWEN

I, Tom McEwen, hereby state as follows: This Affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

1. My name is Tom McEwen and I reside at [REDACTED]

[REDACTED] Tampa, Florida.

2. I am the Sports Editor of the Tampa Tribune.

3. I have known George since he came to Tampa to buy the Tampa Shipyard in 1974. I consider George a close friend.

4. I have seen George in all capacities, in all moods and in all circumstances. I have dealt with George on business, professional and social levels as well as having maintained a close friendship. Our families are also close

[REDACTED]

George is an experienced and tough businessman but he is also fair and accomodating.

6. As a sports editor I have also dealt with George professionally, covering his activities with the Yankees, the Tampa horsetrack, which he bought soon after coming here, and his numerous other sports involvements. In his dealings with the press, George has always been largely available and aware of the value of news. Although George, himself, often provides much to write about, so far as I know, he has never exploited the press.

7. I feel I know all sides of George. I know that he can, at times, be autocratic and firm and he is not without a temper. I also know that he is an absolute sap for a sad story: children, family, sports and the American flag move him. Unlike many other men, George backs up his emotion with action. George's charitable contributions are vast and he has many times gone the extra mile to help out a worthy cause.

8. For example, a few years ago Lou Piniella, a former Yankee player and manager who is from Tampa, retired. Lou had, in a way, got his start in baseball from the Boys Clubs of Tampa. Well, George threw a big fund raiser banquet for Lou and had many of the Yankee players attend. Fifty-five thousand dollars was raised with which the Boys Club used to build a much needed office center and recreation complex.

9. And when the Super Bowl was played here in Tampa several years ago, George threw his full support behind the NFL

Alumni Club. At his own expense he brought the Marine Drum and Bugle Corp. and other entertainment to the NFL Alumni Dinner which was able to raise \$400,000 for charitable causes nationally and in this area e.g. USO, Childrens Home.

10. Another example of George's never ending compassion and generosity occurred just last month. George and I attended the J.C. Penney mixed team golf tournament near here, visiting with the men and women pros, many of whom he had hosted at Yankee Stadium. I re-introduced him to Chi Chi Rodriguez and told him of a Rodriguez program underway in our area in which abused children are day-cared by his foundation.

The children spend their days working on a golf course that the City of Clearwater donated to the foundation. Moreover, another course is being built and will serve as a learning place for the abused kids. About 20 were following Chi Chi at the time. George immediately said he wanted to help. He promised that when the Yankees come to the area next March to play the Toronto Blue Jays he would host the kids, give them Yankee keepsakes and give the foundation his share of the game receipts--about \$20,000.

11. George also has a great romance with high school and college sports and has done much to help them out. George is one of the few major league owners that brings his ballclub to play at different universities during preseason. By doing so, George has helped many universities raise enough money to

purchase lights for the stadium that they otherwise would not be able to do.

12. George has also been very supportive of local causes. Here, in Tampa, George formed an organization that provides funds for the widows and orphans of Tampa police and firemen killed in the line of duty.

13. Moreover, George's blood is truly red, white and blue. He is a total patriot. All anyone has to do to know George's convictions is to hear him speak. He dwells on America the beautiful and the land of opportunity, on the affects of drugs, on poverty and the way to help eliminate it. He dwells on achievement and Yankee pride. He is a forceful speaker and widely sought. For example, he recently spoke to the Notre Dame football squad. Although the day he spoke was the day after the coach was fired, he fired the team up with his speech.

14. I know of George's prior felony conviction and his suspension from baseball for two years as a result of the conviction. I also know that George took and still takes the conviction very hard. I can say with authority, from experience and from a deep personal friendship, that relief of the pain and suffering of the felony would mean more to him than anything else. I can also say that since he came into my world, to Tampa, he has been a mighty contributor to that which

is good and wholesome. George Steinbrenner is a fine man, fine father, fine citizen. In my judgment a pardon not only is deserved but also would be most judicious. If he had time to serve, he has served it.

Signed under the pains and penalties of perjury.

Tom McEwen
Tom McEwen

Subscribed and sworn to before me this 28th day
of January, 1986.

Harry Whitcomb
Notary Public

My commission expires:
Notary Public, State of Florida
My Commission Expires July 12, 1989

UNITED STATES
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT
ON BEHALF OF

George Michael Steinbrenner III
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

I, Albert W. Bernstein, residing at [REDACTED] Cleveland, Ohio
(print or type name of affiant) No. Street City State Zip Code

whose occupation is Restaurant Owner
certify that I have personally known the petitioner for 30 years. Except as otherwise indicated below,
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's
reputation, conduct and activities, including whether he has been arrested or had any other trouble with public
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT.

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

Subscribed and sworn to before me this _____ day of _____, 19____

Notary Public.

AFFIDAVIT OF ALBERT W. BERNSTEIN

I, Albert W. Bernstein, hereby state as follows: This affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

1. My name is Albert W. Bernstein and I reside at [REDACTED]

[REDACTED] Cleveland, Ohio 44102.

2. I am in the business of restaurants. I own a chain of restaurants called the Pewter Mug.

3. George Steinbrenner is a very close, personal friend of mine and has been for a long time. I have known George for 30 odd years.

4. I first met and became friendly with George years ago when we were both young men in Cleveland. The Mayor at that time had selected a number of choice, promising, young men to form a group that would assist the city. I was one of those selected. George was selected to be chairman. The group, which was called Group 66, was formed to help raise money for and promote the city. Under George's tutelage Group 66 did a lot of good for the city. It was a real loss for the City of Cleveland when George left and moved to Tampa and New York.

5. Even after George moved away from Cleveland we remained close friends. We keep in touch quite often and we are able to visit each other through his trips to Cleveland and my occasional trips to Tampa. [REDACTED]

7. George and I have never grown apart and we have maintained a close personal relationship. Anytime one of us has a big family event, the other is there. [REDACTED]

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8. George has done so many tremendous things that this would be a very long affidavit if I were to relate them all. Many of these, however, no one knows about because George is not concerned with publicizing them.

9. As an example, one day about 12 to 14 years ago George came to me and said "You know Al, everyone is always talking about how we should do something for the bad kids, but no one ever says we should do something for the kids that stay good." And with that, George decided to fly the entire Bay Village High School Basketball Team to New York City so that they could attend the N.I.T. playoff games. He flew the entire team and their coaches to and from New York, paid for their hotel and even treated them to a Broadway show.

10. And as another example, during the time when George was living in Cleveland he found out that the horses used by the Cleveland Police Department were getting old. So he just went out and bought 6 - 7 horses for the Cleveland Police Department without making a big deal out of it. As George was

not concerned with publicizing the event, not many people ever knew.

11. George is extremely generous and thoughtful. In addition to his vast civic and charitable contributions, George's generosity is ample on a personal, individual level.

I can cite an example that occurred just last month. Many years ago when the Cleveland Indians were in their prime - about 1948 to 1954 - they had an outstanding, big strong pitcher named Mike Garcia. Garcia was something. He weighed about 250 lbs, had arms like tree trunks and could throw a fast ball like no one else. After a number of years Garcia retired, tried his luck with a dry goods business and fell upon hard times. Garcia then became very ill and today he is, basically, on his last legs. Last night, here in Cleveland, there was a big benefit affair to help Mike Garcia. George very much wanted to be there but could not because he had to speak at the Notre Dame Football banquet in South Bend. Most of the big Cleveland businessmen were there and everyone contributed to the cause. The majority of us gave around \$100, but not

George. George sent in a check for \$8,000. It was incredible. Here is a guy who moved away from the Cleveland area over 12 years ago, owns a competitor major league baseball team but still cared enough to send \$8,000 to help a former Cleveland Indian ball player. There is not a more thoughtful or generous guy in the world.

12. George is also a brilliant public speaker and gives quite a few speeches around the country. Most often George

receives a healthy remuneration for his speaking efforts. Every time George receives such remuneration, he donates the money to the Boys and Girls Clubs or to some other charitable group in the city in which he speaks.

13. Another example of his generosity and integrity concerns the money that George received for those commercials with Billy Martin. All of George's share was donated to various Boys and Girls Clubs.

14. George is also the type of man who inspires trust. George is sincere. People have no qualms about trusting George. I remember when he decided to buy his father's business. Because of his young age and lack of major credit history, he was unsuccessful in borrowing money from banks in Cleveland. So he went to New York City to visit an old ~~classmate who was a vice-president of a bank.~~ Sure enough, after George talked with his friend and told him of his plans, he came back with enough money to buy his father's business.

15. Although I am aware of George's felony conviction in the mid 1970's, I really do not know many of the details. George took the conviction hard and did not discuss it much. I do know, however, that George has not had any trouble with any public or private authority since then.

16. George is a wonderful and generous man. There is not another guy like him in the world. He fully deserves the pardon he seeks.

Signed under the pains and penalties of perjury,


Albert W. Bernstein

Subscribed and sworn to before me this 16 day of
January, 1986.


Notary Public

My commission expires:

DONALD E. BERNARD, Notary
NOTARY PUBLIC - STATE OF OHIO
My commission has no expiration date
Section 147.02 B.C.

UNITED STATES
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT
ON BEHALF OF

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George Michael Steinhilber, III
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

I, Bishop Edwin Broderick residing at New York, New York 10021
(print or type name of affiant) No. Street City State Zip Code

whose occupation is Bishop
certify that I have personally known the petitioner for 15 years. Except as otherwise indicated below, he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's reputation, conduct and activities, including whether he has been arrested or had any other trouble with public authorities and has been steadily employed, is as follows:

SHE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

Subscribed and sworn to before me this _____ day of _____, 19____

Signature of affiant

Notary Public.

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AFFIDAVIT OF BISHOP EDWIN B. BRODERICK

I, Bishop Edwin B. Broderick, hereby state as follows:
This Affidavit is submitted in support of George Steinbrenner's
Petition for pardon submitted to the President of the United
States.

b6

1. My name is Bishop Edwin B. Broderick and I reside at
[REDACTED] New York, New York 10021.

2. I have known George Steinbrenner for over fifteen
years.

3. I first met George at a New York Yankee welcome home
dinner where I gave the invocation. George came up to me
afterwards and told me how much he had liked what I said. I
told him that I had been a crazy Yankee fan since growing up in
the South Bronx. Since that dinner George and I have been
friends.

~~4. My relationship with George has been primarily~~
social. I speak to him fairly often; talking to him on the
phone or going out to dinner.

5. I have a high opinion of George. George is a standup
guy. He is frank and honest. ~~I know his friends and like them~~
also. I find George completely socially acceptable.

6. I know that George is very charitable. George is
generous to those causes which he considers worthwhile. He has
been very generous to our church. I can always count on him to
buy a ticket or seat for a benefit. George is also very good
at having kids admitted to ball games and at taking on projects
to help the disadvantaged.

7. I also know another side of George of which he is rarely credited. I know that George has a true sense of compassion that is deeper than any generous contribution. For example, when Roger Marris died several weeks ago, George felt that something should be done in New York to honor Roger's memory. Not only did he organize a special memorial service for the people of New York, [REDACTED]

b6

8. I know of George's prior felony conviction for illegal campaign contributions. I fully believe, however, that in the ensuing eleven years George has paid his penance. He has atoned for his actions. He has served society well.

9. I know George person to person. George is a good person. He is an honest guy. He is worthy of the pardon which he seeks.

Signed under the pains and penalties of perjury.

Edwin B. Broderick
Bishop Edwin B. Broderick

Subscribed and sworn before me this 27th day of JANUARY, 1986.

James E. Thom
Notary Public

My Commission expires

JAMES E. THOM
Notary Public, State of New York
No. 33-0315200
Qualified in Queens County
Certificate Filed in Suffolk, Queens,
Kings, Bronx & New York Counties
Commission Expires March 30, 1986

AFFIDAVIT OF EDDIE G. ROBINSON

I, Eddie G. Robinson, hereby state as follows:

This affidavit is submitted in support of George Steinbrenner's petition for pardon submitted to the President of the United States.

1. My name is Eddie G. Robinson and I reside at [REDACTED]

b6 [REDACTED] Grambling, Louisiana.

2. My profession is sports. I am the Athletic Director and head football coach of Grambling State University and have been since 1941.

3. I have known George since 1976. A mutual goal --helping the youth of America--got us together. Since 1969, Grambling State University and Morgan University have played a benefit football game in Yankee Stadium in order to raise money for a worthwhile project called The Storefront Academy. The Storefront Academy was an innovative project to help juvenile delinquents finish school. The game was sponsored by the Urban League and others and each year we were able to fill Yankee Stadium, thereby providing substantial funds for the project.

In 1976, however, we were told that the Urban League could no longer sponsor the game. We did not know what to do. We very much wanted to continue helping the project--as well as provide a unique experience for our students--but the project was just too big for Grambling and Morgan to take on alone.

4. In desperation, I called Howard Cosell and told him of our problem. When he heard that our goal was helping American

youth, he said "Eddie, with George Steinbrenner you might just have your man." Well, I placed a call to Yankee Stadium and was able to set up an appointment with George to discuss the matter.

5. Right from the start, I was impressed with the genuineness of George Steinbrenner. When we first met, I said "Nice to meet you, Mr. Steinbrenner," to which he instantly replied "My friends call me George."

6. I was most impressed with George's obvious deep commitment to helping the American youth. George's commitment does not stay buried in good intentions. George is a man of action; a man who wants to see accomplishments. I remember the first meeting we had to organize the following year's game. Representatives from Grambling State University, Morgan University and the Urban League were all there. I remember right at the beginning George turned to the Urban League folks and said "I am concerned with the money that will go to the Urban League. I want to make sure the money will get to the kids. I want to see results."

7. George was able to see those results. Because the game was allowed to continue in Yankee Stadium, a lot of kids graduated high school who otherwise would never have made it.

8. I want to make clear that George's commitment to helping the American youth consists of much more than signing a check. Yes, his financial contributions are vast and are very

much appreciated. But it is his personal interest that dominates the commitment. George goes out and becomes personally involved in helping the kids. He often travels around the country in order to talk with the kids. And, boy, can he talk. George is a tremendous speaker and is really able to reach the kids, to move them and to inspire them.

9. George's commitment to helping underprivileged youth--both minority and white--is evident in almost everything he does. For example, every year George brings the Yankees to New Orleans to play an exhibition game. One of his primary reasons for doing this is to allow the youngsters of Louisiana to come see the New York Yankees play. And every year George makes sure that underprivileged youths work as batboys for the game.

10. More than know of George's achievements, I know George as a friend. Since I first met him in 1976, I have come to know George and to be his friend.

11. George is a true friend. When I won my 300th college game, George was the first to call to congratulate me. When Grambling had "Eddie Robinson Day", George was there. And when George brought the Yankees to New Orleans to play an exhibition game, he invited me down to spend three days with him. George is someone I can talk to and confide in.

12. I really do not know much about George's prior felony conviction; but, I do know George. I have feasted with him,

drank with him, argued with him and have had long soul-searching discussions with him. I know the man and in my book, the man is the best there is. The man is a solid American. The man is an inspiration. The man loves people. The man is a fine man.

Signed under the pains and penalties of perjury.

Eddie G. Robinson

Eddie G. Robinson

SWORN to and SUBSCRIBED before me by Eddie G. Robinson
this 23 day of Feb., 1986.

[Signature]
Notary Public

My Commission Expires: life term

AFFIDAVIT OF PHIL CARUSO

I, Phil Caruso, hereby state as follows:

This Affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6

1. My name is Phil Caruso and I reside at [REDACTED] Sayville, New York.

2. I am a policeman by profession. I have been with the New York Police Department for 27 years.

3. Currently, I am also the president of the Patrolman's Benevolent Association (PBA). The PBA acts, essentially, as the police officer's union. It has 20,000 active members and 15,000 retirees. The office of president is an elected office. I have been serving as president for a little over five years.

4. I first met George Steinbrenner about five years ago when he founded the Silver Shield Foundation. The Silver Shield Foundation is a fund that provides college scholarships to children of police officers killed in the line of duty.

5. How the foundation was started, I think, truly describes the real George Steinbrenner. About five or six years ago there was a cop killed in the line of duty. The officer and his family had been big Yankee fans. They had followed the Yankees with almost religious devotion. The PBA approached George Steinbrenner to ask if the family could come

to Yankee Stadium, see a game and meet him. George said he would be happy to have the family as his guest and when the family came to the game, he gave them the red carpet treatment. After meeting the family, however, George was very taken with the children and their plight. He decided that he wanted to do more than just entertain them. So, that very night, George made a promise to help out the families of slain officers.

6. George Steinbrenner made good on his promise. He not only founded and set up the Silver Shield Foundation but also went out and obtained commitments from 100 businessmen to contribute \$1,000 a year to the Foundation. Moreover, he himself pledged all of the proceeds of one Yankees game a year to the Foundation, which is a pretty hefty amount. With such strong support, the Foundation, every year, is able to send to college deserving kids who otherwise would not have had the chance.

7. Since the start of the Foundation, I have had an ongoing relationship with George. I feel that I know the other side of George. Sure, I have heard that George is a tough guy to work with. But there is definitely a compassionate and caring side to George.

8. I have been a cop for a long time. I have been trained to "size people up" and, over the years, have had to do so on a regular basis as part of my job. I think that I am a

pretty good judge of character. After 27 years, I know when someone is "full of it" and when someone is true. With George I have no doubts. I have a very high regard for George. George is a straight-shooter. He is honest and candid. His integrity is unimpeachable.

9. I really do not have any personal knowledge of George's prior felony conviction. I do, however, know that there is no element of criminality to George's character. George is genuinely decent person. He is a true pillar of our community. He is most definitely worthy and deserving of a pardon.

Signed under the pains and penalties of perjury.


Phil Caruso

Subscribed and sworn to before me this 23 day of


January 1986


Notary Public

My commission expires:

3/30/87

RICHARD HARTMAN
Notary Public, State of New York
No. 30-169620
Qualified in Nassau County
Commission Expires March 30, 1987

AFFIDAVIT OF JOHN W. GALBREATH

I, John Wilmer Galbreath, hereby state as follows: This affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6

1. My name is John Wilmer Galbreath and I reside at [REDACTED] Galloway, Ohio.

2. My primary business is real estate. I have been in the real estate business since the 1920s.

3. I also have a great interest in sports. I have owned the Pittsburgh Pirates baseball team since 1944.

4. I have known George since he came into baseball, through his purchase of the New York Yankees, in the mid 1970s. My primary relationship with George has been in the capacity of baseball team owner.

~~5. Since I have known him, George has been tops in every~~
way. In all my associations with George, I have never had occasion to question his integrity.

~~6. I see George several times a year at Major League Baseball owner's meetings and at other baseball events.~~

7. In all his dealing with me, and as far as I know with other team owners, George has been upright and straight-forward. George is not the type of man who beats around the bush or minces words. He is honest in stating what he wants and how he feels on any particular matter. For this, George has earned my respect and, I am confident, the respect of the other owners.

8. Recently, George was selected by the baseball team owners to serve on the Expansion Committee. The Expansion Committee has the important responsibility of determining whether baseball should expand and the locations of future baseball teams. Members of the Expansion Committee are selected on the basis of their knowledge of and commitment to baseball as well as their awareness of what is in the best interest of the present owners. By their selection, team owners have indicated the complete trust that they place in these individuals. There is no doubt that George is worthy of such trust and of being selected for this most important task.

9. Another major interest of mine is thoroughbred racing. I have been the winner of the Kentucky Derby.

10. I know that George also has an interest in thoroughbred racing and is involved in several ventures.

11. In thoroughbred racing circles, I have never heard noncomplimentary remarks about George.

12. As a Major League baseball team owner I am aware that George, in November of 1974, was placed on the Ineligible List for a period of two years by the Commissioner with respect to the management of the New York Yankees. I know that the Commissioner's action was in response to charges, to which George plead guilty, of illegal corporate campaign contributions. The period of suspension long since over, George is


again very active in running his baseball club. To the best of my knowledge George has not had any trouble with any public authority since that time.

13. As long as I have known him, George has been a gentleman in every way and I feel that he deserves the pardon which he seeks.

Signed under the pains and penalties of perjury,


John W. Galbreath

Subscribed and sworn before me this 24th day of January, 1986.


Notary Public

BETHANY POWELL CARRES
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES OCT-21-1989

My Commission expires October 21, 1989

MEMORANDUM IN SUPPORT OF THE PETITION
OF GEORGE M. STEINBRENNER, III FOR A PARDON

George M. Steinbrenner, III, through the undersigned counsel, submits this memorandum in support of the accompanying Petition for Pardon After Completion of Sentence.

I. INTRODUCTION

On August 23, 1974, George Steinbrenner, Chairman of the Board and then-President of the American Ship Building Company, pled guilty in the United States District Court for the Northern District of Ohio to the felony of conspiracy to make corporate campaign contributions in violation of 18 U.S.C. § 610. 1/

~~1/ 18 U.S.C. § 610, which was repealed by the Federal Election Campaign Act of 1976 (Pub. L. 94-283) provided, in relevant part, that:~~

~~It is unlawful for any national bank, or any corporation organized by authority of any law of Congress, to make a contribution or expenditure in connection with any election to any political office, or in connection with any primary election or political convention or caucus held to select candidates for any political office, or for any corporation whatever, or any labor organization to make a contribution or expenditure in connection with any election at which Presidential and Vice Presidential electors or a Senator or Representative in, or a Delegate or Resident Commissioner to Congress are to be voted for, in or connection with any primary election or political convention or caucus held to select candidates for any of the foregoing offices, or for any candidate, political committee, or other person to accept or receive any contribution prohibited by this section.~~

The 1976 Act includes an equivalent provision, codified at 2 U.S.C. § 441b.

Mr. Steinbrenner also pled guilty to the misdemeanor charge of being an accessory after the fact to violations of section 610 committed by the company and two of its officers. On August 30, 1974, Mr. Steinbrenner was fined a total of \$15,000 for the two offenses. No imprisonment or probation was imposed.

Eleven years have passed since Mr. Steinbrenner's conviction. During that time, Mr. Steinbrenner has demonstrated by his conduct that he is fully rehabilitated and has atoned for his wrongdoing. Since 1974, Mr. Steinbrenner has continued his lifelong practice of devoting a major part of his time and effort toward a vast array of civic and charitable services.

Mr. Steinbrenner, moreover, from the beginning has assumed responsibility for the violation of the election law without making excuses for his conduct, and has made clear that he was remorseful for his wrongdoing.

Mr. Steinbrenner's multitudinous contributions to his community prompted Governor Bob Graham of the State of Florida and his Cabinet to grant Mr. Steinbrenner a pardon restoring his state civil rights in December 1979. See Attachment 1.

Neither that state pardon nor Mr. Steinbrenner's philanthropy, however, have -- or can -- eliminate the adverse impact the felony conviction continues to have on him and his family. A pardon by the President of the United States would eliminate many barriers to his participation in business, charitable and civic affairs and the full exercise of his civil rights, as

well as the social stigma of being branded as a felon. Mr. Steinbrenner has suffered long enough; he is deserving of such a reprieve.

II. THE PREVIOUS PARDON REQUEST

Mr. Steinbrenner previously filed a petition request with the Pardon Attorney on January 17, 1979. That request was denied on January 15, 1981, presumably because the requisite five year post-conviction time period which must precede the filing of a pardon request had just barely passed, perhaps leaving some doubt as to Mr. Steinbrenner's long-term rehabilitation.

Another six years have passed since the filing of that petition, during which time Mr. Steinbrenner has confirmed his commitment to the laws of this country and the needs of his community. In order to focus on Mr. Steinbrenner's continued good conduct and because the Pardon Attorney has the previous request available to him, this memorandum will not repeat in detail the information and arguments presented on Mr. Steinbrenner's behalf in 1979. Rather, it will primarily focus on his activities since that time.

III. MR. STEINBRENNER'S CONTRIBUTIONS TO AND RECOGNITION BY THE COMMUNITY

A. Recent Civic and Charitable Efforts

Mr. Steinbrenner has engaged in as active a participation in philanthropic and charitable activities as his felony

conviction permits. These activities have been centered in Florida, New York and Ohio. His contributions to these communities have largely centered on education, athletics, youth and law enforcement. As discussed below, however, his activities have run the gamut from assisting the FBI in national security matters to helping a 14-month old baby in need of a liver transplant.

1. Support of Education

Mr. Steinbrenner strongly believes that higher education is essential for the future success of our nation, and has donated generously to further that belief. He is a significant benefactor of Florida State University, Jacksonville University, University of Florida, University of South Florida, University of Tampa, University of Central Florida, Saint Leo College and Purdue University. He serves on the Foundation Boards of Florida State University, University of South Florida and Grambling State University and is a member of the Board of Trustees of Saint Leo College, Iona University and Grambling State University. He also supports his alma maters, Williams College in Massachusetts, Ohio State University and Culver Military Academy in Indiana, where he serves as a director.

Mr. Steinbrenner's contributions have not been limited to support of educational institutions. He also directly supports the underprivileged so that they may obtain higher education:

- ° He has personally funded the college education of approximately 75 underprivileged youths.
- ° Starting in 1981, he has sponsored the college education of four children of a New York policeman killed in the line of duty.
- ° The same year, he founded the Silver Shield Foundation, which finances the college education of the children of slain New York police and firemen through the proceeds of selected New York Yankees games and money raised each year from 100 businessmen in New York.
- ° In 1981, he founded a counterpart organization in Tampa, Florida, the Gold Shield Foundation, which provides college scholarships to the children of slain policemen and firemen through donations from Tampa businessmen.

These commitments are not without impact. As Phil Caruso, President of the Patrolman's Benevolent Association, noted: "With such strong support, the [Silver Shield] Foundation, every year, is able to send to college deserving kids who otherwise would not have had the chance." (Affidavit of Phil Caruso, p. 2).

Mr. Steinbrenner is also deeply involved in arts and music education. For example, he is the mainstay behind the University of South Florida Music Festival, bringing in top-flight musicians each year who perform without pay for the benefit of the school's fine arts programs. Indeed, Mr. Steinbrenner has brought more funds to the fine arts at secondary and collegiate institutions than he has to the athletic programs discussed below.

2. Support of Amateur Athletics

It goes without saying that Mr. Steinbrenner, who is perhaps best known as the general manager and principal owner of the New York Yankees, has an avid interest in sports. It is less well known that he is a staunch supporter of amateur athletics, predicated on the belief that athletics help our youth develop both physically and emotionally by teaching them the virtues of hard work, loyalty and discipline. For example:

- ° Mr. Steinbrenner, through the Yankees, contributed \$150,000 to the "Save Amateur Sports Program" in New York.
- ° He has donated \$500,000=\$750,000 in free admissions to the New York Yankee games to support local New York recreation programs.
- ° He has contributed generously to Boys Clubs all around the country.
- ° He has provided lights for the baseball fields at all of Florida's major universities.
- ° He serves on the Executive Committee of the U.S. Olympic Committee and is on the Board of Trustees of the U.S. Olympic Foundation.

Mr. Steinbrenner is also a benefactor of the New York City Little League players, the New York Police Athletic League, and New York Sandlot Baseball. In addition, he has financed a number of sports-related activities, such as paying the transportation and lodging costs for University of South Florida's cheerleaders and student band so that they could attend the Sunbelt Conference basketball tournament in Alabama and

bringing the baseball teams of West Point, Annapolis and the Air Force Academy (beginning in 1986) to Florida for spring training at the Yankee's minor league camp in Ft. Lauderdale.

3. Support of United States National Security Activities

As the DOJ is aware, in May 1983, Mr. Steinbrenner was credited with assisting the Federal Bureau of Investigation in two national security matters. Since these are sensitive matters, we refer you to the FBI for more information.

Suffice it to say, however, that this type of behind-the-scenes cooperation is illustrative of Mr. Steinbrenner's fierce patriotism. As Tom McEwen, a Tampa Tribune Sports Editor, stated: "George's blood is truly red, white and blue. He is a total patriot. All anyone has to do to know George's convictions is to hear him speak. He dwells on America the beautiful and the land of opportunity, on the affect of drugs, on poverty and the way to help eliminate it." (Affidavit of Tom McEwen, p. 4).

4. Other Civic and Charitable Activities

Mr. Steinbrenner has generously helped people in need in a number of other respects as well. To quote Bishop Broderick: "I know that George is very charitable. George is generous to those causes which he considers worthwhile. He has been very generous to our church. I can always count on him to buy a ticket or seat for a benefit. George is also very good at

having kids admitted to ball games and at taking on projects to help the disadvantaged." (Affidavit of Bishop Broderick, p.

1) To name a few of his personal charitable undertakings:

- ° Mr. Steinbrenner financed a series of cranial operations for a 7-year old Long Island girl.
- ° He publicized the plight of a 14-month old boy in need of a liver transplant in an effort to have a donor come forward.
- ° He was a major sponsor of a fund-raising drive for survivors of the 61 Americans killed in the Soviet downing of Korean Air Lines flight 007.
- ° He is a major donor in the effort to refurbish the Statue of Liberty.

Mr. Steinbrenner also makes regular donations to the Special Olympics, the Prevent Blindness Foundation, the Catholic Youth Organization, the Bronx Mission Society, the Fresh Air Fund, and United States Savings Bonds.

5. Honors and Awards Received by Mr. Steinbrenner

Mr. Steinbrenner has received a number of awards in recognition of his civic and charitable contributions. For example, in 1983, he was honored as the "Florida Industrialist of the Year." That same year, he received a "Distinguished Citizen Award" from the National Football Foundation and Hall of Fame. He has also received an honorary doctorate from

Grambling State University. In addition, Mr. Steinbrenner was Chairman for the 1985 Coast Guard Foundation Dinner which broke all records for money raised, gave the commencement speech at the Air Force Academy's annual Activities Award Banquet, and was a guest speaker to FBI Academy graduates.

B. Consistency With Mr. Steinbrenner's Pre-Conviction Activities

Mr. Steinbrenner has not delved into civic and charitable activities merely to compensate for his felony conviction. To the contrary, he consistently has been involved in such projects all of his life. One only has to read the affidavit of Albert Bernstein, a life long friend, to see that Mr. Steinberg is not a newcomer to helping worthwhile causes and those in need. "George has done so many tremendous things that this would be very long affidavit if I were to relate them all." (Affidavit of Albert W. Bernstein, p. 2).

Many of his civic and charitable activities prior to 1979 are detailed in the memorandum filed in support of Mr. Steinbrenner's first pardon petition (Mem. at 5-6), and will not be repeated here. Suffice it to say that his works were so substantial that they resulted in his appointment to the Board of Regents of Ohio (where he previously resided), and the receipt of such awards as the 1969 Golden Plate Award for "extraordinary leadership and service to fellowman" from the American Academy of Achievement and Cleveland's 1972 "Man of the Year" award.

In sum, Mr. Steinbrenner both before and after his conviction has been a compassionate and generous community leader --- a fact which he has done little to publicize and is rarely mentioned in the portrayals of this man on the sports pages of American newspapers.

IV. THE NATURE OF THE OFFENSE AND MR. STEINBRENNER'S
ADMISSION OF WRONGDOING

The nature of the offense committed by Mr. Steinbrenner and his response to his indictment are consonant with issuance of a pardon. Unlike such crimes as homicide, larceny or offenses involving violence or organized crime, the offense of effecting corporate campaign contributions does not involve moral turpitude and is not otherwise "inherently" wrong; it is malum prohibition, not malum in se. Cf. 28 C.F.R. § 1.2 (requiring persons who were convicted of crimes of a "serious nature" to wait seven years before filing a petition for pardon rather than the normal five years.)

Mr. Steinbrenner, moreover, fully assumed responsibility for the contributions deemed to have been made by the American Ship Building Company. In pleading guilty to the charge, he expressed his remorse for his actions and his desire to once again become the law-abiding citizen he had proved himself to be in the past. When he was asked by the Court if he had any statement to make prior to the imposition of sentence, Mr. Steinbrenner stated:

Your Honor, for the last year I have come through possibly the most difficult period of my life. It has been one of agony and suffering for the family and my children and my company and myself, and for many who are friends.

As the Chief Executive Officer and the leader of a company, when good things happen to the company, I realize I get the credit. By the same token, when mistakes are made, I am responsible for those mistakes and must bear the full responsibility for them.

I realize the law has been violated, and I have done it, and I had the chance to perhaps perform differently as a leader of my company, and I can't argue those facts.

I am concerned for my men and employees and their families who have suffered, and if my leadership has failed them, I am sorry for that.

Basically I have tried to spend the better part of my life in the best ways I knew how, trying to do good for others, and I can't say whether I have been successful or not, but no matter what the verdict, I will try to continue to try to preserve the system under which I am going to be sentenced and to do everything I can to continue to help others wherever I am. That is all. 2/

2/ Transcript of sentencing before the Honorable Leroy J. Contie, Jr., Judge, United States District Court for the Northern District of Ohio in United States v. Steinbrenner, pp. 22-23 (August 30, 1974). Mr. Steinbrenner has continued to publicly acknowledge his wrongdoing and express his regret for his actions. For example, in response to a question from a reporter as to how it felt to be "the only convicted felon among baseball's ownership," Mr. Steinbrenner responded, "It's part of what you have to bear. Nobody is without a dent in their armor. It's part of my life. I have to live with it...." Washington Post, October 23, 1981, at D-1.

The resulting sentence imposed by the District Court evidences a recognition that Mr. Steinbrenner was well on his way toward rehabilitation. Although the Court could have imposed a prison sentence of up to three years for the two counts, the Court imposed only a fine. Surely, if Mr. Steinbrenner had been perceived to have engaged in moral turpitude or flouted the law in any way, a greater punishment would have been imposed.

Like the Court's determination to forego imposition of a prison sentence, the grant of a pardon to Mr. Steinbrenner would not undermine the prohibition against corporate campaign contributions. Rather, it would serve to recognize the value to society of complete rehabilitation, and illustrate the role that mercy properly plays in our criminal justice system.

V. CONTINUING ADVERSE EFFECT OF THE CONVICTION ON MR. STEINBRENNER'S RIGHTS AND INTERESTS

Although Mr. Steinbrenner was not imprisoned as a result of the commission of a felony offense, the restrictions imposed on him in response to his conviction were in many ways just as severe. Most importantly, Mr. Steinbrenner suffered the loss of his civil rights. ^{3/} In addition, Mr. Steinbrenner has been, in significant measure, prevented from conducting his

^{3/} See e.g., Fla. Stat. § 94.005 (denying the right to vote to felons).

businesses and participating in civic and charitable affairs. In 1974, for example, then Commissioner of Baseball Bowie Kuhn prohibited Mr. Steinbrenner from "managing or advising in the management of the affairs of the New York Yankees and engaging in any association whatsoever with any Major League Club or its personnel" for a period of two years. See Attachment 2 hereto, at p. 1. 4/ It scarcely needs argument that for a man who is the general partner and principal owner of the Yankees and an ardent admirer of the sport, this suspension from professional baseball was harsh punishment indeed.

There has been some improvement in Mr. Steinbrenner's position since 1974. Notably, Governor Bob Graham of Florida's 1979 pardon restored his state civil rights. 5/ Notwithstanding Governor Graham's action and the passage of time, however, Mr. Steinbrenner's 1974 felony conviction continues to be a source of personal humiliation and a barrier to the normal conduct of his family and business interests.

4/ The sole exception to this prohibition was for "extraordinary or unusual matters" affecting the Yankees, and even then, prior approval of the Commissioner was required. See ibid.

5/ Mr. Steinbrenner also had the support of Governor Graham's Cabinet. Under Florida law, the Governor can grant a pardon only after receiving the approval of three members of the Cabinet. Governor Graham granted Mr. Steinbrenner the full pardon (save for the authority to possess firearms) which, under the governing Rules of Executive Clemency, he was permitted to grant for a federal offense -- the restoration of Florida civil rights. See Rules of Executive Clemency of Florida § 5.F(2). The Rules of Executive Clemency of Florida authorize the issuance of a "full pardon" only for an offense under Florida law. See id. at § 5.A.

A stigma still attaches to Mr. Steinbrenner as a result of his conviction. One need only peruse the newspaper articles concerning Mr. Steinbrenner to confirm this. These articles frequently describe him as a "convicted felon" or the "only convicted felon among baseball's ownership." See e.g., Sports Illustrated, June 7, 1982; The Washington Post, October 23, 1981; and U.S. Edition, July 28, 1975.

Disabilities have been imposed on Mr. Steinbrenner's business activities as well. Just this past summer, for example, Minnesota racing officials denied him an owner's license at Canterbury Downs, the state's first parimutal thoroughbred racetrack, because of his felony conviction. 6/ The harm suffered by Mr. Steinbrenner is far more than economic; it is a symbolic slap in the face for a man who has tried so hard to overcome his conviction and be a good citizen.

Mr. Steinbrenner's civic and charitable activities similarly have been circumscribed because of the felony conviction. Recently, for example, he was asked to serve as a member of the Florida State Board of Regents. Although he wished to accept this position, after discussion with trusted friends and community leaders, he declined the nomination because of the

6/ See Minn. Stat. § 240.06(d)(2) (precluding the issuance of horseracing licenses to felons). Mr. Steinbrenner is the owner of a number of thoroughbred racing horses. Because Oklahoma has a comparable provision in its laws, Mr. Steinbrenner also declined to join a family horse-racing venture in that state.

adverse publicity to the Florida Educational System that would likely ensue were he to accept.

Mr. Steinbrenner has gone to extraordinary lengths to atone for his wrongdoing. Nonetheless, he continues to be haunted by his past. As Tom McEwen, noted:

"I also know that George took and still takes the conviction very hard. I can say with authority, from experience and from a deep personal relationship, that relief of the pain and suffering of the felony would mean more to him than anything else."

(Affidavit of Tom McEwen, p. 4).

A grant of Executive Clemency by the President is warranted to mitigate the continuing harsh consequences suffered by Mr. Steinbrenner.

VI. DEFERENCE TO THE PARDON BY THE GOVERNOR OF FLORIDA

As a matter of comity, the decision by the Governor of Florida to restore Mr. Steinbrenner's civil rights in the State of Florida ought to be given great weight in determining whether to grant this parallel federal pardon request. Like the President's Article II pardon power, the grant of Executive Clemency by the Governor of Florida is an "act of grace" proceeding from the power entrusted the Governor by the Florida Constitution. Rules of Executive Clemency of Florida § 1; see Florida Constitution of 1968, Article IV, § 8(a). Florida Governor Bob Graham, based on his review of the circumstances relevant to


Mr. Steinbrenner's conviction and his rehabilitative activities, determined that Mr. Steinbrenner was deserving of a reprieve. The act of grace commenced by the Governor should be completed by the President, by the grant of a full federal pardon.

VII. CONCLUSION

We respectfully request that the Pardon Attorney and the Attorney General recommend to the President that he grant the petition of George M. Steinbrenner for a pardon. A pardon would remove the stigma of a criminal conviction, restore to Mr. Steinbrenner his federal civil rights, and alleviate the continuing harm to his community and business interests. It would also serve to recognize Mr. Steinbrenner's many positive accomplishments and contributions to civic, charitable and educational affairs.

Respectfully submitted,

PIERSON, BALL & DOWD


William B. Saxbe
T. Timothy Ryan, Jr.

1200 18th Street, N.W.
Suite 1000
Washington, D.C. 20036

Attorneys for Petitioner
George M. Steinbrenner, III

U.S. Department of Justice

Classified Document Receipt

When filling out this form, please press firmly
with ballpoint pen.

ACCOUNTABILITY

FROM: (Name) David C. Stephenson, Pardon Atty. (Organization and Address) OPA, Rm. 490, PPB, CH.Ch. Md.TO: (Name) William S. Sessions, Dir. FBI (Organization and Address) FBI, Rm. 7176, JEH Bldg.

SIGNATURE (of Recipient) & DATE: _____

If sent outside DOJ, Return this copy to: _____

DOCUMENT IDENTITY

SUBJECT & DESCRIPTION: Memorandum, FBI reports and letter dated December 14, 1987.RE: George Michael Steinbrenner, IIIDate of Document: April 12, 1988

No. of pages: _____

Copy _____ of _____

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INSTRUCTIONS ON BACK OF LAST PAGE

FORM DOJ-34
JUN. 81

Memorandum

Mr. Nolan



Subject

George Michael Steinbrenner, III
F.B.I. No. 383 595 MI

Date

JAN 18 1989

To

Director
Federal Bureau of Investigation

From

David C. Stephenson
Pardon Attorney

On the above date, the President granted subject a full and unconditional pardon after completion of sentence.

Offense:

Conspiracy to make illegal
corporate political campaign
contributions and misdemeanor
accessory after fact, 18 U.S.C.
§§ 371 and 3.

Sentence:

August 30, 1974, Northern Ohio,
\$15,000. Fine.

13-19114

4/10/89

Memorandum



Subject

George Michael Steinbrenner
F.B.I. No. 383 595 MI
Applicant for pardon

Date

June 10, 1988

To

William S. Sessions, Director
Federal Bureau of Investigation

From

JSQ
David C. Stephenson
Pardon Attorney

Attached is a copy of previous correspondence with you, requesting information required to process subject's application for Executive clemency.

It would be appreciated if we could have your response as soon as possible.

EXP. PROC.

JUN 30 1988

Exec AD Adm.	_____
Exec AD Inv.	_____
Exec AD LES	_____
Asst. Dir.:	_____
Adm. Servs.	_____
Crim. Inv.	_____
Ident.	_____
Insp.	_____
Intell.	_____
Lab.	_____
Legal Coun.	_____
Off. Cong. & Public Affs.	_____
Rec. Mgnt.	_____
Tech. Servs.	_____
Training	_____
Off. Liaison & Int. Affs.	_____
Telephone Rm.	_____
Director's Sec'y	_____

George Michael Steinbrenner
F.B.I. No. 383 595 MI
Applicant for pardon

June 10, 1988

William S. Sessions, Director
Federal Bureau of Investigation

David C. Stephenson
Pardon Attorney

Attached is a copy of previous correspondence with you requesting information required to process subject's application for Executive clemency.

It would be appreciated if we could have your response as soon as possible.

George Michael Steinbrenner
F.B.I. No. 383 595 MI
Applicant for pardon

April 12, 1988

William S. Sessions, Director
Federal Bureau of Investigation

David C. Stephenson
Pardon Attorney

We are considering a pardon petition filed by subject, whose earlier petition was denied in 1981. The previous and current petitions were investigated by the Bureau's Background Investigation Unit in 1979 and 1986, respectively.

In accordance with well-established procedures relating to Executive clemency (see United States Attorneys' Manual 1-3.108 -- Office of the Pardon Attorney), I am required to prepare for the consideration of the Associate Attorney General a proposed report to be submitted by him to the President recommending that subject's petition be either granted or denied. It is my responsibility to obtain the views of concerned officials before submitting such a report. Enclosed for your review are copies of the petition and the closing reports of the background inquiries, as well as a copy of a letter from subject's counsel, T. Timothy Ryan, Jr., dated December 14, 1987.

The enclosure to Mr. Ryan's letter details assistance which subject states that he furnished the Bureau over an 11-year period (1976 to 1987). To facilitate our consideration of this case, we would appreciate your verifying this information. We ordinarily do not ask the Bureau to make recommendations in pardon matters. However, since you are in a unique position to evaluate the significance of subject's assistance, as well as the probative value of the contents of the Bureau's reports of June 10 and July 21, 1986, any views which you may wish to express concerning the propriety of according subject favorable pardon consideration as a reward for his service to the Government also would be welcome.

I would point out that the ground on which a pardon is usually granted is in large measure the demonstrated good conduct of the petitioner for a significant period of time after conviction and completion of sentence. All relevant factors, including the

~~SECRET~~

recentness and seriousness of the offense for which pardon is sought, the petitioner's prior and subsequent criminal record, if any, his reputation in the community and the extent of his community service, charitable or other meritorious activities, are carefully reviewed to determine whether he has become and is likely to continue to be a law-abiding, responsible and productive member of society.

Your cooperation in this matter is appreciated. If I may be of further assistance, please call me on FTS 492-5910. While obviously containing sensitive information, the attachment to Mr. Ryan's letter of December 14, 1987 is not classified.

PLEASE RETURN ATTACHMENTS.

PETITION FOR PARDON AFTER COMPLETION OF SENTENCE

8603140

b6

Petitioner shall typewrite or print the answers in ink or ballpoint pen. Each question must be answered fully, truthfully, and accurately. If the space for any answer is insufficient, petitioner may complete his answer on an attachment. Submission of false information is punishable by imprisonment of up to five years and a fine of not more than \$10,000: 18 U.S.C. 1001.

THE PRESIDENT OF THE UNITED STATES:

The undersigned petitioner prays that he be granted a pardon and in support thereof states as follows:

PRELIMINARY INFORMATION

1. State full name: George Michael Steinbrenner III
First Middle Last
 Address: [REDACTED] Tampa Florida 33618
No. Street City State Zip Code
 Telephone number (include area code): (H) 813/886-7130 (H) [REDACTED]
 Social Security No. [REDACTED] Date of Birth: July 4, 1930
 Are you a United States citizen? Yes If not, state nationality and give alien registration number: (yes/no)

If you have ever been known by any other name, state in full every other name by which you have been known, including name under which you were convicted, the reason therefor, and the inclusive dates so known, and, if married woman, give maiden name:

None

Marital status: Married

For each marriage give the following: Name of spouse, date and place of marriage, and if applicable, date and place of divorce:

List your children by name and age: [REDACTED]

If not supporting family, explain: [REDACTED]

OFFENSE(S) FOR WHICH PARDON IS SOUGHT

2. Petitioner was convicted in the United States District Court for the Northern
(Eastern, Western, etc.)
 District of Ohio at Cleveland on a plea of
(State) (City)
Guilty of the crime of conspiring to make corporate campaign con-
(Guilty, not guilty, etc.) (Describe specifically) tributions in violation of and was
 sentenced on August 30, 19 74 to N/A the fact for
(length of sentence) (imprisonment, probation)
N/A and/or to pay a fine of \$15,000. Petitioner was
43 years of age when the crime was committed.

If conviction was appealed, complete the following paragraph:

3. Petitioner appealed to the United States Court of Appeals, where the judgment was affirmed on _____, 19 _____. An appeal _____ taken to the Supreme Court. The Supreme Court _____ ^(was, was not) a petition for writ of certiorari on _____, 19 _____. If ^(granted, denied) certiorari was granted, the judgment was affirmed on _____, 19 _____. If case was published, give citation(s): _____
4. Petitioner began service of his sentence on _____, 19 _____. Was released on _____, 19 _____ from _____ and was finally discharged by _____ ^(Federal Institution) expiration of sentence on _____, 19 _____. The fine _____ has _____ ^(has, has not) been paid in full. If not, explain why: _____

5. Give a detailed account of the offense including dates (or time span) of the offense, names of codefendants and, where applicable, the amount of money involved and whether restitution has been made. You are expected to describe the factual basis of your offense completely and accurately and not rely on code or name reference only. If your conviction resulted from a plea agreement, describe fully the extent of your total involvement in the criminal transaction as alleged by the prosecution not limiting yourself to the conviction itself.

See Memorandum in Support of the Petition for Pardon

RESIDENCES

6. List every residence you have had within the last 10 years. Begin with present and go back.

b6

From	To	Number and Street	City	State
1975	Present	[REDACTED]	Tampa	Florida

(3)

EMPLOYMENT

7. Name and address of employer: American Shipbuilding Company - 2502 Rocky Point Road, Tampa, Florida 33607
Type of business: Shipbuilding Your position: Chairman of the Board
Dates of employment: From August 18, 1967 to present

LIST BELOW ALL PRIOR EMPLOYMENTS FOR THE LAST 10 YEARS

Name and address of employer: New York Yankees, Yankee Stadium, Bronx, New York
Type of business: Baseball Your position: Principal Owner & General Partner
Dates of employment: From 1972 to Present

Name and address of employer: _____
Type of business: _____ Your position: _____
Dates of employment: From _____ to _____

Have you ever been discharged from employment for any reason? No (yes/no). Have you ever resigned after being informed that your employer intended to discharge you for any reason? No (yes/no). If you answered yes to either question, explain fully:

PRIOR AND SUBSEQUENT CRIMINAL RECORD

8. Have you ever been arrested, taken into custody, held for investigation or questioning, or charged by any law enforcement authority, whether Federal, state, local or foreign, either as a juvenile or adult? (yes/no). For each incident list date, nature of offense charged, law enforcement authority involved, location and disposition (Any omission will be construed as falsification):

None other than noted in question #2

CIVIL AND FINANCIAL INFORMATION

9. Are you in default in any way in the performance or discharge of any debt or obligation imposed upon you? No (yes/no). Within the last 10 years, has any lien (including Federal or state tax liens) been filed against you, or have you been a party to any lawsuits or bankruptcy proceedings? Yes (yes/no). Do you have any pending matters with the Federal or state governments? Yes (yes/no). If you answered yes to any question, explain fully:

I am involved in numerous business ventures. With some, I actively participate in their management; with most, however, I do not. Some of these business ventures have been involved in adversary proceedings. All the adversary proceedings are civil proceedings. In some of these proceedings, I was named as a party. I am also currently undergoing an Internal Revenue Service audit. A complete list of all current adversary proceedings in which I have been named is attached.

(4)

MILITARY RECORD

10. Have you ever served in the armed forces of the United States: Yes (yes/no). If so, state nature of your separation(s) from the service: Honorable [If other than honorable, specify type thereof and circumstances surrounding your release(s):

b6

List inclusive dates of service: 1952-1954

Serial number(s): [REDACTED] Branch(es) of service: U.S. Air Force. While serving in the armed forces, were any charges or complaints ever made or filed against you, or were you the recipient of non-judicial punishment, or the defendant in any court-martial? No (yes/no). If yes, state fully the nature of the charge, relevant facts, disposition of the proceedings, the date thereof, and the name and address of the authority in possession of the records thereof. (If you were convicted of an offense by court-martial, complete, with appropriate modifications, paragraph 2 also):

REASONS FOR PARDON

11. State briefly your reasons for seeking a pardon (You are not expected to reargue your case, assert innocence or otherwise attack the validity of your conviction.):

See Memorandum in Support of the Petition for Pardon

CERTIFICATION AND PERSONAL OATH

I hereby certify that all answers to the above questions and all statements contained herein are true, and I understand that any misstatements of material facts contained in this petition will cause adverse action on my application for Executive clemency in addition to any other penalties provided by law.

In petitioning the President of the United States for pardon, I do solemnly swear that I will be law-abiding and will support and defend the Constitution of the United States against all enemies, foreign and domestic, and that I take this obligation freely and without any mental reservation whatsoever, So Help Me God.

RECEIVED
DEPT. OF JUSTICE

6 MAR 7 A 8

PARDON & CLEMENCY

Respectfully,

[Signature]
(signature of petitioner)

Subscribed and sworn to before me this 28 day of January, 1986

[Signature]
Notary Public

Notary Public, State of Texas, expires 12/31/87
My Commission Expires 12/31/87

GEORGE M. STEINBRENNER, III

PENDING LEGAL ACTIONS

JANUARY 28, 1986

(ANSWER TO QUESTION 9)

OPPOSING PARTY

COURT & CASE NUMBER

Florida Cities Publishing Co.

Circuit Civil,
Hillsborough
County, Florida
83-9815 Division:
"W"

Cleeveley, Beeler & Jacksonville
Monthly

District Court of
Appeal
Second District of
Florida
85-1309

St. Philips Towing Co.

U.S. District Court
Tampa Division
83-1464-Civ-T-15

Haught, Poston, Forlezo, et al.

U.S. District Court
Tampa Division
81-1091-Civ-T-17

Bruce Poston and Chemical Bank

U.S. District Court
Southern District of
New York
82-Civ-0894

Ed Linn and CBS, Inc.

Supreme Court of
New York
County of Bronx
Index No. 8098/84

Peavey Company and ConAgra, Inc.

U.S. District Court
Western District of
New York
Civ 84-0878

Commissioner of Internal Revenue

U.S. Tax Court
Docket No. 27952-83

United States of America

U.S. Court of Claim
52-83T

UNITED STATES
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT
ON BEHALF OF

George Michael Steinhilber, III
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

b6

I, Tom McEwen, residing at [REDACTED] Tampa, Florida
(print or type name of affiant) City State Zip Code

whose occupation is Sports Editor of the Tampa Tribune
certify that I have personally known the petitioner for 22 years. Except as otherwise indicated below,
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's
reputation, conduct and activities, including whether he has been arrested or had any other trouble with public
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

(signature of affiant)

Subscribed and sworn to before me this _____ day of _____, 19____

Notary Public.

AFFIDAVIT OF TOM MCEWEN

I, Tom McEwen, hereby state as follows: This Affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6 1. My name is Tom McEwen and I reside at [REDACTED] Tampa, Florida.

2. I am the Sports Editor of the Tampa Tribune.

3. I have known George since he came to Tampa to buy the Tampa Shipyard in 1974. I consider George a close friend.

4. I have seen George in all capacities, in all moods and in all circumstances. I have dealt with George on business, professional and social levels as well as having maintained a close friendship. Our families are also close

b6

[REDACTED] George is an experienced and tough businessman but he is also fair and accomodating.

6. As a sports editor I have also dealt with George professionally, covering his activities with the Yankees, the Tampa horsetrack, which he bought soon after coming here, and his numerous other sports involvements. In his dealings with the press, George has always been largely available and aware of the value of news. Although George, himself, often provides much to write about, so far as I know, he has never exploited the press.

7. I feel I know all sides of George. I know that he can, at times, be autocratic and firm and he is not without a temper. I also know that he is an absolute sap for a sad story: children, family, sports and the American flag move him. Unlike many other men, George backs up his emotion with action. George's charitable contributions are vast and he has many times gone the extra mile to help out a worthy cause.

8. For example, a few years ago Lou Piniella, a former Yankee player and manager who is from Tampa, retired. Lou had, in a way, got his start in baseball from the Boys Clubs of Tampa. Well, George threw a big fund raiser banquet for Lou and had many of the Yankee players attend. Fifty-five thousand dollars was raised with which the Boys Club used to build a much needed office center and recreation complex.

9. And when the Super Bowl was played here in Tampa several years ago, George threw his full support behind the NFL

Alumni Club. At his own expense he brought the Marine Drum and Bugle Corp. and other entertainment to the NFL Alumni Dinner which was able to raise \$400,000 for charitable causes nationally and in this area e.g. USO, Childrens Home.

10. Another example of George's never ending compassion and generosity occurred just last month. George and I attended the J.C. Penney mixed team golf tournament near here, visiting with the men and women pros, many of whom he had hosted at Yankee Stadium. I re-introduced him to Chi Chi Rodriguez and told him of a Rodriguez program underway in our area in which abused children are day-cared by his foundation. The children spend their days working on a golf course that the City of Clearwater donated to the foundation. Moreover, another course is being built and will serve as a learning place for the abused kids. About 20 were following Chi Chi at the time. George immediately said he wanted to help. He promised that when the Yankees come to the area next March to play the Toronto Blue Jays he would host the kids, give them Yankee keepsakes and give the foundation his share of the game receipts--about \$20,000.

11. George also has a great romance with high school and college sports and has done much to help them out. George is one of the few major league owners that brings his ballclub to play at different universities during preseason. By doing so, George has helped many universities raise enough money to

purchase lights for the stadium that they otherwise would not be able to do.

12. George has also been very supportive of local causes. Here, in Tampa, George formed an organization that provides funds for the widows and orphans of Tampa police and firemen killed in the line of duty.

13. Moreover, George's blood is truly red, white and blue. He is a total patriot. All anyone has to do to know George's convictions is to hear him speak. He dwells on America the beautiful and the land of opportunity; on the affects of drugs, on poverty and the way to help eliminate it.

He dwells on achievement and Yankee pride. He is a forceful speaker and widely sought. For example, he recently spoke to the Notre Dame football squad. Although the day he spoke was the day after the coach was fired, he fired the team up with his speech.

14. I know of George's prior felony conviction and his suspension from baseball for two years as a result of the conviction. I also know that George took and still takes the conviction very hard. I can say with authority, from experience and from a deep personal friendship, that relief of the pain and suffering of the felony would mean more to him than anything else. I can also say that since he came into my world, to Tampa, he has been a mighty contributor to that which

is good and wholesome. George Steinbrenner is a fine man, fine father, fine citizen. In my judgment a pardon not only is deserved but also would be most judicious. If he had time to serve, he has served it.

Signed under the pains and penalties of perjury.


Tom McEwen

Subscribed and sworn to before me this 28th day
of January, 1986.


Notary Public

My commission expires:
Notary Public, State of Florida
My Commission Expires May 13, 1989
NOTARY PUBLIC STATE OF FLORIDA

UNITED STATES
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT
ON BEHALF OF

b6

George Michael Sciesbroener III
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

I, Albert W. Bernstein, residing at [REDACTED] Cleveland, Ohio
(print or type name of affiant) St. City State Zip Code

whose occupation is Restaurant Owner
certify that I have personally known the petitioner for 30 years. Except as otherwise indicated below,
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's
reputation, conduct and activities, including whether he has been arrested or had any other troubles with public
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

(Signature of affiant)

Subscribed and sworn to before me this 11 day of August, 1967

Notary Public,

AFFIDAVIT OF ALBERT W. BERNSTEIN

I, Albert W. Bernstein, hereby state as follows: This affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6 1. My name is Albert W. Bernstein and I reside at [REDACTED]
[REDACTED] Cleveland, Ohio 44102.

2. I am in the business of restaurants. I own a chain of restaurants called the Pewter Mug.

3. George Steinbrenner is a very close, personal friend of mine and has been for a long time. I have known George for 30 odd years.

4. I first met and became friendly with George years ago when we were both young men in Cleveland. The Mayor at that time had selected a number of choice, promising, young men to form a group that would assist the city. I was one of those selected. George was selected to be chairman. The group, which was called Group 66, was formed to help raise money for and promote the city. Under George's tutelage Group 66 did a lot of good for the city. It was a real loss for the City of Cleveland when George left and moved to Tampa and New York.

5. Even after George moved away from Cleveland we remained close friends. We keep in touch quite often and we are able to visit each other through his trips to Cleveland and my occasional trips to Tampa. [REDACTED]
[REDACTED]

b6

7. George and I have never grown apart and we have maintained a close personal relationship. Anytime one of us has a big family event, the other is there.

b6

8. George has done so many tremendous things that this would be a very long affidavit if I were to relate them all. Many of these, however, no one knows about because George is not concerned with publicizing them.

9. As an example, one day about 12 to 14 years ago George came to me and said "You know Al, everyone is always talking about how we should do something for the bad kids, but no one ever says we should do something for the kids that stay good." And with that, George decided to fly the entire Bay Village High School Basketball Team to New York City so that they could attend the N.I.T. playoff games. He flew the entire team and their coaches to and from New York, paid for their hotel and even treated them to a Broadway show.

10. And as another example, during the time when George was living in Cleveland he found out that the horses used by the Cleveland Police Department were getting old. So he just went out and bought 6 - 7 horses for the Cleveland Police Department without making a big deal out of it. As George was

not concerned with publicizing the event, not many people ever knew.

11. George is extremely generous and thoughtful. In addition to his vast civic and charitable contributions, George's generosity is ample on a personal, individual level.

I can cite an example that occurred just last month. Many years ago when the Cleveland Indians were in their prime - about 1948 to 1954 - they had an outstanding, big strong pitcher named Mike Garcia. Garcia was something. He weighed about 250 lbs, had arms like tree trunks and could throw a fast ball like no one else. After a number of years Garcia retired, tried his luck with a dry goods business and fell upon hard times. Garcia then became very ill and today he is, basically, on his last legs. Last night, here in Cleveland, there was a big benefit affair to help Mike Garcia. George very much wanted to be there but could not because he had to speak at the Notre Dame Football banquet in South Bend. Most of the big Cleveland businessmen were there and everyone contributed to the cause. The majority of us gave around \$100, but not George. George sent in a check for \$8,000. It was incredible. Here is a guy who moved away from the Cleveland area over 12 years ago, owns a competitor major league baseball team but still cared enough to send \$8,000 to help a former Cleveland Indian ball player. There is not a more thoughtful or generous guy in the world.

12. George is also a brilliant public speaker and gives quite a few speeches around the country. Most often George

receives a healthy remuneration for his speaking efforts. Every time George receives such remuneration, he donates the money to the Boys and Girls Clubs or to some other charitable group in the city in which he speaks.

13. Another example of his generosity and integrity concerns the money that George received for those commercials with Billy Martin. All of George's share was donated to various Boys and Girls Clubs.

14. George is also the type of man who inspires trust. George is sincere. People have no qualms about trusting George. I remember when he decided to buy his father's business. Because of his young age and lack of major credit history, he was unsuccessful in borrowing money from banks in Cleveland. So he went to New York City to visit an old classmate who was a vice-president of a bank. Sure enough, after George talked with his friend and told him of his plans, he came back with enough money to buy his father's business.

15. Although I am aware of George's felony conviction in the mid-1970's, I really do not know many of the details. George took the conviction hard and did not discuss it much. I do know, however, that George has not had any trouble with any public or private authority since then.

16. George is a wonderful and generous man. There is not another guy like him in the world. He fully deserves the pardon he seeks.

Signed under the pains and penalties of perjury,


Albert W. Bernstein

Subscribed and sworn to before me this 16 day of
January, 1986.


Notary Public

My commission expires:

DANIEL R. McCLARY, Notary
NOTARY PUBLIC - STATE OF OHIO
My commission has no expiration date.
Section 147.03 R.C.

UNITED STATES
DEPARTMENT OF JUSTICE

CHARACTER AFFIDAVIT
ON BEHALF OF

George Michael Spainbrumer, III
(print or type name of petitioner)

In support of the application of the above petitioner to the President of the United States for pardon,

b6

Bishop Edwin Broderick residing at [REDACTED] New York, New York 10021
(print or type name of affiant) No. Street City State Zip Code

whose occupation is Bishop
certify that I have personally known the petitioner for 15 years. Except as otherwise indicated below,
he has conducted himself, since his conviction, in a moral and law-abiding manner. My knowledge of petitioner's
reputation, conduct and activities, including whether he has been arrested or had any other trouble with public
authorities and has been steadily employed, is as follows:

SEE ATTACHED AFFIDAVIT

I do solemnly swear that the foregoing information is true and correct to the best of my knowledge and belief.

Signature of affiant

Subscribed and sworn to before me this _____ day of _____

Notary Public.

AFFIDAVIT OF BISHOP EDWIN B. BRODERICK

I, Bishop Edwin B. Broderick, hereby state as follows:

This Affidavit is submitted in support of George Steinbrenner's Petition for pardon submitted to the President of the United States.

b6 1. My name is Bishop Edwin B. Broderick and I reside at [REDACTED] New York, New York 10021.

2. I have known George Steinbrenner for over fifteen years.

3. I first met George at a New York Yankee welcome home dinner where I gave the invocation. George came up to me afterwards and told me how much he had liked what I said. I told him that I had been a crazy Yankee fan since growing up in the South Bronx. Since that dinner George and I have been friends.

4. My relationship with George has been primarily social. I speak to him fairly often; talking to him on the phone or going out to dinner.

5. I have a high opinion of George. George is a standup guy. He is frank and honest. I know his friends and like them also. I find George completely socially acceptable.


6. I know that George is very charitable. George is generous to those causes which he considers worthwhile. He has been very generous to our church. I can always count on him to buy a ticket or seat for a benefit. George is also very good at having kids admitted to ball games and at taking on projects to help the disadvantaged.

7. I also know another side of George of which he is rarely credited. I know that George has a true sense of compassion that is deeper than any generous contribution. For example, when Roger Marris died several weeks ago, George felt that something should be done in New York to honor Roger's memory. Not only did he organize a special memorial service for the people of New York, [REDACTED]

8. I know of George's prior felony conviction for illegal campaign contributions. I fully believe, however, that in the ensuing eleven years George has paid his penance. He has atoned for his actions. He has served society well.

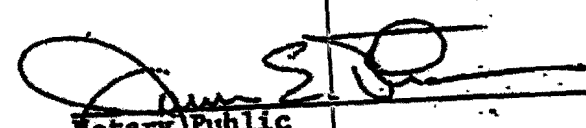
9. I know George person to person. George is a good person. He is an honest guy. He is worthy of the pardon which he seeks.

Signed under the pains and penalties of perjury.


Bishop Edwin B. Broderick

Subscribed and sworn before me this 24th day of JANUARY, 1986.

My Commission expires


Notary Public
JAMES E. THOM
Notary Public, State of New York
No. 52018200
Qualified in Queens County
Certificate Filed in Suffolk, Queens,
Kings, Bronx & New York Counties
Commission Expires March 30, 1986

AFFIDAVIT OF EDDIE G. ROBINSON

I, Eddie G. Robinson, hereby state as follows:

This affidavit is submitted in support of George Steinbrenner's petition for pardon submitted to the President of the United States.

1. My name is Eddie G. Robinson and I reside at [REDACTED]
b6 [REDACTED] Grambling, Louisiana.

2. My profession is sports. I am the Athletic Director and head football coach of Grambling State University and have been since 1941.

3. I have known George since 1976. A mutual goal --helping the youth of America--got us together. Since 1969, Grambling State University and Morgan University have played a benefit football game in Yankee Stadium in order to raise money for a worthwhile project called The Storefront Academy. The Storefront Academy was an innovative project to help juvenile delinquents finish school. The game was sponsored by the Urban League and others and each year we were able to fill Yankee Stadium, thereby providing substantial funds for the project.

In 1976, however, we were told that the Urban League could no longer sponsor the game. We did not know what to do. We very much wanted to continue helping the project--as well as provide a unique experience for our students--but the project was just too big for Grambling and Morgan to take on alone.

4. In desperation, I called Howard Cosell and told him of our problem. When he heard that our goal was helping American

youth, he said "Eddie, with George Steinbrenner you might just have your man." Well, I placed a call to Yankee Stadium and was able to set up an appointment with George to discuss the matter.

5. Right from the start, I was impressed with the genuineness of George Steinbrenner. When we first met, I said "Nice to meet you, Mr. Steinbrenner," to which he instantly replied "My friends call me George."

6. I was most impressed with George's obvious deep commitment to helping the American youth. George's commitment does not stay buried in good intentions. George is a man of action; a man who wants to see accomplishments. I remember the first meeting we had to organize the following year's game.

Representatives from Grambling State University, Morgan University and the Urban League were all there. I remember right at the beginning George turned to the Urban League folks and said "I am concerned with the money that will go to the Urban League. I want to make sure the money will get to the kids. I want to see results."

7. George was able to see those results. Because the game was allowed to continue in Yankee Stadium, a lot of kids graduated high school who otherwise would never have made it.

8. I want to make clear that George's commitment to helping the American youth consists of much more than signing a check. Yes, his financial contributions are vast and are very

much appreciated. But it is his personal interest that dominates the commitment. George goes out and becomes personally involved in helping the kids. He often travels around the country in order to talk with the kids. And, boy, can he talk. George is a tremendous speaker and is really able to reach the kids, to move them and to inspire them.

9. George's commitment to helping underprivileged youth--both minority and white--is evident in almost everything he does. For example, every year George brings the Yankees to New Orleans to play an exhibition game. One of his primary reasons for doing this is to allow the youngsters of Louisiana to come see the New York Yankees play. And every year George makes sure that underprivileged youths work as batboys for the game.

10. More than know of George's achievements, I know George as a friend. Since I first met him in 1976, I have come to know George and to be his friend.

11. George is a true friend. When I won my 300th college game, George was the first to call to congratulate me. When Grambling had "Eddie Robinson Day", George was there. And when George brought the Yankees to New Orleans to play an exhibition game, he invited me down to spend three days with him. George is someone I can talk to and confide in.

12. I really do not know much about George's prior felony conviction; but, I do know George. I have feasted with him,

drank with him, argued with him and have had long soul-searching discussions with him. I know the man and in my book, the man is the best there is. The man is a solid American. The man is an inspiration. The man loves people. The man is a fine man.

Signed under the pains and penalties of perjury.

Eddie G. Robinson
Eddie G. Robinson

SWORN to and SUBSCRIBED before me by Eddie G. Robinson
this 23 day of Feb., 1986.

[Signature]
Notary Public

My Commission Expires: life-time

AFFIDAVIT OF PHIL CARUSO

I, Phil Caruso, hereby state as follows:

This Affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6

1. My name is Phil Caruso and I reside at [REDACTED] Sayville, New York.

2. I am a policeman by profession. I have been with the New York Police Department for 27 years.

3. Currently, I am also the president of the Patrolman's Benevolent Association (PBA). The PBA acts, essentially, as the police officer's union. It has 20,000 active members and 15,000 retirees. The office of president is an elected office. I have been serving as president for a little over five years.

4. I first met George Steinbrenner about five years ago when he founded the Silver Shield Foundation. The Silver Shield Foundation is a fund that provides college scholarships to children of police officers killed in the line of duty.

5. How the foundation was started, I think, truly describes the real George Steinbrenner. About five or six years ago there was a cop killed in the line of duty. The officer and his family had been big Yankee fans. They had followed the Yankees with almost religious devotion. The PBA approached George Steinbrenner to ask if the family could come

to Yankee Stadium, see a game and meet him. George said he would be happy to have the family as his guest and when the family came to the game, he gave them the red carpet treatment. After meeting the family, however, George was very taken with the children and their plight. He decided that he wanted to do more than just entertain them. So, that very night, George made a promise to help out the families of slain officers.

6. George Steinbrenner made good on his promise. He not only founded and set up the Silver Shield Foundation but also went out and obtained commitments from 100 businessmen to contribute \$1,000 a year to the Foundation. Moreover, he himself pledged all of the proceeds of one Yankees game a year to the Foundation, which is a pretty hefty amount. With such strong support, the Foundation, every year, is able to send to college deserving kids who otherwise would not have had the chance.

7. Since the start of the Foundation, I have had an ongoing relationship with George. I feel that I know the other side of George. Sure, I have heard that George is a tough guy to work with. But there is definitely a compassionate and caring side to George.

8. I have been a cop for a long time. I have been trained to "size people up" and, over the years, have had to do so on a regular basis as part of my job. I think that I am a

pretty good judge of character. After 27 years, I know when someone is "full of it" and when someone is true. With George I have no doubts. I have a very high regard for George. George is a straight-shooter. He is honest and candid. His integrity is unimpeachable.

9. I really do not have any personal knowledge of George's prior felony conviction. I do, however, know that there is no element of criminality to George's character. George is genuinely decent person. He is a true pillar of our community. He is most definitely worthy and deserving of a pardon.

~~Signed under the pains and penalties of perjury.~~


Phil Caruso

Subscribed and sworn to before me this 23 day of January, 1986.


Notary Public

My commission expires:

3/30/87

RICHARD HARTMAN
Notary Public, State of New York
No. 30-169623
Qualified in Nassau County
Commission Expires March 30, 1987

AFFIDAVIT OF JOHN W. GALBREATH

I, John Wilmer Galbreath, hereby state as follows: This affidavit is submitted in support of George Steinbrenner's Petition for Pardon submitted to the President of the United States.

b6 1. My name is John Wilmer Galbreath and I reside at [REDACTED] Galloway, Ohio.

2. My primary business is real estate. I have been in the real estate business since the 1920s.

3. I also have a great interest in sports. I have owned the Pittsburgh Pirates baseball team since 1944.

4. I have known George since he came into baseball, through his purchase of the New York Yankees, in the mid 1970s. My primary relationship with George has been in the capacity of baseball team owner.

5. Since I have known him, George has been tops in every way. In all my associations with George, I have never had occasion to question his integrity.

6. I see George several times a year at Major League Baseball owner's meetings and at other baseball events.

7. In all his dealing with me, and as far as I know with other team owners, George has been upright and straightforward. George is not the type of man who beats around the bush or minces words. He is honest in stating what he wants and how he feels on any particular matter. For this, George has earned my respect and, I am confident, the respect of the other owners.

8. Recently, George was selected by the baseball team owners to serve on the Expansion Committee. The Expansion Committee has the important responsibility of determining whether baseball should expand and the locations of future baseball teams. Members of the Expansion Committee are selected on the basis of their knowledge of and commitment to baseball as well as their awareness of what is in the best interest of the present owners. By their selection, team owners have indicated the complete trust that they place in these individuals. There is no doubt that George is worthy of such trust and of being selected for this most important task.

9. Another major interest of mine is thoroughbred racing. I have been the winner of the Kentucky Derby.

10. I know that George also has an interest in thoroughbred racing and is involved in several ventures.

11. In thoroughbred racing circles, I have never heard noncomplimentary remarks about George.

12. As a Major League baseball team owner I am aware that George, in November of 1974, was placed on the Ineligible List for a period of two years by the Commissioner with respect to the management of the New York Yankees. I know that the Commissioner's action was in response to charges, to which George plead guilty, of illegal corporate campaign contributions. The period of suspension long since over, George is

again very active in running his baseball club. To the best of my knowledge George has not had any trouble with any public authority since that time.

13. As long as I have known him, George has been a gentleman in every way and I feel that he deserves the pardon which he seeks.

Signed under the pains and penalties of perjury,


John W. Galbreath

Subscribed and sworn before me this 24th day of January, 1986.


Notary Public

BETHANY POWELL CARRES
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES OCT-21-1989.

My Commission expires October 21, 1989

**MEMORANDUM IN SUPPORT OF THE PETITION
OF GEORGE M. STEINBRENNER, III FOR A PARDON**

George M. Steinbrenner, III, through the undersigned counsel, submits this memorandum in support of the accompanying Petition for Pardon After Completion of Sentence.

I. INTRODUCTION

On August 23, 1974, George Steinbrenner, Chairman of the Board and then-President of the American Ship Building Company, pled guilty in the United States District Court for the Northern District of Ohio to the felony of conspiracy to make corporate campaign contributions in violation of 18 U.S.C. § 610. 1/

1/ 18 U.S.C. § 610, which was repealed by the Federal Election Campaign Act of 1976 (Pub. L. 94-283) provided, in relevant part, that:

~~It is unlawful for any national bank, or any corporation organized by authority of any law of Congress, to make a contribution or expenditure in connection with any election to any political office, or in connection with any primary election or political convention or caucus held to select candidates for any political office, or for any corporation, whatever, or any labor organization to make a contribution or expenditure in connection with any election at which Presidential and Vice Presidential electors or a Senator or Representative in, or a Delegate or Resident Commissioner to Congress are to be voted for, in or connection with any primary election or political convention or caucus held to select candidates for any of the foregoing offices, or for any candidate, political committee, or other person to accept or receive any contribution prohibited by this section.~~

The 1976 Act includes an equivalent provision, codified at 2 U.S.C. § 441b.

Mr. Steinbrenner also pled guilty to the misdemeanor charge of being an accessory after the fact to violations of section 610 committed by the company and two of its officers. On August 30, 1974, Mr. Steinbrenner was fined a total of \$15,000 for the two offenses. No imprisonment or probation was imposed.

Eleven years have passed since Mr. Steinbrenner's conviction. During that time, Mr. Steinbrenner has demonstrated by his conduct that he is fully rehabilitated and has atoned for his wrongdoing. Since 1974, Mr. Steinbrenner has continued his lifelong practice of devoting a major part of his time and effort toward a vast array of civic and charitable services.

Mr. Steinbrenner, moreover, from the beginning has assumed responsibility for the violation of the election law without making excuses for his conduct, and has made clear that he was remorseful for his wrongdoing.

Mr. Steinbrenner's multitudinous contributions to his community prompted Governor Bob Graham of the State of Florida and his Cabinet to grant Mr. Steinbrenner a pardon restoring his state civil rights in December 1979. See Attachment 1.

Neither that state pardon nor Mr. Steinbrenner's philanthropy, however, have -- or can -- eliminate the adverse impact the felony conviction continues to have on him and his family. A pardon by the President of the United States would eliminate many barriers to his participation in business, charitable and civic affairs and the full exercise of his civil rights, as

well as the social stigma of being branded as a felon. Mr. Steinbrenner has suffered long enough; he is deserving of such a reprieve.

II. THE PREVIOUS PARDON REQUEST

Mr. Steinbrenner previously filed a petition request with the Pardon Attorney on January 17, 1979. That request was denied on January 15, 1981, presumably because the requisite five year post-conviction time period which must precede the filing of a pardon request had just barely passed, perhaps leaving some doubt as to Mr. Steinbrenner's long-term rehabilitation.

Another six years have passed since the filing of that petition, during which time Mr. Steinbrenner has confirmed his commitment to the laws of this country and the needs of his community. In order to focus on Mr. Steinbrenner's continued good conduct and because the Pardon Attorney has the previous request available to him, this memorandum will not repeat in detail the information and arguments presented on Mr. Steinbrenner's behalf in 1979. Rather, it will primarily focus on his activities since that time.

III. MR. STEINBRENNER'S CONTRIBUTIONS TO AND RECOGNITION BY THE COMMUNITY

A. Recent Civic and Charitable Efforts

Mr. Steinbrenner has engaged in as active a participation in philanthropic and charitable activities as his felony

conviction permits. These activities have been centered in Florida, New York and Ohio. His contributions to these communities have largely centered on education, athletics, youth and law enforcement. As discussed below, however, his activities have run the gamut from assisting the FBI in national security matters to helping a 14-month old baby in need of a liver transplant.

1. Support of Education

Mr. Steinbrenner strongly believes that higher education is essential for the future success of our nation, and has donated generously to further that belief. He is a significant benefactor of Florida State University, Jacksonville University, University of Florida, University of South Florida, University of Tampa, University of Central Florida, Saint Leo College and Purdue University. He serves on the Foundation Boards of Florida State University, University of South Florida and Grambling State University and is a member of the Board of Trustees of Saint Leo College, Tona University and Grambling State University. He also supports his alma maters, Williams College in Massachusetts, Ohio State University and Culver Military Academy in Indiana, where he serves as a director.

Mr. Steinbrenner's contributions have not been limited to support of educational institutions. He also directly supports the underprivileged so that they may obtain higher education:

- ° He has personally funded the college education of approximately 75 under-privileged youths.
- ° Starting in 1981, he has sponsored the college education of four children of a New York policeman killed in the line of duty.
- ° The same year, he founded the Silver Shield Foundation, which finances the college education of the children of slain New York police and firemen through the proceeds of selected New York Yankees games and money raised each year from 100 businessmen in New York.
- ° In 1981, he founded a counterpart organization in Tampa, Florida, the Gold Shield Foundation, which provides college scholarships to the children of slain policemen and firemen through donations from Tampa businessmen.

These commitments are not without impact. As Phil Caruso, President of the Patrolman's Benevolent Association, noted:

"With such strong support, the [Silver Shield] Foundation, every year, is able to send to college deserving kids who otherwise would not have had the chance." (Affidavit of Phil Caruso, p. 2).

Mr. Steinbrenner is also deeply involved in arts and music education. For example, he is the mainstay behind the University of South Florida Music Festival, bringing in top-flight musicians each year who perform without pay for the benefit of the school's fine arts programs. Indeed, Mr. Steinbrenner has brought more funds to the fine arts at secondary and collegiate institutions than he has to the athletic programs discussed below.

2. Support of Amateur Athletics

It goes without saying that Mr. Steinbrenner, who is perhaps best known as the general manager and principal owner of the New York Yankees, has an avid interest in sports. It is less well known that he is a staunch supporter of amateur athletics, predicated on the belief that athletics help our youth develop both physically and emotionally by teaching them the virtues of hard work, loyalty and discipline. For example:

- ° Mr. Steinbrenner, through the Yankees, contributed \$150,000 to the "Save Amateur Sports Program" in New York.
- ° He has donated \$500,000-\$750,000 in free admissions to the New York Yankee games to support local New York recreation programs.
- ° He has contributed generously to Boys Clubs all around the country.
- ° He has provided lights for the baseball fields at all of Florida's major universities.
- ° He serves on the Executive Committee of the U.S. Olympic Committee and is on the Board of Trustees of the U.S. Olympic Foundation.

Mr. Steinbrenner is also a benefactor of the New York City Little League players, the New York Police Athletic League, and New York Sandlot Baseball. In addition, he has financed a number of sports-related activities, such as paying the transportation and lodging costs for University of South Florida's cheerleaders and student band so that they could attend the Sunbelt Conference basketball tournament in Alabama and

bringing the baseball teams of West Point, Annapolis and the Air Force Academy (beginning in 1986) to Florida for spring training at the Yankee's minor league camp in Ft. Lauderdale.

3. Support of United States National Security Activities

As the DOJ is aware, in May 1983, Mr. Steinbrenner was credited with assisting the Federal Bureau of Investigation in two national security matters. Since these are sensitive matters, we refer you to the FBI for more information.

Suffice it to say, however, that this type of behind-the-scenes cooperation is illustrative of Mr. Steinbrenner's fierce patriotism. As Tom McEwen, a Tampa Tribune Sports Editor, stated: "George's blood is truly red, white and blue. He is a total patriot. All anyone has to do to know George's convictions is to hear him speak. He dwells on America the beautiful and the land of opportunity, on the affect of drugs, on poverty and the way to help eliminate it." (Affidavit of Tom McEwen, p. 4).

4. Other Civic and Charitable Activities

Mr. Steinbrenner has generously helped people in need in a number of other respects as well. To quote Bishop Broderick: "I know that George is very charitable. George is generous to those causes which he considers worthwhile. He has been very generous to our church. I can always count on him to buy a ticket or seat for a benefit. George is also very good at

Having kids admitted to ball games and at taking on projects to help the disadvantaged." (Affidavit of Bishop Broderick, p.

1) To name a few of his personal charitable undertakings:

- ° Mr. Steinbrenner financed a series of cranial operations for a 7-year old Long Island girl.
- ° He publicized the plight of a 14-month old boy in need of a liver transplant in an effort to have a donor come forward.
- ° He was a major sponsor of a fund-raising drive for survivors of the 61 Americans killed in the Soviet downing of Korean Air Lines flight 007.
- ° He is a major donor in the effort to refurbish the Statue of Liberty.

Mr. Steinbrenner also makes regular donations to the Special Olympics, the Prevent Blindness Foundation, the Catholic Youth Organization, the Bronx Mission Society, the Fresh Air Fund, and United States Savings Bonds.

5. Honors and Awards Received by Mr. Steinbrenner

Mr. Steinbrenner has received a number of awards in recognition of his civic and charitable contributions. For example, in 1983, he was honored as the "Florida Industrialist of the Year." That same year, he received a "Distinguished Citizen Award" from the National Football Foundation and Hall of Fame. He has also received an honorary doctorate from

Grambling State University. In addition, Mr. Steinbrenner was Chairman for the 1985 Coast Guard Foundation Dinner which broke all records for money raised, gave the commencement speech at the Air Force Academy's annual Activities Award Banquest, and was a guest speaker to FBI Academy graduates.

B. Consistency With Mr. Steinbrenner's Pre-Conviction Activities

Mr. Steinbrenner has not delved into civic and charitable activities merely to compensate for his felony conviction. To the contrary, he consistently has been involved in such projects all of his life. One only has to read the affidavit of Albert Bernstein, a life long friend, to see that Mr. Steinberg is not a newcomer to helping worthwhile causes and those in need. "George has done so many tremendous things that this would be very long affidavit if I were to relate them all."

(Affidavit of Albert W. Barnstein, p. 2).

Many of his civic and charitable activities prior to 1979 are detailed in the memorandum filed in support of Mr. Steinbrenner's first pardon petition (Mem. at 5-6), and will not be repeated here. Suffice it to say that his works were so substantial that they resulted in his appointment to the Board of Regents of Ohio (where he previously resided), and the receipt of such awards as the 1969 Golden Plate Award for "extraordinary leadership and service to fellowman" from the American Academy of Achievement and Cleveland's 1972 "Man of the Year" award.

In sum, Mr. Steinbrenner both before and after his conviction has been a compassionate and generous community leader -- a fact which he has done little to publicize and is rarely mentioned in the portrayals of this man on the sports pages of American newspapers.

IV. THE NATURE OF THE OFFENSE AND MR. STEINBRENNER'S
ADMISSION OF WRONGDOING

The nature of the offense committed by Mr. Steinbrenner and his response to his indictment are consonant with issuance of a pardon. Unlike such crimes as homicide, larceny or offenses involving violence or organized crime, the offense of affecting corporate campaign contributions does not involve moral turpitude and is not otherwise "inherently" wrong; it is malum prohibitum, not malum in se. Cf. 28 C.F.R. § 1.2 (requiring persons who were convicted of crimes of a "serious nature" to wait seven years before filing a petition for pardon rather than the normal five years.)

Mr. Steinbrenner, moreover, fully assumed responsibility for the contributions deemed to have been made by the American Ship Building Company. In pleading guilty to the charge, he expressed his remorse for his actions and his desire to once again become the law-abiding citizen he had proved himself to be in the past. When he was asked by the Court if he had any statement to make prior to the imposition of sentence, Mr. Steinbrenner stated:

Your Honor, for the last year I have come through possibly the most difficult period of my life. It has been one of agony and suffering for the family and my children and my company and myself, and for many who are friends.

As the Chief Executive Officer and the leader of a company, when good things happen to the company, I realize I get the credit. By the same token, when mistakes are made, I am responsible for those mistakes and must bear the full responsibility for them.

I realize the law has been violated, and I have done it, and I had the chance to perhaps perform differently as a leader of my company, and I can't argue those facts.

I am concerned for my men and employees and their families who have suffered, and if my leadership has failed them, I am sorry for that.

Basically I have tried to spend the better part of my life in the best ways I knew how, trying to do good for others, and I can't say whether I have been successful or not, but no matter what the verdict, I will try to continue to try to preserve the system under which I am going to be sentenced and to do everything I can to continue to help others wherever I am. That is all. 2/

- 2/ Transcript of sentencing before the Honorable Leroy J. Contie, Jr., Judge, United States District Court for the Northern District of Ohio in United States v. Steinbrenner, pp. 22-23 (August 30, 1974). Mr. Steinbrenner has continued to publicly acknowledge his wrongdoing and express his regret for his actions. For example, in response to a question from a reporter as to how it felt to be "the only convicted felon among baseball's ownership," Mr. Steinbrenner responded, "It's part of what you have to bear. Nobody is without a dent in their armor. It's part of my life. I have to live with it...." Washington Post, October 23, 1981, at D-1.

The resulting sentence imposed by the District Court evidences a recognition that Mr. Steinbrenner was well on his way toward rehabilitation. Although the Court could have imposed a prison sentence of up to three years for the two counts, the Court imposed only a fine. Surely, if Mr. Steinbrenner had been perceived to have engaged in moral turpitude or flouted the law in any way, a greater punishment would have been imposed.

Like the Court's determination to forego imposition of a prison sentence, the grant of a pardon to Mr. Steinbrenner would not undermine the prohibition against corporate campaign contributions. Rather, it would serve to recognize the value to society of complete rehabilitation, and illustrate the role that mercy properly plays in our criminal justice system.

V. CONTINUING ADVERSE EFFECT OF THE CONVICTION ON MR. STEINBRENNER'S RIGHTS AND INTERESTS

Although Mr. Steinbrenner was not imprisoned as a result of the commission of a felony offense, the restrictions imposed on him in response to his conviction were in many ways just as severe. Most importantly, Mr. Steinbrenner suffered the loss of his civil rights. ^{3/} In addition, Mr. Steinbrenner has been, in significant measure, prevented from conducting his

^{3/} See e.g., Fla. Stat. § 94.005 (denying the right to vote to felons).

businesses and participating in civic and charitable affairs. In 1974, for example, then Commissioner of Baseball Bowie Kuhn prohibited Mr. Steinbrenner from "managing or advising in the management of the affairs of the New York Yankees and engaging in any association whatsoever with any Major League Club or its personnel" for a period of two years. See Attachment 2 hereto, at p. 1. 4/ It scarcely needs argument that for a man who is the general partner and principal owner of the Yankees and an ardent admirer of the sport, this suspension from professional baseball was harsh punishment indeed.

There has been some improvement in Mr. Steinbrenner's position since 1974. Notably, Governor Bob Graham of Florida's 1979 pardon restored his state civil rights. 5/ Notwithstanding Governor Graham's action and the passage of time, however, Mr. Steinbrenner's 1974 felony conviction continues to be a source of personal humiliation and a barrier to the normal pursuit of his community and business interests.

- 4/ The sole exception to this prohibition was for "extraordinary or unusual matters" affecting the Yankees, and even then, prior approval of the Commissioner was required. See ibid.
- 5/ Mr. Steinbrenner also had the support of Governor Graham's Cabinet. Under Florida law, the Governor can grant a pardon only after receiving the approval of three members of the Cabinet. Governor Graham granted Mr. Steinbrenner the full pardon (save for the authority to possess firearms) which, under the governing Rules of Executive Clemency, he was permitted to grant for a federal offense -- the restoration of Florida civil rights. See Rules of Executive Clemency of Florida § 5.F(2). The Rules of Executive Clemency of Florida authorize the issuance of a "full pardon" only for an offense under Florida law. See id. at § 5.A.

A stigma still attaches to Mr. Steinbrenner as a result of his conviction. One need only peruse the newspaper articles concerning Mr. Steinbrenner to confirm this. These articles frequently describe him as a "convicted felon" or the "only convicted felon among baseball's ownership." See a.g., Sports Illustrated, June 7, 1982; The Washington Post, October 23, 1981; and U.S. Edition, July 28, 1975.

Disabilities have been imposed on Mr. Steinbrenner's business activities as well. Just this past summer, for example, Minnesota racing officials denied him an owner's license at Canterbury Downs, the state's first parimutal thoroughbred racetrack, because of his felony conviction. ^{6/} The harm suffered by Mr. Steinbrenner is far more than economic; it is a symbolic slap in the face for a man who has tried so hard to overcome his conviction and be a good citizen.

Mr. Steinbrenner's civic and charitable activities similarly have been circumscribed because of the felony conviction. Recently, for example, he was asked to serve as a member of the Florida State Board of Regents. Although he wished to accept this position, after discussion with trusted friends and community leaders, he declined the nomination because of the

^{6/} See Minn. Stat. § 240.06(d)(2) (precluding the issuance of horseracing licenses to felons). Mr. Steinbrenner is the owner of a number of thoroughbred racing horses. Because Oklahoma has a comparable provision in its laws, Mr. Steinbrenner also declined to join a family horse-racing venture in that state.

adverse publicity to the Florida Educational System that would likely ensue were he to accept.

Mr. Steinbrenner has gone to extraordinary lengths to atone for his wrongdoing. Nonetheless, he continues to be haunted by his past. As Tom McEwen, noted:

"I also know that George took and still takes the conviction very hard. I can say with authority, from experience and from a deep personal relationship, that relief of the pain and suffering of the felony would mean more to him than anything else."

(Affidavit of Tom McEwen, p. 4).

~~A grant of Executive Clemency by the President is warranted to mitigate the continuing harsh consequences suffered by Mr. Steinbrenner.~~

~~VI. DEFERENCE TO THE PARDON BY THE GOVERNOR OF FLORIDA~~

As a matter of comity, the decision by the Governor of Florida to restore Mr. Steinbrenner's civil rights in the State of Florida ought to be given great weight in determining whether to grant this parallel federal pardon request. ~~Like the President's Article II pardon power, the grant of Executive Clemency by the Governor of Florida is an "act of grace" proceeding from the power entrusted the Governor by the Florida Constitution. Rules of Executive Clemency of Florida § 1; see Florida Constitution of 1968, Article IV, § 8(a). Florida Governor Bob Graham, based on his review of the circumstances relevant to~~


Mr. Steinbrenner's conviction and his rehabilitative activities, determined that Mr. Steinbrenner was deserving of a reprieve. The act of grace commenced by the Governor should be completed by the President, by the grant of a full federal pardon.

VII. CONCLUSION

We respectfully request that the Pardon Attorney and the Attorney General recommend to the President that he grant the petition of George M. Steinbrenner for a pardon. A pardon would remove the stigma of a criminal conviction, restore to Mr. Steinbrenner his federal civil rights, and alleviate the continuing harm to his community and business interests. It would also serve to recognize Mr. Steinbrenner's many positive accomplishments and contributions to civic, charitable and educational affairs.

Respectfully submitted,

PIERSON, BALL & DOWD


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Attorneys for Petitioner
George M. Steinbrenner, III

Memorandum

Mr. Tolson	
Mr. DeLoach	
Mr. Mohr	
Mr. Bishop	
Mr. Casper	
Mr. Callahan	
Mr. Conrad	
Mr. Felt	
Mr. Gale	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Mr. Holmes	
Miss Gandy	

Subject

George Michael Steinbrenner
F.B.I. No. 383 595 MI
Applicant for pardon

Date

April 12, 1988

To William S. Sessions, Director
Federal Bureau of Investigation

From David C. Stephenson
Pardon Attorney

We are considering a pardon petition filed by subject, whose earlier petition was denied in 1981. The previous and current petitions were investigated by the Bureau's Background Investigation Unit in 1979 and 1986, respectively.

In accordance with well-established procedures relating to Executive clemency (see United States Attorneys' Manual 1-3.108 -- Office of the Pardon Attorney), I am required to prepare for the consideration of the Associate Attorney General a proposed report to be submitted by him to the President recommending that subject's petition be either granted or denied. It is my responsibility to obtain the views of concerned officials before submitting such a report. Enclosed for your review are copies of the petition and the closing reports of the background inquiries, as well as a copy of a letter from subject's counsel, T. Timothy Ryan, Jr., dated December 14, 1987.

The enclosure to Mr. Ryan's letter details assistance which subject states that he furnished the Bureau over an 11-year period (1976 to 1987). To facilitate our consideration of this case, we would appreciate your verifying this information. We ordinarily do not ask the Bureau to make recommendations in pardon matters. However, since you are in a unique position to evaluate the significance of subject's assistance, as well as the probative value of the contents of the Bureau's reports of June 10 and July 21, 1986, any views which you may wish to express concerning the propriety of according subject favorable pardon consideration as a reward for his service to the Government also would be welcome.

I would point out that the ground on which a pardon is usually granted is in large measure the demonstrated good conduct of the petitioner for a significant period of time after conviction and completion of sentence. All relevant factors, including the

~~SECRET~~

recentness and seriousness of the offense for which pardon is sought, the petitioner's prior and subsequent criminal record, if any, his reputation in the community and the extent of his community service, charitable or other meritorious activities, are carefully reviewed to determine whether he has become and is likely to continue to be a law-abiding, responsible and productive member of society.

Your cooperation in this matter is appreciated. If I may be of further assistance, please call me on FRS 492-5910. While obviously containing sensitive information, the attachment to Mr. Ryan's letter of December 14, 1987 is not classified.

PLEASE RETURN ATTACHMENTS.

George Michael Steinbrenner
F.B.I. No. 383 595 MI
Applicant for pardon

April 12, 1988.

William S. Sessions, Director
Federal Bureau of Investigation

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