

Memorandum



To : SAC, JACKSONVILLE (162C-NEW) (P)

Date 4/10/85

From : SA [redacted] b6
b7C

Subject : PARI-MUTUEL HORSE RACING
OCALA, FLORIDA;
~~INTERSTATE GAMBLING ACTIVITIES - OTHER~~
OO: JK

On 3/20/85, [redacted] Investigator with the Florida Division of Pari-Mutuel Wagering, Miami, Florida, advised an opinion by the First District Court of Appeals in Florida has reversed an earlier decision and is awarding a racing permit to the Ocala Breeders Sales Company (OBSC) for quarterhorse racing at the OBSC racetrack in Ocala, Florida.

[redacted] further advised the OBSC intends to build a grandstand next to the existing track, and after receiving their permit for quarterhorse racing, will race not only quarterhorses, but also Arabians and thoroughbreds.

[redacted] stated one of the reasons he feels OBSC was initially denied a racing permit is because GEORGE M. STEINBREINER, owner of the New York Yankees and the Tampa Bay Downs Racetrack, initially objected to the awarding of another racing permit to a facility which would be within 100 miles of his race track. Subsequently, OBSC sold shares to a number of individuals, including GEORGE M. STEINBREINER, who then dropped his objections to the new racetrack. [redacted] also furnished a list of shareholders in OBSC, including those individuals who bought shares in the last year or so. It is noted among these individuals is [redacted] Orlando Renegades football team of the United States Football League.

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[redacted] commented that based on the experience the Florida Pari-Mutuel Wagering Division has had with quarterhorse racing in the past, it can be anticipated that illegal drugs and/or electrical devices will be used to stimulate the horses during races. [redacted] division

MRK/adm
(2) *with*

y, b
REVIEWED FOR INDEX

JK 162C-NEW

had a recent crackdown at the Pompano Park track in south Florida which resulted in the suspension or fining of 16 horsemen, including jockeys, trainers and grooms.

The OBSC was interested in getting a permit to have quarterhorse racing because of the fact that permits to have thoroughbred racing alone are impossible to obtain; however, if they have quarterhorse racing, the OBSC will also be able to have Arabian and thoroughbred racing at the same facility.

The above information is being submitted for the information of the file and for possible future investigative assistance.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <i>SMA</i> NEWARK	OFFICE OF ORIGIN BUREAU	DATE 5/8/86	INVESTIGATIVE PERIOD 5/7/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner		REPORT MADE BY SA [redacted]	TYPED BY pfs
		CHARACTER OF CASE APACS	

REFERENCE: Tampa report of SA [redacted] 4/1/86.

- RUC -

ADMINISTRATIVE

Where appropriate, Privacy Act (e)(3) data was furnished to persons interviewed. Expressed promises of confidentiality, both limited and unlimited, have been noted where granted.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:	
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			PENDING OVER ONE YEAR
							PENDING PROSECUTION OVER SIX MONTHS	<input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED *[Signature]* SPECIAL AGENT IN CHARGE

COPIES MADE:
3-Bureau (73-19114)
1-Newark (73-1336) (TRA)

DO NOT WRITE IN SPACES BELOW

73-19114-35
5/13/86
tel call to NY
to NY (133)
to HHO

Dissemination Record of Attached Report				
Agency				
Request Recd.	<i>300</i>	<i>78</i>	<i>Parsons</i>	<i>Atty 6.3.86</i>
Date Fwd.	<i>PC/SP</i>			
How Fwd.				
By	<i>JH</i>			

Notations
6/1/86

64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [redacted]
Date: May 8, 1986

Office: Newark, New Jersey

b6
b7c

Field Office File #: 73-1336

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE.

Synopsis: THE AMERICAN SHIP BUILDING COMPANY, INC., is authorized to do business in the State of New Jersey. Last annual report dated 11/14/83, set forth listing of corporate officers.

- RUC -

DETAILS:

RECORD REVIEW

On May 7, 1986, Corporate Records, Secretary of State, State of New Jersey (NJ), Trenton, NJ, revealed that THE AMERICAN SHIP BUILDING COMPANY, 2502 Rocky Point Road, Suite 800, Tampa, Florida, is authorized to do business in the State of NJ. The last annual report filed on November 14, 1983, listed the following officers:

1401-8010-00

THE AMERICAN SHIP BUILDING COMPANY
2502 ROCKY POINT ROAD, SUITE 800
TAMPA, FLORIDA 33607

<u>NAME</u>	<u>ADDRESS</u>	<u>TITLE</u> <u>(IF AN OFFICER)</u>
George M. Steinbrenner III	2502 Rocky Point Road Suite 800 Tampa, Florida 33607	Chairman
Edward C. Forbes	2502 Rocky Point Road Suite 800 Tampa, Florida 33607	Vice Chairman
H. Allen Fernstrom	2502 Rocky Point Road Suite 800 Tampa, Florida 33607	Executive Vice President & Chief Operating Officer
George A. Chandler	2502 Rocky Point Road Suite 800 Tampa, Florida 33607	President & Chief Executive Officer
Colonel Leonard Henry	19 East 72nd Street New York, NY 10016	
Daniel R. McCarthy	McCarthy, Lebit, Crystal, Kleinman & Haiman Co., L.P.A. 900 Illuminating Building Cleveland, OH 44113	
William E. Minshall	Larkin, McCarthy, Noel, Falk & Minshall 1301 Pennsylvania Avenue, N.W. Suite 905 Washington, D.C. 20036	
James M. Nederlander	Nederlander Theatre Corporation c/o The Palace Theatre 1564 Broadway New York, NY 10036	
Louis Slater	LRF Slater Companies, Inc. Roosevelt Plaza Two West Northfield Road Livingston, NJ 07039	
Arnold I. Sobel	Henry Crown Company 300 West Washington Street Chicago, IL 60606	
Thomas M. Thompson	Glen View Club Golf, IL 60029	
A. Bronson Thayer	Lykes Brothers P.O. Box 1690 Tampa, Florida 33602	

FILED

NOV 14 1983

JANE BURGIO
Secretary of State

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE CINCINNATI	OFFICE OF ORIGIN BUREAU	DATE 5/8/86	INVESTIGATIVE PERIOD 4/29/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III aka: George Michael Steinbrenner		REPORT MADE BY SA 	TYPED BY mcf
		CHARACTER OF CASE APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE	

REFERENCE:

TP report to Bureau, 4/1/86.

- RUC -

ADMINISTRATIVE:

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

ACCOMPLISHMENTS CLAIMED					<input checked="" type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:	
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		PENDING OVER ONE YEAR	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

APPROVED <i>TSC/2000</i>	SPECIAL AGENT IN CHARGE
COPIES MADE:	DO NOT WRITE IN SPACES BELOW
② - Bureau (73-19114) 1 - Cincinnati (73-751)	73-19114-30 1/17 5/15 APR 20 1987 <i>CRP</i>

Dissemination Record of Attached Report					Notations <i>6/1/86</i>
Agency	Request Recd.	Date Fwd.	How Fwd.	By	
	<i>ZCC TO Pardon Petty 6.3.86</i>	<i>PL/SI</i>			

A*
COVER PAGE

64 FEB 22 1988

Pal

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]

Office: CINCINNATI

Date: MAY 8, 1986

Field Office File #: CI 73-751

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III
aka: George Michael Steinbrenner

Character: APPLICATION FOR PARDON
AFTER COMPLETION OF SENTENCE

Synopsis:

On April 29, 1986, [REDACTED] was interviewed concerning the applicant. He believes the applicant to be of the highest character and finest reputation.

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- RUC -

DETAILS:

On April 29, 1986, [REDACTED] was contacted at his office [REDACTED] Columbus, Ohio. Mr. [REDACTED] advised that he has known Mr. STEINBRENNER since Mr. STEINBRENNER came into baseball in the mid-1970s. He advised that his primary relationship with Mr. STEINBRENNER and been the capacity of [REDACTED] Mr. [REDACTED] advised that he considers Mr. STEINBRENNER to be a man of unquestionable integrity and to be an extremely honest person. Mr. [REDACTED] related that he has the highest respect for Mr. STEINBRENNER and that he has complete trust in anything that STEINBRENNER would undertake. He stated that he considers Mr. STEINBRENNER to be a true American and would be extremely loyal to the United States of America. Mr. [REDACTED] closed that he would, without any reservations, recommend Mr. STEINBRENNER for anything that he was seeking at this time.

- 1* -

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 5/9/86

SM

PL

TO : DIRECTOR, FBI (73-19114)

FROM : SAC, RICHMOND (73-R-6) (RUC)

SUBJECT: GEORGE MICHAEL STEINBRENNER III, aka
George Michael Steinbrenner
APACS
BUDED: 5/19/86

SP



Re Tampa airtel to the Bureau dated 4/29/86.

On 5/6/86, the indices of the Richmond Division were searched for the name [redacted] with negative results.

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73-19114-37

@-Bureau *iced*
2-Tampa (73-312) (Sq. 3)
1-Richmond
SHB/lm
(5)

MAY 12 1986

1*

Spec [signature]

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FEB 22 1988

FEDERAL BUREAU OF INVESTIGATION

SMA

REPORTING OFFICE PITTSBURGH	OFFICE OF ORIGIN BUREAU	DATE 5/9/86	INVESTIGATIVE PERIOD 5/8/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner;		REPORT MADE BY SA 	TYPED BY jh
		CHARACTER OF CASE APACS	

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REFERENCE:

Reference Tampa's report of SA dated 4/1/86; Pittsburgh's report of SA dated 4/23/79.

-RUC-

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(11)

(X)
RAW

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			

APPROVED *Robert D Hampton / Raw* SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

- ③ - Bureau (73-19114)
- 2 - Tampa (73-312)
- 1 - Pittsburgh (73-764)

73-19114-38

8 APR 20 1987

Dissemination Record of Attached Report

Notations

Agency				
Request Recd.	<i>2cc to</i>	<i>Pardon Atty</i>	<i>6.3.86</i>	
Date Fwd.	<i>PL/SF</i>			
How Fwd.				
By				

6/1/86

64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [redacted]
Date: May 9, 1986

Office: PITTSBURGH

b6
b7c

Field Office File #: 73-764

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III, aka
George Michael Steinbrenner;

Character: APACS

Synopsis: The Yankee Coal Company dissolved June 11, 1982.

-RUC-

Details: On May 8, 1986, [redacted] West Virginia Secretary of State's Office, Corporate Division, Charleston, West Virginia, advised that Yankee Coal Company was dissolved on June 11, 1982 by decree of the Kanawha County Circuit Court for failure to pay tax.

135/OIT/MS

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

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b7c



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PAGE 1 OF 1	CLASSIFICATION UNCLAS	PRIORITY IMMEDIATE
DATE 5/15/86		
<p>FM DIRECTOR, FBI (73-19114)</p> <p>TO FBI CINCINNATI (73-751) IMMEDIATE</p> <p>BT</p> <p>UNCLAS</p> <p>GEORGE MICHAEL STEINBRENNER, III, APACS, BUDED: 5/19/86.</p> <p>REFERENCE TP REPORT 4/1/86, CI REPORT 5/8/86.</p> <p>REFERENCE REPORT FAILED TO INCLUDE A CHECK OF STATE CORPORATION RECORDS REGARDING KINSMAN LINES.</p> <p>CI: CHECK STATE CORPORATION RECORDS AT COLUMBUS, OHIO.</p> <p>SUBMIT SUPPLEMENTAL REPORT BY COB 5/23/86.</p> <p>BT</p>		
		NOT TYPE PAST THIS LINE
		73-19114-39
		8 APR 20 1987
		5/15/86 5136 3863

RETURN TO MISS ROOM 5136

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b7c

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER

MAY 15 1986

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

64 FEB 22 1988

Transmit attached by Facsimile - UNCLAS

15 MAY 86 17 26 B

TO: DIRECTOR, FEDERAL BUREAU OF INVESTIGATION, WASHINGTON, D.C.

FROM: NEWARK NJ

SUBJECT: GEORGE MICHAEL STEINBRENNER III, APACS

PRIORITY

Precedence

Date: 5/15/86

Time: Transmitted -

Initials -

- Fingerprint Photo
- Fingerprint Record
- Map
- Newspaper clipping
- Photograph
- Artists Conception
- Other TELETYPE

Special handling instructions: PLEASE CALL

ON EXTENTION 3963 ROOM 5136

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Approved: [Signature]

FBI/DOJ

Exec. AD-Adm.	
Exec. AD-Inv.	
Exec. AD-LES	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Inspection	
Intell.	
Laboratory	
Legal Coun.	
Off. of Cong. & Public Affs.	
Rec. Mgnt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y	

General Applicant & Spn Room 5136
TL-233

73-19114-40

8 APR 20 1987

6/PAU

iced

ENCLOSURE

64 FEB 22 1989

FORMS.TEXT HAS 1 DOCUMENT

OUTBOX,1 (#1295)

TEXT:

NK00008 1201957

RR NY

DE NK

R 301957Z APR 86

FM: NEWARK (73-1336) (P) (C-7)

ADIC, NEW YORK (73-3631) (P) (A-4) (ROUTINE)

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER III, AKA; APACS; BUDED: MAY 19, 1986

INVESTIGATION AT FEDERAL ARCHIVES AND RECORDS CENTER, GSA,
MILITARY OCEAN TERMINAL, BAYONNE, NEW JERSEY, DETERMINED THAT

CIVIL MATTER 82-CIV-0894 IS CURRENTLY CHARGED OUT TO A MS.

[REDACTED] SOUTHERN DISTRICT OF NEW YORK; TELEPHONE [REDACTED]

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b7C

[REDACTED]

NEW YORK AT NEW YORK: CONTACT [REDACTED]

AND REVIEW FILE 83-CIV-0894 AND REPORT RESULTS.

BT

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73-19114-40
ENCLOSURE
73-1336-6

2B

DPL

FEDERAL BUREAU OF INVESTIGATION

Smm

REPORTING OFFICE ALBANY	OFFICE OF ORIGIN BUREAU	DATE 5/16/86	INVESTIGATIVE PERIOD 5/16/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER III, aka George Michael Steinbrenner		REPORT MADE BY EA [redacted]	TYPED BY seh
		CHARACTER OF CASE APACS	

86

REFERENCE:

Report of SA [redacted] dated 4/1/86, at Tampa.

-RUC-

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b7c

ADMINISTRATIVE:

The individual contacted was apprised of the provisions of the Privacy Act.

For the information of the Bureau, Albany's General, CFR, and ELSUR indices were negative regarding applicant.

[Handwritten initials]

General indices searched on 4/18/86 by [redacted]
CFR indices searched on 4/18/86 by [redacted] ELSUR indices searched on 4/23/86 by [redacted]

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
								PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED *[Signature]* SPECIAL AGENT IN CHARGE

COPIES MADE:

- 2 - Bureau (73-19114)
- 1 - Albany (73-405)

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73-19114-41

8 APR 20 1987

Dissemination Record of Attached Report				Notations
Agency	Request Recd.	Date Fwd.	How Fwd.	
	<i>cc to Boston Atty 6.3.86</i>			<i>6/20/86</i>
By				<i>E</i>

64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: IA [redacted]
Date: May 16, 1986

Office: ALBANY

b6
b7c

Field Office File #: AL 73-405

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER

Character: APPLICATION FOR PARDON AFTER,
COMPLETION OF SENTENCE

Synopsis:

Records at the New York State Department of State reveal STEINBRENNER is a general partner in the New York Yankees Partnership, a foreign limited partnership organized under the laws of the State of Ohio.

-RUC-

DETAILS

On May 16, 1986, a search conducted at the New York State Department of State, Corporation Unit, Albany, New York, revealed an affidavit signed by GEORGE M. STEINBRENNER, III on February 3, 1986, which states that STEINBRENNER is a general partner in the New York Yankees Partnership, a foreign limited partnership organized under the laws of the State of Ohio. An authenticated copy of the Certificate of Limited Partnership and all Amendments thereto are annexed to this affidavit.

FORMS, TEXT HAS 1 DOCUMENT

INBOX.5 (#7283)

TEXT:

IPO0012 1360138Z

PP HQ

RECEIVED
TELETYPE UNIT

17 MAY 86 06 56Z

FEDERAL BUREAU
OF INVESTIGATION

Mr. Tolson	
Mr. DeLoach	
Mr. Mohr	
Mr. Bishop	
Mr. Casper	
Mr. Callahan	
Mr. Conrad	
Mr. Felt	
Mr. Gale	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Miss Holmes	
Miss Gandy	

W

SMA
DA IP

P 160138Z MAY 86

FM INDIANAPOLIS (73-632) (RUC)

TO DIRECTOR (PRIORITY)

BT

UNCLAS

General Applicant - Spjn Room 513a
711233

ATTN: DAPLI UNIT, ROOM 5136

GEORGE MICHAEL STEINBRENNER III, AKA., GEORGE MICHAEL

STEINBRENNER; APACS; BUDED: 5/19/86.

RE TAMPA AIRTEL TO BUREAU DATED 4/29/86.

ON MAY 5, 1986, [REDACTED] INDIANAPOLIS DIVISION,

SEARCHED OFFICE INDICES AND ADVISED NO IDENTIFIABLE
INFORMATION AVAILABLE.

ON MAY 8, 1986, [REDACTED] INDIANAPOLIS

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DIVISION, SEARCHED CONFIDENTIAL INDICES AND ADVISED NO
IDENTIFIABLE INFORMATION.

ON MAY 8, 1986, [REDACTED] INDIANAPOLIS DIVISION,

SEARCHED ELSUR FILES AND ADVISED NO IDENTIFIABLE INFORMATION
AVAILABLE.

73-19114-42

8 APR 20 1987

[REDACTED]

lead

b/pas
[initials]

64 FEB 22 1988

PAGE TWO (IP 73-632) UNCLAS

MCN0012

ON MAY 8, 1986, [REDACTED] INDIANAPOLIS DIVISION,

SEARCHED ISIS AND ADVISED NO IDENTIFIABLE INFORMATION
AVAILABLE.

BT

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b7c

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE ST. LOUIS	OFFICE OF ORIGIN BUREAU	DATE 5/19/86	INVESTIGATIVE PERIOD 4/11-5/16/86
TITLE OF CASE GEORGE MICHAELS STEINBRENNER, III, aka George Michael Steinbrenner		REPORT MADE BY IA	TYPED BY add
		CHARACTER OF CASE APACS	

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REFERENCE: Tampa report of SA [redacted] to Bureau, April 1, 1986.

-RUC-

PL

ADMINISTRATIVE:

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

St. Louis general indices were negative for STEINBRENNER.

(17)

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CON VIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED *H. K. / 1986* SPECIAL AGENT IN CHARGE

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- 1 - ST. LOUIS (73-M)

73-19114-43

23 MAY 21 1986

Agency	Request Recd.	Date Fwd.	How Fwd.	By
	<i>BOC TO Bureau Atty 6-3-86</i>			

Notations

SPIC. INQ.

64
FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: IA Office: ST. LOUIS
 Date: May 19, 1986
 Field Office File #: SL 73-M Bureau File #: 73-19114

b6
b7c

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: STEINBRENNER served honorably in the AIR FORCE and AIR FORCE RESERVE.

-RUC-

DETAILS: AT ST. LOUIS, MISSOURI

Military Service

A review on May 16, 1986 of the records at the FEDERAL RECORDS CENTER; Military Branch, indicated GEORGE MICHAEL STEINBRENNER, III, serial number A0-22-48-074, was appointed as second lieutenant in the AIR FORCE RESERVE on May 21, 1952 after completing the RESERVE Officer's Training Corps Program at WILLIAMS COLLEGE, Williamstown, Massachusetts. He entered on active duty on September 20, 1952 at Bay Village, Ohio. He was honorably released from active duty on September 24, 1954 as a first lieutenant (highest rank attained), at Lackbourne Air Force Base, Ohio, and was transferred to the RESERVE. He served in the RESERVE from May 21 to September 19, 1952, and from September 25, 1954 to February 11, 1966, when he was honorably discharged.

He had no foreign service, and his character and efficiency ratings ranged from Satisfactory to Outstanding. There was no record of court-martial or absence without official leave.

He was not granted a security clearance.

His date and place of birth were shown as July 4, 1930, at Rocky River, Ohio. 1*

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

PC

FEDERAL BUREAU OF INVESTIGATION

Sjm

REPORTING OFFICE TAMPA	OFFICE OF ORIGIN BUREAU	DATE 5/19/86	INVESTIGATIVE PERIOD 3/27/86 - 5/12/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER III, aka George Michael Steinbrenner		REPORT MADE BY SA 	TYPED BY de b6 b7c
		CHARACTER OF CASE APACS	

REFERENCE: Bureau airtel to Tampa, 4/10/86.

*Amelino's
P318-19*

ENCLOSURE:

TO THE BUREAU:

*original sent
to [unclear]
5/27*

Enclosed for the Bureau is one copy of a Tax Waiver and Service Center list executed by the applicant on 4/23/86. It is noted that applicant's tax returns for 1979 and 1980 were submitted to the Cleveland, Ohio, Service Center, and his 1981 through 1985 taxes were submitted to the Atlanta, Georgia, Service Center.

ADMINISTRATIVE:

Where appropriate, Privacy Act (e)(3) data was furnished (Continued Cover Page B)

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN: PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		

APPROVED *[Signature]* SPECIAL AGENT IN CHARGE

COPIES MADE:
3 - Bureau (73-19114) (Enc. 1)
1 - Tampa (73-312)

ENCLOSURE

ENCLOSURE ATTACHED

DO NOT WRITE IN SPACES BELOW

73-19114-44

8 APR 20 1987

*HL 5/27/86
CW, file*

Dissemination Record of Attached Report				Notations
Agency				
Request Recd.	<i>2 cc to Pardon Atty 6.3.86</i>			
Date Fwd.	<i>4/5/86</i>			
How Fwd.				
By				

6/1/86

A

COVER PAGE

26 FEB 19 1988

TP 73-312

(ADMINISTRATIVE CONTINUED)

to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

It is noted that a review of Tampa indices reveals TP 183-0-163 is a memorandum of a telephone call received on 2/22/84, from [redacted] which was rambling and incoherent in nature, stated she feared her life was being threatened by "organized crime" in Tampa. Miss [redacted] related an automobile accident incident involving one [redacted] associated with the Wrestling Association in Tampa, and her belief that he intended to harm her. The clerical employee taking the call noted that Miss [redacted] apparently needed "medical or psychological" help.

In Tampa file 12-0-2238, [redacted] called the Tampa Office on 3/13/84, and in a rambling and incoherent manner, related the names of numerous individuals, including the applicant, who she believed were "laundering drug money" through their businesses.

In view of the fact that [redacted] appears to be emotionally disturbed and no corroborating evidence was obtained to indicate the applicant did anything wrong, it is being left to the discretion of the Bureau as to whether this information should be furnished to the Office of the Pardon Attorney.

b6
b7c

On April 29, 1986, a review of the general indices of the Tampa Office of the FEDERAL BUREAU OF INVESTIGATION revealed no record identifiable with the following:



On March 27, 1986, a review of the general indices of the Tampa Division of the FEDERAL BUREAU OF INVESTIGATION revealed no references to applicant in which he was the subject of a criminal investigation.

B*

COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [redacted] b6 Office: Tampa, Florida
Date: May 19, 1986 b7C
Field Office File #: 73-312 Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER III, aka
George Michael Steinbrenner

Character: APPLICATION FOR PARDON AFTER
COMPLETION OF SENTENCE

Synopsis: Applicant's neighbors described him as an excellent neighbor. Reference stated applicant is a brilliant individual who is generous and true to his friends. Applicant has an excellent credit rating and has no criminal record in Tampa, Florida. Civil court matters concerning applicant's corporations reviewed.

-RUC-

DETAILS:

TP 73-312

NEIGHBORHOOD:

The following investigation was conducted by
Special Agent [redacted]

10102 Lindelaan
Tampa, Florida
1979 - Present

The following residents in the area of 10102 Lindelaan,
Tampa, Florida, were contacted and each advised that
~~the applicant has resided at that address since before~~
1979. The neighbors, though none claimed a close social
relationship with applicant, stated applicant is an excellent
neighbor and has not created any problems for the neighborhood.

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April 30, 1986, [redacted]

April 30, 1986, [redacted]

April 30, 1986, [redacted]

TP 73-312

REFERENCE:

The following investigation was conducted by
Special Agent [redacted] and [redacted]
on May 6, 1986:

[redacted]
Tampa Tribune
Tampa, Florida

[redacted] was contacted at his place of employment
and advised as follows with regard to applicant:

He has known applicant since about 1974 when
he met the applicant at the horse track in Tampa, Florida.
Applicant was in Florida attempting to locate a ship
building business for purchase and eventually purchase
the AMERICAN SHIP BUILDING COMPANY. During the past
twelve years, he has come to know the applicant professionally,
socially, and worked with him in business.

[redacted]

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Mr. [redacted] described applicant as a "brilliant guy"
who is generous and true to his friends. He recognized
that applicant is human and has some human shortcomings
but is an individual of the highest character and reputation
and has been a loyal American citizen. He is a very
good friend of law enforcement, having established a
fund for the wives and orphans of police and firemen
killed in the line of duty.

Sometime ago, applicant's law firm in Washington, D.C.,
contacted Mr. [redacted] concerning the possibility of furnishing
a character affidavit on behalf of applicant for his
Application for Pardon on Completion of Sentence, and
he agreed to do so. He feels that applicant is certainly
worthy of such a pardon and has suffered a great deal
of embarrassment over a number of years with regard to
his conviction. Mr. [redacted] sent information to the law
firm concerning his relationship with the applicant and
later received a formal typed affidavit which contained
the information. He reviewed the affidavit and changed
it because it was not in his writing style and subsequently
approved the affidavit which he signed. Mr. [redacted] would
recommend the applicant for a pardon.

TP 73-312

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The following investigation was conducted at Tampa, Florida, by IA [REDACTED]

CREDIT:

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On April 7, 1986, [REDACTED] Supervisor, Credit Records, Credit Bureau of Greater Tampa, Tampa, Florida, provided the following information concerning GEORGE MICHAEL STEINBRENNER, 10102 Lindelaan, Tampa, Florida.

A review of records reveals STEINBRENNER has had accounts with the following businesses which show zero balance at the present time:

SEARS ROEBUCK, J. C. PENNEY, NEIMAN-MARCUS, LORD & TAYLOR, BELK LINDSEY, TAMPA, ROBINSON, BONWIT TELLER, WOLF BROTHERS, MACYS DEPARTMENT STORE, and SAKS FIFTH AVE.

Further review of records reveal following accounts outstanding:

MAAS BROTHERS, account opened August, 1971, high balance, \$10,000. Current balance \$481.00. BURDINES, account opened August, 1977, high balance not furnished, current balance \$315. SAKS FIFTH AVE, account opened, November 1967, high balance \$3165, with current balance \$293.00.

All accounts both with zero balance and outstanding balance are rated (1) (pays within thirty days as agreed.)

TP 73-312

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The following investigation was conducted at Tampa, Florida, by IA [redacted]

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IDENTIFICATION:

On April 7, 1986, [redacted] (protect identity by request) [redacted] Identification Division, Hillsborough County Sheriff's Office, and [redacted] (protect identity by request) [redacted] Tampa Police Department, Tampa, Florida, advised that a review of their records was negative regarding GEORGE MICHAEL STEINBRENNER, [redacted]

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On April 8, 1986, [redacted] Clerk, Records Division, Hillsborough County Traffic Court, Tampa, Florida, advised that a review of her records revealed no traffic citation for GEORGE MICHAEL STEINBRENNER.

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TP/ 73-312

~~AW~~

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MISCELLANEOUS:

The following investigation was conducted by IA
 at Tampa, Florida.

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PENDING MATTERS WITH FEDERAL OR STATE GOVERNMENTS:

On April 24, 1986, U.S. District Court, Tampa Division, Case 81-1091-CIV-T-17 captioned GEORGE M. STEINBRENNER, III et al. v. WARRENT R. HAUGHT, et al. was reviewed and the following information was noted. Case characterized as a massive fraud case in which GEORGE M. STEINBRENNER and others are plaintiffs in connection with oil and gas leases entered into with WARREN R. HAUGHT and others. On April 18, 1986, an order was issued that case is set for summary jury trial on June 30 and July 1, 1986.

On April 24, 1986, Hillsborough County Court, Civil Division, Tampa, Florida, Case # 83-9815 captioned GEORGE M. STEINBRENNER III, et al, FLORIDA CITY MAGAZINES, INC, PRIME COMMUNICATIONS, INC. and PARK L. BEELER, v. FLORIDA CITIES PUBLISHING CO; TONY FRYE; GULF PUBLISHING INC.; ROBERT J. MUELLER. Civil action complaint was filed July 13, 1983 by Plaintiffs. Twelve charges were filed in connection with purchase of SARASOTA MAGAZINE. The main charge was that the Defendants knowingly conspired to extort from Plaintiffs purchase price of SARASOT MAGAZINE \$104,000 when fact existed that SARASOTA MAGAZINE at no time had a value that would remotely approach \$104,000. Defendants denied claims against them and filed countersuit August 10, 1983. Settlement was reached between Plaintiffs and Defendants on February 18, 1986. Terms were to remain confidential. Settlement requested payment from Plaintiffs with fourteen days of settlement date. A portion of the settlement payable to GEORGE MC LAIN, Esquire, attorney for GULF PUBLISHING, INC., ROBERT MUELLER, SR., and ROBERT MUELLER, JR.

TP 73-312

The following investigation was conducted by
Special Agent [REDACTED]

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The original Court of Appeals case number 85-1309 was obtained from the file clerk, Second District Court of Appeals, State of Florida, Lakeland, Florida, on May 12, 1986. The title of the case was "JOHN R. CLEEVELEY vs. FLORIDA CITY MAGAZINE, INC. (FCMI)," as CLEEVELEY was appealing a summary judgment entered against him on June 7, 1985, in favor of FCMI. The judgment against CLEEVELEY was in the total amount of \$304,810.52 and was also rendered against PARK C. BEELER.

The law suit from which the appeal stemmed was originally filed on October 12, 1984, by FCMI as a result of a failure to make payments on a purchase agreement dated September 21, 1983. The purchase agreement was entered into by JACKSONVILLE MONTHLY CORPORATION (JMI) which agreed to purchase assets of FCMI, including the "Jacksonville Monthly Magazine." As part of that agreement, a promissory note for \$280,000.00 was executed by JMI and personally guaranteed by CLEEVELEY and BEELER. Also as part of that agreement, a purchase money security interest was given in certain assets of JMI as additional security. FCMI failed to file the required financing statement until October 1984, and as a result, another creditor was able to seize the assets of JMI which were listed on the purchase money security interest. The promissory note executed by JMI therefore became unsecured, and FCMI sued CLEEVELEY and BEELER for the amounts due under the purchase agreement based upon CLEEVELEY's and BEELER's personal guarantees.

The Lower Court found in favor of FCMI, and the appeal was filed by CLEEVELEY arguing that he should not be held liable on the personal guarantee that FCMI violated the personal guarantee agreement by failing to perfect their security interest as required. The Appeals Court remanded the case for further proceedings to determine what the judgment amount would have been against CLEEVELEY if the secured property had not been lost due to FCMI's failure to perfect their security interest. The Appeals Court then directed the Lower Court to reduce CLEEVELEY's liability on the personal guarantee by the amount of that security.

GEORGE M. STEINBRENNER was cited in the appeal filed on two occasions. A deposition taken of CLEEVELEY on January 28, 1985, revealed he was contacted by STEINBRENNER and STEINBRENNER's attorney in the fall of 1984 in regard to the sale of the "Jacksonville Monthly Magazine." Also,

TP 73-312

on April 19, 1985, STEINBRENNER filed a document in the court proceedings setting forth the fact that he was the President of FCMI, and therefore, authorized to execute agreements on the behalf of FCMI.

A review of the appeal file did not reveal any appeals being taken by any of the other parties against whom judgments were entered as a result of the law suit. It is further noted that the original law suit contained two counts with the first count being the law suit against CLEEVELY, BEELER, and JMI which was the basis of the appeal, and the second account being against ~~BEELER, JMI, and NORTH COAST, INC.~~, in regard to their failure to pay a promissory note which they entered into for the purchase of "Orlando Monthly Magazine" and "Central Florida Monthly Magazine" from FCMI.

On May 5, 1986, Case #83-1464-CIV-T-15 captioned GREATER GULF TOWING & BARGE CO; SHELDON B. GUREN; GEORGE M. STEIN BRENNER III, and THE GREAT LAKES TOWING COMPANY v. ST. PHILIP TOWING & TRANSPORTATION, etal. Suit filed by GREATER GULF TOWING & BARGE COMPANY, etal against ST. PHILIP TOWING INC. ETAL charging them that they received 93 % of Marine assistance towing of deep water vessels in the Tampa Bay. They charged artificially low prices and degraded equipment used by GREATER GULF TOWING & BARGE CO. so that they would not be afforded business of the vessels coming into the Tampa Bay. GEORGE M. STEIN BRENNER III is a stock holder in the GREATER GULF TOWING & BARGE CO.

ENCLOSURE



73-19114-44

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Dear Director:

In connection with my pending petition for a Presidential Pardon relating to my tax violation, you are requested and authorized to furnish to Mr. Pardon Attorney, United States Department of Justice, tax information in your files relating to my income tax returns for the year(s) 1979 through the present. To the best of my recollection, the return(s) were filed at the following location(s):

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Very truly yours,

George M. [Signature] 4/23/86
Signature of taxpayer Date signed

10102 LINDELAN
Street Address

TAMPA FLORIDA 33618
City State Zip Code

285-28-5751
Social Security Number

(See attached lists of Service Center and districts serviced. Pardon Attorney's Office will address request to appropriate Service Center Director.)

PC

Sm

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WASHINGTON FIELD	OFFICE OF ORIGIN BUREAU	DATE 5/21/86	INVESTIGATIVE PERIOD 5/6/86 - 5/19/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III		REPORT MADE BY SA [redacted]	TYPED BY kam
		CHARACTER OF CASE APACS b6 b7C	

REFERENCE: Tampa Report of 4/1/86.

- P* -

ADMINISTRATIVE: BUDED: 5/19/86

This case will be RUC'd upon the completion of WFO indices searches and file review.

- SEC check (R/S sent 5/22)

(10)

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED *[Signature]* SPECIAL AGENT IN CHARGE

COPIES MADE:
3-Bureau (73-19114)
1-WFO (73-2064)

DO NOT WRITE IN SPACES BELOW

73-19114-45

8 APR 20 1987

Agency	Request Recd.	Date Fwd.	How Fwd.	By
	2cc to Pardon City 6-3-86	PL/SF		

Notations
[Handwritten notes]

A*

COVER PAGE

FBI/DOJ

64 149 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:
Date:

SA [REDACTED]
5/21/86

b6
b7c

Office:

WASHINGTON, D.C.

Field Office File #: 73-2064

Bureau File #: 73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

Civil cases — currently pending at THE UNITED STATES TAX COURT and THE UNITED STATES CLAIMS COURT were reviewed and found to concern the over and/or under payment of Federal Income Taxes. Both cases are still pending.

- p* -

WFO 73-2064

GBM:rlw

U.S. TAX COURT
 COURT FILE ROOM
 400 2ND STREET, N.W.
 WASHINGTON, D.C.

On May 6, 1986, IA [redacted] reviewed civil action number 27952-83 located at the above court concerning GEORGE MICHAEL STEINBRENNER, III. The following pertinent information was obtained:

Internal Revenue Service (IRS) sent a notice of deficiency form-(increase) to GEORGE MICHAEL STEINBRENNER [redacted] [redacted] on June 30, 1983 concerning the listed tax years:

12/31/75	8,199.77
12/31/76	526,585.95
12/31/77	1,084,934.78
12/31/78	851,026.02
12/31/79	470,386.79

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A petition was filed by STEINBRENNER on September 28, 1983 denying the allegations of the IRS. Trial date was noted as February 18, 1986, Federal Building, Room 2975, 1240 E. 9th Street, Cleveland, Ohio. Terms of settlement was filed on September 24, 1986 by both parties. Case pending (see attached enclosure).

Attorney for petitioner [redacted] of McCARTHY, LEBIT, CRYSTAL, KLEINMAN and HAIMAN, CO., L.P.A., 900 Illuminating Building, 55 Public Square, Cleveland, Ohio, telephone number 216-696-1422.

Attorney for respondent [redacted] Office of Chief Counsel, IRS, One Cleveland Center, Suite 810, 1375 E. 9th Street, Cleveland, Ohio, telephone numbers 216-522-3380/ FTS 942-3380.

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U.S. TAX COURT

UNITED STATES
TAX COURT

FILED
SEP 28 1983

83 SEP 28

UNITED STATES TAX COURT

ADV.	<i>ca</i>
RECORDED	
<i>WKE</i>	
SERIALIZED	
INDEXED	
FILED	
S.T. JUDGE	
FILES	<input checked="" type="checkbox"/>

GEORGE M. STEINBRENNER and
JOAN Z. STEINBRENNER

Petitioners,

v.

DOCKET NO. 27552-83

COMMISSIONER OF INTERNAL REVENUE

Respondent.

PETITION

The Petitioners hereby petition for a redetermination of the deficiencies set forth by the Commissioner of Internal Revenue in his Notice of Deficiency dated June 30, 1983, and as the basis of their case allege as follows:

1. The Petitioners are married individuals residing at 10102 Lindelaan, Tampa, Florida 33618. The returns for the periods herein involved are individual returns filed with the office of the Internal Revenue Service at Cincinnati, Ohio, and Chamblee, Georgia.
2. The Notice of Deficiency, a copy of which is attached and marked Exhibit "A" was mailed to the Petitioners on June 30, 1983, and was issued by the office of the Internal Revenue Service at Jacksonville, Florida.

SERVED OCT-3 1983

3. The deficiencies, as determined by the Commissioner, are in income taxes for the calendar years 1975 through 1979 as set forth below:

<u>Year</u>	<u>Deficiency</u>
1975	\$ 8,199.77
1976	526,585.95
1977	1,084,934.78
1978	851,026.02
1979	470,386.79

The entire amounts of any deficiencies resulting from adjustments to the New York Yankee Partnership, Switzer Venture, the 1975 net operating loss carry-forward, Yankee Coal Company and investment tax credit are disputed.

4. The determination of tax set forth in said Notice of Deficiency is based upon the following errors:

(a) ~~The Commissioner erred in increasing Petitioners' distributive share of income or loss for the New York Yankees Limited Partnership in the amounts of \$772,126.70, \$796,849.82, \$1,208,813.72, \$1,317,091.72, \$1,881,461.37 for the calendar years 1975 through 1979, respectively.~~

(b) ~~The Commissioner erred in disallowing Petitioners' distributive share of income or loss for the Switzer Venture Limited Partnership in the amount of \$222,360.00 and \$111,000.00, for the calendar years 1977 and 1978, respectively.~~

(c) The Commissioner erred in increasing Petitioners' income by the amount of \$352,615.00 for the calendar year 1976, the amount of the net operating loss carry-forward from the calendar year 1975, as claimed by the Petitioners.

(d) The Commissioner erred in increasing Petitioners' distributive share of income or loss for the Yankee Coal Company in the amount of \$134,841.00 for the calendar year, 1978.

(e) The Commissioner erred in its computation of allowable investment tax credit for the calendar years 1976 through 1979.

(f) The Commissioner erred in its computation of minimum tax for the calendar years 1975 through 1979.

5. The facts upon which the Petitioners rely, as the basis of their case, are as follows:

(a)(1) Petitioner, George M. Steinbrenner, has, since February 22, 1973, been the General Partner of the New York Yankees Limited Partnership (hereinafter referred to as "the Partnership").

(a)(2) The Partnership timely filed its United States Partnership return of income for the calendar years 1975 through 1979, reflecting net income or loss in the respective amounts of (\$3,713,177.00), (\$69,395.00), (\$8,190.00), \$98,942.00, and (\$977,153.00).

(a)(3) In arriving at the above net income or loss, the Partnership claimed amortization deductions of \$2,863,736.00, \$2,772,608.00, \$3,276,285.00, \$2,630,567.00, \$3,652,691.00 for the calendar years 1975 through 1979, respectively.

(a)(4) The amortization deductions claimed by the Partnership were proper deductions pursuant to Section

167 of the Internal Revenue Code and the regulations thereunder, as the assets for which amortization deductions were claimed (i.e., player contracts and legal fees), have a fixed and ascertainable value and a limited useful life, the duration of which can be ascertained with reasonable accuracy.

(a)(5) On its return the for the calendar years 1976 through 1979, respectively, the Partnership claimed deductions for legal fees in the respective amounts of \$223,000.00, \$39,014.48, \$21,360.00 and \$17,673.00, which have been disallowed as expenses by the Internal Revenue Service.

(a)(6) The deductions so claimed for legal fees are ordinary and necessary business expenses and are properly deductible, pursuant to Section 162 of the Internal Revenue Code.

(a)(7) On its returns for the calendar years 1976 through 1979, respectively, the Partnership claimed deductions for miscellaneous expenses in the amounts of \$11,375.00, \$51,739.28, \$72,897.84 and \$164,954.10, said deductions have been disallowed by the Internal Revenue Service.

(a)(8) Each of the above deductions are ordinary and necessary business expenses, properly deductible under Section 162 of the Internal Revenue Code.

(b)(1) Petitioner, George M. Steinbrenner, became a limited partner in the limited partnership of Switzer Venture, hereinafter referred to as Switzer, on or about December, 1977.

(b)(2) Petitioner was advised that Switzer timely filed a United States Partnership Tax Return for the calendar years 1977 and 1978 and the pro rata distributive share of the losses to the Petitioner was \$222,360.00 and \$111,000.00 for the calendar years 1977 and 1978, respectively.

(b)(3) Petitioner participated in this venture as a trade business and held its limited partnership interest for the production of income.

(b)(4) The losses claimed by Petitioners for 1977 and 1978 were properly deductible.

(c)(1) The Petitioner did occur a net operating loss for the taxable year 1975 after taking into consideration adjustments required by the Internal Revenue Code, and is entitled to a net operating loss carry-forward deduction in the taxable year of 1976.

(d)(1) The Petitioner became a shareholder in a small business corporation known as Yankee Coal Company on or about April, 1978. Petitioner claimed on the calendar year 1978 tax return, its distributive loss that was reported on the Form 1120S United States Small Business Corporation tax return for the Yankee Coal Company for the period ending December 31, 1978.

(d)(2) The Internal Revenue Service after examination issued a report of examination on the Field Agent level (thirty-day letter) which was incorporated in the attached Notice of Deficiency.

(d)(3) Yankee Coal Company administratively appealed those findings to the Appellate Division of Internal

Revenue Service and a final report was issued by the Louisville, Kentucky office. The Petitioners agree to the adjustments set forth in the Appellate Division Report.

(e)(1) Adjustments to investment tax credit claimed by the above-mentioned partnerships and small business corporations have been and will be readjusted for the periods 1975 through 1979 through the respective adjustments to the partnership and small business corporation tax returns as finally determined.

(f)(1) Minimum tax computed will be adjusted due to the consequential changes to other items in dispute in the attached Notice of Deficiency.

WHEREFORE, the Petitioners pray that the Court will hear this proceeding and:

1. Determine that the Commissioner erred as alleged in the assignment of errors set forth in paragraph 4 herein above;

2. Find there are no deficiencies in income taxes with regard to the amounts which are in dispute for the calendar years 1975 through 1979; and,

3. Give such other and further relief as this Honorable Court may deem fit and proper.

Dated: September 26, 1983

ADMITTED BY S. 1979 COURT
Leonard L. Kleinman
Leonard L. Kleinman
For MCCARTHY, LEBIT, CRYSTAL,
KLEINMAN & HAIMAN CO., L.P.A.
900 Illuminating Building
55 Public Square
Cleveland, Ohio 44113
Telephone: (216) 696-1422

ending December 31, 1977, to \$162,360.00. With respect to the tax years ending December 31, 1978, and December 31, 1979, adjustment to income l.b. is changed to \$79,000.00 and (\$2,000.00), respectively.

4. Adjustment to income l.e. as set forth on the attached Form 4549-B with respect to the tax year ending December 31, 1978, is changed to \$46,890.00.

5. The petitioners are entitled to the following distributive share of investment credit property from Yankee Coal Company:

<u>Useful Life of Property</u>	<u>Investment in Property Qualified for Investment Credit</u>
<u>New Property:</u>	
3 - 5 years	\$ 14,300.00
5 - 7 years	67,487.00
7 or more years	777,004.00
<u>Used Property:</u>	
7 or more years	\$ 50,000.00

6. Adjustment to income l.a. reflected on the attached Forms 4549-B for the years ending December 31, 1975, December 31, 1976, December 31, 1977, December 31, 1978, and December 31, 1979, will be redetermined by utilizing the basis for depreciable and/or amortizable assets agreed upon in the settlement of McCarthy v. United States, Civil Action No. C78-1480 (USDC ND Ohio ED), hereafter referred to as The Controlling Case.

7. Adjustment to income l.a. as set forth on the attached Forms 4549-B for the taxable years ending December 31, 1975,

December 31, 1976, December 31, 1977, December 31, 1978, and December 31, 1979, will be determined by taking into account the final decision, either by the Sixth Circuit Court of Appeals or the United States Supreme Court, in The Controlling Case dealing with the amortization of legal and accounting fees. The plaintiffs in The Controlling Case have appealed a Memorandum of Opinion concerning this issue filed on May 15, 1985, in the United States District Court for the Northern District of Ohio, Eastern Division.

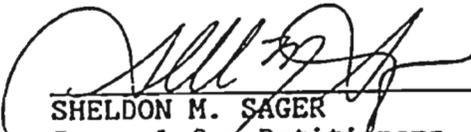
8. Adjustment to income l.a. as set forth on the attached Forms 4549-B for the tax years ending December 31, 1975, December 31, 1976, December 31, 1977, December 31, 1978, and December 31, 1979, will be determined by taking into account the final decision, either by the Sixth Circuit Court of Appeals or the United States Supreme Court, in The Controlling Case dealing with the amortization of broadcasting contracts. The plaintiffs in The Controlling Case have appealed a Memorandum of Opinion concerning this issue filed on October 8, 1985, in the United States District Court for the Northern District of Ohio, Eastern Division.

9. Adjustment to income l.a. as set forth on the Forms 4549-B for the tax years ending December 31, 1976, December 31, 1977, December 31, 1978, and December 31, 1979, are conceded by the petitioners to the extent that such adjustment to income

relates to deductions claimed by the New York Yankees Partnership for miscellaneous expenses-gifts, miscellaneous expenses-other, and the legal fees deduction to the extent such expenses are not at issue in The Controlling Case.

The parties agree to this Stipulation of Settlement.

2/24/86
Date


SHELDON M. SAGER
Counsel for Petitioners
900 Illuminating Building
55 Public Square
Cleveland, Ohio 44113
Tel. No. 216-696-1422

FRED T. GOLDBERG, JR.
Chief Counsel
Internal Revenue Service

2/24/86
Date

By: 
JACK E. PRESTRUD
Assistant District Counsel
Internal Revenue Service
Suite 810 One Cleveland Center
1375 East Ninth Street
Cleveland, Ohio 44114
Tel. No. 216-522-3380
FTS 942-3380

RECEIVED
UNITED STATES TAX COURT

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U L L

GEORGE M. STEINBRENNER and
JOAN Z. STEINBRENNER,)
Petitioners,)
v.)
COMMISSIONER OF INTERNAL REVENUE,)
Respondent.)

Docket No. 27952-83

SUPPLEMENTAL STIPULATION OF SETTLED ISSUES

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RECORDED	
<i>W</i>	
SERVICE	
CAS.	
ISS. FUDGE	
<i>Williams</i>	
FILES	

With respect to adjustments in the respondent's notice of deficiency (Exhibit C), the parties stipulate to the following terms of settlement:

10. The determination in Exhibit C that the base period amount for each of the years 1973, 1974, 1975 and 1976 is \$3,200.00 for purposes of the computation of the 1977 tax liability by the use of income averaging is correct.

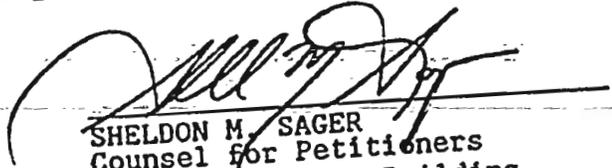
11. There is no minimum tax due with respect to the 1975 year.

12. All of the issues in this case have been settled or will be resolved by the final decision, either by the Sixth Circuit Court of Appeals or the United States Supreme Court, in McCarthy v. United States, Civil Action No. C78-1480 (USDC ND

Docket No. 27952-83

Ohio ED) with respect to the amortization of legal and accounting fees and broadcasting contracts.

4/21/86
Date



SHELDON M. SAGER
Counsel for Petitioners
900 Illuminating Building
55 Public Square
Cleveland, Ohio 44113
Tel. No. 216-696-1422

JEAN OWENS
Acting Chief Counsel
Internal Revenue Service

4/21/86
Date

By: Carol A. Szczepanik

CAROL A. SZCZEPANIK
Attorney
Internal Revenue Service
Suite 810 One Cleveland Center
1375 East Ninth Street
Cleveland, Ohio 44114
Tel. No. 216-522-3380
FTS 942-3380

WFO 73-2064
SEK:kam

U.S. CLAIMS COURT
Clerk of the Court
717 Madison Place
Washington, D.C.

On May 19, 1986, Special Agent [redacted] reviewed the Civil File, #52-83T, filed in the U.S. CLAIMS COURT on February 7, 1983, by GEORGE M. STEINBRENNER and JOAN Z. STEINBRENNER, plaintiffs, against THE UNITED STATES, defendant. STEINBRENNER's suit is a five count claim for tax refunds in the calendar years 1970, 1971, 1972, 1977, and 1978, in which each year represents one count. The suit arose under the Internal Revenue laws of the United States for the refund of taxes assessed against and collected from the plaintiffs. In response to the suit, the INTERNAL REVENUE SERVICE denies that the taxes were illegally assessed or collected. Both parties acknowledged that the outcome of this action is directly related to the disallowance of certain amortization deductions claimed by the NEW YORK YANKEES limited partnership, of which plaintiff GEORGE STEINBRENNER is the General Partner. Litigation has been pending regarding the allowance or disallowance of this amortization in the UNITED STATES DISTRICT COURT, Northern District of Ohio, case entitled, MC CARTHY v. THE UNITED STATES, civil action C78-1480. The CLAIMS COURT case was in suspension from the time of its filing until November 26, 1985, when both parties stipulated to counts four and five of the suit being transferred to the UNITED STATES TAX COURT docket number 27952-83 in view of the loss of jurisdiction by the CLAIMS COURT in accordance with Section 7422(e) of the Internal Revenue Code of 1954 (26 USC).

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Status reports filed by both parties in January of 1986 recognize the plaintiff's offer to compromise of November 14, 1985, as amended November 21, 1985. The Tax Division of the DEPARTMENT OF JUSTICE requested the views of the INTERNAL REVENUE SERVICE (IRS) concerning this offer to compromise. The Tax Division also requested that if IRS accepted the compromise offer, it recompute the tax for the years at suit. The status reports, however, recognize that any recomputation of the tax for these years depends on the result of the previously mentioned UNITED STATES TAX COURT case. Therefore, action on the CLAIMS COURT case is pending that outcome.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <i>SM</i> WASHINGTON FIELD	OFFICE OF ORIGIN BUREAU	DATE 5/28/86	INVESTIGATIVE PERIOD 5/23-5/27/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III		REPORT MADE BY SA 	TYPED BY amw
		CHARACTER OF CASE APACS	

concerning RUC

b6
b7c

REFERENCE: FBIHQ Routing Slip dated 5/22/86.

(PX)

PL

ADMINISTRATIVE: BUDED: 5/19/86

This case will be RUC upon completion of WFO indices searches file reviews.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED: *[Signature]* SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
3-Bureau (73-19114)
1-WFO (73-2064)

73-19114-157
5/30
APR 20 1987
WFO
under

Agency	Request Recd.	Date Fwd.	How Fwd.	By
	<i>2 cc to</i>	<i>6-3-86</i>	<i>Random Atty</i>	<i>[Signature]</i>

Notations
b1/200

64 FEB 22 1988

**UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation**

Copy to:

Report of:
Date:SA [REDACTED]
5/28/86

Office: WASHINGTON, D.C.

Field Office File #:

73-2064

Bureau File #: 73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III

b6
b7c

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

SEC files contain no new unfavorable information.

(P*)

DETAILS: - AT WASHINGTON, D.C.

[REDACTED]
SECURITIES AND EXCHANGE COMMISSION (SEC)
Enforcement Branch
Washington, D.C.

Files of the Enforcement Branch of the SECURITIES AND EXCHANGE COMMISSION reveal that in 1974, STEINBRENNER was sued civilly by the SEC. In that suit, they obtained a permanent injunction against STEINBRENNER repeating any illegal political contributions, as he had done in the Nixon Campaign, and for which he was convicted in Federal Court. Enforcement Branch files also contain a record of a complaint by a former employee who sued STEINBRENNER civilly in approximately 1983. The allegation, is not specifically stated, concerned insider trading. The Enforcement Branch investigated the allegations of this former employee and found that he had contacted every possible Federal agency regarding the same complaints. However, the SEC found nothing to substantiate his allegations and so notified STEINBRENNER. The Enforcement Branch files contain no other references to STEINBRENNER.

WFO 73-2064
PA:act

1

Securities and Exchange Commission (SEC)
Division of Enforcement
Washington, D.C.

On May 23, 1986, [REDACTED] Division of Enforcement,
furnished IA [REDACTED] the following information:

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GEORGE M. STEINBRENNER and AMERICAN SHIP BUILDING
COMPANY - SEC got permanent injunction by STEINBRENNER's consent
against STEINBRENNER and AMERICAN SHIP BUILDING COMPANY in 1974
regarding illegal political contributions. For more details, BOB
RYAN of the SEC should be contacted at 272-2923

KINGSMAN LINES - No Record

YANKEE COAL COMPANY - No Record

2X

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN BUREAU	DATE 5/28/86	INVESTIGATIVE PERIOD 5/27/86 - 5/28/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner		REPORT MADE BY <div style="border: 1px solid black; height: 15px; width: 100%;"></div>	TYPED BY
		CHARACTER OF CASE APACS	

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b7c

REFERENCE

-Bureau routing slip to New York, dated 5/16/86.

-RUC-

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:	
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			PENDING OVER ONE YEAR	<input type="checkbox"/> YES <input type="checkbox"/> NO
								PENDING PROSECUTION OVER SIX MONTHS	<input type="checkbox"/> YES <input type="checkbox"/> NO
APPROVED: <i>John L. Hogan</i> SPECIAL AGENT IN CHARGE							DO NOT WRITE IN SPACES BELOW		
COPIES MADE: ② - Bureau (73-19114) 1 - New York (73-3631)							<div style="font-size: 2em; font-weight: bold;">73-19114-48</div> <div style="font-size: 1.5em; font-weight: bold;">8 APR 20 1987</div>		
Dissemination Record of Attached Report							Notations		
Agency							<i>6/1/86</i>		
Request Recd.	<i>acc to Pardon Atty 6.3.86</i>								
Date Fwd.	<i>PL/SF</i>								
How Fwd.									
By									

64
64 FEB 23 1988

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:
Date: 5/28/86

Office: New York, New York

b6
b7c

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Arrest check conducted at New York City Police Department proved negative regarding GEORGE MICHAEL STEINBRENNER, III. Credit rating satisfactory.

-RUC-

NY 73-3631

DETAILS:

ARREST

On May 27, 1986, Investigative Assistant [redacted] caused a search of the records of the New York City Police Department (NYCPD) by Sergeant [redacted] Identification Section and Principal Administrative Associate [redacted] Criminal Records Section. No criminal record identifiable with GEORGE MICHAEL STEINBRENNER, III could be located.

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CREDIT

On May 27, 1986, Investigative Assistant [redacted] caused the records of the Credit Bureau Inc., 708 Third Avenue, New York, New York to be checked regarding GEORGE MICHAEL STEINBRENNER, III. Records reflect a satisfactory credit rating.

Airtel

5/29/86

SM

Director, FBI (73-19114)

SAC, New York (73-3631)

GEORGE MICHAEL STEINBRENNER III
APACS
BUDED: PAST (5/19/86)

SEE

Reference attached NY report dated 5/22/86 by IA

The writer of referenced report is requested to review NK teletype dated 4/30/86. NY was to review file and report results. Photocopies of dockets will not be accepted, unless specifically requested by FBIHQ. Additionally, copies of teletypes and FD-448 are for FBI use, not for forwarding to the Pardon Attorney (See last 2 pages of report).

NY: review civil matters and report appropriately.

Submit report by COB 6/2/86.

Enclosure *delivered*

①

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73-19114-49

8 APR 20 1987

- Exec AD Adm.
- Exec AD Inv.
- Exec AD LES
- Asst. Dir.:
- Adm. Servs.
- Crim. Inv.
- Ident.
- Insp.
- Intell.
- Lab.
- Legal Coun.
- Off. Cong. & Public Affs.
- Rec. Mgnt.
- Tech. Servs.
- Training
- Telephone Rm.
- Director's Sec'y

1 cc New York Folder

RETURN TO MISS ROOM 5136

64 FEB 22 1988

NEW YORK copy delivered by Express Mail

MAIL ROOM

FBI/DOJ

Memorandum



DATE: 6-3-86

TO: OFFICE OF THE DEPUTY ATTORNEY GENERAL
ATTN: MS. [REDACTED]
MAIN JUSTICE, ROOM 4311

OFFICE OF THE DEPUTY ATTORNEY GENERAL
ATTN: MRS. [REDACTED]
MAIN JUSTICE, ROOM 4110

OFFICE OF INTELLIGENCE POLICY & REVIEW
ATTN: MS. [REDACTED]
MAIN JUSTICE, ROOM 6325

EXECUTIVE OFFICE FOR U.S. ATTORNEYS
ATTN: MR. [REDACTED]
MAIN JUSTICE, ROOM 1619

b6
b7c

THE-PARDON ATTORNEY
ATTN: MR. [REDACTED]
PPB BUILDING

OFFICE OF SECURITY PROGRAMS
ATTN: MR. [REDACTED]
MAIN JUSTICE, ROOM 6525

ADMIN. OFFICE OF U.S. COURTS
811 VERMONT AVE., NW, ROOM 647A
WASHINGTON, D.C.
ATTN: MS. [REDACTED]
OFFICE OF THE DIRECTOR

DEPARTMENT OF ENERGY
OFFICE OF SAFEGUARDS & SECURITY
GERMANTOWN, MD
ATTN: [REDACTED]

FROM: [REDACTED] CHIEF
BACKGROUND INVESTIGATION UNIT
FBI HEADQUARTERS, ROOM 5136

pn/sf

SUBJECT: GEORGE MICHAEL STEINBRENNER, III

STATUS OF INVESTIGATION: INCOMPLETE COMPLETE

COMMENTS: OUTSTANDING: CINCINNATI- review of state corporation records
NEW YORK- review of civil suit
TAMPA- additional record checks
FBI record checks

FEDERAL BUREAU OF INVESTIGATION

Reporting Office: NEW YORK | Office of Origin: FBIHQ | Date: 6/9/86 | Investigative Period: 5/20/86 - 5/22/86

TITLE OF CASE: GEORGE MICHAEL STEINBRENNER, III aka, APACS; BUDED: PAST (5/19/86) | Report Made By: IA [] | Typed By: lxx

b6
b7c

CHARACTER OF CASE
APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE (APACS)

REFERENCE

SB⁶ NKTEL to New York, dated 4/30/86.
Bureauairtel to New York, dated 5/29/86.

-RUC-

ADMINISTRATIVE

Investigation at Southern District of New York.

(8)

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE					ACQUIT-TALS	CASE HAS BEEN: Pending over 1 year <input type="checkbox"/> yes <input type="checkbox"/> no Pending pros. over 6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no
CONVIC.	PRETRIAL	FUG.	FINES	SAVINGS RECOVERIES		
	DIVERSION					

APPROVED *Signed on original - Return* SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

Copies Made:
 8 - Bureau (73-19114) lead
 1 - New York (73-3631) ice forwarded to New York office 6/16/86 jpe

73-19114-50

8 APR 20 1987

Dissemination Record of Attached Report

Notations

Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				

UP

53 FEB 26 1988

COVER PAGE

-A*-

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of: IA
Date: June 9, 1986

Office: New York, New York

b6
b7c

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Review of Dockets at Southern District of New York.

-RUC-

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NY 73-3631

DETAILS

MISCELLANEOUS

The dockets in the civil matters referred to in referenced airtel were reviewed in the Southern District of New York on May 22, 1986, by IA [] and reflect the following:

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The plaintiffs, the DAVID M. WINFIELD FOUNDATION, brought a civil action against subject pursuant to 28 U.S.C., section 1332 (a), action for failure to make payments pursuant to written agreement with charitable foundation.

Judge WARD ordered as follows: That STEINBRENNER shall be entitled to nominate a member to the Foundation's Board of Directors, etc. The Foundation agrees to use all funds which STEINBRENNER contributed to it solely for the Foundation programs in the area of health, nutrition, etc., for the benefit of the children residing in the New York metro area, etc. STEINBRENNER will contribute \$145,000.00 in cash to the Foundation by September 14, 1982, also \$3000,000.00 to the Foundation by December 12, 1982, etc. Except as modified by this agreement, the Foundation and STEINBRENNER reaffirm the validity of the Memo of Understanding executed by them and dated December 14, 1980. All counterclaims are dismissed without prejudice.

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 6/10/86

~~CONFIDENTIAL~~

TO: DIRECTOR, FBI (73-19114)

FROM: SAC, TAMPA (73-312) (RUC)

SUBJECT: GEORGE MICHAEL STEINBRENNER III, aka
 George Michael Steinbrenner
 APACS
 (OO:BUREAU)

This communication is classified "~~Confidential~~" in its entirety.

Re report of SA [redacted] at Tampa, 5/19/86, and phone call between SA [redacted] FBIHQ and SSA [redacted] Tampa, 6/9/86.

Enclosed for the Bureau is the original and three copies of an LHM concerning captioned matter.

During referenced phone call, SA [redacted] requested that an LHM be furnished to the Bureau concerning the assistance given by the applicant to the FBI in the Foreign Counterintelligence field.

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For the information of the Bureau, applicant assisted in Tampa File [redacted] Bufile 105-308737 and Tampa File 105-5837, Bufile 105-318703.

In view of the fact that no additional investigation remains, this matter is considered RUC at Tampa.

- ② - Bureau (Encs. 4)
- 1 - Tampa
- DWM/bam
- (3)

recd
send to Madison Attorney 73-19114-51
send back LHM 7/2/86

12 JUN 12 1986

~~CONFIDENTIAL~~

Classified by: 11753
Declassify on: OADR

Approved: *[Signature]*

Transmitted

Per

(Number) (Time)

1*

Sped Know

64 FEB 22 1988

FEDERAL GOVERNMENT

June 10, 1986

GEORGE MICHAEL STEINBRENNER III
APPLICATION FOR PARDON AFTER
COMPLETION OF SENTENCE

RECORD CHECK

A review of FBI files disclosed the following eight references to Mr. Steinbrenner in addition to the investigation conducted in 1973 which resulted in his conviction for Election Laws violation:

1) In October, 1973, an investigation was initiated upon the request of the Watergate Special Prosecution Force (WSPF) to determine if individual campaign contributions attributed to George Steinbrenner and employees of American Shipbuilding (AMSHIP) were, in fact, corporate contributions. Investigation revealed that the contributions in question were returned by the candidate to those individuals, and the case was closed.

2) During the course of a 1975 Election Laws investigation into an aviation company, information was developed indicating that, in 1972, AMSHIP was billed by that company for chartered aircraft used by a Senator. Investigation was initiated at the request of the WSPF to determine if the Senator was using the aircraft for campaign purposes, thereby resulting in AMSHIP making illegal campaign contributions. It was determined that, for the flights in question, the Senator was attending speaking engagements and was not involved in an active campaign at the time that AMSHIP paid for his travel. The investigation was closed.

It should be noted that both of these matters were provided to the Watergate Special Prosecutor for a prosecutive opinion. By a memorandum dated August 18, 1976, the WSPF advised that their office had closed these cases, and there is no indication that any prosecutive action resulted.

- Exec AD Adm. _____
- Exec AD Inv. _____
- Exec AD LES _____
- Asst. Dir.:
- Adm. Servs. _____
- Crim. Inv. _____
- Ident. _____
- Insp. _____
- Intell. _____
- Lab. _____
- Legal Coun. _____
- Off. Cong. & Public Affs. _____
- Rec. Mgnt. _____
- Tech. Servs. _____
- Training _____
- Telephone Rm. _____
- Director's Sec'y _____

Original and jcc to the Pardon Attorney Attorney by courier on 7/27/80
PAD/pms/ksd (3)

8 FEB 26 1988

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 02-24-2011 BY uc/baw 60324

MAIL ROOM

Re: GEORGE MICHAEL STEINBRENNER

3) George Steinbrenner was one of several individuals named in 1984 by a cooperating witness regarding individuals who made political campaign contributions, some of which were legal, others that were not. The cooperating witness advised that George Steinbrenner contributed \$5000 to the Carter campaign. There was no indication that this contribution was illegal and no further action was taken.

4) During the course of an investigation initiated in 1983 into possible illegal activities by individuals within the Federal Court system in the Northern District of Ohio, information was developed in 1984 indicating that relatives of a Federal Judge, with whom Mr. Steinbrenner is acquainted, made substantial capital gains in the buying and selling of AMSHIP stock. Their accountant, who received an appointment from the Judge, is also on AMSHIP's Board of Directors, including AMSHIP's audit and compensations stock option committee. Additionally, allegations were made that the nephew of the Judge received commissions from a company, owned by a friend of Mr. Steinbrenner's, in connection with that company's business transactions with AMSHIP. Interviews of individuals of AMSHIP revealed they were instructed by top management in Tampa to show favorable consideration to that company. Mr. Steinbrenner was interviewed in May, 1984 regarding these matters and advised the following: The Board of Directors is notified in advance of pending stock dividends, but they are instructed not to trade in AMSHIP stock. He further advised that he personally has never provided advance information on stocks to anyone outside the company. Mr. Steinbrenner also advised that he has never told company officials to give a contract to a particular company, but has asked them to give companies a chance to bid on contracts. The file contained no other pertinent information regarding Mr. Steinbrenner.

5) A June 11, 1976 newspaper article revealed that George Steinbrenner was one of several prominent individuals seated on the dais at a testimonial dinner honoring Anthony Scotto. Scotto is a member of one of the organized crime families in New York City. The file contained no additional information concerning Mr. Steinbrenner.

The following information was provided by two separate confidential sources of the FBI.

Re: GEORGE MICHAEL STEINBRENNER

6) In November, 1981, [redacted] who has provided both reliable and unreliable information in the past, advised that George Steinbrenner is alleged to be a close friend of [redacted] is a member of the Buffalo organized crime family. This allegation has not been substantiated through active investigation.

7) In February, 1981, [redacted] advised that George Steinbrenner is alleged to be in the preliminary stage of some unknown business activity with [redacted] [redacted] is a member of the Cleveland organized crime family.

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8) In March, 1981, that same source also advised that George Steinbrenner sent "muscle" to "shut up" an individual whose horse mysteriously died while racing at the Tampa Bay Downs Racetrack. The individual had been voicing hostile complaints to the racetrack because it was learned that the attending vet was not licensed. Subsequent contacts with the source have failed to generate any additional information concerning this matter.

With respect to information provided by [redacted] [redacted] caution should be exercised in the use of this information in view of its singular nature. Disclosure of this information could reveal the identity of this confidential source. [redacted] has furnished reliable information in the past which has been corroborated through independent investigation. However, the information provided by [redacted] concerning Mr. Steinbrenner has not been substantiated through active investigation.



U.S. Department of Justice

Federal Bureau of Investigation

~~CONFIDENTIAL~~

In Reply, Please Refer to
File No.

Tampa, Florida
June 10, 1986

GEORGE MICHAEL STEINBRENNER III,
ALSO KNOWN AS,
GEORGE MICHAEL STEINBRENNER

This communication is classified "~~Confidential~~" in its entirety.

This matter was predicated upon receipt of a letter from U. S. Pardon Attorney, [redacted] dated March 12, 1986, which enclosed a Petition for Pardon After Completion of Sentence and a memorandum in support of the petition of GEORGE M. STEINBRENNER III for a pardon.

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The aforementioned "memorandum in support of the petition of GEORGE MICHAEL STEINBRENNER III for a pardon" stated in part as follows:

"Support of United States National Security Activities"

"As the DOJ is aware, in May, 1983, Mr. STEINBRENNER was credited with assisting the Federal Bureau of Investigation in two national security matters. Since these are sensitive matters, we refer you to the FBI for more information."

The records of the Tampa, Florida, office of the Federal Bureau of Investigation revealed that between the period of 1978 and 1983, GEORGE MICHAEL STEINBRENNER III assisted, through his corporations, in connection with two national security matters.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

DECLASSIFIED BY uc/baw 60324
ON 02-24-2011

~~CONFIDENTIAL~~

73-19114-52
ENCLOSURE

01011

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

OK/PA/Kedde
ShurB

PAGE 1 OF 1	DATE 6/12/86	CLASSIFICATION UNCLAS	PRECEDENCE IMMEDIATE
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163/10113

START HERE

FM DIRECTOR FBI (73-19114)
 TO FBI CINCINNATI (73-751) IMMEDIATE
 FBI NEW YORK (73-3631) IMMEDIATE

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER III, APACS, BUDED: PAST
 (5/19/86).

CI REFERENCE BUTEL 5/15/86, NY REFERENCE BUAIRTEL
 5/29/86.

CI - ~~SUBMIT REPORT CONTAINING RESULTS OF CHECK OF STATE
 CORPORATION RECORDS RE KINSMAN LINES.~~

NY - ~~RESUBMIT NY REPORT DATED 5/22/86 RE CIVIL MATTERS.
 REPORTS SHOULD BE SUBMITTED BY FEDERAL EXPRESS TO REACH~~

FBIHQ NO LATER THAN 6/17/86.

BT

2

DO NOT TYPE PAST THIS LINE

DO NOT TYPE MESSAGE BELOW THIS LINE

APPROVED BY <i>BAE/AM</i>	DRAFTED BY <i>PAE/KAS</i>	DATE 6/12/86	ROOM 5136	TELE EXT. 3863 53
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73-19114-53

LCC CINCINNATI FOLDER
LCC NEW YORK FOLDER

RETURN TO MS. [] ROOM 5136

8 APR 20 1987

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FEB 22 1988

FEDERAL BUREAU OF INVESTIGATION
 COMMUNICATIONS CENTER
214628
 JUN 12 1986

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Airtel

6/16/86

Sm

Director, FBI (73-19114)

SAC, New York (73-3631)

Attention: SSA [redacted]

3/6

GEORGE MICHAEL STEINBRENNER III
APPLICATION FOR PARDON
AFTER COMPLETION OF SENTENCE
BUDED: PAST (5/19/86)

Attached is NY report dated 6/9/86 by IA [redacted]
(It should be noted that this report, originally dated 5/22/86
was returned to NY by Buairtel 5/29/86.)

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b7C

NY should note changes, and correct report. Resubmit
report by COB 6/23/86. Any questions should be directed to PSS
[redacted] Ext. 4195.

Enclosure

©

73-19114-54

8 APR 20 1987

- Exec AD Adm.
- Exec AD Inv.
- Exec AD LES
- Asst. Dir.:
- Adm. Servs.
- Crim. Inv.
- Ident.
- Insp.
- Intell.
- Lab.
- Legal Coun.
- Off. Cong. & Public Affs.
- Rec. Mgnt.
- Tech. Servs.
- Training
- Telephone Rm.
- Director's Sec'y

PAL/kas

RETURN TO MS [redacted] ROOM 5136

64 FEB 22 1988

pal

MAIL ROOM New York copy delivered by courier.

FBI/DOJ

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 6/17/86

PL

Sm

TO: DIRECTOR, FBI (73-2064) *(RUC)*
 FROM: SAC, WASHINGTON FIELD OFFICE (73-19114)

GEORGE MICHAEL STEINBRENNER, III
 APACS
 BUDED: 5/19/86

Re WFO report of 5/28/86.

WFO indices reveal no derogatory information, identifiable with the applicant except that pertaining to his connection in Bufile 56-4737 and related information cited on page 20 of WFO report dated 3/27/86, Bufile 58-9240, captioned et al, Bribery-COI. ⁷⁸

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b7c

2-Bureau
 1-WFO

SFK:clm
 (3)

*Called
 6/19/86
 AS pa*

*(copy copy to
 info. provided re: 11 spec. contact
 re: 40 spec. person)*

73-19114-55

8 APR 20 1987

6/100

Approved: *C/SFK* Transmitted _____ Per _____
 (Number) (Time)

64
 64 FEB 22 1988

FORMS.TEXT HAS 1 DOCUMENT

INBOX.23 (#4382)

TEXT:

CIO019 1680011Z

RR HQ BA

DE CI

R 160011Z JUN 86

FM CINCINNATI (73-751) (RUC)

TO DIRECTOR (73-19114) ROUTINE

BALTIMORE ROUTINE

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER, III, APACS, BUDED PAST

(MAY 19, 1986)

RE BUREAU TELETYPE, DATED JUNE 12, 1986.

ON JUNE 16, 1986, [REDACTED] RECORDS, SECRETARY

OF STATE, OHIO, CORPORATE SECTION, COLUMBUS, OHIO,

ADVISED THAT KINSMAN LINES IS LICENSED UNDER CHARTER

NUMBER 571-092, TO DO BUSINESS IN THE STATE OF OHIO.

SHE ADVISED THAT THIS CORPORATION IS A COMPANY OUT APR 20 1987

OF THE STATE OF DELAWARE AND THAT IN ORDER TO OBTAIN

THE INCORPORATIONS AND OFFICERS THAT THE STATE OF

DELAWARE WOULD HAVE TO BE CHECKED.

BALTIMORE: AT WILMINGTON, DELAWARE: WILL REVIEW

64 FEB 22 1988

Lead

7/8 TEL COM
7/8 WEB

RECEIVED TELETYPE UNIT

17 JUN 86 08 47

FEDERAL BUREAU OF INVESTIGATION

Exec. AD-Adm.	
Exec. AD-Inv.	
Exec. AD-LES	
Asst. Dir.:	
Adm. Servs.	
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Intell.	
Laboratory	
Legal Coun.	
Off. of Cong. & Public Affs.	
Rec. Mgnt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y	

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73-19114-510

6/2/87



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AE

PAGE TWO, CI 73-751

CORPORATION RECORDS RE KINSMAN LINES.

CINCINNATI: AT COLUMBUS, OHIO: WILL FORWARD

RUC REPORT.

BT

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PL

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN FBIHQ	DATE 6/20/86	INVESTIGATIVE PERIOD 5/20 -22/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III		REPORT MADE BY IA []	TYPED BY WM b6 b7C
<i>Sm</i> BUDED: PAST (5/19/86)		CHARACTER OF CASE APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE (APACS)	

*Collection
pa*

REFERENCE

NKTEL to New York, dated 4/30/86.
 Bureauairtel to New York, dated 5/29/86.
 Bureauairtel to New York, dated 6/16/86.

RUC

ADMINISTRATIVE

Investigation at Southern District of New York.

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

11

APPROVED *John L. Hogan / pm* SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

3 - Bureau (73-19114)
1 - New York (73-3631)

73-19114-57

8 APR 20 1987

Dissemination Record of Attached Report				Notations <i>6/1/86</i>
Agency				
Request Recd.				
Date Fwd.				
How Fwd.	2CC'S TO PARDON ATTORNEY DEPARTMENT OF JUSTICE		<i>7/2/86</i>	
By			<i>PAL/FR</i>	

64 FEB 22 1988

COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: IA

Office: NEW YORK, NEW YORK

b6
b7C

Date: 6/20/86

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Review of Dockets, at Southern District of New York,
reflecting the nature of the civil action; the Judge's order;
including penalty imposed; and the concluding agreement.

NY73-3631

DETAILS

MISCELLANEOUS

The dockets in the civil matter, 83-CIV-7273 and 82-CIV-3381, Southern District of New York (SDNY) were reviewed by IA [redacted] on May 22, 1986, and reflect the following:

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On 5/25/82, the plaintiffs, the David M. Winfield Foundation, brought a civil action against George Michael Steinbrenner III pursuant to 28 U.S.C., section 1332 (a), action for failure to make payments pursuant to written agreement with charitable foundation.

On 9/13/82, Judge Ward ordered as follows: That Steinbrenner shall be entitled to nominate a member to the Foundation's Board of Directors, etc. The Foundation agrees to use all funds which Steinbrenner contributed to it solely for the Foundation programs in the area of health, nutrition, etc., for the benefit of the children residing in the New York metro area, etc. Steinbrenner will contribute \$145,000.00 in cash to the Foundation by September 14, 1982, also \$300,000.00 to the Foundation by December 12, etc. Except as modified by this agreement, the Foundation and Steinbrenner reaffirm the validity of the Memo of Understanding executed by them and dated December 14, 1980. All counterclaims are dismissed without prejudice.

FEDERAL GOVERNMENT

July 2, 1986

Sm

GEORGE MICHAEL STEINBRENNER III
APPLICATION FOR PARDON AFTER
COMPLETION OF SENTENCE

gsk

RECORD CHECK

On June 16, 1986, [redacted] Records, Secretary Of State, Ohio, Corporate Section, Columbus, Ohio, advised that Kinsman Lines, Incorporated is licensed under charter number 571-092, to do business in the state of Ohio. She advised that this corporation is organized under the Statutes of the State of Delaware.

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(C)

73-19114-58

8 APR 20 1987

NOTE: Information obtained from CI teletype dated 6/17/86.

Exec AD Adm. Original and lcc to APACS by courier on _____

Exec AD Inv.

Exec AD LES

Asst. Dir.:

Adm. Servs.

Crim. Inv.

Ident.

Insp.

Intell.

Lab.

Legal Coun.

Off. Cong. & Public Affs.

Rec. Mgmt.

Tech. Servs.

Training

Telephone Rm.

Director's Sec'y

PAL/~~Kas~~ (3)

FEB 22 1988

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency, it and its contents are not to be distributed outside your agency.

~~SECRET~~

FORMS TEXT HAS 1 DOCUMENT

INBOX.3 (#12084)

TEXT:

TP0007 1900506

PP HQ

DE TP

P 092032Z JUL 86

FM TAMPA (73-312) (SQ. 6) (P)

TO DIRECTOR (73-19114) PRIORITY

BT

~~SECRET~~

ATTENTION: CRIMINAL INVESTIGATIVE DIVISION, BACKGROUND INVESTIGATIONS UNIT

GEORGE MICHAEL STEINBRENNER III, AKA GEORGE MICHAEL STEINBRENNER,

APACS; OO: BUREAU

Mr. Tolson	
Mr. DeLoach	
Mr. Mohr	
Mr. Bishop	
Mr. Casper	
Mr. Callahan	
Mr. Conrad	
Mr. Felt	
Mr. Gale	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Miss Holmes	
Miss Gandy	

RECEIVED
TELETYPE UNIT
10 JUN 86 10 44z
FBI
BUREAU OF INVESTIGATION

CI-1
203
243

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

RE TAMPA AIRTEL, JUNE 10, 1986, WITH ATTACHED LHM AND BUTELCAL, JULY 9, 1986.

THE REFERENCED TAMPA AIRTEL WITH ATTACHED LHM INDICATED THAT THE RECORDS OF THE TAMPA, FLORIDA, OFFICE OF THE FEDERAL BUREAU OF INVESTIGATION REVEALED THAT BETWEEN THE PERIOD

1978-1983, GEORGE MICHAEL STEINBRENNER III ASSISTED, [REDACTED]

[REDACTED] IN CONNECTIN WITH TWO NATIONAL SECURITY MATTERS.

THE REFERENCED BUTELCAL, JULY 9, 1986, REQUESTED ADDITIONAL IN-DEPTH INFORMATION RE STEINBRENNER'S COOPERATIONS WITH THE FBI.

8 APR 20 1987

[REDACTED]

cc - CI-1

~~SECRET~~

DATE: 02-24-2011
CLASSIFIED BY uc/baw 60324
REASON: 1.4 (c)
DECLASSIFY ON: 02-24-2036

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

64 FEB 22 1988

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THE FOLLOWING IS PROVIDED:

[REDACTED]

(S)

b1

"FORTUNATELY THROUGH PERSONAL AND CONFIDENTIAL CONTACT BY

SA [REDACTED] WITH [REDACTED] (PROTECT

IDENTIFY BY REQUEST) [REDACTED]

[REDACTED]

LATTER MOST COOPERATIVE AND [REDACTED]

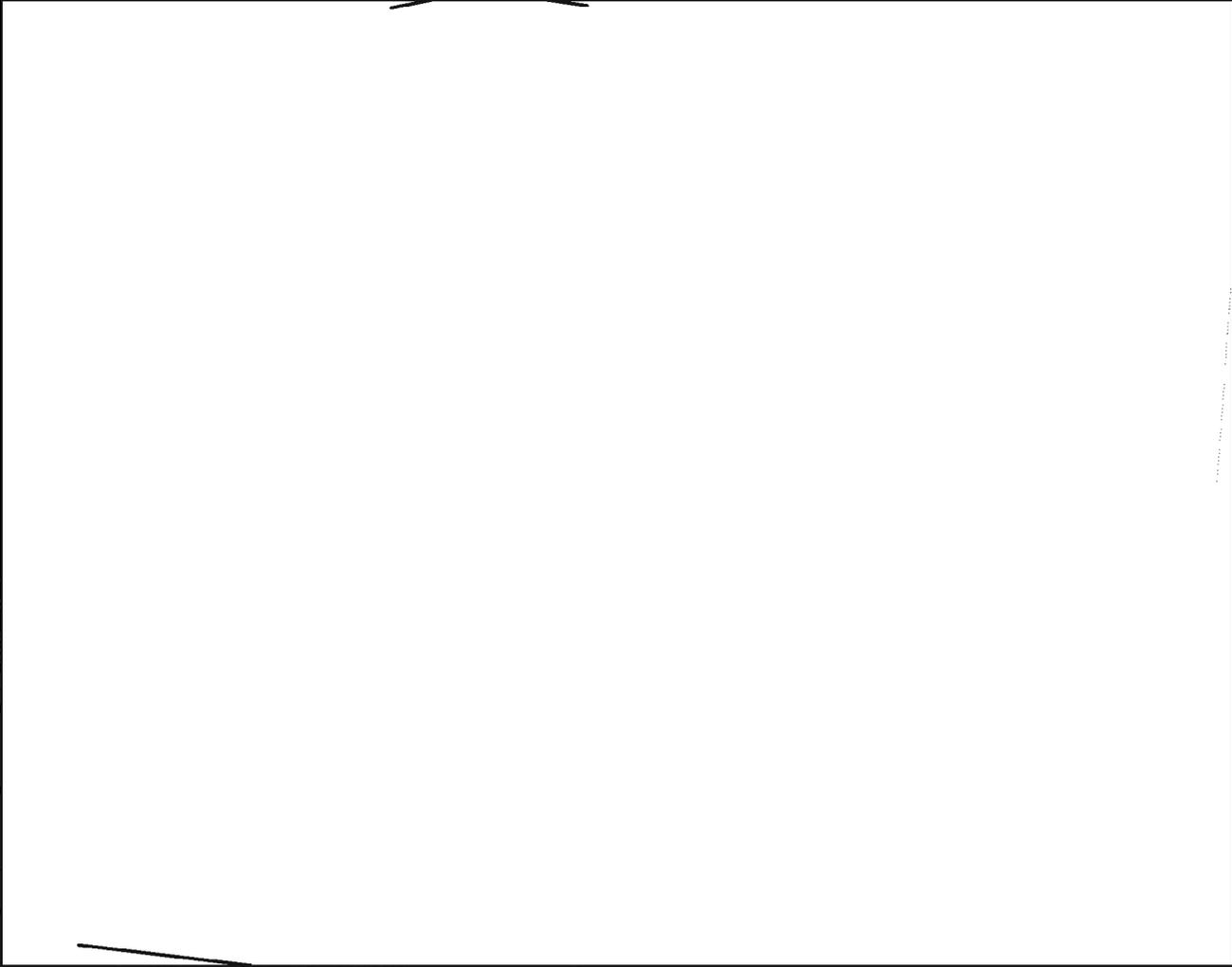
[REDACTED]

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b7D
b7E

[REDACTED] UNKNOWN AT THIS TIME".

ON JULY 9, 1986, INTERVIEW WITH FORMER TAMPA FCI/TERRORISM

SUPERVISOR [REDACTED] REVEALED THAT AT THE TIME



(S)

b1

~~C BY G-3; BY QADR~~

BT

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE BALTIMORE	OFFICE OF ORIGIN BUREAU	DATE 7/10/86	INVESTIGATIVE PERIOD 7/1/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III		REPORT MADE BY SA [redacted]	TYPED BY cbb
		CHARACTER OF CASE APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE	

REFERENCE:

Cincinnati teletype to the Bureau and Baltimore, dated 6/16/86.

-RUC-

ADMINISTRATIVE:

Information contained in the files of the Secretary of State, State of Delaware, Division of Corporation, is considered to be public record and can freely be disseminated.

Review of Baltimore indices negative regarding individual, with the exception of one reference to GEORGE STEINBRENNER (NFD), in Baltimore file 172-73-6, who is described as being the owner of a racehorse.

APPROVED <i>JVC</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW	
COPIES MADE: ③ - Bureau (73-19114) 1 - Baltimore (73-8413) (SQ 29)		73-19114-60	
		8 APR 20 1987	
Dissemination Record of Attached Report		Notations	
Agency		<i>6/1/87 PZ 9664</i>	
Request Recd.			
Date Fwd.	<i>see p. to Pardon Attorney</i>		
How Fwd.	<i>7/10/86</i>		
By	<i>par</i>		

64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

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b7c

Copy to:

Report of:

SA [redacted]
7/10/86

Office:

BALTIMORE

Date:

Field Office File #:

BA 73-841

Bureau File #:

73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Character:

Synopsis:

Review of records maintained by the State of Delaware regarding KINSMAN LINES, INC., determined that GEORGE M. STEINBRENNER, III, is listed as the Director of that corporation.

-RUC-

DETAILS:

FEDERAL BUREAU OF INVESTIGATION

7/10/86

Date of transcription

[redacted] Office of the Secretary of State, State of Delaware, Division of Corporations, provided the following information regarding KINSMAN LINES, INC., from the records maintained by her office:

On March 6, 1981, the State of Delaware received the Certificate of Incorporation of KINSMAN LINES, INC. That document reflects that the corporation's nature of business is "to undertake and transact all types of business relating to the ownership and operation of vessels transporting grains and other property on the Great Lakes, as a water contract carrier and to engage in any other lawful act or activity for which a corporation may be organized under the general corporation law of Delaware". The corporation's authorized stock was 2,000 shares of common stock, with a par value of \$1.00 per share.

The most recent document in the Delaware State Corporate File of KINSMAN LINES, INC., is the 1984 Annual Franchise Tax Report, which was filed on March 1, 1985. That document reflects that the principal place of business of KINSMAN LINES, INC., is 20325 Center Ridge Road, Rocky River, Ohio, 44116. All 2,000 shares of the corporation's stock have been issued; however, no shareholders were identified. The corporation's total gross assets were listed as \$3,162,546.00, as of December 31, 1984.

The director of KINSMAN LINES, INC., was identified as GEORGE M. STEINBRENNER, III, of 20325 Center Ridge Road, Rocky River, Ohio. The officers of KINSMAN LINES, INC., were identified as JOSEPH J. DAVIS - President; HENRY G. STEINBRENNER, III - Vice President; DANIEL R. MCCARTHY - Secretary; FRED DUDE - Treasurer; other officer LINDA E. PERKINS.

The terms of the above identified officers and director of KINSMAN LINES, INC., were to expire on June 24, 1985, which was to be the next annual meeting of the corporation's stockholders.

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Investigation on 7/1/86 at Dover, Delaware File # BA 73-841
by SA [redacted] :cbb Date dictated 7/2/86

~~SECRET~~

FEDERAL GOVERNMENT

July 21, 1986

SM
GEORGE MICHAEL STEINBRENNER III,
ALSO KNOWN AS;
GEORGE MICHAEL STEINBRENNER

This communication is classified "~~Secret~~" in its entirety.

This matter was predicated upon receipt of a letter from U.S. Pardon Attorney, [redacted] dated March 12, 1986, which enclosed a Petition for Pardon After Completion of Sentence and a memorandum in support of the petition of George M. Steinbrenner III for a pardon.

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The aforementioned "memorandum in support of the petition of George Michael Steinbrenner III for a pardon" stated in part as follows:

"Support of United States National Security Activities"

"As the DOJ is aware, in May, 1983, Mr. Steinbrenner was credited with assisting the Federal Bureau of Investigation in two national security matters. Since these are sensitive matters, we refer you to the FBI for more information."

The records of the Tampa, Florida, office of the Federal Bureau of Investigation revealed that between the period of 1978 and 1983, George Michael Steinbrenner III assisted, [redacted] in connection with two national security matters. Specifically, Mr. Steinbrenner obtained [redacted]

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[redacted] In addition, Mr. Steinbrenner provided [redacted] a National Security matter.

13-17114-61

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

DECLASSIFIED BY uc/baw 603224
ON 02-24-2011

- Exec AD Adm. _____
- Exec AD Inv. _____
- Exec AD LES _____
- Asst. Dir.:
- Adm. Servs. _____
- Crim. Inv. _____
- Ident. _____
- Insp. _____
- Intell. _____
- Lab. _____
- Legal Coun. _____
- Off. Cong. & Public Affs. _____
- Rec. Mgnt. _____
- Tech. Servs. _____
- Training _____
- Telephone Rm. _____
- Director's Sec'y _____

Classified by: GS-3
Declassify on: OADR

~~SECRET~~

Original and lcc sent on 7/21/86.

PAV/et (2)

enclosures

64 FEB 22 1988

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency, it and its contents are not to be distributed outside your agency.

Memorandum



- Exec AD Adm. _____
- Exec AD Inv. _____
- Exec AD LES _____
- Asst. Dir.:
- Adm. Servs. _____
- Crim. Inv. _____
- Ident. _____
- Insp. _____
- Intell. _____
- Lab. _____
- Legal Coun. _____
- Off. Cong. & Public Affs. _____
- Rec. Mgnt. _____
- Tech. Servs. _____
- Training _____
- Telephone Rm. _____
- Director's Sec'y _____

SM

To : Bob A. Hicks Section Chief

Date 7/22
7/20/86

Civil Rights and Special Inquiry

From : [Redacted] Unit Chief

by [Redacted], PSS
General Background Investigation Unit

Subject : George Michael Stuenkel III
APACS

Buded 5/19
Bufile 73-19114

Clients initiating communication is dated _____.

This memorandum reflects;

- a partial transmission of information to the client agency;
- a closing and transmittal of information to the client agency without any previous transmissions; or
- a closing and final transmittal of information to the client agency in which partial transmittals have occurred

** previously transmitted reports all favorable.*

Communications transmitted are listed: Those previously transmitted are starred

<u>LHM</u> report dated <u>7/24</u>	* <u>NY</u> report dated <u>4/17</u>	* <u>NY</u> report dated <u>4/23</u>
<u>NY</u> report dated <u>6/20</u>	* <u>NY</u> report dated <u>5/28</u>	* <u>AL</u> report dated <u>5/18/87</u>
<u>LHM</u> report dated <u>6/10</u>	* <u>WFO</u> report dated <u>5/28</u>	* <u>TP</u> report dated <u>5/19</u>
<u>TP LHM</u> report dated <u>6/10</u>	* <u>WFO</u> report dated <u>5/21</u>	* <u>TP</u> report dated <u>5/19</u>
<u>BA</u> report dated <u>7/10</u>	* <u>SL</u> report dated <u>5/19</u>	* <u>CI</u> report dated <u>5/18</u>
	* <u>NY</u> report dated <u>4/24</u>	* <u>NK</u> report dated <u>5/18</u>
		* <u>CV</u> report dated <u>5/15</u>
		* <u>NY</u> report dated <u>5/2</u>
		* <u>NO</u> report dated <u>5/1</u>
		* <u>TP</u> report dated <u>4/1</u>

Because this investigation is sensitive and/or developed information that may reflect unfavorably upon the individual investigated, those individuals whose names are checked are asked to review and indicate they approve dissemination by initialing.

- Supervisory Special Agent [Redacted] *73-19114-62*
- Unit Chief [Redacted]
- Assistant Section Chief
- Section Chief

APR 20 1987

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FEB 22 1988

6/1/86

FEDERAL GOVERNMENT

Mr. Paul E. Coffey, Deputy Chief
Organized Crime and
Racketeering Section
Criminal Division

October 19, 1987

Michael D. Wilson, Chief
Organized Crime Section
Criminal Investigative Division

GEORGE MICHAEL STEINBRENNER
APPLICATION FOR PARDON AFTER
COMPLETION OF SENTENCE

In reference to your memorandum dated October 5, 1987,
citing paragraphs 6, 7 and 8 of an FBI record check dated
June 10, 1987, no additional information is available concerning
the allegations stated in those paragraphs.

WI
vtb

APPROVED: <i>[Signature]</i>	Adm. Servs. _____	Laboratory _____
	Crim. Inv. <i>[Signature]</i>	Legal Coun. _____
Director _____	Ident. _____	Off. of Cong. & Public Affs. _____
Exec. AD-Adm. _____	Inspection _____	Rec. Mgnt. _____
Exec. AD-Inv. _____	Intell. _____	Tech. Servs. _____
Exec. AD-LES _____		Training _____

[Handwritten initials]

73-19114-64

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[Redacted box]
17 NOV 18 1987
[Handwritten 18]

[Handwritten signature]
Handcarried
10/22/87

Exec AD Adm. _____
 Exec AD Inv. _____
 Exec AD LES _____
 Asst. Dir.:

Adm. Servs.	1	-	Mr.	<i>[Redacted]</i>
Crim. Inv.	1	-	Mr.	<i>[Redacted]</i>
Ident.	1	-	Mr.	<i>[Redacted]</i>
Insp.	1	-	Mr.	<i>[Redacted]</i>
Intell.				<i>[Redacted]</i>
Lab.				<i>[Redacted]</i>
Legal Coun.				<i>[Redacted]</i>
Off. Cong. & Public Affs.				<i>[Redacted]</i>
Rec. Mgnt.				<i>[Redacted]</i>
Tech. Servs.				<i>[Redacted]</i>
Training				<i>[Redacted]</i>
Telephone Rm.				<i>[Redacted]</i>
Director's Sec'y				<i>[Redacted]</i>

WRC: gmm
10/22/87
22 OCT 13 1988
MAIL ROOM *[Signature]*

1 - Mr. [redacted]

AIRTEL

5/19/88

Director FBI (73-19114)

ADIC, New York

Attn: [redacted]

GEORGE M. STEINBRENNER
BACKGROUND INVESTIGATION
OFFICE OF PARDON ATTORNEY
BUDED: 6/1/88

Enclosed for New York is a copy of a statement submitted in support of a petition of pardon by GEORGE STEINBRENNER. The statement sets forth instances he allegedly assisted the FBI in sensitive investigations.

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A review of FBIHQ files failed to determine whether the statements made on behalf of STEINBRENNER are accurate.

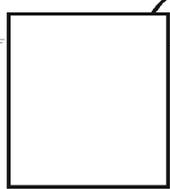
New York should review its files and submit a letterhead memorandum concerning items #1 and #3 of the statement. In particular, indicate the degree of assistance that STEINBRENNER provided the FBI in those investigations.

Submit results by 6/1/88, attention CI-1A.

Enclosure

MLS: aeh (4)

(D)



MAY 20 1988

MAILED 29

73-19114-65

- Exec AD Adm. _____
- Exec AD Inv. _____
- Exec AD LES _____
- Asst. Dir.:
- Adm. Servs. _____
- Crim. Inv. _____
- Ident. _____
- Insp. _____
- Intell. _____
- Lab. _____
- Legal Coun. _____
- Off. Cong. & Public Affs. _____
- Rec. Mgnt. _____
- Tech. Servs. _____
- Training _____
- Off. Liaison & Int. Affs. _____
- Telephone Rm. _____
- Director's Sec'y _____

MAIL ROOM

JUN 24 1988

66

MAR 21 1989

Airtel to ADIC, New York
Re: GEORGE M. STEINBRENNER
BACKGROUND INVESTIGATION
OFFICE OF PARDON ATTORNEY

NOTE:

GEORGE M. STEINBRENNER submitted a petition for pardon. In support of the petition he attached a statement in which he cited instances in which he provided assistance in sensitive investigations. A review of files failed to corroborate the accuracy of STEINBRENNER's assistance.

New York has been provided a copy of STEINBRENNER's statement and been instructed to advise if STEINBRENNER did in fact assist the FBI in two sensitive investigations.

This matter has a BUDED of 6/1/88.

mm
Jan
Dsh

PIERSON, BALL & DOWD

ATTORNEYS AT LAW

1200 18TH STREET, N.W.

WASHINGTON, D.C. 20036

(202) 331-8566

CABLE ADDRESS "PIERBALL"

TELEX NO. 64711

OKLAHOMA OFFICE
FIRST OKLAHOMA TOWER, SUITE 1310
210 W. PARK AVENUE
OKLAHOMA CITY, OKLA. 73102
(405) 235-7686

[Redacted]

December 14, 1987

PARDON ATTORNEY

87 DEC 14 P12:53

RECEIVED
DEPT. OF JUSTICE

HAND DELIVERED

[Redacted] Esquire
Pardon Attorney
U.S. Department of Justice
5550 Friendship Boulevard
Chevy Chase, MD 20815

Re: Petition for Pardon of George M. Steinbrenner

Dear Mr. [Redacted]

Enclosed please find the statement prepared at your request which details the assistance provided by Mr. Steinbrenner to the FBI on certain highly confidential national security and criminal justice matters. The statement is provided to you for the sole purpose of reviewing the merits of Mr. Steinbrenner's petition for pardon. You have assured us that the information will be considered highly confidential and utilized only for the Department's internal deliberations regarding Mr. Steinbrenner's petition.

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Finally, we very much appreciate your willingness to meet with Senator Saxbe and myself recently to discuss these most important matters.

Best regards.

Sincerely yours,

PIERSON, BALL & DOWD

[Redacted Signature]

TTR:gp

Enclosure

The following is an example of the assistance furnished by Mr. Steinbrenner to the FBI over an 11 year period (1976 - 1987):

1. In this instance George Steinbrenner at the FBI's request and to further the FBI's goal in a national security matter, [redacted]

[redacted]

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Elaborating on #1, in late 1976 or early 1977, George Steinbrenner was contacted by FBI Special Agent [redacted] who asked for George's assistance. It was explained at that time the matter was of vital interest to the FBI.

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It developed the FBI was extremely [redacted]

[redacted] (spelling may be incorrect) who was

[redacted]

The FBI requested that the George Steinbrenner and have

[redacted] George, without hesitation, told Agent [redacted] he would assist in any way possible. He complied with the FBI's request and there were times during the three year period when calls were

received for the undercover Agent at Mr. Steinbrenner's office.

[REDACTED]

Mr. Steinbrenner, at the undercover Agent's request, [REDACTED]

[REDACTED]

[REDACTED]

The FBI reportedly made substantial gains in the case through Mr.

Steinbrenner's all-out assistance.

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2. At the same time Mr. Steinbrenner was furnishing assistance in item #1, the

[REDACTED]

[REDACTED]

contacted Mr. Steinbrenner in 1978 seeking additional help

in another national security matter. They advised this was of the utmost

importance and it involved [REDACTED] The Agents reportedly

were handling one of the [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

were not fully known.

[redacted]

[redacted] Mr. Steinbrenner, again

without hesitation, [redacted]

[redacted] Mr. Steinbrenner is Chairman, [redacted]

b7D
b7E

[redacted]
[redacted] according to the Agents, was

[redacted]
[redacted]

The cooperation afforded by Mr. Steinbrenner must have been extremely sensitive and of great significance. It is pointed out that Mr.

Steinbrenner is now involved in an oil and gas civil suit in which one of

his former employees, [redacted] is a defendant. [redacted] was Mr.

Steinbrenner's [redacted] and was aware of a portion of the FBI's

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request since Mr. Steinbrenner was not always in town and at times the

Agents had to contact [redacted] The

Justice Department in Washington has seen fit during the taking of [redacted]

deposition and a number of other depositions in the oil and gas case to

send U. S. Departmental Attorney [redacted] in Washington to be

present at the depositions.

Mr. Steinbrenner knows that he placed the lives of his family and himself in jeopardy through being involved in a terrorist matter. He knows he made the right decision because the Agents stated this information was very valuable to the United States.

3. During a three year period (1981 to 1983) Mr. Steinbrenner again materially assisted the FBI in another national security matter. Mr. Steinbrenner was advised in 1981 that assistance was needed by a New York FBI Agent, [redacted] and other Agents in another undercover operation involving a

[redacted]

The undercover Agent assignment was [redacted]

[redacted]

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b7E

Through Mr. Steinbrenner and the Yankee Stadium Security Chief, undercover

Agent [redacted] was able to [redacted]

[redacted]

[redacted] Agent [redacted]

Undercover Agent [redacted]

[redacted]

[redacted] was
[redacted] The Agent [redacted] testified
at [redacted] where [redacted]
[redacted] Prior to discovering [redacted]
[redacted] Newspaper
clippings in [redacted]

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b7E

the [redacted] trial (phonetic). A copy of the sentencing which appeared in
the Tampa paper is attached.

The undercover Agent allegedly said that the successful outcome of their
investigation could not have been accomplished without the outstanding
cooperation and the "get involved" approach of Mr. Steinbrenner.

~~4. In 1986 - 1987, the FBI and the New York City Police Department were
planning to conduct a gambling raid primarily aimed at bookies and number
men. They had about 400 arrest warrants and planned on making the arrests
in a one day swoop. The top management of the FBI New York office called
Mr. Steinbrenner asking if they could use Yankee Stadium as a round-up
point to take the arrested individuals for processing.~~

Not considering the ramification and the possible retaliation by the
arrested individuals, Mr. Steinbrenner again readily cooperated and made
available the full facilities of Yankee Stadium for the Agents and NYCPD.
This was during the winter months and several days prior to the round-up
the FBI selected an alternate site due to the weather conditions.

5. There are numerous instances wherein Mr. Steinbrenner has been invited by the Department of Justice, FBI and local law enforcement agencies to speak before their respective law enforcement groups. Again on numerous occasions, as you are aware, he accepted the commitment.

JAN 03 1990

January 3, 1990

SSP

CLASS _____
SRC'D _____
SER _____
REC _____

GEORGE MICHAEL STEINBRENNER, III

Captioned individual, who you advised was born on July 4, 1930, in Rock River, Ohio, was the subject of an Election Laws investigation by the FBI in 1973. This investigation resulted in Steinbrenner pleading guilty in August, 1974, to violation of Title 18, U. S. Code, Sections 3, 610 and 371 (unlawful contributions to election of Government officials and conspiracy to commit offense or to defraud United States). He was fined a total of \$15,000 on the above violations.

56-4737

In 1979 and 1986, Steinbrenner was the subject of application for Pardon After Completion of Sentence investigations by the FBI. His 1979 request for a Presidential pardon was denied. However, his 1986 request was approved and on January 18, 1989, he received a full and unconditional pardon by the President of the United States. Attached is a summary memorandum with additional information contained in our central files regarding Steinbrenner.

73-19114

The files of the FBI Identification Division reveal Arrest Record Number 383595M1 for George Michael Steinbrenner, a copy of which is attached. Appropriate computer data bases reveal no additional pertinent information regarding him.

Enclosures 72

ENCLOSURE

1 - Mr. [redacted] Room 4371
1 - Mr. [redacted]
1 - Mrs. [redacted]
73-19114

BJL:efk (5) *efk*

NOTE: Per request from [redacted] at the White House (Access).

b6
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- Exec AD Adm. _____
- Exec AD Inv. _____
- Exec AD LES _____
- Asst. Dir.:
- Adm. Servs. _____
- Crim. Inv. _____
- Ident. _____
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- Training _____
- Off. Liaison & Int. Affs. _____
- Telephone Rm. _____
- Director's Sec'y _____

MAIL ROOM

bw/c 73-19114-66

DELIVERED TO LINDSON
DATE *1-3-90* *lgo*

[redacted]

3/19

GEORGE M. STEINBRENNER, III

Mr. Steinbrenner is the principal owner of the New York Yankees baseball team and Chairman of the American Ship Building Company. Born on July 4, 1930 in Rocky River, Ohio, he was an Air Force Officer from 1952-1954. Mr. Steinbrenner's address is 2502 Rocky Point Road, Tampa, Florida 33607.

Federal Bureau of Investigation
Records/Operations Sections

FR UTD _____, 19____

- Name Searching Unit, 4989, TL# 121
- Service Unit, 4654, TL# 225
- Special File Room, 5991, TL# 122
- Forward to File Review, 5447, TL# 143
- Attention _____
- Return to _____

17 refs

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Supervisor, Room, TL#, Ext.

Scope of Search: (Check One)

- Automated Data Base - 5 & 20
- Automated Data Base - 5 & 30
- Unrestricted (ADB & Inactive Index)

DEC 20 1989

Type of Search Requested:

- All References (Security & Criminal)
- Security Search
- Criminal Search
- Main _____

SPECIAL

References Only

Special Instructions:

- Exact Name Only (On the Nose)
- Buildup Variations
- Restricted to Locality of _____

Subject Steinbrenner, Gewege Michael
AKA(s) _____

Birthdate & Place _____

SSAN _____

Localities _____

R# 116 Date 12/20 Searcher Initials 438
Prod. _____

(17)

File Number	Serial	Ident	ADB	Inactive	Date of Ref M/Y
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190-64473					
190-64115-1p1					
-2p1					
-4p1					
195-189353					
9-65813					
105-10828-2315					
156-10725					
9-51625					

DEC 22 1989

RS [Signature]

Numerous Reference Search Slip

FR UTD

Subject

Steinbrenner, George Michael

R#

7

Date

12/20

Searcher Initials

438

Prod.

DEC 20

Ident	ADB	Inactive	Date of Ref MY
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File Number Serial

George M.

56-4737

190-11609

197-3479

56-4778-1

-4 pl

56-5007-4

190-29505-416 pl

Memorandum



To :

Date 6/28/88

Administrative Office of the U.S. Courts

Attn: Mr. [redacted]
811 Vermont Avenue, Room 723

U.S. Marshall's Service

Attn: Ms. [redacted]
One Tysons Corner Center
Room 201, McLean, Virginia

The White House

Attn: Mr. [redacted]
[redacted]

Office of Deputy Attorney General

Attn: Mrs. [redacted]
Main Justice, Room 4110

Office of Security Programs

Attn: Mr. [redacted]
Main Justice, Room 6525

Executive Office for U.S. Attorneys

Attn: Mr. [redacted]
Main Justice, Room 1619

Executive Office for U.S. Trustees

Attn: Mr. [redacted]
HOLC Bldg., Room 812

Office of Intelligence Policy and Review

Attn: Ms. [redacted]
Main Justice, Room 6325

Office of Vice President

Attn: Mr. [redacted]
Executive Office Bldg.
Room 423

Office of Personnel Management

Attn: Mr. [redacted]

Office of Federal Investigations
1900 E Street, Northwest

U.S. Department of State

Attn: Mr. [redacted]
2201 C Street, N.W., Room 2422

Office of Associate Attorney General

Attn: Ms. [redacted]
Main Justice, Room 4311

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Executive Office for U.S. Attys.

Attn: Ms. [redacted]
Patrick Henry Bldg.

X Office of the Pardon Attorney

Attn: Mr. [redacted]
PPB Bldg., Chevy Chase, Maryland

Office for Professional Responsibility

Attn: Mr. [redacted]
Main Justice, Room 4306

From Paul F. Nolan, Chief, Background Investigation Unit, FBIHQ, Room 4589

PFN/wld
Subject: GEORGE MICHAEL STEINBRENNER
Status of Investigation: _____ Incomplete
Comments: _____

73-19114

X Complete

Memorandum



- Exec AD Adm. _____
- Exec AD Inv. _____
- Exec AD LES _____
- Asst. Dir.:
- Adm. Servs. _____
- Crim. Inv. _____
- Ident. _____
- Insp. _____
- Intell. _____
- Lab. _____
- Legal Coun. _____
- Off. Cong. & Public Affs. _____
- Rec. Mgnt. _____
- Tech. Servs. _____
- Training _____
- Telephone Rm. _____
- Director's Sec'y _____

To : Joseph J. Jackson Section Chief
Civil Rights and Special Inquiry

Date 6/28/88

From : _____ Unit Chief
 by _____ PSS
 Subject : General Background Investigation Unit

George Michall Steinbrenner
Background Investigation
Office of the Pardon Attorney
 Buded 6-20-88
 Bufile 73-19114

Clients initiating communication is dated 4/19/88.

This memorandum reflects;

- a partial transmission of information to the client agency;
- a closing and transmittal of information to the client agency without any previous transmissions; or
- a closing and final transmittal of information to the client agency in which partial transmittals have occurred

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Communications transmitted are listed: Those previously transmitted are starred:

<u>HQ</u> <u>LAM</u> report dated <u>6/27/88</u>	_____ report dated _____
_____ report dated _____	_____ report dated _____
_____ report dated _____	_____ report dated _____
_____ report dated _____	_____ report dated _____

Because this investigation is sensitive and/or developed information that may reflect unfavorably upon the individual investigated, those individuals whose names are checked are asked to review and indicate they approve dissemination by initialing

Supervisory Special Agent _____ 6/28/88

Unit Chief _____

Assistant Section Chief _____

Section Chief _____

73-19114

June 27, 1988

GEORGE MICHAEL STEINBRENNER
BACKGROUND INVESTIGATION
OFFICE OF THE PARDON ATTORNEY

In response to the December 14, 1987 statement provided to the Pardon Attorney's Office by Attorney [redacted] on behalf of applicant, George M. Steinbrenner, the following is set forth detailing Steinbrenner's assistance to the Federal Bureau of Investigation (FBI):

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1) Pertaining to a sensitive security matter, it was determined that Mr. Steinbrenner was instrumental in providing

[redacted]

Mr. Steinbrenner is Chairman of the Board. The file indicates that Mr. Steinbrenner assisted by offering

[redacted]

Another instance was in 1983, when Mr. Steinbrenner provided valuable assistance to the FBI [redacted]

[redacted]

Files disclosed that the support Mr. Steinbrenner provided enhanced the credibility of the UCA to the point the UCA was trusted implicitly by the subject of the investigation.

- Exec AD Adm. _____
- Exec AD Inv. _____
- Exec AD LES _____
- Asst. Dir.:
- Adm. Servs. _____
- Crim. Inv. _____
- Ident. _____
- Insp. _____
- Intell. _____
- Lab. _____
- Legal Coun. _____
- Off. Cong. & Public Affs. _____
- Rec. Mgnt. _____
- Tech. Servs. _____
- Training _____
- Off. Liaison & Int. Affs. _____
- Telephone Rm. _____
- Director's Sec'y _____

Original to PAO by courier

6/27/88

[Signature]

MKS/jg (2)
[Signatures]

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6/27/88

MAIL ROOM

George Michael Steinbrenner

During the course of the investigation,
Mr. Steinbrenner also provided the FBI [redacted]

[redacted]

b7E

2) During 1978, Mr. Steinbrenner personally [redacted]

[redacted]

information to the FBI.

The UCA also stated that never once during the course of the FBI operational involvement with the Yankees were any unnecessary inquiries made into the nature or subjects of the investigation.

3) In January 1987, the FBI and the New York City Police Department (NYCPD) executed over 500 gambling raids against a major organized crime gambling syndicate in New York City. In planning the logistics for this operation, Mr. George Steinbrenner was asked to use the facilities at Yankee Stadium for the staging of this operation. Mr. Steinbrenner agreed to allow that facility to be utilized but another site was chosen as it was more adaptable for the purpose intended.

Based on a review of FBI files as set forth above, the FBI supports the contention that George Steinbrenner has provided the FBI with valuable assistance in matters of investigative importance.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

~~SECRET~~

DATE: JUNE 1, 1988

TO: DIRECTOR, FBI (73-19114)
(ATTN: INTD, CI-1A)

FROM: *[Handwritten initials]* ADIC, NEW YORK (73-3631) (I-2) (RUC)

SUBJECT: GEORGE M. STEINBRENNER
BACKGROUND INVESTIGATION
OFFICE OF PARDON ATTORNEY

This document is classified "~~Secret~~" in its entirety.

Reference FBIHQ airtel to New York, dated May 19, 1988.

Enclosed for FBIHQ is the original and six (6) copies
of an LHM, dated May 31, 1988, captioned as above.

DECLASSIFIED BY uc/baw 60324
ON 02-24-2011

~~SECRET~~

~~Classified by G-3
Declassify on OADR~~

(2) - FBIHQ (Encls. 6)
1 - New York (73-3631)
(3)
TJO:to

⊗
390

6/98



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

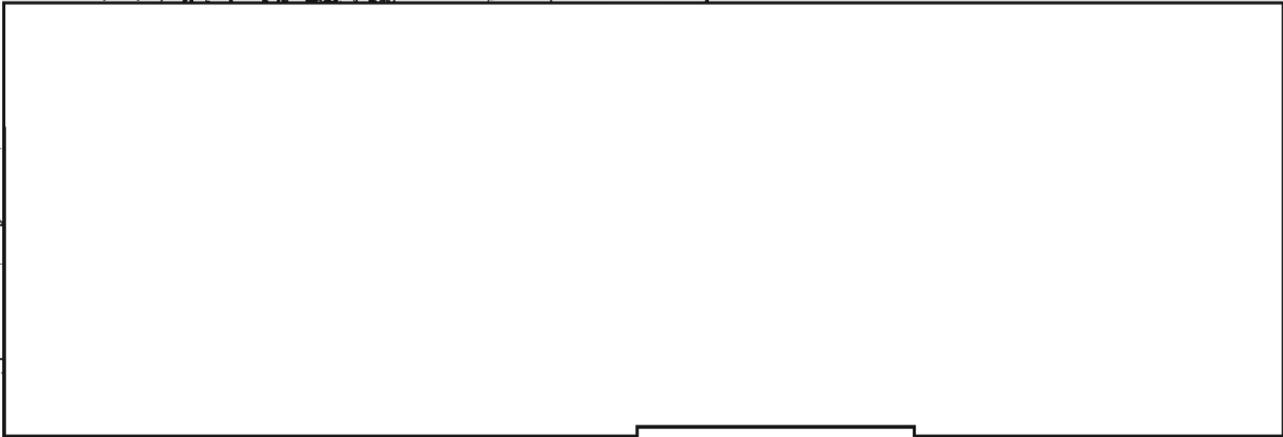
New York, New York
May 31, 1988

~~SECRET~~

GEORGE M. STEINBRENNER
BACKGROUND INVESTIGATION
OFFICE OF PARDON ATTORNEY

This communication is classified "~~SECRET~~" in its
entirety.

The New York Office of the Federal Bureau of
Investigation (FBI) has reviewed the cases in which petitioner,
George Steinbrenner has provided assistance to the FBI in the
past, and has determined the following:



(S)

b1

During the course of the [redacted] investigation,
Mr. Steinbrenner also provided the FBI [redacted]

b7E



DATE: 02-24-2011
CLASSIFIED BY uc/baw 60324
REASON: 1.4 (c)
DECLASSIFY ON: 02-24-2036

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

~~Classified by G-3
Declassify on OADR~~

This document contains neither recommendations nor conclusions of
the Federal Bureau of Investigation (FBI). It is the property of
the FBI and is loaned to your agency; it and its contents are not
to be distributed outside your agency.



The second instance in which Mr. Steinbrenner assisted the FBI was in an investigation titled, [REDACTED] (UNDERCOVER OPERATION), OO:NY," an undercover operation which ultimately lead to the arrest, prosecution and conviction (in a [REDACTED])

b1

(S)

b6
b7C

(S) [REDACTED] Based upon an extensive debriefing of the UCA in the case, it is the finding of the FBI that Mr. Steinbrenner provided invaluable support to the operation, specifically in [REDACTED]

b1

According to the UCA, when Mr. Steinbrenner learned that the FBI was in need of assistance, he instructed the Chief of Security for the New York Yankees that the FBI was to have any and all help that it requested in furtherance of its investigation. The UCA added that in his opinion, the bona fides [REDACTED]

b6
b7C
b7E

[REDACTED] The UCA emphasized [REDACTED] directly under the purview of George Steinbrenner.

The UCA also stated that never once during the course of the FBI operational involvement with the Yankees were any unnecessary inquiries made into the nature or subjects of the investigation.

A review of the New York Office files bears out the contention made by George Steinbrenner in his petition for pardon that he has provided the FBI with extremely valuable assistance on both of the occasions cited.

~~SECRET~~

June 15, 1988

GEORGE MICHAEL STEINBRENNER
APPLICATION FOR PARDON AFTER COMPLETION
OF SENTENCE

This communication is classified ~~SECRET~~ in its entirety.

During 1978, Mr. Steinbrenner was requested by the Federal Bureau of Investigation (FBI) to assist the FBI in

[Redacted]
[Redacted]
[Redacted]
[Redacted] information to the FBI.

b7E
b7D

~~SECRET~~

Classified by G-3
Declassify on: OADR

DECLASSIFIED BY uc/baw 60324
ON 02-24-2011

- Exec AD Adm. ___ 1 - Mr. [Redacted]
- Exec AD Inv. ___ (Attn: Mr. [Redacted])
- Exec AD LES ___ 1 - Mr. [Redacted]
- Asst. Dir.: ___ 1 - Mr. [Redacted]
- Adm. Servs. ___ 1 - 73A-19114
- Crim. Inv. ___ 1 - 199-4888
- Ident. ___ 1 - 199-4888
- Insp. ___
- Intell. ___
- Lab. ___ SAE/vib (8)
- Legal Coun. ___
- Off. Cong. & Public Affs. ___
- Rec. Mgnt. ___
- Tech. Servs. ___
- Training ___
- Off. Liaison & Int. Affs. ___
- Telephone Rm. ___
- Director's Sec'y ___ MAIL ROOM

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U.S. Department of Justice
Federal Bureau of Investigation

~~SECRET~~

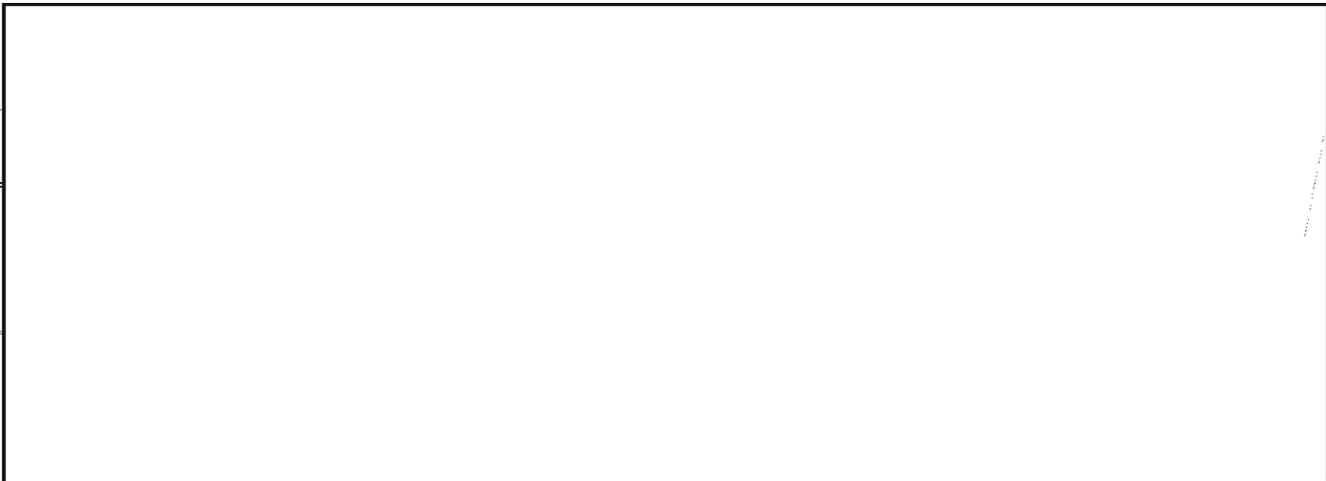
Washington, D.C. 20535

June 14, 1988

GEORGE M. STEINBRENNER
BACKGROUND INVESTIGATION
OFFICE OF PARDON ATTORNEY

This entire communication is classified "~~Secret~~."

The New York Office of the Federal Bureau of Investigation (FBI) has reviewed the cases in which petitioner, George Steinbrenner has provided assistance to the FBI in the past, and has determined the following:



(S)

b1

During the course of the investigation,
Mr. Steinbrenner also provided the FBI



b7E

DATE: 03-07-2011
CLASSIFIED BY uc/baw 60324
REASON: 1.4 (c)
DECLASSIFY ON: 03-07-2036

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

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~~SECRET~~

Classified by: G-3
Declassify on: QADR

~~SECRET~~

~~SECRET~~

RE: GEORGE M. STEINBRENNER

The second instance in which Mr. Steinbrenner assisted the FBI was in an investigation concerning an undercover operation which ultimately lead to the arrest, prosecution and conviction [redacted]

[redacted]

Based upon an extensive debriefing of the UCA, it is the finding of the FBI that Mr. Steinbrenner provided invaluable support to the operation, specifically in helping to establish [redacted]

b6
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b7E

According to the UCA, when Mr. Steinbrenner learned that the FBI was in need of assistance, he instructed the Chief of Security for the New York Yankees that the FBI was to have any and all help that it requested in furtherance of its investigation. The UCA added that in his opinion, the bona fides [redacted]

[redacted] The UCA emphasized [redacted] directly under the purview of George Steinbrenner.

The UCA also stated that never once during the course of the FBI operational involvement with the Yankees were any unnecessary inquiries made into the nature or subjects of the investigation.

A review of the New York Office files bears out the contention made by George Steinbrenner in his petition for pardon that he has provided the FBI with extremely valuable assistance on both of the occasions cited.

~~SECRET~~

~~SECRET~~

Memorandum



DATE: 7/2/86

- TO: OFFICE OF THE ASSOCIATE ATTORNEY GENERAL
ATTN: MS. [REDACTED]
MAIN JUSTICE, ROOM 4311
- OFFICE OF INTELLIGENCE POLICY & REVIEW
ATTN: MS. [REDACTED]
MAIN JUSTICE, ROOM 6325
- THE PARDON ATTORNEY
ATTN: MR. [REDACTED]
PPB BUILDING
- ADMIN. OFFICE OF U.S. COURTS.
811 VERMONT AVE, NW, ROOM 647A
WASHINGTON, DC
ATTN: MS. [REDACTED]
OFFICE OF THE DIRECTOR

- OFFICE OF ASSOC. ATTORNEY GENERAL
ATTN: MRS. [REDACTED]
MAIN JUSTICE, ROOM 4110
- EXECUTIVE OFFICE FOR U.S. ATTORNEYS
ATTN: MR. [REDACTED]
MAIN JUSTICE, ROOM 1619
- OFFICE OF SECURITY PROGRAMS
ATTN: MR. [REDACTED]
MAIN JUSTICE, ROOM 6525
- DEPARTMENT OF ENERGY
OFFICE OF SAFEGUARDS & SECURITY
GERMANTOWN, MD.
ATTN: [REDACTED]

b6
b7c

FROM: PAUL F. NOLAN, CHIEF
PFN/FR BACKGROUND-INVESTIGATION UNIT
FBI HEADQUARTERS, ROOM 5136

SUBJECT: GEORGE MICHAEL STEINBRENNER

STATUS OF INVESTIGATION:

INCOMPLETE

COMPLETE

COMMENTS:



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

New York, New York
June 8, 1988

George Steinbrenner
Expungement Matter

In January, 1987 the FBI and the New York City Police Department (NYCPD) executed over 500 gambling raids against a major organized crime gambling syndicate in New York City. In planning the logistics for this operation Mr. George Steinbrenner was asked to use the facilities at Yankee Stadium for the staging of this operation. Mr. Steinbrenner agreed to allow that facility to be utilized but another site was chosen as it was more adaptable for the purpose intended.

This document contains neither recommendations nor conclusions of the Federal Bureau of Investigation (FBI). It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

UNCLASSIFIED

April 20, 1988

Mr. [redacted]

RE: REQUEST FOR PARDON
GEORGE MICHAEL STEINBRENNER, III

On April 19, 1988, we received a request from [redacted] Pardon Attorney, to verify information submitted by Steinbrenner's counsel, [redacted] which states that Steinbrenner provided valuable assistance to the Federal Bureau of Investigation (FBI) over an 11-year period (1976 to 1987).

We previously prepared a memorandum on July 21, 1986, pertaining to Mr. Steinbrenner's, "Support of the United States National Security Activities." Based on the new information provided by Mr. Steinbrenner, through his counsel, the Pardon Attorney is requesting that we reassess Mr. Steinbrenner's assistance to the FBI.

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In 1979, the FBI completed a background investigation on Steinbrenner in response to his petition for pardon, which was subsequently denied in 1981. Likewise, Steinbrenner was reinvestigated in 1986 upon his second pardon request, which has not been adjudicated to date.

You will be kept apprised of this matter as it progresses.

- [redacted]
- 1 - Mr. [redacted]
1 - Mr. [redacted]

MKS:tlav(9)

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION
FOIPA
DELETED PAGE INFORMATION SHEET

No Duplication Fees are charged for Deleted Page Information Sheet(s).

Total Deleted Page(s) ~ 204
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