

8

UNITED STATES GOVERNMENT
memorandum

DATE: January 26, 1979

REPLY TO
ATTN OF:

Pardon Attorney

b6
b7C

SUBJECT:

George Michael Steinbrenner
Applicant for pardon

TO:

Director
Federal Bureau of Investigation

FEDERAL GOVERNMENT

10

JB
PRS

Enclosed is the petition for pardon of
subject. I would appreciate your authorizing the
usual clemency investigation and sending two copies
of the report to this office. Please return the
petition when the investigation has been completed.

Per enclosed

(Handwritten initials)

EX-125
DE-36
REC-11

173-19114-1

17 FEB 8 1979

Spec. Inq.



Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

U.S. Government Printing Office: 1977-241-530/3474

62 MAY 16 1979

OPTIONAL FORM NO. 10
(REV. 7-76)
GSA FPMR (41 CFR) 101-11.6
5010-112

PETITION FOR PARDON AFTER COMPLETION OF SENTENCE

(Type or print) - This form may be modified for forfeiture of Veterans' benefits or military cases)

THE PRESIDENT OF THE UNITED STATES:

PETITIONER, George Michael Steinbrenner
Name: First Middle Last
 Social Security No. 285-28-5751, is a citizen of United States, born on July 4, 19 30
Country
 and resides at 10102 Lindelaan Tampa Florida 33618
No. Street City State Zip Code

PETITIONER was convicted on a plea of guilty in the United States
 District Court for the Northern guilty, not guilty or nolo contendere District of Ohio at Cleveland
Eastern, Western, etc. State City
 of the crime of conspiring to make corporate campaign contributions in viola-
Describe specifically and accurately.
tion of 18 U.S.C. §610 and being an accessory after the fact to viola-
tions of §610. August 30, 19 74, to not applicable for not applicable
imprisonment, probation length of service
 and was sentenced on August 30, 19 74, to not applicable for not applicable
 and/or to pay a fine of \$ 15,000.

If conviction was appealed, complete the following paragraph:

PETITIONER appealed to the United States Court of Appeals, where the judgment was affirmed on _____,
 19 _____. An appeal _____ taken to the Supreme Court. The Supreme Court _____ a
 was, was not _____ granted, denied _____
 petition for a writ of certiorari on _____, 19 _____. If certiorari was granted, the judgment was affirmed on
 _____, 19 _____.

PETITIONER began the service of his sentence on n/a, 19 ____; was released on _____,
 19 _____ from _____ and was finally discharged by expiration of sentence on _____,
Federal Institution
 19 _____. The fine _____ been paid in full. If not paid, explain why. _____
 has, has not _____

PETITIONER was 43 years of age when the crime was committed, and his prior and subsequent arrest record is as
 follows: (List every arrest by local, state or Federal authorities, whether resulting in a conviction or not, giving date, disposition
 of case and name and location of court.) There is no prior or subsequent arrest record.

PETITIONER is married, has 4 children, aged lives with and supports his family to the best of his ability. (If divorced or not living with or supporting family, explain.) The ages of children are 9, 13, 18, and 20.

PETITIONER is employed by American Ship Building Company
at Hillsboro Building, Suite 420, Tampa, Florida as Chairman of Board
No. Street City State Position
and has been so employed since August 18, 1967 and his prior employments since conviction follows:

(List names and addresses of employers and dates of each employment.)

New York Yankees, General Partner (March 22, 1973 to present)

PETITIONER submits his personal oath and three character references, promises to obey the laws of, and to be loyal to, the United States, and respectfully prays that he be granted a pardon for the following reasons: I respectfully request a pardon for the following reasons: (1) Under the laws of Florida, the state in which I reside, my conviction has resulted in a deprivation of certain civil rights such as the right to vote. A pardon would restore those rights. (2) My status as a felon has adversely affected my business and professional activities. As a result of my conviction, the Commissioner of Baseball prohibited my participation in the affairs of the New York Yankees for a period of 23 months though I am the general partner and principal owner of that team. Applications for licenses as the owner of race horses were delayed in a number of jurisdictions. I also believe my status as a felon has had adverse effects on other business opportunities and activities. (3) My conviction has also limited my participation in civic, charitable and community affairs. I have always tried to devote a substantial amount of my time to such activities. However, following my conviction it was plain to me that my association with certain activities could, as a result of my status as a felon, be a source of embarrassment to the particular charity or community affair. A pardon would, I believe, substantially reduce or eliminate that effect and would permit me to contribute more of my services to the community.

DATE

12-28-78

SIGNATURE OF PETITIONER

George M. Ginnell

SAC, Tampa

2/6/79

Director, FBI

GEORGE MICHAEL STEINBRENNER
 Application for Pardon After Completion of Sentence
 OO: Bureau
 BUDED (Initial report) 2/21/79
 (Completion) 3/23/79

Reference is made to the Pardon Attorney's letter which is enclosed with applicant's petition and related documents.

Conduct investigation in accordance with ~~Chapter 5X~~
~~Part III X FBI Handbook (Section 11 X Manual X Instructions) X~~
 (Section 73, Manual of Investigative Operations and Guidelines.)
 Enc. (7)

Verify payment of fine.

During interview of applicant, no comments should be made regarding whether or not applicant will be granted a presidential pardon. In addition, no comments should be made to third party interviewees concerning the applicant's request for executive clemency.

GLS:jas (VI)
 (5)

RECEIVED: 2/6/79
 RETURN TO MR.
 ROOM 3853
 EX-125

b6
 b7C

REC 11

73-19114-2

17 FEB 8 1979

MAILED 11
 FEB 6 1979

MAIL ROOM ☒ TELETYPE UNIT ☐

MAR 6 1979

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE TAMPA	OFFICE OF ORIGIN BUREAU	DATE 2/20/79	INVESTIGATIVE PERIOD 2/19/79
TITLE OF CASE CHANGED GEORGE MICHAEL STEINBRENNER, III, George Michael Steinbrenner		REPORT MADE BY SA [redacted]	TYPED BY rmt
		CHARACTER OF CASE APACS	10

Title marked "CHANGED" to reflect applicant's full name. Title previously carried as "GEORGE MICHAEL STEINBRENNER; APACS."

REFERENCES: Bureau letter to Tampa, 2/16/79.

- P -

ENCLOSURES

FOR THE BUREAU

One (1) FD-165 pertaining to the applicant.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
APPROVED <i>PRM/1007</i>						SPECIAL AGENT IN CHARGE	
COPIES MADE:						DO NOT WRITE IN SPACES BELOW	
③ - Bureau (Enc. 1) ENCLOSURE 2 - Albany (Enc. 1) 2 - Cincinnati (Enc. 1) 4 - Cleveland (Enc. 1) 2 - Jacksonville (Enc. 1) 2 - Newark (Enc. 1) 2 - New York (Enc. 1) 3 - Pittsburgh (Enc. 1) 3 - Tampa (79-312)						73-14114-3 DE-6 REC-79 22 FEB 28 1979 V-13	
Dissemination Record of Attached Report						Notations	
Agency	2 cc's to Pardon Attorney, Department of Justice					<i>Spec. Agt.</i>	
Request Recd.							
Date Fwd.	APR 26 1979						
How Fwd.							
By	66 MAY 18 1979						

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TP 73-312

FOR EACH RECEIVING OFFICE

One (1) copy each of an FD-406 executed by applicant.

ADMINISTRATIVE:

Buded in this matter is 3/23/79.

For the information of the Bureau, inasmuch as the applicant is a well-known public figure and his ownerships of organizations such as the New York Yankees and The American Ship Building Company are widely recognized, the Tampa Division is not setting forth leads to verify the applicant's employments through interviews with his employees, but is setting forth leads to verify applicant's business ownerships through reviews of State public corporation records UACB.

LEADS:

Leads set forth are to be conducted in accordance with Section 73, Part I, MIOG.

ALBANY

AT ALBANY, NEW YORK

Will review State corporation records to verify applicant's principal ownership of the New York Yankees.

CINCINNATI

AT COLUMBUS, OHIO

B
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TP 73-312

✓ Will review State corporation records to verify applicant's ownership of The American Ship Building Company and Kinsman Lines, both of Cleveland, Ohio.

CLEVELAND, OHIO

1. Will review records of U. S. District Court, Northern District of Ohio, regarding applicant's conviction for conspiracy to make corporate campaign contributions in violation of Title 18, U. S. Code, Section 610, and for being an accessory after the fact to violation of Title 18, U. S. Code, Section 610. Applicant reported sentence date as 8/30/74.

✓ 2. Will interview associate [redacted] Terminal Tower Building, regarding the applicant.

3. Will conduct credit and identification checks regarding the applicant.

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AT SHAKER HEIGHTS, OHIO

✓ Will interview reference, [redacted] [redacted] regarding the applicant.

JACKSONVILLE

AT TALLAHASSEE, FLORIDA

✓ Will review State corporation records regarding applicant's ownership of The American Ship Building Company, Suite 420, 512 North Florida, Tampa, Florida.

✓ NEWARK

TP 73-312

AT ATLANTIC CITY, NEW JERSEY

✓ Will interview associate [redacted]
[redacted] regarding
the applicant.

NEW YORK

AT NEW YORK CITY, NEW YORK

✓ 1. Will attempt to verify applicant's
temporary and intermittent residence at the Carlisle
Hotel, 76th and Madison, from 1974 to present.

✓ 2. Will interview associate [redacted]
[redacted]
✓ telephone [redacted] regarding the applicant.

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3. Will interview associate
[redacted]
✓ Palace Theater Building, Times Square, regarding the
applicant.

✓ 4. Will interview reference [redacted]
[redacted]
regarding the applicant.

✓ 5. Will interview reference [redacted]
[redacted] regarding the
applicant.

✓ 6. Will conduct credit and identification
checks regarding the applicant.

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PITTSBURGH

AT BECKLEY, WEST VIRGINIA

✓ Will conduct credit and identification checks regarding the applicant.

AT CHARLESTON, WEST VIRGINIA

✓ Will review State corporation records to verify the applicant's principal ownership of the Yankee Coal Company, Beckley, West Virginia.

TAMPA

AT TAMPA, FLORIDA

1. Will interview reference [redacted]

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2. Will interview associate [redacted]

✓ 3. Will conduct neighborhood investigation in the vicinity of the applicant's permanent residence, 10102 Lindelaan.

✓ 4. Will conduct credit and identification checks regarding the applicant.

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

Office: Tampa

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Date:

February 20, 1979

Field Office File #:

73-312

Bureau File #:

Title:

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

On 2/19/79, applicant interviewed at Tampa, Florida,
and background information set forth herein.

- P -

DETAILS:

Investigation in this matter was predicated upon receipt of a letter dated January 26, 1979, addressed to the Director, FBI, from [REDACTED] Pardon Attorney, U. S. Department of Justice, Washington, D. C., requesting a clemency investigation be conducted regarding the applicant, George Michael Steinbrenner, who had submitted a petition for pardon after completion of sentence, dated December 28, 1978.

Applicant's petition indicates he was convicted on a plea of guilty in U. S. District Court, Northern District of Ohio, Cleveland, Ohio,

TP 73-312

for conspiring to make corporate campaign contributions in violation of Title 18, U. S. Code, Section 610, and for being an accessory after the fact to violation of Title 18, U. S. Code, Section 610, and was fined \$15,000 on August 30, 1974.

INTERVIEW OF APPLICANT

On February 19, 1979, George Michael Steinbrenner, III, also known as George Michael Steinbrenner, was interviewed at his place of business, The American Ship Building Company, Suite 420, 512 North Florida, Tampa, Florida, and furnished the following information:

EMPLOYMENT HISTORY

The applicant advised that since his conviction on August 30, 1974, he has been engaged in employment as the principal owner of the New York Yankees Baseball Club, New York City, New York; as chairman of the board of The American Ship Building Company, with operating corporate headquarters located both in the Bond Court Building, Cleveland, Ohio, and in Suite 420, 512 North Florida, Tampa, Florida; as owner and chairman of the board of Kinsman Lines, Cleveland, Ohio; and as principal owner of the Yankee Coal Company, Beckley, West Virginia.

PLACES OF RESIDENCE

Applicant advised that since August 30, 1974, he has maintained a permanent residence at 10102 Lindelaan, Tampa, Florida. In addition, applicant stated he has resided on a temporary basis in the Carlisle Hotel, located at 76th and Madison, New York City, New York,

TP 73-312

while conducting business there.

APPLICANT'S VERSION OF CIRCUMSTANCES UNDER
WHICH HE WAS CONVICTED

Applicant advised that during 1974 he pled guilty in U. S. District Court, Cleveland, Ohio, to the charge of making illegal campaign contributions and to the charge of being an accessory after the fact. Applicant stated this conviction stemmed from a \$25,000 corporate contribution made by him and several executive members of The American Ship Building Company to the Presidential Campaign of Richard Nixon during approximately 1972. Applicant advised that this corporate contribution was made after he received legal advice from corporate counsel, both inside and outside The American Ship Building Company, that this corporate campaign contribution was legal. He noted this contribution had been made openly; had been known to the accounting firm of Arthur Anderson; and had appeared in an annual statement of The American Ship Building Company. Applicant stated he would not have allowed the \$25,000 corporate contribution if he had known it was illegal. He advised that as chairman of the board of The American Ship Building Company, he was responsible for that company's transactions. However, applicant noted that the lawyers who provided the legal opinion concerning that corporate campaign contribution should have been more thorough in their legal research.

Applicant further noted that he had made a \$75,000 personal contribution to the Presidential campaign of Richard Nixon and that if he had known a \$25,000 corporate campaign contribution was illegal, he could have made an additional contribution in that

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amount from substantial personal funds.

Applicant advised that in regard to the related accessory after the fact charge, he never told any employee to lie about the corporate campaign contribution nor did he imply to employees that they should repeat his version of the facts concerning that contribution.

Applicant stated that he has no adverse feelings toward the judicial system due to his conviction and that he recognizes the corporate campaign contribution was an infraction of the law.

REASON PARDON DESIRED

Applicant advised he desires a pardon to restore his civil rights, particularly the right to vote. In addition, he noted that his felony conviction has adversely effected some of his past business and professional activities, and he believes a pardon would reduce or prevent any adverse effects on his future activities. Applicant further stated that his public participation in civic, charitable and community affairs has been limited due to his conviction, even though his financial support in such areas has continued.

Applicant noted that his inability to vote had been a serious concern of his. He advised that particularly at election time, he has felt embarrassment over this issue when asked if he had voted.

Applicant further stated that he is not eligible to accept public appointments without receiving a pardon. He noted, for example, that he had not been allowed to serve on the Florida Board of Regents due to his past conviction. He stated that he strongly supports university and college education

TP 73-312

in Florida, Ohio, and New York, and has previously served on the Ohio Board of Regents.

Applicant advised that due to his conviction, he had been prohibited by the commissioner of baseball from participating in the business and player-transaction decisions of the New York Yankees, of which he is the principal owner, for a twenty-three month period following his conviction.

Applicant stated that his conviction initially hindered his approval by the California, Kentucky, and Florida Racing Commissions to race horses. He noted it was necessary for him to appear before the California and Kentucky Racing Commissions and to present a brief before the Florida Racing Commission prior to receiving official approval to race his horses in those states. He stated that as a result of the time required to receive racing approval through such procedures, his horses were not allowed to race for several months while the cost of caring for those horses continued.

Applicant advised he had previously been asked to serve on the Board of Directors of the Hialeah Race Track at Miami, Florida. He did not accept that position, however, since he thought his felony conviction might possibly be reported by the press as reflecting unfavorably on the integrity of racing.

Applicant stated his past conviction has been and continues to be a source of embarrassment to him. He noted he frequently speaks in public, and during some of his public speeches, he has been questioned concerning his past conviction.

PRESENT MODE AND STANDARD OF LIVING

Applicant advised that he works long and hard

TP 73-312

hours and enjoys a good standard of living. He advised that he owns his own home and lives comfortably as a result of income derived from The American Ship Building Company; the New York Yankees; the Yankee Coal Company; and the Kinsman Lines. Applicant advised he is in the upper income bracket.

ASSOCIATES AND REFERENCES

Applicant provided the following list of associates and references with whom he has been personally acquainted:

[redacted]
[redacted] Tampa, Florida.

[redacted] (associate) [redacted]
[redacted] New York City, New York,
telephone [redacted]

[redacted] (associate), [redacted],
Cleveland, Ohio, telephone [redacted].

[redacted] (associate), [redacted]
[redacted] Palace Theater Building, Times
Square, New York City, New York.

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[redacted] (associate), [redacted]
[redacted] Bally Corporation, Atlantic City, New Jersey.

[redacted] (reference), [redacted],
[redacted], Tampa, Florida.

[redacted] (reference), [redacted]
[redacted] Shaker Heights, Ohio.

[redacted] (reference), [redacted],

TP 73-312

[redacted], New York City, New York.

[redacted] (reference), [redacted]
[redacted], New York City, New
York.

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ANY PENDING MATTERS WITH THE FEDERAL OR
STATE GOVERNMENTS

Applicant advised that he has no pending matters with the Federal Government or with any State governments.

ANY PRIOR OR SUBSEQUENT CRIMINAL RECORD

Applicant advised that he has no criminal record prior to or subsequent to the felony conviction to which he has filed a petition for pardon.

PHYSICAL DESCRIPTION

The following descriptive information was obtained through observation and interview:

Name	George Michael Steinbrenner, III
Alias	George Michael Steinbrenner
Race	White
Sex	Male
Date of Birth	July 4, 1930
Place of Birth	Rocky River, Ohio
Height	6'1"
Weight	210 pounds
Build	Medium heavy
Eyes	Blue
Hair	Brown
Military Service	U. S. Air Force from 1952

TP 73-312

Social Security
Account Number
Residence

Office Telephone
Numbers

Employments

to 1954, rank First
Lieutenant, Strategic Air
Command, Air Force Service
Number A02248074

285-28-5751
10102 Lindelaan,
Tampa, Florida 33618

Tampa, Florida,
Area Code 813/223-9411;
New York City, New York,
Area Code 212/293-4300;
Chairman of the Board,
The American Ship Building
Company, Cleveland, Ohio, and
Tampa, Florida; principal
owner, the New York Yankees,
New York City, New York;
principal owner, Yankee Coal
Company, Beckley, West
Virginia; owner and Chairman
of the Board, Kinsman Lines,
Cleveland, Ohio

Wife
Date of Marriage
Place of Marriage
Wife's Employment
Parents

[REDACTED]
May 12, 1956
Columbus, Ohio

[REDACTED]
Henry G. and Rita Steinbrenner,
Prince Charles Lane,
West Lake, Ohio

Brothers
Sisters

None

[REDACTED]
[REDACTED] Lakewood, Ohio;

[REDACTED]
[REDACTED] Rocky River, Ohio

Children

[REDACTED]

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Other Relatives or
Individuals
Residing at
Residence
Education

None
Culver Military Academy,
Culver, Indiana, graduated
1948;
Williams College,
Williamstown, Massachusetts,
received Bachelor of Arts
Degree in 1952;
Graduate school, Ohio State
University, attended during
1954, but did not complete
requirements for MA Degree
in Physical Education

FEDERAL BUREAU OF INVESTIGATION
Records Branch

, 19

- ☐ Name Searching Unit, 4543 JEH-FBI Bldg.
☐ Service Unit, 4654 JEH-FBI Bldg.
☐ Forward to 385
☐ Attention
☐ Return to

Supervisor

Room

Ext.

Type of Search Requested:

- ☐ ~~Restricted Search Not Applied~~
☒ ~~All Reference (Subversive & Nonsubversive)~~
☐ Subversive Search
☐ Nonsubversive Search
☐ Main _____ References Only
- 179
 MAR 1954

Special Instructions:

- ☐ Restricted to Locality of _____
☐ Exact Name Only (On the Nose)
☐ Buildup ☒ -Variations

Subject

Birthdate & Place

Address

Localities

R#

Date _____

Searcher
Initials _

Prod:

FILE NUMBER

SERIAL

113-19114
 1410-16091
 56-4755
 56-507-4
 56-4778-1
 4-5416258
 105-189358
 105-10828-2315

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED] Office: Newark, New Jersey
Date: March 8, 1979
Field Office File #: 73-1336 Bureau File #:
Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER
COMPLETION OF SENTENCE

Synopsis:

Personal reference [REDACTED] interviewed
on March 6, 1979, at Atlantic City, NJ.

-RUC-

DETAILS

On March 6, 1979, [REDACTED]

[REDACTED] Bally Corporation, Atlantic City, New Jersey, was interviewed regarding his knowledge of GEORGE M. STEINBRENNER, III. [REDACTED] advised that in February, 1972, following his retirement from [REDACTED], he was hired by STEINBRENNER as a [REDACTED] of American Ship Building Company, Cleveland, Ohio. He stated that he moved to Tampa, Florida in approximately 1974, when STEINBRENNER moved to Florida.

[REDACTED] advised that STEINBRENNER had related his side of the story concerning his conviction for making illegal campaign contributions and he, [REDACTED] had made this information available to the Department of Justice at the time that the investigation was conducted.

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[] advised that during the time that he knew STEINBRENNER he was heavily involved with a number of charitable and civic organizations. He felt that STEINBRENNER gave of his time and money to these various organizations willingly and he was interested in helping various individuals and organizations. [] believes that STEINBRENNER's character is good including his moral turpitude. He further believes that he generally enjoys a good reputation among his peers and members of various communities in which he has resided. [] stated that he personally believes that STEINBRENNER is deserving of a pardon.

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE TAMPA Newark	OFFICE OF ORIGIN BUREAU	DATE 3/8/79	INVESTIGATIVE PERIOD 3/6/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III also known as, George Michael Steinbrenner		REPORT MADE BY SA 	TYPED BY kjm
		CHARACTER OF CASE APACS	

b6
b7cREFERENCES: Tampa report of SA dated 2/20/79.

-RUC-

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

 3-Bureau
 1-Newark (73-1336) (ARA)

73-19114-4 REC-79

2 MAR 12 1979

Dissemination Record of Attached Report			
Agency	2 cc's to Pardon Attorney Department of Justice		
Request Recd.			
Date Fwd.	APR 26 1979		
How Fwd.	GWS/jas		
By			

Notations

66 MAY 18 1979

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE JACKSONVILLE	OFFICE OF ORIGIN BUREAU	DATE 3/12/79	INVESTIGATIVE PERIOD 3/1/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka SC [redacted] George Michael Steinbrenner		REPORT MADE BY SC [redacted]	TYPED BY /fla
		CHARACTER OF CASE APACS	

REFERENCE:

Tampa report of SA [redacted] 2/20/79

-RUC-

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ADMINISTRATIVE:

All individuals contacted were apprised of the provisions of the Privacy Act and those requesting confidentiality have been so noted.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CON.VIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED JWO/MB	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
COPIES MADE: ③ - Bureau 1 - Tampa (73-312) (Info) 1 - Jacksonville (73-364)		73-1914-5 9 MAR 15 1979 REC-79

Dissemination Record of Attached Report		Notations
Agency	2 cc's to Pardon Attorney	
Request Recd.	Department of Justice	
Date Fwd.	APR 26 1979	
How Fwd.		
By	[signature]	

66 MAY 18 1979

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UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SC [REDACTED]

Office: JACKSONVILLE

Date: March 12, 1979

Field Office File #: JK 73-364

Bureau File #:

Title: GEORGE MICHAEL STEINBRENNER, III

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Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Corporation Records, Tallahassee, Florida,
negative regarding the American Ship Building
Company.

-RUC-

DETAILS:EMPLOYMENT:

On March 1, 1979, [REDACTED] Clerk, Corporation
Records Division, Secretary of State's Office, Tallahassee,
Florida, advised no Corporate Record could be located in
her files regarding the American Ship Building Company,
Suite 420, 512 North Florida, Tampa, Florida.

1*

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to
your agency; it and its contents are not to be distributed outside your agency.

FBI/DOJ

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ E F T O
☐ CLEAR

Date 3/13/79

TO: DIRECTOR, FBI
 FROM: SAC, CINCINNATI (73-751) (RUC)
 SUBJECT: GEORGE MICHAEL STEINBRENNER, III
 George Michael Steinbrenner
 APACS

Re TP rep of SA [REDACTED] 2/20/79.

ADMINISTRATIVE

The lead set forth for Cincinnati Division at Columbus, Ohio, to verify applicant's ownership of the American Ship Building Company and Kinsman Lines at the State of Ohio, Corporate Records, reflected their records contain information as to the date of corporation, amount of stock authorized and issued, the principal place of business, and statutory agent. The records do not denote stockholders and the amount of stock owned. This information may be secured at the principal place of business.

LEADCLEVELANDAT CLEVELAND, OHIO

Verify applicant's ownership of the American Ship Building Company at the Bond Court Building. Also contact Chairman of the Board, Kinsman Lines, and verify applicant's ownership of this corporation.

2-Bureau
 1-Cleveland (Info.)
 1-Tampa (73-312) (Info.)
 1-Cincinnati

HNC/cc (5)

9 MAR 14 1979

62 MAY 17 1979

Approved: _____

Transmitted _____
(Number) (Time)

Per _____

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW YORK	OFFICE OF ORIGIN BUREAU	DATE 3/20/79	INVESTIGATIVE PERIOD 3/5/79 - 3/8/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III aka George Michael Steinbrenner		REPORT MADE BY <div style="border: 1px solid black; width: 150px; height: 1.2em;"></div>	TYPED BY geg
		CHARACTER OF CASE APACS	

b6
b7CREFERENCETPrep of SA , dated 2/20/79.

- RUC -

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN: PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
APPROVED <div style="border: 1px solid black; width: 100px; height: 1.2em;"></div>						SPECIAL AGENT IN CHARGE		DO NOT WRITE IN SPACES BELOW
COPIES MADE: (3) - Bureau 2 - Tampa <i>info</i> 2 - New York (73-3631)						<div style="text-align: right;">EX-106</div> <div style="text-align: right;">REC-79</div> <div style="text-align: center;">15 MAR 22 1979</div>		
Dissemination Record of Attached Report:						Notations		
Agency	2 cc's to Pardon Attorney					<div style="text-align: right;">Spec. Inv.</div>		
Request Recd.	Department of Justice							
Date Fwd.								
How Fwd.	APR 26 1979							
By	<div style="border: 1px solid black; border-radius: 50%; width: 30px; height: 30px; display: flex; align-items: center; justify-content: center;">5</div> 645/yan							

66 MAY 18 1979

A* -
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

Date:

Field Office File #:

Title:

[REDACTED]
March 20, 1979

Office:

New York, New York

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Bureau File #:

73-3631

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER
COMPLETION OF SENTENCE

Synopsis:

References interviewed with favorable results. Associates interviewed with favorable results. Credit check conducted, good credit rating. Arrest check conducted with negative results.

- RUC -

NY 73-3631

DETAILS:

References

On March 8, 1979, [REDACTED]

[REDACTED] New York, New York, was contacted. He advised that he has known the applicant for about six years. His initial contact with the applicant occurred when [REDACTED] was [REDACTED]. STEINBRENNER is heavily involved in many Catholic charities throughout the Archdiocese. [REDACTED] stated that he has contributed large amounts of money and immeasurable amounts of time to many charitable causes. [REDACTED] stated that STEINBRENNER has been instrumental in initiating new charitable programs involving the Yankee organization. [REDACTED] believes that STEINBRENNER is a very moral man who has a sincere desire to help people. He stated further that STEINBRENNER tries hard to be a good citizen. [REDACTED] is aware of STEINBRENNER's request and believes that the Government should react favorably to the application. [REDACTED] believes that the applicant is unquestionably loyal to the United States (US) Government.

On March 8, 1979, [REDACTED] of the Catholic Relief Services, 28 East 63rd Street, New York, New York, was interviewed. [REDACTED] stated that he has known the applicant socially for the past four years. He stated that he is not involved in any way with STEINBRENNER's charity work within the Archdiocese of New York because Catholic Relief Services is a national organization. [REDACTED] stated that STEINBRENNER is very generous with his time toward many causes. He believes that the true picture of Mr. STEINBRENNER does not come through because of the distorted media build-up surrounding everything STEINBRENNER is involved in. [REDACTED] feels that STEINBRENNER is extremely generous and warm-hearted. Purely from his four-year friendship with the applicant, [REDACTED] feels that STEINBRENNER is a good person and a good citizen. He feels that STEINBRENNER is unquestionably loyal to the US Government.

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NY 73-3631

Associates:

On March 8, 1979, [redacted] Palace Theatre Building, was contacted. [redacted] stated that he has known the applicant for about 15 years. He first met the applicant when he assisted the applicant in the production of various shows. [redacted] stated that he has spent a good deal of time both socially and professionally with the applicant, though he has not had as much contact with him since he [redacted] began spending more time in California. Professionally, [redacted] owns a small fractional share of the Yankee Baseball Club and is on the Board of Directors of STEINBRENNER's American Shipbuilding Company. [redacted] believes that STEINBRENNER is a very charitable man, not only with his money but with his time. [redacted] stated that the applicant has a "gruff exterior" but is a very kind individual. He advised that over their 15-year friendship, the applicant has done an extremely large amount of charity work. [redacted] strongly believes that the Government should react favorably to Mr. STEINBRENNER's request. He stated that Mr. STEINBRENNER is unquestionably loyal to the United States (US) Government.

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On March 8, 1979, [redacted] 645 Madison Avenue, New York, New York, was contacted. [redacted] stated that he has known the applicant for about ten years. He also stated that he is familiar with the facts behind Mr. STEINBRENNER's application. [redacted] believes that the Government should look favorably on STEINBRENNER's application. [redacted] stated that the applicant is a tremendous person with a strong character. [redacted] advised that he and the applicant had worked together on a number of charitable undertakings including CYO-Yankee Day Camp and the St. Jude Hospital Fund. Additionally, the applicant is the owner of a [redacted] franchise in Tampa. [redacted] stated that he has had an opportunity to observe the applicant on many occasions both socially and professionally and finds him to be an individual very interested in helping his fellow man. [redacted] commented that STEINBRENNER not only gives a great deal of financial backing to the various charities but also gives a great deal of his time. [redacted] stated that STEINBRENNER is completely loyal to the US Government and is a man of high ethics and moral fabric.

NY 73-3631

Residence

Hotel Carlisle
76th and Madison
New York, New York

On March 7, 1979, [redacted] of the Hotel Carlisle, was interviewed. He advised that the applicant had held a lease on Apartment 23B from October 1, 1973 to September 30, 1975. [redacted] further advised that STEINBRENNER now rents a room on a transient basis as necessary, a couple of times per month.

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On March 7, 1979, Mr. [redacted] of captioned hotel, was contacted. [redacted] advised that he believes the applicant stays at the hotel approximately 20 or 30 times per year with each stay varying in length.

NY 73-3631

Credit

On March 9, 1979, Special Clerk (SC) [redacted] was advised by [redacted] Clerk, Credit Information Corporation, that a search of their records revealed a good credit rating for the applicant.

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Arrest

On March 9, 1979, SC [redacted] advised a search of New York City Police Department records by [redacted] Identification Section, and [redacted] Criminal Records Section, revealed no record identifiable with the applicant.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE ALBANY	OFFICE OF ORIGIN BUREAU	DATE 3/21/79	INVESTIGATIVE PERIOD 3/7/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner		REPORT MADE BY SC 	TYPED BY njd
		CHARACTER OF CASE APACS	

REFERENCE:

Tampa report of SA , 2/20/79.

- RUC -

ADMINISTRATIVE DATA:

Individual contacted was apprised of the provisions of the Privacy Act.

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED RIRMX SPECIAL AGENT IN CHARGE COPIES MADE: 3 - Bureau 1 - Albany (73-405)	DO NOT WRITE IN SPACES BELOW <div style="border: 1px solid black; padding: 5px; margin: 5px;"> 73-19114- </div> <div style="border: 1px solid black; padding: 5px; margin: 5px;"> NOT RECORDED MAR 23 1979 </div>
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Dissemination Record of Attached Report				Notations
Agency	2 cc's to Pardon Attorney Department of Justice			
Request Recd.				
Date Fwd.	APR 26 1979			
How Fwd.				
By	CLS/yes			Spec. Inq.

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COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SC [REDACTED]

Office: ALBANY

Date:

3/21/79

Field Office File #:

73-405

Bureau File #:

Title:

GEORGE MICHAEL STEINBRENNER, III

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

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Synopsis:

New York State Department of State, Corporation Section, Albany, New York, unable to verify STEINBRENNER's principal ownership of the New York Yankees.

- RUC -

DETAILS:

On March 7, 1979, [REDACTED] Principal Clerk, New York State Department of State, Corporation Section, Albany, New York, advised no record could be located concerning the New York Yankees Baseball Club or GEORGE MICHAEL STEINBRENNER, III as having received a Certificate of Incorporation to do business in the State of New York.

Mr. [REDACTED] advised the only listing available for the New York Yankees is that of the New York Yankees Foundation Incorporation, which is a non-profit corporation, and the New York Yankees Basketball Club.

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE TAMPA	OFFICE OF ORIGIN BUREAU	DATE 3/21/79	INVESTIGATIVE PERIOD 3/19-20/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka SA [redacted] George Michael Steinbrenner		REPORT MADE BY [redacted]	TYPED BY rmt
		CHARACTER OF CASE APACS	

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REFERENCES: Bureau letter to Tampa, 2/6/79;
Tampa report of SA [redacted] 2/20/79.

- RUC -

ENCLOSURES

FOR THE BUREAU

Applicant's petition and the related character affidavits previously submitted to Tampa as enclosures to referenced Bureau letter.

ACCOMPLISHMENTS CLAIMED						<input checked="" type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

APPROVED

SPECIAL AGENT
IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE

3 - Bureau (Enc. 1) ENCLOSURE
1 - Tampa (73-312)

7 MAR 26 1979

Dissemination Record of Attached Report

Agency	2 ccs to Pardon Dept. of Justice
Request Recd.	
Date Fwd.	APR 26 1979
How Fwd.	(5)
By	66 MAY 18 1979 LS/jas

Notations

Spec. Inq.

COVER PAGE

TP 73-312

ADMINISTRATIVE:

All individuals contacted were apprised of the provisions of the Privacy Act and those requesting confidentiality have been so noted.

Bureau should note that the lead to interview associate [redacted] Tampa, is being held in abeyance inasmuch as Mr. [redacted] will not return from vacation outside the United States prior to the Bureau deadline in this matter; inasmuch as Mr. [redacted] in the MacFarlane, Ferguson, Allison, and Kelly Law Firm, in which [redacted], one of applicant's references, is a partner; and inasmuch as Mr. [redacted] is only one of several associates listed by applicant.

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COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:
Date:SA [REDACTED]
March 21, 1979

Office: Tampa

Field Office File #: 73-312

Bureau File #:

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

[REDACTED] reference, Tampa, Florida, advised applicant is individual of outstanding character, reputation and loyalty and is a business and social leader who strongly supports educational foundations, civic movements, and community welfare programs. Neighbors consider applicant to be individual of good character and reputation. Applicant maintains satisfactory credit rating. Records of the Tampa, Florida, Police Department and Hillsborough County SO, Tampa, Florida, failed to reveal any record for applicant. Records of the Hillsborough County Traffic Division, Tampa, Florida, reveal applicant was issued traffic citation for speeding on 12/23/78; pled guilty on 1/2/79; and paid \$26.00 fine on same date.

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- RUC -

DETAILS:REFERENCES AND ASSOCIATES

The following investigation was conducted by
Special Agent (SA) [REDACTED] at Tampa, Florida:

TP 73-312

On March 19, 1979, [redacted] Secretary, McFarlane, Ferguson, Allison, and Kelly Law Firm, 512 North Florida, advised that [redacted] was currently vacationing outside the United States and would not return to work until March 26, 1979.

On March 20, 1979, [redacted] Attorney [redacted] McFarlane, Ferguson, Allison, and Kelly Law Firm, 512 North Florida, furnished the following information:

He has been acquainted with the applicant for approximately ten years. [redacted]

Mr. [redacted] became a member of the Board of Directors of the American Ship Building Company and he continues to serve in such capacity. Mr. [redacted] association with the applicant has been both professional and social.

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Mr. [redacted] described the applicant as being an extremely dynamic individual who has been not only a business and social leader of the local community, but also of the State of Florida. He noted applicant has generously given his time, efforts and financial support to educational foundations, civic movements, and to community welfare programs including the United Fund and is recognized as an individual who helps those who cannot help themselves. Mr. [redacted] advised he knew of no one who had contributed more to the progress and welfare of Tampa, Florida, than the applicant. Mr. [redacted] noted that applicant's associates include many community leaders and numerous politicians, one of whom is former Florida Governor Reubin Askew. Mr. [redacted] stated that applicant is well educated, is a man of considerable ability, and is completely honest, trustworthy and reliable. He advised that applicant had rejuvenated the New York Yankees Baseball

TP 73-312

Club and had been very successful in the ship repair and horse racing businesses.

Mr. [] advised that applicant is an individual of outstanding character and reputation and is an extremely loyal American citizen.

Mr. [] advised that he had prepared a character affidavit in support of applicant's petition for a Presidential pardon and he added that, in his opinion, applicant is unquestionably deserving of such a pardon.

NEIGHBORHOOD

The following investigation was conducted by SA [] at Tampa, Florida, on March 20, 1979:

Mrs. [] resident, [], advised that she has known applicant and his family on a social basis since they had moved to their current residence, 10102 Lindelaan, approximately three to four years ago. She considers applicant and his family to be outstanding neighbors and to be individuals of good character and reputation.

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Mrs. [] resident, [], advised that she has known the applicant and his family on a social basis for approximately three years. She noted applicant and his family are very good neighbors and are individuals of good character and reputation.

Mrs. [] resident, [], advised she knows the applicant only on a limited basis but considers applicant to be very friendly and to be an individual of good character and reputation.

TP 73-312

On March 20, 1979, Mrs. [] resident, [] advised that she does not know the applicant nor his family very well, but she noted applicant and his family have a very good reputation in the neighborhood.

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The following investigation was conducted by Special Clerk (SC) [] at Tampa, Florida:

CREDIT

On March 15, 1979, [] (PROTECT IDENTITY BY REQUEST) [] Credit Bureau of Greater Tampa, advised that her records reveal that George M. Steinbrenner [] reside at 10102 Lindelaan, Tampa, Florida. He has been in their files since February, 1975. Steinbrenner lists his employment as Chairman of the Board, American Ship Building Company, and Partner-Manager of the New York Yankees Ball Club. Steinbrenner maintains a satisfactory credit rating and no delinquent payments have been noted.

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IDENTIFICATION

On March 15, 1979, [] (PROTECT IDENTITY BY REQUEST) [] Records Section, Tampa Police Department, and [] (PROTECT IDENTITY BY REQUEST) [] Identification Division, Hillsborough County Sheriff's Office, Tampa, Florida, advised they were unable to locate a record for George Michael Steinbrenner.

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On March 15, 1979, [] Records Clerk, Hillsborough County Traffic Division, Tampa, Florida, advised that his records reveal that George Michael Steinbrenner was issued a traffic citation for speeding on December 23, 1978. Steinbrenner pled guilty, and was found guilty on January 2, 1979. Steinbrenner paid a \$26.00 fine on the same date.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE CLEVELAND	OFFICE OF ORIGIN BUREAU	DATE 3/22/79	INVESTIGATIVE PERIOD 3/2-19/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner		REPORT MADE BY SA [redacted]	TYPED BY tmw
		CHARACTER OF CASE APACS	

REFERENCES

Tampa report of SA [redacted] dated 2/20/79.
Cincinnati airtel to Bureau, 3/13/79.

-RUC-

ADMINISTRATIVE

Buded in this matter is 3/23/79.

All persons interviewed in this matter were appraised of the Freedom of Information and Privacy Act.

As set forth in referenced report, inasmuch as the applicant is a well-known public figure and his ownerships of organizations such as the New York Yankees and the

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT
IN CHARGE

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COPIES MADE:

③ - Bureau

1 - Tampa (73-312) (info)

1 - Cleveland (73-621)

73-19114-9 REC-79

7 MAR 26 1979

Dissemination Record of Attached Report

Agency	2 cc's to Pardon Attorney Department of Justice
Request Recd.	
Date Fwd.	APR 26 1979
How Fwd.	5
By	66 MAY 1 1979 [signature]

Notations

Spec. Inv.

COVER PAGE

CV 73-621

American Ship Building Company are widely recognized, no leads were set forth to verify the applicant's employments through interviews with his employees. However, as set forth in enclosed report, interview of [redacted] reference of applicant, provided the names of companies in which applicant is active and holds executive positions, as [redacted] firm represents applicant in most of his business ventures and maintains the tax records of these companies. These companies and applicant's positions with them are set forth in the report under heading of "Employment", thus verifying his employment with these companies, without interviews of his employees.

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]
Date: 3/22/79

Office: CLEVELAND, OHIO

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b7c

Field Office File #: 73-621

Bureau File #:

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

Applicant's employment and executive position in Cleveland verified. Reference and associate recommend applicant very favorably and know of no possible derogatory information as to his conduct. Applicant has a favorable credit record and no arrest record in Cleveland, Ohio. Records of Clerk of Courts, U.S. District Court, Northern District of Ohio, disclose applicant pled guilty on 8/23/74 to violation Title 18, Section 3, 610 U.S. Code, one count of unlawful contribution to election of Government officials and on 8/30/74 was fined \$5,000 by U.S. District Court Judge LEROY J. CONTIE, JR. Applicant further pled guilty before Judge CONTIE on 8/23/74 to violation of Title 18, Section 371, U.S. Code, and on 8/30/74 was fined \$10,000.

-RUC-

DETAILS:EMPLOYMENT

On March 13, 1979, a review of the 1979 edition of Standard & Poor's Register for Directors and Executives (Volume 2) listed STEINBRENNER, GEORGE M., III, born 1930 in Rocky River, Ohio, as Chairman of the Board of the American Ship Building Company, as well as Director of Kinsman Marine Transit Company.

CV 73-621

On March 15, 1979, [redacted] who submitted an affidavit on behalf of the applicant, and was listed as a reference by applicant, was interviewed, the results of which are set forth under captioned heading References. However, in addition to information gleaned during interview of [redacted] he provided the following information as to the companies in which the applicant is active and the executive positions held by applicant as his firm, McCarthy, Lebit, Crystal, Kleinman and Gibbons, Co., LPA, Cleveland, Ohio, represents applicant in most of his business ventures and maintains the applicant's tax records for most of these companies:

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American Ship Building Company
Chairman of the Board
Cleveland, Ohio

S&E Shipping Corporation
Chairman of the Board
Cleveland, Ohio

Bay Shipping Corporation
Chairman of the Board
Tampa, Florida

Great Lakes Associates
President and Chief Executive Officer
Cleveland, Ohio

Trans-Marine Management Corporation
Director
Tampa, Florida

New York Yankees
General Partner
New York, New York

Yankee Coal Company
Director
Beckley, West Virginia

Kinsman Marine Transit Company
Director
Cleveland, Ohio

REFERENCE

On March 15, 1979, [redacted]
[redacted] Shaker Heights, Ohio, an attorney with McCarthy, Lebit, Crystal, Kleinman and Gibbons, Co., LPA, whose offices are located in the Illuminating Building, Public Square, Cleveland, Ohio (who submitted an affidavit on behalf of applicant in connection with applicant's petition for a Presidential pardon) was interviewed and furnished the following information:

He stated, as in his affidavit, that he has personally known GEORGE M. STEINBRENNER, III, and his family in excess of ten years. He and his firm have represented STEINBRENNER in many matters including most of his business ventures and dealings, and he is personally involved with STEINBRENNER as a friend, investor and business partner in many ventures, including the New York Yankees Baseball Team and Yankee Coal Company of Beckley, West Virginia, to name two.

[redacted] advised that in addition to the applicant, he is personally familiar with his family including the applicant's wife, four children, two sisters, father and mother. He characterized the applicant as an extraordinary individual, who is extremely intelligent, generous, straightforward, honest and who is very active in his community, generously giving of his resources and time.

[redacted] stated that as for STEINBRENNER's crime of making illegal campaign contributions to the Presidential campaign of RICHARD NIXON during 1972, the lawyers who provided the applicant and the American Ship Building Company the legal opinion concerning the corporate campaign contributions should have been more thorough in their legal research and the above problem would never have occurred.

[redacted] advised he could not give enough praise to applicant, and advised that the applicant was one of the finest human beings he has ever dealt or associated with and is an honest, capable businessman of good character and reputation.

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CV 73-621

To [] knowledge, applicant has never been arrested and has had no trouble with the authorities since the above-mentioned illegal campaign contribution.

ASSOCIATE

On March 19, 1979, Mr. [] Attorney with Guren, Merritt, Sogg and Cohen, Room 650, Terminal Tower Building, Public Square, Cleveland, Ohio, who is an associate of applicant was interviewed and furnished the following information:

He stated that he first met the applicant in 1966 when the applicant founded what was called "Group 66." This group was a civic group formed by the applicant and other members of the Cleveland area business community to help move the City of Cleveland, Ohio, ahead both economically and progressively. Since that time, [] advised he has come to know the applicant and his family both socially and as a business partner. He stated that he is in telephonic contact with applicant at least once a week and sees him personally on the average of once a month.

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[] advised that the applicant and he are business partners in various business ventures including real estate, maritime ventures, race horses, the Chicago Bulls Basketball Team, and at one time partners in the New York Yankees Baseball Team before he [] sold his shares.

[] advised he would trust applicant with everything he has or owns and could not praise him enough. He advised that applicant is above board in all that he does and if not for bad advice from former attorneys, would not have been involved with making an illegal campaign contribution.

[] advised that applicant is one of the most moral men he knows, is an excellent family man and hardly ever drinks alcoholic beverages. [] stated he has never known the applicant to do anything illegal or immoral.

CV 73-621

COURT RECORDS

A review of records of the Clerk of the U.S. District Court, Northern District of Ohio, Eastern Division, Cleveland, Ohio, on March 2, 1979, under applicant's name alone disclosed the following information relating to the applicant under his name:

On August 23, 1974, an information was filed charging GEORGE M. STEINBRENNER, III, with violation of Title 18, Section 3, 610 U.S. Code, one count of unlawful contribution to election of Government officials. This information bears Docket Number CR74-372 in the files of the above court.

A further review of CR74-372 revealed that on August 23, 1974, the minutes of the proceedings were filed before Federal Judge LEROY J. CONTIE, JR. STEINBRENNER was arraigned and a plea of guilty was entered. The matter was then referred for a pre-sentence report.

On August 30, 1974, the minutes of the proceedings were filed before Judge CONTIE and Mr. STEINBRENNER was fined \$5,000.00. On that same date, a judgment and commitment were filed by Judge CONTIE, with two certified copies being sent to the U.S. Marshal; one certified copy of each being furnished the U.S. Attorney and U.S. Probation Office, and one to the Defendant's Attorney.

On September 12, 1974, applicant paid the \$5,000.00 and \$10,000.00 fines and these payments were turned over to the Treasury of the United States on September 13, 1974.

A further review of the records of the above Clerk of the U.S. District Court, Northern District of Ohio, Eastern Division, Cleveland, under applicant's name and the American Shipbuilding Company disclosed the following additional information relating to STEINBRENNER:

On April 5, 1974, a fifteen count indictment was returned charging applicant and the American Shipbuilding Company with violations of Title 18, Sections 2, 371, 810, 1001, 1503 and 1510, U.S. Code; Conspiracy, Unlawful Contribution, Obstruction of Criminal Investigation, False

CV 73-621

Statements to Federal Officers, Aiding and Abetting, and Influencing Grand Jury Witnesses. This indictment bears Docket Number CR74-174 in the files of the above court.

A review of CR74-174 revealed that on April 19, 1974, applicant appeared before the U.S. Magistrate HERBERT T. MAHER and entered a not guilty plea to the 14 count indictment. He was released on personal recognizance bond.

On August 23, 1974, applicant appeared before U.S. District Court Judge LEROY J. CONTIE, JR., Cleveland, Ohio, and pled guilty to count one of the indictment, a conspiracy count.

Guilty pleas were also entered on behalf of the American Ship Building Company to a charge that company officials conspired with applicant and to an individual charge of making illegal corporate campaign contributions; counts 1 and 7 of the indictment.. Both defendants were referred for pre-sentence report.

On August 30, 1974, Judge CONTIE dismissed counts 2, 3, 4, 5, 6, 7, 9, 12, 13, 14 and 15 and sentenced applicant to a \$10,000 fine regarding violation of Title 18, Section 371, U.S. Code. American Ship Building Company received a fine of \$10,000 for violation of Title 18, Section 371, U.S. Code and a \$10,000 fine for violation of Title 18, Section 610, U.S. Code.

CREDIT AND ARREST RECORDS CHECKS

On March 5, 1979, a credit report was received concerning the credit history of GEORGE MICHAEL STEINBRENNER, III [redacted] residence 30400 Salem Avenue, Bay Village, Ohio, Credit Bureau Number 6068662.

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Applicant's Social Security Number was given as 285-28-5751, his sex was listed as male, marital status - married. His employment was shown as Chairman of the Board for the American Ship Building Company. His former employment was listed as Great Lakes Association, Inc.

CV 73-621

No derogatory information concerning the applicant was contained in this report.

On March 2, 1979, Patrolman [redacted] Cleveland Police Department, Record Room advised he could locate no record identifiable with GEORGE MICHAEL STEINBRENNER, III.

On March 2, 1979, Detective [redacted] Organized Crime Unit, Cleveland Police Department, advised he could locate no record identifiable with GEORGE MICHAEL STEINBRENNER, III.

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On March 2, 1979, [redacted] Identification Division, Cleveland Police Department, advised she could locate no record identifiable with GEORGE MICHAEL STEINBRENNER, III.

Airtel

4/13/79

To: SAC, Pittsburgh

From: Director, FBI (73-19114)

GEORGE MICHAEL STEINBRENNER, III, aka
APACS
BUDED: Past.

Rerep of SA [redacted] dated 2/20/79 at
Tampa, Florida.

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Report containing results of investigation as
requested in rerep not received at Bureau as of this date.
By return airtel advise Bureau date report submitted to
Bureau, identity of reporting Agent and reason for your
delinquency.

[Handwritten signature]

REC-79

73-19114-10

EX-105

11 MAY 1 1979

GLS:jas (VI)

[Handwritten initials]

RETURN TO MR. STOOPS
ROOM 3853

100 17 0 ST W J

REC-79

Assoc. Dir.
Dep. AD Adm.
Dep. AD Inv.
Asst. Dir.:
Adm. Serv.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan. & Insp.
Rec. Mgmt.
Tech. Servs.
Training

MAILED 15
APR 13 1979
FBI

66 MAY 18 1979

[Handwritten signature]
Mail Room

PG 73-764

DETAILS:

On March 5, 1979, [redacted] Beckley, West Virginia, Credit Bureau, Lieutenant [redacted] Beckley, West Virginia, Police Department, and [redacted] Raleigh County Jail, Beckley, West Virginia, advised Special Agent [redacted] that a review of their records was negative regarding applicant.

On March 9, 1979, at West Virginia Secretary of State Office, Corporation Division, Charleston, West Virginia, a review of the articles of incorporation by Special Agent [redacted] relating to the Yankee Coal Company revealed the company was incorporated November 8, 1978, and prepared by [redacted] of the Firm of Battaglia, Ross, Stolla, and Forlizzo, 980 Tyrone Boulevard, Saint Petersburg, Florida, 33710, which was previously named Tampa Coal Company. Additionally, [redacted] Beckley, West Virginia, was indicated as [redacted] of the corporation.

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On March 16, 1979, [redacted] Yankee Coal Company, 301 Raleigh County Bank Building, Beckley, West Virginia, advised Special Agent [redacted] that the ownership of the Yankee Coal Company is as follows:

Applicant owns 50 percent; [redacted] applicant's [redacted] owns 25 percent; [redacted] Cleveland, Ohio, owns 10 percent and [redacted] owns 15 percent.

FBI

Date: 4/23/79

Transmit the following in _____
(Type in plaintext or code)Via AIR TEL _____
(Precedence)

TO: DIRECTOR, FBI (73-19114)
FROM: SAC, PITTSBURGH (73-764)
RE: GEORGE MICHAEL STEINBRENNER, III, aka.
APACS

ReBuairtel 4/13/79.

Case Agent to whom matter assigned advised that he dictated RUC report in captioned matter on a timely basis. It was determined that the Tel-Edisette equipment used by Resident Agents for expedite dictation, malfunctioned during the pertinent period; therefore, the equipment did not accept dictated material.

It was necessary for Case Agent to redictate results of his investigation in captioned matter. Report of SA [redacted] Pittsburgh, 4/23/79, being submitted to Bureau.

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✓ 2-Bureau
1-Pittsburgh
NAZ/jw
(3)

REC-79
EX-106

73-19114-11

4 APR 25 1979

Spec. Inv.

Approved: 66 MAY 18 1979 Special Agent in Charge

Sent _____ M Per _____

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE PITTSBURGH	OFFICE OF ORIGIN BUREAU	DATE 4/23/79	INVESTIGATIVE PERIOD 3/5/79 - 3/16/79
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III aka, George Michael Steinbrenner		REPORT MADE BY <div></div>	TYPED BY clr
<i>ji</i> This report completes the investigation.		CHARACTER OF CASE APACS	
		b6 b7C	

REFERENCE:Report of SA dated 2/20/79, at Tampa.

- RUC -

ADMINISTRATIVE:

All persons contacted were advised of the provisions of the Privacy Act of 1974, and none have requested confidentiality.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CON.VIC.	PRETRIAL DIVERSION	EUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED <i>m</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
COPIES MADE: 3 - Bureau 2 - Tampa (73-312) 1 - Pittsburgh (73-764)		EX-106 23 19114-12 REC-79 15 APR 25 1979

Dissemination Record of Attached Report				Notations <i>Spec. Inq.</i>
Agency	2 cc's to Pardon Attorney			
Request Recd.	Department of Justice			
Date Fwd.				
How Fwd.	APR 26 1979			
By	GLS/yjs			

66 MAY 18 1979

A*
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATIONCopy to: **This report completes the investigation.**

Report of: [REDACTED]

Office: Pittsburgh, PA

Date: 4/23/79

Field Office File #: 73-764

Bureau File #:

Title: GEORGE MICHAEL STEINBRENNER, III

b6
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Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

Credit and arrest check negative regarding applicant. On 3/9/79, at West Virginia, Secretary, of State Office, Corporation Division, Charleston, WV, a review of articles of incorporation relating to Yankee Coal Co., failed to reveal ownership of the company. It did indicate [REDACTED] Beckley, WV, as the [REDACTED] On 3/16/79, [REDACTED] advised that applicant owns 50 percent of Yankee Coal Co.

- RUC -

MGD 04/17/86

**UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
IDENTIFICATION DIVISION
WASHINGTON, D. C. 20537**

383 595 MI

Use of the following FBI record, NUMBER _____, is REGULATED BY LAW. It is furnished FOR OFFICIAL USE ONLY and should ONLY BE USED FOR PURPOSE REQUESTED. When further explanation of arrest charge or disposition is needed, communicate directly with the agency that contributed the fingerprints.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
United States Marshal Cleveland Ohio	George Michael Steinbrenner 9819	April 19, 1974	Unlawful contributions	Received \$10,000 Fine Violation Title 18 Section 371 United States Code and received \$5,000 Fine for violation Title 18 Section 3 United States Code as result of guilty pleas on August 23, 1974. Remaining counts of indictment dismissed

1-BU

[illegible]

THIS PAGE SHOULD NOT BE DISSEMINATED OUTSIDE FBI

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

IDENTIFICATION DIVISION

WASHINGTON, D.C. 20537

3/8/79

SUBJECT'S CRIMINAL HISTORY

RECORDED IN NCIC CCH FILE

The following FBI record, NUMBER 383 595 M1, is furnished FOR OFFICIAL USE ONLY.

Information shown on this Identification Record represents data furnished FBI by fingerprint contributors. WHERE DISPOSITION IS NOT SHOWN OR FURTHER EXPLANATION OF CHARGE OR DISPOSITION IS DESIRED, COMMUNICATE WITH AGENCY CONTRIBUTING THOSE FINGERPRINTS.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
USM Cleveland OH	George Michael Steinbrenner 9819	4-19-74	unl. contributions	received. \$10,000 F vio Title 18 Sec 371 USC & received \$5,000 F for vio Title 18 Sec 3 USC as result of guilty pleas on 8-23-74. Remaining cts of indictment dism
73-19114				
73-19114				
2 cc's to Pardon Attorney Department of Justice				
APR 26 1979				
G.L.S. / jps				
NOT RECORDED 15 MAY 23 1979				
66 JUN 21 1979				

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FEDERAL BUREAU OF INVESTIGATION

WASHINGTON, D. C. 20537

3 Dag 3/8/79

RECORDED IN NCIC CCH FILE

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383,595 M1

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FEDERAL BUREAU OF INVESTIGATION

Records/Operations Sections

3120

1986

- ☒ Name Searching Unit, 4543, TL# 115
☐ Service Unit, 4654, TL# 225
☐ Special File Room, 5991, TL# 225
☒ Forward to File Review, 5447, TL# 143
☒ Attention 5150 233 4195
☒ Return to 5150 233 4195

Supervisor, Room, TL#, Ext.

Type of Search Requested: (Check One)

- ☐ Restricted Search (Active Index - 5 & 20)
☐ Restricted Search (Active & Inactive Index - 5 & 30)
☒ Unrestricted (Active & Inactive Index)

Special Instructions: (Check One)

- ☒ All References (Security & Criminal)
☐ Security Search
☐ Criminal Search
☐ Main _____ References Only
☐ Exact Name Only (On the Nose)
☐ Buildup ☒ Variations
☐ Restricted to Locality of _____

Subject Steinbrenner, George Michael III

Birthdate & Place _____

Address _____

Localities _____

R# 266 Date 4-7 Searcher Initials 570
 Prod. 6

FILE NUMBER

SERIAL

73-19114 (we have)

73-19114 = 1, 2, 4 have

George M. Steinbrenner

56-4737

(see NP)

NP (see NP) 90-11609

(not subject)

✓ 105-197-3479

56-4778-15, 4p6

(part of investigation)

56-5007-4

George

NP (see NP) 9-65813

NP document 95-189353

Steinbrenner

105-10828-2315

156-1072-5

U.S. 4

NP (see NP) 9-54625

95-fraud in 04

APR 10 1986

(100)
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3/18/86

Director, FBI (73-19114)

SAC, Tampa (73-312) - Enc. (2)

GEORGE MICHAEL STEINBRENNER
APPLICATION FOR PARDON
AFTER COMPLETION OF SENTENCE
INITIAL REPORT BUDED: 3/31/86
COMPLETION REPORT BUDED: 5/19/86

Reference is made to the Pardon Attorney's letter which is enclosed with candidate's petition and related documents.

Conduct investigation in accordance with Part I, Section 73 and Part II, Section 23-6, Manual of Investigative Operations and Guidelines. Submit three copies of your report to FBIHQ organized according to subheadings under Investigative Procedure, 73-3.1, pages 385-387; MIOG.

The initial step in a Pardon investigation (after a review of the MIOG and the candidate's application) is an interview of the candidate. The interview should gather information that would amplify and/or clarify the information he submitted on the application. In addition the candidate should be asked information that would facilitate the background investigation, as detailed in MIOG Part I, Section 73-3.1(1).

During the interview of candidate, no comments should be made regarding whether or not candidate will be granted a presidential pardon. In addition, no comments should be made to third party interviewees concerning the candidate's request for executive clemency. Also, from the candidate obtain DOB's and current place of residence for all close relatives and set out leads to appropriate office to have field office indices reviewed regarding them. Arrest checks are necessary only for relatives who currently reside with candidate.

Exec AD Adm. _____
Exec AD Inv. _____
Exec AD LES _____
Asst. Dir.:
Adm. Servs. _____
Crim. Inv. _____
Ident. _____
Insp. _____
Intell. _____
Lab. _____
Legal Coun. _____
Off. Cong. & Public Affs. _____
Rec. Mgnt. _____
Tech. Servs. _____
Training _____
Telephone Rm. _____
Director's Sec'y _____

PA/TAL/bmp/pms

RETURN TO MS.

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ROOM 5136

8 APR 20 1987

FEB 22 1988

MAIL ROOM

RE: GEORGE MICHAEL STEINBRENER

On occasion a candidate has been unable or unwilling to submit to an interview. The FBI is not relieved of the obligation to conduct the investigation due to the candidate's uncooperativeness.

As in all background investigations document contacts with the candidate and conduct whatever investigation that can be conducted based upon information contained in the application or from other persons interviewed. In the event it is necessary to interview the candidate to resolve an unfavorable issue, FBIHQ approval must be obtained and the interview recorded on an FD-302.

The initial interview with the candidate should be conducted and reported to FBIHQ, along with a set of fingerprints (FD-165) within 15 calendar days from the date of this airtel.

The Pardon Attorney has requested that employment(s) and credit be verified for a 10-year period from the date the applicant submits the request regardless of when he/she was placed on parole or probation.

In reviewing court records, include in your report the indictment or information number and a summary of facts of the violation.

When conducting investigation at the U.S. Parole and Probation Office, request that they send a copy of the presentence parole and probation report directly to: David C. Stephenson, Pardon Attorney, 5550 Friendship Boulevard, Bethesda, Maryland 20014. So indicate in your report and list the name of the person having access to this report to whom you made the request.

Major issues developed that require FBIHQ participation for resolution should be communicated in writing but preceded by telephone discussion (FTS 324-3863).

When a field office determines the Bureau deadline cannot be met due to circumstance beyond their control, FBI Headquarters should be contacted immediately and an FD-205 submitted. An extension will be sought from the client agency by FBIHQ.

TAMPA: Previous APACS investigation conducted 2/79. Bring up to date. Interview all five character affiants.

March 18, 1986

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Sm
Judge,

RE: GEORGE MICHAEL STEINBRENNER
~~PRINCIPAL OWNER AND GENERAL PARTNER, NEW YORK YANKEES~~
~~CHAIRMAN OF THE BOARD, AMERICAN SHIPBUILDING COMPANY~~
APPLICANT FOR PARDON

g
This is to advise that on March 14, 1986 the General Background Investigation Unit received a request from the Pardon Attorney to conduct an update background investigation concerning Mr. Steinbrenner, who has petitioned for a Presidential pardon. Mr. Steinbrenner, as Chairman of the Board and then President of American Shipbuilding, was convicted in 1974 of conspiring to make illegal corporate campaign contributions and of being an accessory after the fact.

Mr. Steinbrenner previously filed a petition for a pardon in 1979. The background investigation conducted in conjunction with that petition was favorable, however, Mr. Steinbrenner's petition was denied. (11)

This investigation has been initiated, and it is anticipated that the results of this investigation will be forwarded to the Pardon Attorney by June 2, 1986, the normal time period allotted for pardon matters. You will be advised of any pertinent information developed, and when this investigation is completed.

73-19114-15
8 APR 20 1987

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FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE TAMPA	OFFICE OF ORIGIN BUREAU	DATE 4/1/86	INVESTIGATIVE PERIOD 3/26/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER III, aka George Michael Steinbrenner		REPORT MADE BY SA 	TYPED BY de
		CHARACTER OF CASE APACS	

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REFERENCE: Bureau airtel to Tampa, 3/18/86.

-P-

ADMINISTRATIVE:

Where appropriate, Privacy Act (e)(3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

Enclosed for the Bureau is one copy of an FD-165.

Enclosed for each receiving office is a copy of an executed FD-406.

Enclosed for Cincinnati Division is a copy of an affidavit
by
(Continued Cover Page C)

ACCOMPLISHMENTS CLAIMED						<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES			
								PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED: *[Signature]* SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

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3 - Tampa (73-312)

(Copy count continued Cover Page B)

Dissemination Record of Attached Report				
Agency				
Request Recd.	3 cc to Bureau	Atty	6.3.86	
Date Fwd.	PL/SE			
How Fwd.				
By				

Notations

6/1000

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COVER PAGE

FBI/DOJ

TP 73-312

(Continued from Cover Page A)

- 2 - Albany (Enc. 1)
- 2 - Cincinnati (Enc. 2)
- 3 - Cleveland (Enc. 2)
- 2 - Newark (Enc. 1)
- 2 - New Orleans (Enc. 2)
- 3 - New York (Enc. 3)
- 2 - Pittsburgh (Enc. 1)
- 2 - St. Louis (Enc. 1)
- 2 - WFO (Enc. 1)

TP 73-312

(Continued from Cover Page A)

Enclosed for the Cleveland Division is one copy of an affidavit by [REDACTED]

Enclosed for the New Orleans Division is a copy of an affidavit by [REDACTED]

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Enclosed for the New York Division is one copy each of affidavits by [REDACTED] and [REDACTED].

For the information of the Bureau, inasmuch as the applicant is a well known public figure and known to be the owner of the New York Yankees and the AMERICAN SHIP BUILDING COMPANY, the Tampa Division is not setting forth leads to verify applicant's employments through interview of his employees. His continuing associations with businesses previously verified during the 1979 background investigation are being brought up to date through reviews of State public corporation records.

In addition, in view of the fact that this is a request to bring the February 1979, background investigation up to date, a review of the court records concerning conviction is not being requested. The Cleveland Division is being requested to obtain a copy of the pre-sentence report in this matter inasmuch as a review of the former background investigation failed to reveal that this had been done.

LEADS:

Leads in this matter should be conducted in accordance with Part I, Section 73 and, Part II, Section 23-6, Manual of Investigative Operations and Guidelines.

ALBANY DIVISION

AT ALBANY, NEW YORK

Will through the corporation records, determine the continuing ownership of the New York Yankees baseball club by applicant.

CINCINNATI DIVISION

AT COLUMBUS, OHIO

Will review State corporation records to verify applicant's continued ownership of the KINSMAN LINES of Cleveland, Ohio.

TP 73-312

CLEVELAND DIVISION

AT GALLOWAY, OHIO

Will interview reference [redacted]
at [redacted] concerning applicant.

AT CLEVELAND, OHIO

Will through the U.S. PAROLE AND PROBATION OFFICE, request a copy of the pre-sentence parole and probation report concerning applicant be sent to [redacted] Pardon Attorney, 5550 Friendship Boulevard, Bethesda, Maryland 20014, so indicate in your report and list the name of the person to whom you've made the request.

Will interview reference [redacted]
[redacted] concerning applicant.

NEWARK DIVISION

AT TRENTON, NEW JERSEY

Will review State corporation records in an effort to verify applicant's current ownership of the AMERICAN SHIP BUILDING COMPANY of Cleveland, Ohio, and Tampa, Florida.

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NEW ORLEANS DIVISION

AT GRAMBLING, LOUISIANA

Will interview reference [redacted]
[redacted] concerning applicant.

Will interview [redacted]
[redacted] concerning applicant.

NY

Will review civil matter 82-CIV-0894 at U.S. District Court, Southern District of New York, concerning applicant and BRUCE POSTON, and CHEMICAL BANK.

NY

Will review civil action index number 8098/84, Supreme Court of New York, County of Bronx, concerning applicant and ED LINN, and CBS, INC.

NY

Will interview civil matter 84-0878, U.S. District Court, Western District of New York, concerning applicant and PEAVER COMPANY, and CONGRA, INC.

NY

TP 73-312

Will conduct credit and criminal checks concerning applicant.

Will attempt to verify applicant's periodic residence at the CARLISLE HOTEL, 76th and Madison, since 1979.

AT SAYVILLE, LOUISIANA

NY Will interview [redacted] concerning applicant.

PITTSBURGH DIVISION

AT CHARLSTON, WEST VIRGINIA

Will attempt to verify applicant's business interest in the YANKEE COVE COMPANY, Beckley, West Virginia.

ST. LOUIS DIVISION

AT ST. LOUIS, MISSOURI

Will verify applicant's military service with the U.S. Air Force, Air Force serial number A02248074 from 1952 thru 1954.

WASHINGTON FIELD OFFICE

Will review U.S. Tax Court docket number 27952-83, and U.S. Court of Claims number 52-83T, concerning applicant.

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TAMPA DIVISION

AT LAKE LAND, FLORIDA

Will review 2nd District Court of Appeals case number 85-1309 concerning Cleeveley, Beeler, and Jacksonville Monthly concerning applicant.

AT TAMPA, FLORIDA

Will review U.S. District Court file 83-1464-CIV-T-15 concerning ST. PHILIPS TOWING COMPANY.

Will review U.S. District Court file 81-1091-CIV-T-17 concerning HAUGHT, POSTON, FORLEZO, ET AL, and the applicant.

Will conduct credit and criminal checks concerning applicant.

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COVER PAGE

TP 73-312

Will verify applicant's residence at [REDACTED]

[REDACTED]

Will interview reference [REDACTED]
[REDACTED] concerning applicant

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]
Date: April 1, 1986

Office: Tampa, Florida

Field Office File #: 73-312

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER III, aka
George Michael Steinbrenner

Character: APPLICANT FOR PARDON AFTER,
COMPLETION OF SENTENCE

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Synopsis:

On March 26, 1986, applicant was interviewed at Tampa, Florida, and background information set forth.

-P-

DETAILS:

This matter is predicated on receipt of a letter dated March 12, 1986, from [REDACTED] Pardon Attorney, Washington, D.C., in which he enclosed a copy of an Application for Pardon submitted by GEORGE MICHAEL STEINBRENNER III. Mr. [REDACTED] requested that the background investigation be brought up to date from 1979.

Applicant's petition reveals that he was convicted on a plea of guilty in U.S. District Court, Northern District of Ohio, Cleveland, Ohio, for conspiring to make corporate campaign contributions in violation of Title 18, U.S. Code, Section 610, and being an accessory after the fact. Applicant was fined \$15,000.00 on August 30, 1974.

INTERVIEW OF APPLICANT:

On March 26, 1986, GEORGE MICHAEL STEINBRENNER III, aka George Michael Steinbrenner, was interviewed at his place of business, the AMERICAN SHIP BUILDING COMPANY, 2502 Rocky Drive Boulevard, Tampa, Florida, and furnished the following information:

EMPLOYMENT HISTORY:

Applicant advised that since February 1979, he has been engaged in employment as the principal owner of the New York Yankees baseball club, New York, New York.

As the Chairman of the Board of the AMERICAN SHIP BUILDING COMPANY with offices in Cleveland, Ohio, and Tampa, Florida; associated with the KINSMAN LINES, Cleveland, Ohio; and the YANKEE COLE COMPANY, Beckley, West Virginia.

PLACES OF RESIDENCE:

Applicant has maintained a full time residence at 10102 Lindelaan, Tampa, Florida, since 1974, and periodically resides on a temporary basis in the CARLISLE HOTEL, 76th and Madison, New York, New York.

APPLICANT'S VERSION OF CIRCUMSTANCES UNDER WHICH CONVICTED:

Applicant advised that during 1974, he plead guilty in U.S. District Court, Cleveland, Ohio, to the charge of making illegal campaign contributions and to the charge of being an accessory after the fact. He related that the conviction stemmed from a \$25,000.00 corporate contribution from the AMERICAN SHIP BUILDING COMPANY to the presidential campaign of RICHARD NIXON during 1972. He related he made the contribution openly on behalf of the corporation and, at the time, did not realize it was in violation of the law. Applicant also made a \$75,000.00 personal contribution to the presidential campaign of RICHARD NIXON and had the financial ability to personally contribute \$25,000.00 more.

Applicant advised he has never told any of the employees of the AMERICAN SHIP BUILDING COMPANY to lie about the corporate campaign contribution nor did he imply to employees they should adapt his version of the facts concerning the contribution.

REASON PARDON DESIRED:

Applicant stated that the past conviction has been a continuing source of embarrassment to him and has adversely affected his past business and professional activities. He feels that a pardon would allow him to participate in his many business, civic, and charitable functions without the stigma of being a "convicted felon."

Although in 1979, Governor BOB GRAHAM of Florida, restored applicant's State civil rights, his 1974 felony conviction continues to be a source of humiliation and inhibits normal business with his charitable and business activities.

PRESENT MODE AND STANDARD OF LIVING:

Applicant advised he owns his own home and is in the process of buying a new home. He lives comfortably and considers himself in the upper income bracket through income derived from the AMERICAN SHIP BUILDING COMPANY, New York Yankees baseball club, YANKEE COLE COMPANY, and the KINSMAN LINES.

ASSOCIATES AND REFERENCES:

Applicant provided the following associates and references:

[redacted] Tampa, Florida,
[redacted].

[redacted]
Cleveland, Ohio, (restaurant owner).

[redacted] New York,
New York, [redacted]

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[redacted] Grambling,
Louisiana, [redacted] GRAMBLING STATE UNIVERSITY).

[redacted] Sayville, New York,
(police officer).

[redacted] Galloway,
Ohio, (real estate - [redacted]).

PENDING MATTERS WITH FEDERAL OR STATE GOVERNMENTS:

1. Circuit Civil, Hillsborough County, Florida, 83-19815, Division W, (opposing party, FLORIDA CITY'S PUBLISHING COMPANY).

2. District Court of Appeals, 2nd. District of Florida, 85-1309, (opposing party, Cleeveley, Beeler, and Jacksonville Monthly).

3. U.S. District Court, Tampa Division, 83-1464-CIV-T-15, (ST. PHILIPS TOWING COMPANY).

TP 73-312

4. U.S. District Court, Tampa Division, 81-1091-CIV-T-17,
(HAUGHT, POSTON, FORLEZO).

5. U.S. District Court, Southern District of
New York, 82-CIV-0894, (BRUCE POSTON and CHEMICAL BANK).

6. Supreme Court of New York, County of Bronx,
index number 8098/84, (ED LINN and CBS, INC.).

7. U.S. District Court, Western District of
New York, CIV84-0878, (PEAVEY COMPANY and CONAGRA, INC.).

8. U.S. Tax Court, docket number 27952-83,
(Commissioner of Internal Revenue).

9. U.S. Court of Claim, 52-83T, (United States
of America).

ANY PRIOR OR SUBSEQUENT CRIMINAL RECORD:

Applicant advised he has no criminal record
prior or subsequent to the felony conviction in which
he has filed a petition for pardon.

PHYSICAL DESCRIPTION:

Name:	GEORGE MICHAEL STEINBRENNER III
Alias:	George Michael Steinbrenner
Race:	Caucasian
Sex:	Male
Date of Birth:	July 4, 1930
Place of Birth:	Rocky River, Ohio
Height:	6'1"
Weight:	210 Pounds
Build:	Medium
Eyes:	Blue
Hair:	Brown
Military Service:	U.S. Air Force - 1952 thru 1954, rank First Lieutenant, Air Force serial number A02248074, Honorable Discharge with no major medals.
Social Security No.:	285-28-5751
Residence:	10102 Linelaan Tampa, Florida
Office Telephone No.:	Tampa, Florida (813/886-7130)
Employments:	Chairman of the Board, the AMERICAN SHIP BUILDING COMPANY, Cleveland, Ohio, and Tampa, Florida; Owner, the New York Yankees baseball club, New York, New York; associated with YANKEE COLE COMPANY, Beckley, West Virginia; and KINSMAN LINES, Cleveland, Ohio.

TP 73-312

Wife:

Nee:

Date of Marriage:

Place of Marriage:

Parents:

Sisters:

Children:

Relatives Residing at
His Residence Other
Than Immediate Family:
Education:

[REDACTED]
May 12, 1956

Columbus, Ohio

HENRY G. and RITA STEINBRENNER

West Lake, Ohio

[REDACTED]
Lakewood, Ohio;

[REDACTED]
Rocky River, Ohio

[REDACTED]
None

CULVER MILITARY ACADEMY
Culver, Indiana, 1948;

WILLIAMS COLLEGE

Williamstown, Massachusetts,

Bachelor of Arts degree, 1952;

Graduate School

OHIO STATE UNIVERSITY, 1954

but did not complete requirements
for Masters degree in
physical education.

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DEPARTMENT OF JUSTICE
 FEDERAL BUREAU OF INVESTIGATION
 COMMUNICATION MESSAGE FORM

108

PAGE 1 OF 2		DATE 4/3/86		CLASSIFICATION UNCLAS	PRECEDENCE PRIORITY
*F0108TPP BU CV JKDE HQ H0108 09620000YUWP 032201Z AOR**APR 86					
START HERE					
FM DIRECTOR FBI (73-3123)					
TO FBI BUFFALO PRIORITY					
FBI CLEVELAND (73-621) PRIORITY					
FBI JACKSONVILLE (73-364) PRIORITY					
BT					
UNCLAS					
GEORGE MICHAEL STEINBRENNER, APPLICATION FOR PARDON, BUDED:					
5/19/86.					
FBIHQ OCIS DATA BASE INDICATE RECEIVING OFFICES MAY HAVE					
RECORDS PERTAINING TO APPLICANT, GEORGE MICHAEL STEINBRENNER					
WHO IS DESCRIBED AS BORN JULY 4, 1930 AT ROCKY RIVER, OH AND					
RESIDES 10102 LINDELAAN DRIVE, TAMPA, FLA, AND HAS SSAN: 285-					
28-5751.					
RECEIVING OFFICES DETERMINE IF HITS ARE IDENTICAL TO					
STEINBRENNER BASED UPON AVAILABLE INFORMATION. SUTEL RESULTS					
OF DATA BASE SEARCH AND FILE REVIEW BY TELETYPE SUMMARY. IF					
POSITIVE FOLLOW WITH COPIES OF PERTINENT DOCUMENTS BY AIRTEL.					
DO NOT TYPE MESSAGE BELOW THIS LINE					
APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.	
(Signature)	PMS	4/3/86	5136	1195	17

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8 APR 20 1987

64 FEB 22 1988

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE 2

CONTINUATION SHEET

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BT

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DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

1192

PAGE 1 OF 2		CLASSIFICATION		PRECEDENCE
DATE 4/10/86		UNCLAS		PRIORITY
START HERE				
FM	FM DIRECTOR FBI (73-19114)			
TO	TO FBI CLEVELAND (73-312) PRIORITY			
	FBI ALBANY (73-405) PRIORITY			
14	FBI PITTSBURGH (73-764) PRIORITY			
	FBI NEW YORK (73-3631) PRIORITY			
12	FBI CINCINNATI PRIORITY			
	FBI NEWARK (73-1336) PRIORITY			
10	FBI SAINT LOUIS PRIORITY			
	FBI WASHINGTON FIELD PRIORITY			
8	FBI NEW ORLEANS PRIORITY			
	BT			
6	UNCLAS			
	GEORGE MICHAEL STEINBRENNER, APACS, BUDED: 5/19/86.			
4	REFERENCE TP REPORT DATED 4/1/86 TO ALL OFFICES. ALL			
	OFFICES SHOULD NOTE THAT BUDED IN CAPTIONED CASE IS 5/19/86.			
2	CV, NY, WFO SHOULD ALSO NOTE THE FOLLOWING:			
	CV - INSURE THAT INDICES CHECKS ARE CONDUCTED ON			
DO NOT TYPE MESSAGE BELOW THIS LINE				
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	RAL/PMS	4/10/86	5136	4195

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FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER
APR 14 1986

64 FEB 22 1988

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FBI/DOJ

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE

2

CONTINUATION SHEET

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APPLICANT'S PARENTS.

20 NY - NOTE P. D, E - NY LEADS ARE UNDER NO HEADING

18 {INTERVIEW [REDACTED], REVIEW OF 3 CIVIL MATTERS}.

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b7c16 WFO NOTE P4, NO. 9 OF CIVIL MATTERS. REVIEW COURT
14 RECORDS REGARDING THIS MATTER.

BT

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Airtel

4/10/86

Director FBI (73-19114)

SAC, Tampa (73-312)

GEORGE MICHAEL STEINBRENNER

APACS

BUDED: 5/19/86

Reference TP report dated 4/1/86.

Enclosed for TP is tax waiver and Service Center list for applicant to execute. This form should be submitted to FBIHQ with report. Additionally contact applicant for complete dob's of children and residences. Insure appropriate arrest or indices checks are conducted. (TP should also note the following: cover p. D, NY leads set for NO, CV was not given lead for indices checks on parents, p. D, NY lead set for NO, p4, WFO not given lead to review U.S. Court of Claim, 52-83T. FBIHQ has contacted these offices regarding their appropriate leads. Additionally, Buded was not provided to All Offices with leads. TP should insure that in the future, all leads are set and are correctly addressed).

Enclosure

MAILED 15

APR 10 1986

FBI

73-19114-19

8 APR 20 1987

Exec AD Adm. _____
Exec AD Inv. _____
Exec AD LES _____
Asst. Dir.: _____
Adm. Servs. _____
Crim. Inv. _____
Ident. _____
Insp. _____
Intell. _____
Lab. _____
Legal Coun. _____
Off. Cong. & _____
Public Affs. _____
Rec. Mgnt. _____
Tech. Servs. _____
Training _____
Telephone Rm. _____
Director's Sec'y _____

PAL/KAS

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ICC Tampa folder

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MAIL ROOM

FBI/DOJ

CVO 0003 0101 1517

RR HQ

DE CV

R 11-1459 APR 86

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TELETYPE UNIT

11 APR 17 20

Exec. AD-Adm.	
Exec. AD-Inv.	
Exec. AD-LES	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Inspection	
Intell.	
Laboratory	
Legal Coun.	
Off. of Cong. & Public Affs.	
Rec. Mgnt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y	

FM CLEVELAND (73-621)

TO DIRECTOR (73-312) ROUTINE

BT

UNCLAS

CONFIDENTIAL - SECURITY INFORMATION

GEORGE MICHAEL STEINBRENNER, APPLICATION FOR PARDON, BUDED:

MAY 19, 1986.

REFERENCE BUTEL TO BUFFALO DATED APRIL 3, 1986.

REFERENCED TELETYPE DIRECTED RECEIVING OFFICES TO DETERMINE IF OCIS DATA BASE INFORMATION REGARDING CAPTIONED INDIVIDUAL IS IDENTICAL TO INFORMATION CONTAINED IN FILES OF RECEIVING OFFICES.

CLEVELAND OCIS DATA BASE REFLECTS TWO REFERENCES: CLEVELAND MAIN

FILE ON 73-621 AND CLEVELAND REFERENCE CV 92-1320-263; CV 73-621

REFLECTS CLEVELAND INVESTIGATION CONCERNING 1979 INVESTIGATION RELATIVE TO APPLICATION FOR PARDON; APPLICATION FOR COMPUTATION OF SENTENCE, RESULTS OF WHICH WERE REPORTED TO FBIHQ. THE REFERENCE

IN CV 92-1320 REFLECTS SOURCE INFORMATION CONCERNING CAPTIONED

INDIVIDUAL. THIS LATEX INFO. WAS DEV. IN 1981.
5 8)-534 8, 194.-589, 2- \$3; 3) 903\$ 8, 1981.

IN ADDITION, ALTHOUGH FBIHQ DID NOT SPECIFICALLY REQUEST SAME

FBIHQ file #

73-19114-20

8 APR 20 1987

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1ced
-204
84 FEB 22 1988

415 - cv
web
will send info
w/ rep. on disc by
Alt.

b/pl

PAGE TWO CV 73-621 UNCLAS

IN REFERENCED TELETYPE, A REVIEW OF CLEVELAND INDICES REFLECTS 19
INDICES REFERENCES TO CAPTIONED INDIVIDUAL. CLEVELAND DIVISION AILL
HOLD IN ABEYANCE ANY DETAILED REVIEW OF INDICATED FILES PENDING
DIRECTION FROM FBIHQ.

CLEVELAND AT CLEVELAND, OHIO: WILL SUBMIT TO FBIHQ VIA AIRTEL,
COPIES OF PERTINENT DOCUMENTS REFLECTED IN THE OCIS DATA BASE FILES.
IDENTICAL WITH CAPTIONED INDIVIDUAL CITED ABOVE.;

?5

K 0007 105 211Z

PP HQ

RE JK

P 15 2100 APR 86

FM JACKSONVILLE (73-R-2) (P)

TO DIRECTOR (73-312) PRIORITY

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER, APPLICATION FOR PARDON

BUDED: MAY 19, 1986

RE BUREAU TELETYPE TO BUFFALO, APRIL 3, 1986.

ON APRIL 14, 1986, A REVIEW OF JACKSONVILLE OCIS

DATA BASE INFORMATION REGARDING GEORGE MICHAEL STEINBRENNER

LOCATED SERIAL REFERENCE IN JACKSONVILLE FILE 162C-893

SERIAL 1, CAPTIONED PARI-MUTUEL HORSE RACING, OCALA,

FLORIDA; INTERSTATE GAMBLING ACTIVITIES - OTHER; OO: JK.

THIS FILE CONTAINS ONE SERIAL, A MEMO FROM SA [REDACTED]

[REDACTED] TO THE SAC, JACKSONVILLE, DATED APRIL 10, 1985

WHEREIN ON MARCH 20, 1985, [REDACTED] PROTECT, INVESTIGATOR

WITH THE FLORIDA DIVISION OF PARI-MUTUEL WAGERING, MIAMI.

APR 20 1987

Exec. AD-Adm.	
Exec. AD-Inv.	
Exec. AD-LES	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Inspection	
Intell.	
Laboratory	
Legal Coun.	
Off. of Cong. & Public Affs.	
Rec. Mgmt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y.	

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64 FEB 22 1988

PAGE TWO JK 73-R-2 UNCLAS

FLORIDA, ADVISED AN OPINION BY THE FIRST DISTRICT COURT
OF APPEALS IN FLORIDA HAS REVERSED AN EARLIER DECISION
AND IS AWARDING A RACING PERMIT TO THE OCALA BREEDER

SALES COMPANY (OBSC) FOR QUARTER HORSE RACING AT OBSC

RACETRACK IN OCALA, FLORIDA. [] FURTHER ADVISED THAT

OBSC INTENDS TO BUILD A GRANDSTAND NEXT TO THE EXISTING

TRACK, AND AFTER RECEIVING A PERMIT FOR QUARTER HORSE

RACING, WILL RACE NOT ONLY QUARTER HORSES, BUT ALSO

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ARABIANS AND THOROUGHBREDS. [] STATED ONE OF THE

REASONS HE FEELS OBSC WAS INITIALLY DENIED A RACING

PERMIT IS BECAUSE GEORGE M. STEINBRENNER, OWNER OF THE

NEW YORK YANKEES AND THE TAMPA BAY DOWNS RACETRACK,

INITIALLY OBJECTED TO THE AWARDING OF ANOTHER RACING

PERMIT TO A FACILITY WHICH WOULD BE WITHIN 100 MILES

OF HIS RACETRACK. SUBSEQUENTLY, OBSC SOLD SHARES TO A

NUMBER OF INDIVIDUALS, INCLUDING GEORGE M. STEINBRENNER,

WHO THEN DROPPED HIS OBJECTIONS TO THE NEW RACETRACK.

BOYD ALSO FURNISHED A LIST OF SHAREHOLDERS IN OBSC,

INCLUDING THOSE INDIVIDUALS WHO BOUGHT SHARES IN THE LAST

PAGE THREE JK 73-R-2 UNCLAS

YEAR OR SO. IT IS NOTED AMONG THESE INDIVIDUALS IS

[REDACTED] THE ORLANDO PENECADES FOOTBALL
TEAM OF THE UNITED STATES FOOTBALL LEAGUE.

[REDACTED] COMMENTED THAT BASED ON THE EXPERIENCE OF THE
FLORIDA PARI-MUTUEL WAGERING DIVISION HAS HAD WITH
QUARTER HORSE RACING IN THE PAST, IT CAN BE ANTICIPATED THAT
ILLEGAL DRUGS AND/OR ELECTRICAL DEVICES WILL BE USED TO
STIMULATE THE HORSES DURING RACES. [REDACTED] DIVISION HAD A
RECENT CRACKDOWN AT POMPANO PARK TRACK IN SOUTH FLORIDA
WHICH RESULTED IN THE SUSPENSION OR FINING OF SIXTEEN
HORSEMEN, INCLUDING JOCKEYS, TRAINERS, AND GROOMS.

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THE OBSC WAS INTERESTED IN GETTING A PERMIT TO HAVE
QUARTER HORSE RACING BECAUSE OF THE FACT THAT PERMITS TO
HAVE THOROUGHBRED RACING ALONE ARE IMPOSSIBLE TO OBTAIN;
HOWEVER, IF THEY HAVE QUARTER HORSE RACING, THE OBSC WILL
ALSO BE ABLE TO HAVE ARABIAN AND THOROUGHBRED RACING AT THE
SAME FACILITY. THE ABOVE INFORMATION WAS SUBMITTED FOR
INFORMATION OF THE FILE AND FOR POSSIBLE FUTURE INVESTIGATIVE
ASSISTANCE.

8 8
PAGE FOUR JK 73-R-2 UNCLAS

JACKSONVILLE OCIS DATA BASE CONTAINED NO OTHER
REFERENCES TO GEORGE MICHAEL STEINBRENNER.

XEROX COPY OF JACKSONVILLE APRIL 10, 1985 MEMO WILL
BE FORWARDED TO THE BUREAU BY AIRTEL AS REQUESTED.

WHERE APPROPRIATE, PRIVACY ACT (E) (3) DATA WAS
FURNISHED TO PERSONS INTERVIEWED. EXPRESS PROMISES OF
CONFIDENTIALITY, BOTH LIMITED AND UNLIMITED, HAVE BEEN NOTED
WHERE GRANTED.

INVESTIGATION AT JACKSONVILLE, FLORIDA, CONDUCTED BY

IA

[REDACTED]

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BT

BU0026 1062229Z

RR HQ

DE BU

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TELETYPE

16 APR 86 22 39

FBI

U.S. DEPT. OF JUSTICE

FM BUFFALO (73C-451) (P)

TO DIRECTOR (73-312) ROUTINE

BT

UNCLAS

General Applicant's Room 5153
TL 298

GEORGE MICHAEL STEINBRENNER; APPLICATION FOR PARDON; BUDED
19, 1986.

RE BUREAU TEL TO BUFFALO, APRIL 9, 1986.

A REVIEW OF BUFFALO FILE 183-838 (SERIAL 11), CAPTIONED,

[REDACTED] AKA; RICO -
NARCOTICS; FCT; POLICE CORRUPTION; OO: BUFFALO," DISCLOSED THE
FOLLOWING:

ON NOV. 17, 1981, [REDACTED] ADVISED THAT HE MET WITH AN
OLD FRIEND OF HIS, JAMES LA DUCA. LA DUCA IS A SOLDIER IN THE
BUFFALO LCN AND IS THE SON-IN-LAW OF THE LATE STEFANO MAGADDINO,
FORMER BOSS OF THE BUFFALO LCN. SOURCE ADVISED THAT HE LEARNED
THAT GEORGE STEINBRENNER, THE OWNER OF THE NEW YORK YANKEES, IS
A VERY CLOSE FRIEND OF LA DUCA'S.

8 APR 20 1987

A REVIEW OF BUFFALO FILE 62D-2826 (SERIAL 2), CAPTIONED,

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copy of this to
ASC Mike Gogley OC Section
4/17/86.

64 FEB 22 1988

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b/pu

per [REDACTED]
BU 4/22.
source has provided
reliable info

source
reliability

Have we done
a note on this
case?

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b7C

b2
b7D

BU 73C-451 PAGE TWO UNCLAS

"DELAWARE NORTH COMPANIES, INC., FORMERLY KNOWN AS SPORTS SYSTEMS, INC., EMPIRE CORP., BUFFALO, NEW YORK; DPC," DISCLOSED THE FOLLOWING:

ON SEPT. 21, 1981, AN ARTICLE APPEARED IN THE "BUFFALO EVENING NEWS," ENTITLED "CONFIDENCE AT DELAWARE NORTH." WITHIN THE TEXT OF THIS ARTICLE, IT WAS ANNOUNCED THAT [REDACTED] A FORMER CONGRESSMAN, WAS HIRED AS DELAWARE NORTH'S "NUMBER THREE MAN." [REDACTED] WAS IDENTIFIED AS AN ASSOCIATE OF GEORGE STEINBRENNER, THE OWNER OF THE NEW YORK YANKEES.

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BOTH OF THE ABOVE REFERENCES WERE IDENTIFIED THROUGH OCIS AND ARE IDENTICAL TO CAPTIONED SUBJECT. COPIES OF EACH SERIAL DESCRIBED ABOVE WILL BE SUBMITTED VIA AIRTEL. BUFFALO INDICES WERE NEGATIVE REGARDING SUBJECT STEINBRENNER.

BT

FBI

TRANSMIT VIA:

- ☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

- ☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

- ☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 4/16/86

TO: DIRECTOR, FBI (73-312)
 FROM: SAC, BUFFALO (73C-451) (RUC)

GEORGE MICHAEL STEINBRENNER
 APPLICATION FOR PARDON
 BUDED: 5/19/86

Re Director teletype to Buffalo, 4/9/86, and Buffalo teletype to Director dated 4/16/86.

Enclosed for the information of the Bureau is a copy of an insert dated 11/17/81 and a copy of a newspaper article dated 9/20/81.

As detailed in referenced Buffalo teletype to the Director, enclosed are copies of documents determined to be identical to captioned subject.

2 - BUREAU (Encs. 2)

1 - BUFFALO

JMM/jmm

(3)

ENCLOSURE

73-19114-23
 11 APR 22 1986

Approved: *[Signature]*

Transmitted

(Number)

(Time)

Per

10 FEB 26 1988

JAMES VINCENT LA DUCA
AR
(Buffalo file 92A-58)

On November 17, 1981, [redacted] advised that he recently met with an old friend, Jimmy LaDuca. He stated that LaDuca is the son-in-law of Stefano Maggadino and that he claims to be retired. The asset advised, however, that he has a job at Kaufman's Bakery which is as sort of a "watchdog" for the company. He stated he has an office there but is not known to actually do any work for them. The asset advised that the story is that [redacted] who is the [redacted] got involved in a high stakes card game ten years ago with the Mob and lost the business. As a result of that, LaDuca was given the job at Kaufman's Bakery.

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Asset further advised that he also learned that LaDuca was in Florida temporarily, but he does not indicate that he was actually living there. He stated that he had tried to set his son-in-law up in a restaurant business somewhere in Florida and that it was all legal.

Asset advised that LaDuca has some jewelry he is trying to sell which he states is not stolen. Two names were suggested to him as possible buyers for such jewelry, one of which was [redacted] who has a shop in the Ellicott Square Building. LaDuca would not even consider the one individual, and he further stated that he has heard some bad reports about [redacted].

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On November 19, 1981, the asset advised that he had dinner with the subject on November 18, 1981. He advised that LaDuca states that he has not been in Florida in four years, although the asset knows he was there about two years ago. He stated that he wants to retire from his job at Kaufman's Bakery and would like to go into the hotel business. He again stated that he needs cash and is interested in selling some jewelry. He showed some pieces of jewelry which he said he wants to sell, but the asset believed he does not really want to sell the pieces he was showing but is just playing some sort of game to try to get information on who might be willing to buy jewelry. He stated that he

73-19114-23

ENCLOSURE

BU 92A-58

has a ladies gold watch with diamonds set in it and he wants at least \$1,000 but would prefer \$1,500 for it. He stated he would show this watch to the asset if he thinks he can find a buyer.

Subject had indicated in a previous meeting that he might be able to help the asset get a job with [redacted] He stated, however, on November 18, 1981, that he does not know [redacted] He mentioned, however, that he is going to have lunch with him at Chef's Restaurant on November 20, 1981, and that he will see what he can do about getting a job for the asset.

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[redacted] is the [redacted] at [redacted] [redacted] and is also a Buffalo Police Officer. [redacted] and LaDuca do not get along with each other, although the reason for this is not known.

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It is known that [redacted] is very close with the LCN and the thought had occurred to the asset that the LCN might control [redacted] business. This is only speculation on the part of the asset. Asset advised that he learned that George Steinbrenner, owner of the New York Yankees, is a very close friend of LaDuca's.

The above information was all furnished to Special Agent (SA) [redacted]

(Mount Clipping in Space Below)

Confidence at Delaware North

By ROBERT J. SUMMERS

What do you do with a 6-foot-3 guy from Cleveland who pals around with New York Yankee owner George Steinbrenner, hits the Fort Erie racetrack on his honeymoon, and calls his friend Gov. Carey, "Hughie?"

If you're smart, you'd hire him. Especially if you're Jeremy M. Jacobs, chairman of Buffalo's Delaware North Companies Inc., the giant racetrack operator and sports concessionaire headquartered at 700 Delaware Ave.

The big guy in question is James V. Stanton, the 49-year-old ex-Congressman who was hired last week as Delaware North's new No. 3 man.

Although he has spend most of his 49 years in the Cleveland and Washington areas, Mr. Stanton jokes that maybe he and his wife were destined to come to Buffalo all along.

"We honeymooned here 21 years ago and stayed at the Statler," he said.

"I took her out to the Fort Erie racetrack ... In the fifth race, we had a winner, but we lost the ticket ... I keep telling Peggy that we're really coming back to Buffalo to find that racing ticket," he said.

This time, Mr. Stanton and his wife need a bit more room than a suite at the Statler. Their family now numbers three sons and a daughter.

MR. STANTON is no stranger to Delaware North's business of running racetracks (including nearby Buffalo Raceway and Finger Lakes), selling food and drinks at sports facilities, and various other operations.

For the past two and a half years he has been representing the Buffalo company as a member of the Washington law firm of Ragan and Mason.

(Indicate page, name of newspaper, city and state.)

G-5

BUFFALO EVENING NEWS
BUFFALO, NEW YORK

Date: 9-20-81

Edition: CITY

Title: PUBLIC AFFAIRS
MATTERS

Character: 92-

or

Classification: 80-2206

Submitting Office:

BUFFALO

73-19114-23

ENCLOSURE

The Democratic voters of Ohio helped Mr. Stanton become a Washington lawyer. After three terms as a Congressman from Cleveland, he ran unsuccessfully in the 1976 Democratic primary against Sen. Howard M. Metzenbaum, losing by percent of the vote.

The vote brought an end to his political career, which had begun in 1960 with his election to the Cleveland City Council where he served 10 years, including seven years as council president.

One of the highlights of his Congressional career, Mr. Stanton re-

calls, was his successful campaign to oust William M. (Fishbait) Miller from the patronage-loaded job of House doorkeeper. The new doorkeeper turned out to be Buffalo native James T. Molloy.

"Hughie Carey was a colleague of mine," Mr. Stanton said. "I said we ought to run a candidate against Fishbait Miller. I said we ought to run Jimmy Molloy. So Hughie said fine, that's a great idea, let's do it together."

"We started the campaign and about a month later Carey comes up to me and he says, 'Jim, it's your campaign for Molloy. I'm running for governor.' So Molloy became my project for the year."

ALTHOUGH MR. Stanton doesn't officially start what he calls his "third career" as Delaware North's executive vice president until Nov. 1, he already has made a mark on the local economy by ordering a new house to be built in Elma.

"We built our home originally in Cleveland. And when I went to the Congress we built a home. And now this is our third career and our third house. We feel it's always brought us good luck to build," he said.

Starting Nov. 1, Mr. Stanton's major project will be to help direct an expansion program at Delaware North.

"I really believe that the 1980s is a time for expansion of the company and the business. It has an opportunity to develop an expansion program consistent with the things they've done in the past," he said.

"Jerry (Jacobs) really wants to make it a major force in the business community in the United States," he said.

Mr. Stanton did not elaborate on what specific expansion plans are in store for the privately held company, which last year earned about \$15 million on total revenues of about \$600 million.

A company spokesman confirmed that expansion plans include the possible acquisition of several race tracks and management of race tracks in several foreign countries.

MR. STANTON said possibilities for expansion also include the sports magazine field, parking lot operations, and equipment leasing, all "related to things we know, understand, and can deal with."

"I've had an opportunity to talk to a number of club owners in the past few weeks. We're in eight major league ball parks and we plan to aggressively go after others because we feel that's a business we know better than anybody else," he said.

The club owner Mr. Stanton knows best is Mr. Steinbrenner, "a lifelong friend" and a transplanted Cleveland native who owns the Yankees.

I represent George as his counsel. I'll dearly miss that," Mr. Stanton said.

"When I called him up and said Jerry Jacobs and (president) Don Carmichael have offered me this position with Delaware North, he said, 'Great. Take it. They're a great company and they're great people.'"

~~Mr. Stanton~~ said he is ~~satisfied~~ that Delaware North, formerly known as Sportsystems and Emprise, does not deserve the reputation it has received in some circles following its 1972 conviction on federal charges of concealing its interest in a Nevada casino.

"Nobody denies that a mistake occurred in 1966, but the simple fact of the matter is that no corporation in America can hold its head any higher than we in terms of what we've done to correct it. We think that the 1980s is going to show that that's ancient history," he said.

"That's past and I like to think that Jerry Jacobs and our management team represent the future," he added.



ON THE MOVE — James V. Stanton, a former Cleveland councilman, U.S. Congressman, and Washington lawyer, will join Buffalo-based Delaware North Companies Inc. as executive vice-president on Nov. 1. A "sports nut" whose interest include football, baseball and racing, Mr. Stanton becomes the third-ranking executive at the privately held company which operates food and drink concessions at stadiums and tracks.

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION

Reporting Office: NEW YORK
 Office of Origin: BUREAU
 Date: 4/17/86
 Investigative Period: 4/15/86

TITLE OF CASE
 GEORGE MICHAEL STEINBRENNER III, AKA
 George Michael Steinbrenner

Report Made By

Typed By
mn

CHARACTER OF CASE
 APACS

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED EXCEPT
 WHERE SHOWN OTHERWISE

DATE: 03-03-2011
 CLASSIFIED BY uc/baw 60324
 REASON: 1.4 (c)
 DECLASSIFY ON: 03-03-2036

REFERENCE

TPreport, dated 4/1/86.

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-P-

ADMINISTRATIVE

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

A review of NYO General Indices on April 15, 1986, by Support Employees [redacted] and [redacted] reveals the following information pertaining to GEORGE STEINBRENNER.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-	CASE HAS BEEN:
CONVIC.	PRETRIAL	FUG.	FINES	SAVINGS	RECOVERIES	TALS	Pending over 1 year
							<input type="checkbox"/> yes <input type="checkbox"/> no
							Pending pros. over
							6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no

APPROVED: [Signature] SPECIAL AGENT
 IN CHARGE

DO NOT WRITE IN SPACES BELOW

Copies Made:
 3 - Bureau (73-19114)
 1 - New York (73-3631)

73-19114-24

8 APR 20 1987

Dissemination Record of Attached Report

Notations

Agency

Request Recd. 200 TO Pardon Atty 6-3-86

Date Fwd. PL/SF

How Fwd. ~~SECRET~~

By 10

64 FEB 22 1988

-A-
COVER PAGE

~~SECRET~~

NY 73-3631

ADMINISTRATIVE (continued)

- ✓ 139-301-909, 1068 and 1070 - reflects various 1974 and 1974 newspaper articles concerning applicant's illegal campaign contributions.

183-340-113 - reflects a June 11, 1976 newspaper article concerning a April 24, 1976 testimonial dinner honoring ANTHONY SCOTTO, a capo in the CARLO GAMBINO (LCN) family. Seated on the dais were top New York and national business, political and labor leaders, including applicant who was listed as President of the AMERICAN SHIPBUILDING COMPANY and general partner and principal owner of the NEW YORK YANKEES.

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9-8315 - reflects that applicant was an extortion victim in 1981.

(S) [redacted] reflects a March, 1978, undercover operation in which applicant and former SAC [redacted] FBI, were assisting FBI with providing a cover for two FBI assets.

b1

✓ 56-0-133 - reflects a 1975 newspaper article concerning applicant's illegal campaign contributions.

On April 15, 1986, a review of CIFU Indices by Support Employee [redacted] reveals no identifiable information pertaining to applicant.

On April 15, 1986, a review of ISIS Indices by Support Employee [redacted] reveals no identifiable information pertaining to applicant.

This reflects
favorably
upon GS

(can't report)

LEAD

NEW YORK

AT NEW YORK, NEW YORK. Investigation continuing.

Cover Page
- B* -

~~SECRET~~

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of: b6
Date: April 17, 1986 b7C

Office: New York, New York

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER III, aka
George Michael Steinbrenner

Character: APPLICANT FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Residence verified. Reference recommends.

-P-

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NY 73-3631

DETAILS:

Residence

CARLISLE HOTEL
76th Street and Madison Avenue
New York, New York

On April 15, 1986, [redacted] advised that GEORGE STEINBRENNER has resided at the hotel for over ten years, however he is uncertain of the exact date applicant first began staying at the hotel. STEINBRENNER stays at the hotel on a day-to-day basis whenever he is in New York and does not rent an apartment or room on a continuous basis. Applicant usually pays between \$180 to \$215 per day for a single room.

STEINBRENNER has always been courteous to both management and staff. He has never caused a problem at the hotel and the hotel has never received any complaints about him. He always pays his bills and there has never been a problem with him owing the hotel money. STEINBRENNER is friendly and outgoing and very generous to the staff. He is not aware of any drug use or alcohol abuse by applicant.

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[redacted] acknowledged that he is aware of STEINBRENNER'S past legal problems involving illegal campaign contributions. He is not aware of any other derogatory information about applicant. STEINBRENNER has never displayed any prejudices, irrational or violent behavior. He has no reason to question applicant's loyalty to the United States government.

Based upon his limited contact with applicant, he feels that STEINBRENNER is worthy of a pardon.

On April 15, 1986, [redacted] [redacted] advised that GEORGE STEINBRENNER stays at the hotel on a daily basis whenever he is in New York. [redacted] is not aware of the exact time period when STEINBRENNER first began staying at the hotel. He described applicant as a courteous and pleasant man who

NY 73-3631

is very generous to the staff. Applicant provides the staff with free baseball tickets on a limited basis.

Applicant has never caused a problem at the hotel and the hotel has never received any complaints about STEINBRENNER. Applicant has never displayed any form of irrational or violent type of behavior.

[] indicated he was not aware of applicant's past legal problems nor has he ever heard of anything of a derogatory nature about him. He has no knowledge of drug use by applicant or if he has ever abused alcohol.

STEINBRENNER has never displayed any form of prejudices and he has no reason to question applicant's loyalty to the United States government.

As the [] he comes in contact with applicant often and at all times applicant has been easy to get along with and very courteous to him.

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Based upon his knowledge of applicant, he believes STEINBRENNER is worthy of a pardon.

Reference

On April 15, 1986, []
[] New York, New York, telephone number []
advised that he met applicant about 15 years ago when he gave an invocation at a NEW YORK YANKEE welcome home dinner. He has continued to maintain a social friendship with GEORGE STEINBRENNER since that time. [] considers STEINBRENNER as having an excellent reputation in the community and described him as a very generous man who contributes large amounts of money to various charities. Applicant is respectful, charming, never out of order and his conduct has always been perfect.

NY 73-3631

[] indicated that applicant's various friends and associates consists of politicians, attorneys, judges and various civic members and all are of the highest quality and character. He is not aware of any derogatory information, although he is aware of STEINBRENNER's prior felony conviction for illegal campaign contributions. His knowledge of this comes from primarily newspaper accounts. He has no knowledge of any drug use by applicant. STEINBRENNER is a social drinker, but he has never observed applicant abuse alcohol. Applicant has never displayed any form of prejudices, irrational or violent behavior. He has no reason to question applicant's loyalty to the United States government.

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[] has never had any personal financial dealings with applicant. STEINBRENNER is the principal owner of the NEW YORK YANKEES and he is very possessive about that ownership.

Because of his involvement in the professional baseball club, STEINBRENNER has demonstrated that he is very tolerant of people.

Based upon his knowledge of GEORGE STEINBRENNER, he feels applicant is more than worthy of a pardon.

FEDERAL BUREAU OF INVESTIGATION

Reporting Office: NEW YORK
 Office of Origin: BUREAU
 Date: 4/23/86
 Investigative Period: 4/16/86 - 4/22/86

TITLE OF CASE
 GEORGE MICHAEL STEINBRENNER III, AKA
 George Michael Steinbrenner

Report Made By

Typed By
mn

CHARACTER OF CASE
 APACS

REFERENCE

NYreport, dated 4/17/86.

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-P-

ADMINISTRATIVE

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited have been noted where granted.

A review of NYO Elsur Indices on April 16, 1986, by Support Employee [redacted] reveals no identifiable information pertaining to GEORGE STEINBRENNER.

ACCOMPLISHMENTS CLAIMED - [] NONE					ACQUIT-	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	TALS	Pending over 1 year [] yes [] no
						Pending pros. over 6 mos. [] yes [] no

APPROVED: *John L. Hogan* SPECIAL AGENT
 IN CHARGE

DO NOT WRITE IN SPACES BELOW

Copies Made:
 3 - Bureau (73-19114)
 1 - New York (73-3631)

73-19114-25

APR 20 1987

Dissemination Record of Attached Report

Notations

Agency				
Request Recd.	2cc to	Pardon Atty	6.3.86	
Date Fwd.	PL/SF			
How Fwd.				
By	U			

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64 FEB 22 1988

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of:
Date: April 23, 1986

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b7C

Office: New York, New York

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER III, aka
George Michael Steinbrenner

Character: APPLICANT FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Reference recommends.

-P-

NY 73-3631

DETAILS:

Reference

On April 22, 1986, [REDACTED] PATROLMEN'S BENEVOLENT ASSOCIATION, 250 Broadway, New York, New York, advised that he has been a Police Officer with NEW YORK CITY POLICE DEPARTMENT for the past 27 years. He met GEORGE STEINBRENNER approximately five years ago, after a New York Police Officer was killed in the line of duty. Applicant heard that the slain officer and his family have been NEW YORK YANKEE fans. STEINBRENNER contacted him to discuss the possibility of setting up a fund that provides college scholarships to children of police officers and fire fighters who are killed in the line of duty. STEINBRENNER was able to obtain commitments from 100 businessmen to contribute \$1,000 each year to the SILVER SHIELD FOUNDATION. In addition, STEINBRENNER pledged all of the proceeds of one Yankee game a year to the Foundation.

[REDACTED] has continued to maintain a social friendship with applicant and they often meet for lunch. STEINBRENNER has a very good reputation among his friends and associates. He is a tough businessman, but is also a kind and compassionate man. STEINBRENNER is fair minded, straight forward and a decent individual.

STEINBRENNERS motivation for setting up the Foundation is purely for self satisfaction. STEINBRENNER feels he has a moral obligation to the people of New York since he derives a living from them. His involvement in the Foundation is a sincere gesture on his part and STEINBRENNER is not looking for any form of publicity. He always stays in the background.

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Applicant described as a family man whose integrity is unimpeachable. [REDACTED] was unaware of STEINBRENNER's felony conviction for illegal campaign contributions until the fall of 1985. At that time, a friend of the applicant's approached him and asked if he would be willing to provide a character

NY 73-3631

recommendation for applicant. [] views STEINBRENNER as a victim of circumstances and believes applicant probably did not realize at the time he made the political contribution, he was violating any law. He feels that STEINBRENNER should not be viewed as a felon and described the incident as a minor wrong. His knowledge of STEINBRENNER's past does not effect his opinion of him as an honorable individual. [] feels STEINBRENNER deserves to have his name cleared and that he has done more than enough to vindicate himself.

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[] is not aware of any other derogatory information about STEINBRENNER and has no reason to ever question his loyalty towards the United States government. Applicant has never displayed any form of prejudices, irrational or violent type of behavior. He is not aware of any questionable financial dealings, drug use or alcohol abuse by applicant.

STEINBRENNER is seeking a pardon only to clear his name and reputation and not to further any monetary gains. He believes that applicant is worthy and deserving of pardon.

FORMS.TEXT HAS 1 DOCUMENT

INBOX.4 (#7052)

TEXT: VZCZCNY0136

RR HQ NK

DE NY #0136 1122220

ZNY UUUUU

R 222115Z APR 86

FM FBI NEW YORK (73-3631) (P) (A-4)

TO DIRECTOR FBI (73-19114) ROUTINE

FBI NEWARK ROUTINE

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TEL
23 APR 86 12 12
FEDERAL BUREAU
OF INVESTIGATION

GEORGE MICHAEL STEINBRENNER III, AKA; APACS; BUDED: MAY 19, 1986

A REVIEW OF CIVIL MATTER 82-CIV-0894 AT USDC, SDNY, CONCERNING APPLICANT, BRUCE POSTON AND CHEMICAL BANK, REVEALS THE DOCUMENTS HAVE BEEN TRANSFERRED TO FEDERAL ARCHIVES AND RECORDS CENTER, GSA, BUILDING 22, MILITARY OCEAN TERMINAL, BAYONNE, NEW JERSEY, TELEPHONE NUMBER (201) 823-7242.

IN ORDERR FOR (FRAC) TO LOCATE THE RECORDS, THE FOLLOWING INFORMATION MUST BE PROVIDED.

8 APR 20 1987

copy NY
copy NK - will
get file & have
agent Resina lead
BRY (if to HQ)
6/ps

64 FEB 22 1988

PAGE TWO DE NY 0136 UNCLAS

DOCKET NUMBER 82-CIV-84, ACCESSION NUMBER 2185142, FRC NUMBER
618936 AND 618937.

NEWARK AT BAYONNE, NEW JERSEY. WILL REVIEW ABOVE DESCRIBED
RECORDS AND FORWARD RESULTS TO THE BUREAU.

BT

#0136

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FEDERAL BUREAU OF INVESTIGATION

Reporting Office NEW YORK <i>SM</i>	Office of Origin BUREAU	Date 4/24/86	Investigative Period 4/24/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka George Michael Steinbrenner		Report Made By <div style="border: 1px solid black; width: 150px; height: 20px;"></div>	Typed By cb
		CHARACTER OF CASE APACS	

REFERENCE

New York report, dated 4/23/86.

-P-

ADMINISTRATIVE

All individuals interviewed were advised of the provisions of the Privacy Act of 1974, and any individual desiring confidentiality is so noted.

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE					ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL	FUG.	FINES	SAVINGS	RECOVERIES	Pending over 1 year
	DIVERSION					<input type="checkbox"/> yes <input type="checkbox"/> no
						Pending pros. over
						6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no

APPROVED *John L. Hogan* SPECIAL AGENT IN CHARGE

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Copies Made:
2 - Bureau (73-19114)
1 - New York (73-3631)

73-19114-27

8 APR 20 1987

Dissemination Record of Attached Report

Agency				
Request Recd.	2cc to	Parson	Atty	6-3-86
Date Fwd.	PL/SI			
How Fwd.				
By				

Notations

*4/28
per
WNY records w/ negative re. Steinbrenner
6/PAI*

64 FEB 22 1988

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UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of:

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Office: New York, New York

Date: April 24, 1986

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Civil matter 84-0878, United States District Court,
Western District of New York, not verified.

-P-

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NY 73-3631

DETAILS:

Miscellaneous

UNITED STATES DISTRICT COURT
Western District of New York
New York, New York

On April 24, 1986, a review of civil matter 84-0878 concerning applicant, PEAVEY COMPANY, and CONGRA, INCORPORATED, by Investigative Assistant [redacted] reveals that the civil index has no record of the applicant, CONGRA, INCORPORATED, or PEAVEY COMPANY.

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Civil matter 84-0878 pertains to MGM/UA ENTERTAINMENT COMPANY, VIDEO INVADERS, INCORPORATED, and ROBERT and HELEN ZUPRICK.

FBI

TRANSMIT VIA:

- ☐ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

- ☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

- ☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 4/29/86

SM TO: DIRECTOR, FBI (73-19114)
FROM: SAC, TAMPA (73-312) (SQ. 3) (P) PL

GEORGE MICHAEL STEINBRENNER, III, aka
George Michael Steinbrenner;
APACS
BUDED: 5/19/86

Re Bureau airtel to Tampa 4/10/86, no copies
for Indianapolis or Richmond.

On 4/24/86, the following information was obtained
concerning applicant's children:

2-Bureau
2-Indianapolis
2-Richmond
2-Tampa
DWM:kmd*
(8)

10 MAY 2 1986

Approved: *[Signature]*

Transmitted

(Number)

(Time)

Per *[Signature]*

64 FEB 22 1988

TP 73-312

LEADS:

INDIANAPOLIS DIVISION

AT INDIANAPOLIS, INDIANA.

Will conduct indices check on [REDACTED]

RICHMOND DIVISION

AT RICHMOND, VIRGINIA.

Will conduct indices check on [REDACTED]

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TAMPA DIVISION

AT TAMPA, FLORIDA.

Will conduct indices checks on [REDACTED]

AND [REDACTED]

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE NEW ORLEANS	OFFICE OF ORIGIN BUREAU	DATE 5/1/86	INVESTIGATIVE PERIOD 4/30/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka, GEORGE MICHAEL STEINBRENNER		REPORT MADE BY SA [REDACTED] b6 b7C	TYPED BY drs
		CHARACTER OF CASE APACS	

REFERENCE:

Tampa report dated 3/26/86.

- RUC -

ADMINISTRATIVE:

Persons interviewed were advised of the provisions of the Privacy Act and none requested confidentiality.

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING OVER ONE YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED

SPECIAL AGENT
IN CHARGE

COPIES MADE:

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(3) - Bureau (73-19114)
1 - New Orleans (73-912)

8 APR 20 1987

Dissemination Record of Attached Report				
Agency				
Request Recd.	2cc TO	Parsons	Atty	6.3.86
Date Fwd.	PL/SF			
How Fwd.				
By				

Notations

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64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:

SA [REDACTED]

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Office: NEW ORLEANS

Date:

5/1/86

b7C

Field Office File #:

NO 73-912

Bureau File #: 73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III, AKA,
George Michael Steinbrenner

Character:

APPLICATION FOR PARDON AFTER
COMPLETION OF SENTENCE

Synopsis:

Reference of applicant contacted and has known
applicant approximately ten years. Knows
applicant to be of excellent character and
recommends highly for pardon.

RUC

DETAILS:

NO 73-912

JEB:drs

1

REFERENCE

The following investigation was conducted by
SA [] as follows:

On 4/30/86, []
Grambling State University, Grambling, LA, advised that he
has known GEORGE STEINBRENNER since 1976. Since that time
the [] family and the STEINBRENNER's have become close
social and personal friends who have shared each others
company 4-5 times each year on both a personal and business
level.

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[] has always found STEINBRENNER to be a person
who was very interested in people in general and underprivileged
in particular. STEINBRENNER has aided countless underprivileged
youths to obtain a better education and higher standard of
living. STEINBRENNER is best described as a person genuinely
deep into Americanism and the finest man who [] ever met.
STEINBRENNER is known as a person who will go to great lengths
to help other people. STEINBRENNER is a person who genuinely
loves people and [] feels that STEINBRENNER would never
again run afoul of the law. He strongly recommends STEINBRENNER
for a complete pardon.

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FM NEW ORLEANS (73-19114) ROUTINE
FEDERAL BUREAU
OF INVESTIGATION

TO DIRECTOR (73-19114) ROUTINE

NEW YORK ROUTINE

TAMPA (73-312) ROUTINE

BT

UNCLAS

GEORGE MICHAEL STEINBRENNER III, AKA, GEORGE MICHAEL STEINBRENNER.

RE TAMPA REPORT OF SA [REDACTED]

DATED APRIL 1, 1986.

REVIEW OF REFERENCED REPORT BY NEW ORLEANS REVEALED THAT LEADS
SET OUT FOR NEW ORLEANS DIVISION WERE INADVERTENTLY ASSIGNED AND
SHOULD BE SET OUT FOR NEW YORK DIVISION. IT IS NOTED THAT THERE IS
NO SAYVILLE, LOUISIANA.

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NEW ORLEANS AT GRAMBLING, LOUISIANA: INTERVIEW OF [REDACTED]

[REDACTED] WILL BE COVERED AND REPORTED BY NEW ORLEANS.

BT

73-19114-30

8 APR 20 1987

64 FEB 22 1988

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6/18/87

FEDERAL BUREAU OF INVESTIGATION

Reporting Office
NEW YORK
*SM*Office of Origin
BUREAUDate
5/2/86Investigative Period
4/29/86TITLE OF CASE
GEORGE MICHAEL STEINBRENNER, III, aka
George Michael Steinbrenner
*gk*Report Made By
Typed By
mnCHARACTER OF CASE
APACSREFERENCE

NYreport, dated 4/24/86.

-RUC-

ADMINISTRATIVE

All individuals interviewed were advised of the provisions of the Privacy Act of 1974, and only those desiring such confidentiality are so noted. *(initials)*

ACCOMPLISHMENTS CLAIMED <input type="checkbox"/> NONE						ACQUIT-TALS	CASE HAS BEEN:
CONVIC.	PRETRIAL DIVERSION	FUG.	FINES	SAVINGS	RECOVERIES		Pending over 1 year <input type="checkbox"/> yes <input type="checkbox"/> no Pending pros. over 6 mos. <input type="checkbox"/> yes <input type="checkbox"/> no
APPROVED <i>[Signature]</i>						SPECIAL AGENT IN CHARGE	
Copies Made: 2 - Bureau (73-19114) 1 - New York (73-3631)						DO NOT WRITE IN SPACES BELOW 73-19114-31 8 APR 20 1987	

Dissemination Record of Attached Report

Agency	Request Recd.	Date Fwd.	How Fwd.	By
	200 TO Pardon Atty	6.3.86		
	PL/SF			

Notations

*1st case
5/12/86
creat. cum 18-
6/1/86*

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64 FEB 22 1988

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy To:

Report of:
Date: May 2, 1986

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Office: New York, New York

Field Office File #: 73-3631

Bureau File #: 73-19114

Title: GEORGE MICHAEL STEINBRENNER, III

Character: APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis: Civil matter 8098/84, Supreme Court, County of New York,
not verified.

-RUC-

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NY 73-3631

DETAILS:

The following investigation was conducted by
Investigative Assistant [REDACTED]

Supreme Court of New York
County of Bronx
New York, New York

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On April 29, 1986, [REDACTED] Clerk, Civil Matters,
advised that Index Number 8098/84 is not a correct index number.

On April 29, 1986, a search of 1983, 1984 and 1985
calender Docket Indexes for corporations and individuals was
conducted with negative results.

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE CLEVELAND	OFFICE OF ORIGIN BUREAU	DATE 5/5/86	INVESTIGATIVE PERIOD 4/28 - 5/2/86
TITLE OF CASE GEORGE MICHAEL STEINBRENNER, III, aka., George Michael Steinbrenner		REPORT MADE BY SA [redacted]	TYPED BY sak
		CHARACTER OF CASE APACS	

REFERENCE

Tampa Report to Bureau, 4/1/86; Bureau teletype to Buffalo, 4/3/86;
and Cleveland teletype to Bureau, 4/11/86.

- RUC -

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ADMINISTRATIVE

On 4/28/86, Supervising U.S. Probation Officer [redacted]
666 Euclid Avenue, Cleveland, Ohio, advised the Probation Department
of U.S. District Court, Northern District of Ohio, will furnish
requested Presentence Investigation Report regarding the applicant
to the Pardon Attorney, Bethesda, Maryland.

On 5/2/86, a check of Cleveland Indices was negative regarding
applicant's immediate family (father, HENRY G. STEINBRENNER (deceased);
[redacted], all
of whom reside in greater Cleveland.

APPROVED <i>[Signature]</i>	SPECIAL AGENT IN CHARGE <i>[Signature]</i>	DO NOT WRITE IN SPACES BELOW	
COPIES MADE: 3 - Bureau (73-19114) 2 - Tampa (73-312) 1 - Cleveland (73-621)		73-19114-32	
		8 APR 20 1987	
Dissemination Record of Attached Report		Notations	
Agency		6/1/86	
Request Recd.	2cc to Pardon Atty 6-3-86		
Date Fwd.	PL/SP		
How Fwd.			
By			

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26 FEB 19 1988

FBI/DOJ

CV 73-621

For the information of the Bureau and Tampa, a review of Cleveland Indices regarding the applicant reflect numerous references. A review of Cleveland Indices from March, 1979, the date of the initial APACS investigation, reflects the following pertinent references:

A reference to the applicant in CV 92-1320, Bufile 92-9485, [redacted] ANTIRACKETEERING; OO: CLEVELAND), reflects that on 2/6/81, [redacted] stated "GEORGE STEINBRENNER, owner of Florida Downs Racetrack, recently employed [redacted] [redacted] was involved in the training of horses at that track. Source advised that some problem developed whereby [redacted] for his services and therefore discussed the problem with [redacted] allegedly intervened on behalf of [redacted] and resolved the problem. As a consequence, [redacted] and STEINBRENNER allegedly got along rather well and are allegedly in the preliminary stages of some business activity unknown to the source. Source was informed of this information by a close associate of [redacted] It is to be noted that [redacted] is a Cleveland Family LCN member.

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THE ABOVE INFORMATION IS SINGULAR IN NATURE AND MUST NOT BE DISSEMINATED OUTSIDE THE BUREAU IN ORDER TO PROTECT THE IDENTITY OF THE SOURCE.

A second reference relating to the applicant appears in CV 183A-817, Tampa file 183A-782, [redacted] LNU; [redacted] RICO; OO: TAMPA). In CV 183A-817-2, [redacted] advised on 2/25/81, that [redacted] is operating the Transportation Unlimited Company owned by [redacted] remains as a top figure in that company, however.

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"Source indicated that [redacted] [redacted] is an independent horse trainer and was employed by GEORGE STEINBRENNER to train horses personally owned by him. STEINBRENNER's horses race at the Tampa Bay Downs Racetrack.

"STEINBRENNER was allegedly the owner of this track".

On 3/3/81, [redacted] advised [redacted] (Phonetic) owns approximately four horses that race at Tampa Bay Downs Racetrack. Recently one of his horses mysteriously died during a race. [redacted] was present at the time this occurred, and allegedly assaulted the attending vet, who [redacted] felt was derelict in attending the horse. [redacted] later learned that the vet was not licensed and directed hostile complaints toward the racetrack. According to the

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CV 73-621

source, STEINBRENNER sent "muscle" to [] to shut him up. [] allegedly severely beat up the individual sent by STEINBRENNER, and he has since been barred from the track.

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"Source further advised that [] is the [] (Phonetic) who was a jockey at a Cleveland racetrack approximately five years ago. At that time, [] relocated in Miami, Florida. [] also resided in Cleveland before relocating in Tampa, Florida, several years again".

PORIONS OF THE INFORMATION CONTAINED HEREIN ARE SINGULAR IN NATURE AND COULD JEOPARDIZE THE CONFIDENTIALITY OF THIS SOURCE. THEREFORE, IF DISSEMINATION IS DEEMED NECESSARY, THIS INFORMATION SHOULD NOT BE ATTRIBUTED TO A [] INFORMANT.

Regarding this same case, on 4/20/81, Cleveland advised SAC, Tampa that frequent contacts with [] has failed to generate additional information concerning captioned matter.

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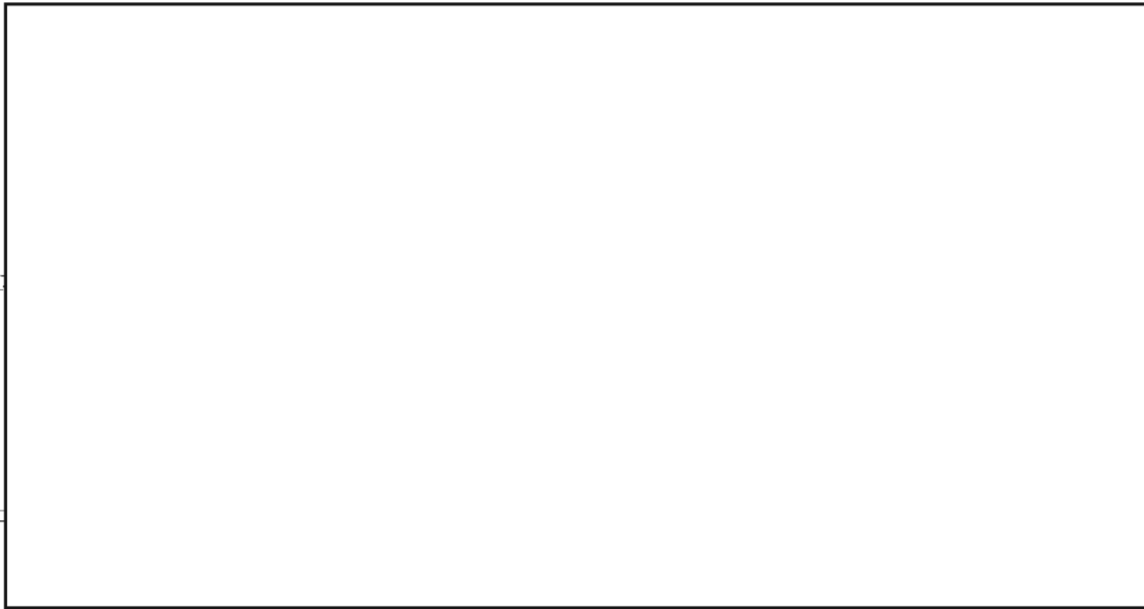
20x ✓
References are made to the applicant in CV 49A-993, Bufile 49-22922, (FRANK J. BATTISTI, Chief Federal Judge, Northern District of Ohio; et al; NBA-BRIBERY; OO: CLEVELAND). Reference to the applicant in this file reflects information from cooperative witness [] to the effect that GEORGE STEINBRENNER had contributed \$5,000 to the CARTER campaign. The context of this reference was with regard to a series of individuals who [] indicated had made political campaign contributions, some of which were legal, others of which were purportedly illegal. Nothing in this file reflects that the contribution from STEINBRENNER was illegal.

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Later references in this case, CV 49A-993-755, reflect the following information in a memo dated 5/9/84: "Federal Grand Jury proceedings pertaining to []

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CV 73-621



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~~A file reference to the applicant appears in CV~~
183A-136-537-A, a communication from SAC, Miami, 156A-82,
(UNSUBS, ATLANTIC COLD STORAGE, aka., ACS, 18770 NORTHEAST
6TH AVENUE, NORTH MIAMI BEACH, FLORIDA, ERISA; OO: MIAMI).
In this communication the pertinent information concerning
the applicant is as follows: "... (a Miami) source said...
[redacted] deceased Teamster
Leader FRANK FITZSIMMONS) and GEORGE STEINBRENNER of the
New York Yankees are going to be having dinner in Tampa
on 6/6/85, the source did not know where this dinner was
to take place, but it was not at the Tarpon Woods Country
Club. [redacted] had to pay them \$300,000 to get them out
of trucking in Tarpon Woods. Further details of this are
unknown".

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In order to furnish the Bureau with a proper
context for the above information, a copy of the above
communication is enclosed for the Bureau.

In a follow-up communication of 6/13/85, regarding
the above case, Miami advised that the source mentioned
above stated on 6/10 and 6/12/85, that [redacted] had displayed
a change of temperament in a conversation two days later,
6/7/85. Miami indicated that "This posture taken by [redacted]
on 6/7/85, was during a period of sobriety and [redacted] has
concluded [redacted] conversation on 6/5/85, was precipitated
by too much alcohol, depression and a tendency to boast
to anyone who will listen..."

CV 73-621

In a following communication dated 7/2/85, Miami advised of having polygraphed the witness. Miami advised that preliminary indications indicate the source has "no first hand knowledge of bribes or kickbacks to or from union officials nor does he appear to have any first hand knowledge of any illegal activities involving the sales or issuances of insurance policies. Although this enhances the credibility and veracity of the confidential witness, it has done nothing to provide Miami with any first hand predication supporting allegations of illegal activities by union officers".

The above information is being furnished to the Bureau for the purpose of fully advising the Bureau as to references appearing within the files of FBI Cleveland since the initial APACS investigation. Clarification regarding this information has been furnished to the Bureau where available. Any further information or leads sought by the Bureau concerning this matter is being left to the discretion of the Bureau.

With regard to the above, the Bureau should consider whether any use or dissemination of this information would in any way jeopardize investigations in Tampa and Miami, Florida. Further, sensitive source information from Cleveland, Miami, and Tampa Divisions require the utmost discretion in its handling.

ENCLOSURES

Enclosed for FBIHQ and Tampa Division are copies of FD-302, concerning GEORGE M. STEINBRENNER, III, 5/14/84; and Miami Teletype to the Director dated 6/7/85.

no cv info. from
no TP/minutes

take care
pages
any info.
concerning
possible sharing
info. with the -

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [REDACTED]
Date:

Office: CLEVELAND

Field Office File #: 73-621

Bureau File #: 73-19114

Title:

GEORGE MICHAEL STEINBRENNER, III.

Character:

APPLICATION FOR PARDON AFTER COMPLETION OF SENTENCE

Synopsis:

On 5/1/86, reference [REDACTED] Cleveland, Ohio, was interviewed and favorably recommended the applicant for consideration for pardon based upon the applicant's clean record since the 1974 Election Law conviction and the applicant's long history of charitable and positive civic involvement. U.S. Probation Officer advised presentence report will be sent to Pardon Attorney.

- RUC -

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b7cDETAILS:

On May 1, 1986, reference [REDACTED] was interviewed at the Public Square Branch of the Pewter Mug, Cleveland, Ohio. [REDACTED] advised that he has known the applicant for approximately 30 years. [REDACTED] advised that his contact with the applicant dates to their mutual involvement with a civic group established in Cleveland, Ohio, termed the "Group 66". [REDACTED] advised that this group of businessmen attempted to improve civic conditions within the city of Cleveland through their involvement and input. [REDACTED] advised that he has known the applicant since that time and has known him to be a man of the highest reputation and character and considers the applicant to be a very charitable individual especially with regard to young people. [REDACTED] advised that he knows nothing whatsoever of a derogatory nature concerning the applicant, with the exception of the 1974 Election Law conviction. [REDACTED] advised that he has never discussed this conviction nor the applicant's attitude toward this. [REDACTED] advised that it was his impression that the applicant was deeply hurt and embarrassed by this conviction.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[] advised that has also known the applicant from the applicant's connection as owner of American Ship Building and also of Kinsman Lines. [] advised that the Kinsman Lines is now defunct and/or merged with American Ship Building. In addition, [] advised that American Ship Building has relocated in total from the Cleveland-Lorain, Ohio area and has consolidated its activities in Tampa, Florida. [] advised that since American Ship Building has relocated from the Cleveland, Ohio area, that the applicant's trips to the Cleveland area are limited to those concerning visits to the applicant's family as well as to a close associate of the applicant Mr. [] [] an attorney who also represents the applicant. [] advised, however, that whenever the applicant is in town that they frequently meet, have dinner, or attend a ball game together. In addition, [] advised that it was his clear impression that the applicant continues his strong civic and charitable attitude and commitment to society.

[] advised that he personally has been the [] and knew of no "labor trouble" between the applicant and his company American Ship Building with the Long Shoremen or other Unions. [] advised that it was his understanding that tariffs concerning traffic on Lake Erie has driven much business away from this area, and is likely responsible for the applicant's relocation to the Tampa, Florida area.

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[] cited again numerous examples of the charitable nature of the applicant as previously set forth in an affidavit supplied by [] to the Pardon Attorney and indicated that these examples aptly reflected the applicant's generous nature. [] advised that he composed the substance of this matter and that it was typed on his behalf by the law firm of DANIEL R. MC CARTHY Cleveland, Ohio.

[] advised that he also was very familiar with the applicant's family. [] advised that the applicant's mother and the applicant's two sisters reside in the Cleveland area and are fine people. [] advised that the applicant's father was the founder of American Ship Building and died last year. [] advised that the applicant's father was likewise a fine individual.

[] advised that based on everything that he knew of the applicant he strongly recommended that the applicant be given consideration for pardon.

CV-73-621

On April 28, 1986, [redacted]
[redacted] 666 Euclid Avenue, Cleveland, Ohio, advised the
Probation Department of U.S. District Court, Northern District of
Ohio, will furnish requested Presentence Investigation Report re-
garding the applicant to the Pardon Attorney, Bethesda, Maryland.

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73-1114-32
ENCLOSURE

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 5/18/84

GEORGE M. STEINBRENNER, III, Chairman of the Board of Directors, the American Ship Building Company (AMSHIP), was interviewed at the Bay Harbor Inn, 7700 Courtney-Campbell Causeway, Room 600, in the presence of [redacted]

[redacted] Department of Justice, Office of Public Integrity, at which time STEINBRENNER provided the following information:

STEINBRENNER advised that he took over AMSHIP in 1968 as Chairman of the Board and President of the corporation. STEINBRENNER stated that he switched to just Chairman of the Board during the 1973 time period.

STEINBRENNER stated that he has known Federal Judge FRANK J. BATTISTI for 12 to 14 years and talks to him two to three times a year. STEINBRENNER stated he considers BATTISTI a good friend. STEINBRENNER stated however that he does not recall how he met BATTISTI.

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STEINBRENNER stated that he met [redacted] FRANK BATTISTI one or two times, however STEINBRENNER would not know him if he saw him and does not consider [redacted] a friend. STEINBRENNER further stated he met [redacted] and did not see him more than two times. STEINBRENNER knew [redacted] when [redacted] was in college.

STEINBRENNER stated that he does not recall meeting [redacted] and does not know [redacted]

STEINBRENNER stated he has known [redacted] for 12 to 15 years. STEINBRENNER stated that [redacted] was a regular at the Theatrical Restaurant and was always handing out pens containing the name [redacted] Harry Rock and Company (HRC).

STEINBRENNER stated he knows [redacted] who is a close friend for approximately 12 or 13 years or a little more. STEINBRENNER stated that [redacted] is currently on the Board of Directors of AMSHIP and prior to that position [redacted] firm did tax work for AMSHIP. STEINBRENNER

Investigation on 5/14/84 at Tampa, Florida File # Cleveland
49A-993 -770
by SA [redacted] dgc Date dictated 5/14/84

stated that [] has been a [] for AMSHIP and [] for at least 10 years. STEINBRENNER stated [] did not hold any other positions with the company other than [] prior to him becoming elected to the Board of Directors.

STEINBRENNER stated that [] in his position of [] would be in a position to know about stock splits prior to their declaration to the public. STEINBRENNER stated that anyone in house would know about pending stock splits, in that it would be discussed months in advance. STEINBRENNER stated that a stock split or stock dividend could be discussed a quarter in advanced or a half year in advance. STEINBRENNER stated that the board of directors is usually advised about a quarter in advance about a possible stock dividend and are informed not to trade in AMSHIP stock. STEINBRENNER stated that the board of directors vote on any stock splits and if the vote is favorable information is released that day.

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STEINBRENNER advised that he does not recall [] interceding on the behalf of HRC to sell scrap to HRC. STEINBRENNER stated that he was talked to by several people regarding HRC prior to 1980. STEINBRENNER advised those people could have been [] as well as Judge BATTISTI. STEINBRENNER stated Judge BATTISTI may have mentioned HRC because they were pretty good friends. STEINBRENNER stated he believes he first met [] was with Judge BATTISTI in Florida, around the early 1970's. STEINBRENNER stated that the judge could have mentioned [] STEINBRENNER explained that during 1973 or 1974 [] for approximately five years and that STEINBRENNER had nothing to do with the everyday operation. STEINBRENNER stated that to the best of his knowledge, Judge BATTISTI did not say that if HRC got scrap from AMSHIP, [] would benefit. STEINBRENNER stated that in the early 1970's he told [] that he (STEINBRENNER) would do his best to get [] in the bidding process. STEINBRENNER stated that he talked to [] AMSHIP employee, Lorain, Ohio, and advised [] to give [] a chance to bid on the scrap. STEINBRENNER stated that this occurred around the mid-1970's. STEINBRENNER stated that he does not recall if any similar incidents happened around 1980, although it could have happened once. STEINBRENNER stated that he does not recall ever telling

Continuation of FD-302 of GEORGE M. STEINBRENNER, On 5/14/84, Page 3

anyone that AMSHIP to give a contract to a particular company or individual.

STEINBRENNER stated that he was not aware that [] was receiving commissions from HRC on scrap transactions between HRC and AMSHIP. STEINBRENNER was exhibited documents obtained from HRC showing [] receiving commissions on certain transactions between HRC and AMSHIP. STEINBRENNER stated he was not aware that [] was receiving the commissions and did not know why [] was receiving the commissions.

STEINBRENNER advised that to the best his knowledge there was only one case involving himself where Federal Court in Cleveland which occurred in 1972 or 1973. According to STEINBRENNER, it pertained to a political contribution case and the matter was in front of a Federal Judge, name unrecalled. STEINBRENNER stated however it was not in front of BATTISTI. STEINBRENNER stated to the best of his knowledge there was never a case concerning himself or AMSHIP in Federal Court before BATTISTI.

STEINBRENNER stated that BATTISTI was always interested in his BATTISTI'S family and talking about various members of the family, including [] and his wife [] STEINBRENNER stated that he did not recall if BATTISTI ever asked him for favors. STEINBRENNER stated he believed that [] had [] with the Lake Carriers Group and at that time knew [] but was not aware that this [] was related to [] until a later time. STEINBRENNER stated that he did not recall if any loans were made by him personally or by AMSHIP to BATTISTI or to the BATTISTI family. STEINBRENNER stated that he may have talked to BATTISTI within the last 12 months. b6 b7C

STEINBRENNER stated that when [] attempted to buy the Chicago White Sox he received a number of calls from individuals in support of [] STEINBRENNER stated that he did not recall receiving a telephone call from BATTISTI. However if Judge BATTISTI mentioned a civil suit by [] against the Baseball Commissioner's Office he would have recalled that conversation.

STEINBRENNER stated that he knows []

in passing but does not know [] STEINBRENNER was asked if [] law firm ever represented AMSHIP at which time STEINBRENNER stated he could not answer that question because he did not know.

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STEINBRENNER stated that his companys are not currently affiliated with any teamsters union, however during 1968 to 1970, the firm owned a transport carrier company which may have been represented by the teamsters union.

STEINBRENNER stated that he never provided advanced information on stock splits or stock dividends to anyone outside the company. STEINBRENNER stated he does not recall ever talking specifically with BATTISTI concerning AMSHIP stock, other than general conversation. STEINBRENNER stated he does not recall if he was aware that the BATTISTI'S were stock holders in AMSHIP. STEINBRENNER stated that BATTISTI may have mentioned buying the stock but he does not recall.

STEINBRENNER stated that [] mentioned that the FBI was looking into scrap deals between AMSHIP and HRC. STEINBRENNER stated that he did not question [] in detail because AMSHIP has not done anything wrong. STEINBRENNER stated that [] mentioning of the FBI was a passing comment. STEINBRENNER stated that he did not recall [] subpoenaed by the Federal Grand Jury.

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STEINBRENNER stated that [] suggested that [] be nominated for a position on the Board of Directors. STEINBRENNER stated that [] was elected to the Board of Directors and is currently on the Board of Directors. STEINBRENNER stated that [] was doing [] for the corporation prior to his election to the board.

STEINBRENNER stated that he does not know if [] called AMSHIP people in Lorain on behalf of HRC. STEINBRENNER further stated that he has not talked to [] of HRC in a couple of years.

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STEINBRENNER stated he believed that in 1978 AMSHIP was awarded a large contract from National Steel as well as Interlake Steamship Company. STEINBRENNER stated the contracts were major contracts and AMSHIP experienced good earnings regarding the contracts.

CV 49A-993

Continuation of FD-302 of GEORGE M. STEINBRENNER . On 5/14/84 . Page 5

STEINBRENNER advised that [redacted] did not introduce him to [redacted] in that he knew [redacted] prior to meeting [redacted]. According to STEINBRENNER, [redacted] was not responsible in AMSHIP selling scrap to Harry Rock and Company.

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P 0070127Z JUN 85

FM MIAMI (156A-82) (PC-1) (P)

TO DIRECTOR PRIORITY

(ATTENTION: [REDACTED] UNIT CHIEF ORGANIZED CRIME AND LABOR

RACKETEERING SECTION)

DETROIT (156A-57) PRIORITY

CLEVELAND (FOR INFORMATION) PRIORITY

CHICAGO (FOR INFORMATION) PRIORITY

TAMPA (FOR INFORMATION) ROUTINE

BT

UNCLAS

UNSUBS, ATLANTIC COLD STORAGE, AKA ACS 18770 NORTHEAST 6TH AVENUE,

NORTH MIAMI BEACH, FLORIDA, ERISA 00: MIAMI

RE TELCALL TO [REDACTED] FBIHQ, JUNE 7, 1985.

RE TELCALL TO [REDACTED] DETROIT JUNE 7, 1985.

[REDACTED] (PROTECT IDENTITY) WAS DEBRIEFED BY MIAMI AGENTS
DURING THE EARLY MORNING HOURS OF JUNE 6, 1985, AFTER CONCLUDING A
LENGTHY MEETING BETWEEN HIMSELF AND [REDACTED]

DCIS
E
HG
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INDEX

183A-136-537-A

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 7 1985	
FBI - CLEVELAND	

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OF-CP vll

Noted
6-7-85
Jct

PAGE TWO MM 156A-82 UNCLAS

OF FORMER INTERNATIONAL BROTHERHOOD OF TEAMSTERS PRESIDENT FRANK
FITZSIMMONS (DECEASED), AT [REDACTED]

[REDACTED]

[REDACTED] (PROTECT) ADVISED HE HAD BEEN TELEPHONICALLY CONTACTED
BY [REDACTED] WHO WAS STAYING IN FORT LAUDERDALE FOR A FEW DAYS.

[REDACTED] STATED HE HAD BEEN SEPARATED FROM HIS WIFE FOR TEN DAYS
AND WAS GOING TO BE GETTING A DIVORCE. [REDACTED] THOUGHT IT WAS
UNUSUAL THAT [REDACTED] WOULD CONTACT HIM SINCE HE AND [REDACTED]
ARE NOT CLOSE. [REDACTED] SAID HE RECALLED SPEAKING WITH [REDACTED]
ONLY TWICE WITHIN THE PAST FOUR YEARS, HOWEVER AGREED TO MEET HIM
THAT NIGHT, JUNE 5, 1985, WHICH HE THEN DID.

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[REDACTED] CONVEYED THE FOLLOWING INFORMATION FROM CONVERSATION
WITH [REDACTED] HEREINAFTER REFERRED TO AS "THE SOURCE".

HE [REDACTED] HAS BEEN INDICTED ON THREE COUNTS, THE FIRST
INVOLVING PAYMENTS FROM AN INDIVIDUAL NAMED [REDACTED] THAT WENT
TO [REDACTED] AND WERE DISGUISED AS A LOAN AND A "NOTE"
EVIDENCING THE LOANS. THE SECOND COUNT INVOLVED \$11,000.00 OR
\$12,000.00 ALSO PAID TO [REDACTED] WHILE HIS WAS IN JAIL ON A PREVIOUS
CONVICTION FOR WHICH HE HAD PLEADED GUILTY. THE THIRD COUNT WAS A

CONSPIRACY COUNT.

[REDACTED] FEELS HIS LATEST INDICTMENT IS ALL PETTY NONSENSE AND FELT THERE WERE MUCH LARGER AMOUNTS STOLEN FROM THE COMPANY INCLUDING ABOUT THIRTY MILLION DOLLARS WHICH WAS "SWINDLED OUT OF THE COMPANY" BY OTHERS.

[REDACTED] SAID [REDACTED] WAS ALSO INDICTED AND HE IS BEING REPRESENTED BY [REDACTED] A "BIG MOB ATTORNEY" WHO WAS A FORMER PARTNER OF AN ATTORNEY NAMED [REDACTED] (PHONETIC).

[REDACTED] TOLD THE SOURCE HE WOULD NEVER PLEAD GUILTY AGAIN.

ON JUNE 17, 1985, [REDACTED] IS GOING TO GO SOMEWHERE (LOCATION UNKNOWN) TO CONFRONT TWO INDIVIDUALS NAMED [REDACTED] (PHONETIC) AND [REDACTED] (PHONETIC) WHO ARE PRINCIPALS IN A TRUCKING FIRM KNOWN AS [REDACTED] BELIEVED TO BE LOCATED IN EITHER CHICAGO OR DETROIT. [REDACTED] CLAIMED TO HAVE "HELPED PUT TOGETHER" THIS TRUCKING COMPANY (FURTHER DETAILS UNKNOWN) AND STATED HE WAS SUPPOSED TO HAVE A 15 PERCENT (FIFTEEN PERCENT) OWNERSHIP INTEREST IN (X) PIERCE TRUCKING (X) BASED ON AN ORAL UNDERSTANDING AGREEMENT BETWEEN HIMSELF AND [REDACTED] ACCORDING TO [REDACTED] CAME TO HIM AND

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CONVINCED HIM IT WOULD BE IN HIS BEST INTERESTS TO PLEAD GUILTY ON THE FIRST SET OF INDICTMENTS FOR WHICH HE SUBSEQUENTLY WENT TO JAIL ON OR ABOUT MARCH 20, 1982.

[] DID PLEAD GUILTY, IN PART BECAUSE OF PROMISES AND REPRESENTATIONS MADE TO HIM BY [] THAT THEY WOULD TAKE CARE OF HIM FINANCIALLY AND EVEN SHOWED HIM AMENDED COPIES OR CODICILS OF WILLS WHEREBY THEY [] WERE LEAVING CERTAIN ASSETS TO [] SHOULD THEY DIE PRIOR TO HIS RELEASE FROM PRISON. [] ALSO URGED HIM TO PLEAD GUILTY SO THAT "THEY" (PRESUMABLY REFERRING TO THE GOVERNMENT) WOULD NOT FIND OUT ABOUT PROPERTY OWNED BY PIERCE TRUCKING IN LA COSTA, (SAN DIEGO), FLORIDA AND AN INTEREST OR ARRANGEMENT PIERCE HAS WITH GOODYEAR RUBBER COMPANY.

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[] IS NOW ANGRY BECAUSE HE HAD BEEN DUPED BY [] INTO BELIEVING HE WOULD BE FINANCIALLY SECURE AND THEY HAVE NOW GONE BACK ON THEIR WORD. THE COMPANY, ACCORDING TO [] IS NOW WORTH 34 MILLION DOLLARS AND [] WANTS A PART OF IT. HE SAID "THEIR WIVES ARE ALL RUNNING AROUND IN JEWELS AND ME AND MY WIFE GOT NOTHING," ACCORDING TO THE SOURCE. [] SAID HE IS GOING TO ISSUE THEM "AN ULTIMATUM OR ELSE" AND SPOKE OF KILLING

THEM, ACCORDING TO THE SOURCE. THE BUREAU SHOULD NOTE AS OF DATE OF THIS COMMUNICATION, THE SOURCE WAS NOT ABLE TO DETERMINE WHERE [REDACTED] WILL BE ON JUNE 17, THE DATE THE SOURCE SAID HE WAS GOING TO CONFRONT THEM.

THE SOURCE ADVISED [REDACTED] HAD BOUGHT A HOME IN THE TARPON WOODS AREA NEAR TAMPA.

[REDACTED] ALSO SAID THAT [REDACTED] AND [REDACTED] ARE SUING (OR GOING TO SUE) [REDACTED] AND THE MICHIGAN CONFERENCE OVER SOME INSURANCE BUSINESS WHICH APPARENTLY DID NOT TURN OUT AS THEY HAD ANTICIPATED. NO FURTHER DETAILS ARE KNOWN HOWEVER THESE THREE ARE APPARENTLY ANGRY WITH [REDACTED]

ABOUT THREE WEEKS AGO [REDACTED] CAME TO SEE [REDACTED] AND TOLD HIM HE HAD GOTTEN "SUCKED INTO SOMETHIN" THAT REALLY WAS NOT HIS FAULT [REDACTED] THEN BEGAN RANTING ABOUT [REDACTED] WHO HE DOES NOT LIKE AND FEELS [REDACTED] HAS GOTTEN TOO CLOSE TO [REDACTED] AND [REDACTED] ALSO SAID [REDACTED] HAS BEEN DOING A LOT OF BUSINESS WITH [REDACTED] THE SOUTHERN CONFERENCE TEAMSTERS.

[REDACTED] THEN WENT ON TO TALK ABOUT THE NARCOTICS INVOLVEMENT OF [REDACTED] (X) (PHONETIC) [REDACTED]

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PAGE SIX MM 156A-82 UNCLAS

[REDACTED] FLORIDA, IN THE TAMPA
DIVISION. [REDACTED] HAS APPARENTLY MADE AND SUBSEQUENTLY LOST
MASSIVE AMOUNTS OF MONEY IN DRUG TRANSACTIONS. HE NOW IS FACING
SOME LEGAL PROBLEMS AS A RESULT OF THESE NARCOTIC TRANSACTIONS AND
IS LOOKING FOR "ONE LAST BIG SCORE" BEFORE HE HAS TO GO TO JAIL.
ACCORDING TO THE SOURCE, [REDACTED] IS APPARENTLY TRYING TO PUT
TOGETHER A "DEAL" FOR [REDACTED] FOR \$300,000 POUNDS (150 TONS)
OF MARIJUANA. [REDACTED] TOLD [REDACTED] THAT MONEY FOR THE DEAL
WAS "NO PROBLEM". THE SOURCE SAID AN INDIVIDUAL BY THE NAME OF
[REDACTED] (PHONETIC) MAY BE INVOLVED IN THIS NARCOTICS
DEAL. [REDACTED] AND (X)GEORGE
STEINBRENNER(X) OF THE NEW YORK YANKEES WERE GOING TO BE HAVING
DINNER IN TAMPA ON JUNE 6, 1985, THE SOURCE DID NOT KNOW WHERE THIS
DINNER WAS TO TAKE PLACE BUT IT WAS NOT AT THE TARPON WOODS COUNTRY
CLUB. [REDACTED] HAD TO PAY THEM \$300,00.00 TO GET THEM OUT OF
TRUCKING IN TARPON WOODS. FURTHER DETAILS OF THIS ARE UNKNOWN.
[REDACTED] THEN WENT ON TO STATE THAT AFTER HE GOT OUT OF JAIL
HE WAS LOOKING FOR FINANCING AND HIS BUDDY [REDACTED] PROVIDED HIM
WITH MONEY TO START A LIMOSINE SERVICE. THE SOURCE BELIEVED THIS
INDIVIDUAL IS THE ATTORNEY GENERAL FOR THE STATE OF MICHIGAN. (IN

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SUBSEQUENT CONVERSATIONS WITH THE DETROIT DIVISION ON JUNE 7, 1985, IT WAS LEARNED THAT [REDACTED] HAS A FRIEND NAMED [REDACTED] WHO IS NOT IDENTICAL WITH THE ATTORNEY GENERAL.

IN A LATER CONVERSATION WITH THE SOURCE ON JUNE 7, 1985, THE SOURCE ADVISED THAT HE WAS THE ONE WHO THOUGHT THE [REDACTED] REFERRED TO BY [REDACTED] WAS THE MICHIGAN A.G. BUT [REDACTED] NEVER USED THE TERM "ATTORNEY GENERAL" WHEN REFERRING TO HIM.

[REDACTED] TOLD THE SOURCE HE WAS LEAVING FOR TARPON WOODS, FLORIDA, AND WOULD BE THERE A WEEK TO TEN DAYS (OR UNTIL ABOUT JUNE 15, 1985) THE SOURCE DOES NOT KNOW WHERE [REDACTED] IS THEN GOING.

[REDACTED] FURTHER STATED THAT HE DOES NOT TRUST [REDACTED] AND FEELS HE HAS A LOT OF POLITICAL PULL IN AND AROUND DETROIT. HE ALSO SAID, "I KNOW WHERE [REDACTED] COMES FROM "AN APPARENT REFERENCE TO HIS LCN CONNECTIONS.

THE 302 TO CLEVELAND WILL FOLLOW. SOURCE WILL MEET WITH [REDACTED] JUNE 7, 1985, IN AN ATTEMPT TO DETERMINE LOCATION OF THE [REDACTED] MEETING AND TO OBTAIN MORE INFORMATION REGARDING THE PROPOSED [REDACTED] DRUG DEAL.

MIAMI WILL CONDUCT POLYGRAPH OF SOURCE ON JUNE 20, 1985, AND

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b7c

PAGE EIGHT MM 156A-92 UNCLAS

WILL KEEP FBIHQ AND DETROIT APPRISED OF ANY DEVELOPMENTS.

BT

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 5/6/86

SM
GRIT
5/1
TO : DIRECTOR, FBI (73-19114)
FROM : ADIC, NEW YORK (73-3631) () (A-4)

SUBJECT: GEORGE MICHAEL STEINBRENNER;
APACS;
BUDED: 5/19/86;
(OO:NY)

ReFBIHQtelcal to New York, dated 4/22/86 and New York
report, dated 4/17/86.

Enclosed for the Bureau is a copy of a news article,
dated 6/11/86 entitled "N.Y., U.S. Dignitaries Honor FBI named
Mafia leader".

LEAD

NEW YORK

NEW YORK, AT NEW YORK. Will report results of civil
action 8098/84 when made available. *(10)*

iced
② - Bureau (Encls. 1)
1 - New York

RLB:cls
(4)

7/14
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ENCLOSURE

*Rec'd
State by
Federal Express
5/7/86*

73-19114-33

8 APR 20 1987

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(Mount Clipping in Space Below)

N.Y., U.S. Dignitaries Honor FBI Named MAFIA Leader

By GORDON DILLON

U. S. Senator Jacob Javits, the senior Republican member of the Senate committee investigating organized crime, was listed as a guest at a testimonial dinner honoring a man the FBI claims is a prominent member of the Mafia.

However, Don Zimmerman, and aide to Senator Javits, said the New York senior senator's name was listed in error.

Zimmerman said, "He had an invitation and sent his regrets." He added, "I assume his schedule didn't permit his attending." When asked why Javits' name should have been listed, Zimmerman replied, "Well, all I can tell you is that he wasn't there. I guess they could say Abraham Lincoln was there."

Error or not Javits' name led what could be called a political-hit-parade of top New York and national political leaders paying homage to the La Cosa Nostra leader.

Lending their names, indirectly and innocently, were: George Meany, President of the AFL-CIO; Speaker of the House of Representatives, Carl Albert; House Democratic Majority Leader, Congressman Thomas P. "Tip" O'Neil, Jr.; former Vice-President and now U. S. Senator, Hubert H. Humphrey and Congressman Lindy Boggs.

The guest of honor was Anthony Scotto, identified by the FBI as a Capodecina in the LCN family headed by Carlo Gambino, Scotto presides over Brooklyn Local 1814 of the International Longshoreman's Association, formerly headed by the late Albert Anastasia.

The tribute to Scotto was held on April 24th at the Americana Hotel in New York City, but was not reported by the local New York news media, although the dinner was an open affair.

Seated on the dais were top New York and national business, political and labor leaders.

Among those addressing the audience and praising Scotto were: Thomas W. Gleason, President of the International Longshoreman's Association AFL-CIO; New York City Mayor Abraham Beame; New York Governor Hugh L. Carey...and former U. S. Ambassador to the United Nations, Daniel

(Indicate page, name of newspaper, city and state.)

AL VIRGINIAN OBSERVER
Norfolk, Virginia

Date: Jun 11, 1976

Edition:

Author:

Editor:

Title:

Character:

or

Classification:

Submitting Office: Norfolk

☐ Being Investigated

ENCLOSURE

183-346-113

Patrick Moynihan.

Scotto is probably the most powerful influence in New York politics.

Beame and Carey attended the meeting to pay respect, and Moynihan, who hopes to run as the Democratic nominee for the U.S. Senate seat now held by conservative Republican Senator James Buckley, attended to curry favor and support.

Scotto is a marked contrast with the typical image depicted by old George Raft and Edward G. Robinson movies.

Scotto is a college educated, erudite man who speaks softly and grammatically correct. He dresses conservatively. Always a gentlemen, he is the epitome of courtesy.

Just why Gleason participated in the testimonial is not known. Scotto earned Gleason's eternal enmity when he tried to depose Gleason as ILA president eight years ago.

However, forces led by the late David D. Alston, ILA Vice-President from Norfolk, rallied behind Gleason and re-elected him as president.

A brief biographical sketch of Scotto's involvement in labor and political affairs handed out at the event outlines his influence and provides the answer to the question raised by famous New York detective Frank Serpico of "Why" Mayor John Lindsay would not correct the massive corruption Serpico uncovered.

Lindsay owed his political life to Scotto, who brilliantly formed the "Independent" party to support Lindsay in a three-way race after he lost his party's nomination in the 1966 Republican Primary.

Lindsay then appointed Scotto to the New York City Council on Port Development and Promotion. Lindsay reappointed him again in 1970 and Beame followed suit in 1974. Without Scotto's support — Beame could have lost the election.

New York Governor Hugh Carey also owes his political life to Scotto's support.

Scotto headed the "Labor Committee to Elect Hugh L. Carey — Governor." Scotto's "Carey" committee decided to become permanent and after the election changed its name and is now known as the "New York State Labor Committee for Political Action", of which he is co-chairman.

The FBI-named MAFIA member is also the chairman of the advisory board of the "N. Y. State AFL-CIO's Committee on Political Education."

His dual spheres of influence make him probably the most powerful political leader in the Big Apple and the Empire State.

Last year, Carey appointed Scotto as a member of the New York State Economic Development Board where he also serves as chairman of its Transportation Committee and as a member of its executive committee.

At the same time, perhaps in an effort to get him "out of his hair," Gleason appointed Scotto the post of Legislative Director of the 500,000 member union with headquarters and influence in the nation's capitol.

Scotto is paid separate salaries as president of Local 1814 and as an ILA international vice-president. He serves as president of the AFL-CIO's Port Council of Greater New York and Vicinity, affiliated by some 160 unions representing over 400,000 members.

What Scotto lost in defeat to Gleason he appears to have gained in power and influence, as Senator Javits can attest.

Javits, Carey, Lindsay and Beame are in the mainstream of New York politics and should have known about the 1969 FBI report. Perhaps this was why Javits did not attend.

In any event, one year after the FBI report, the New York State Joint Legislative Committee on Organized Crime summoned Scotto and asked him to deny the allegations made by the FBI. He refused to answer the FBI charges, pleading the Fifth and Fourteenth amendments of the U.S. Constitution.

The FBI report and legislative hearing were a matter of public record, something the leaders of the bankrupt city and state and its congressional representatives must have known.

Two years later, in 1972, President Richard M. Nixon asked for and received Scotto's support for his reelection bid. The two

were pictured together in a campaign photo.

Outside of Javits, who is the top Republican member of the permanent Subcommittee on Investigations of the Government Operation Committee, Gleason, Beame, Carey and Moynihan — other dais dignitaries listed include such big business, political and labor names as: Karl E. Bakke, Chairman of the Federal Maritime Commission;

Isadore Becker, Chairman of the Board of Schenley Industries;

Robert J. Blackwell, U. S. Assistant Secretary of Commerce;

Sol C. Chaikan, President of the ILGWU AFL-CIO;

Arthur Cooperman, Chairman of the N. Y. Workmen's Compensation Board;

Thomas Cuite, majority leader of the New York City Council;

Joseph G. Kordsmeir, Vice President of the Hyatt Corporation;

Sam Kovenetsky, Labor Advisor to Governor Carey;

Sebastian Leone, President of the Borough of Brooklyn; George Steinbrenner, President of the American Shipbuilding Company and general partner and principal owner of the New York Yankees;

Stanley Steingut, Speaker of New York's lower House;

William J. Usery, U.S. Secretary of Labor;

John E. Zuccotti, New York City First Deputy Mayor.

Members of the U. S. House of Representatives listed at the head table at the testimonial were:

Congressman Mario Biaggi;

Congressman John Murphy;

Congressman Frederick W. Richmond;

Congressman Leo C. Zeferetti.

Like the other participants, the U. S. solons represented both the Republican and Democratic political faiths.

Scotto was chosen as a man to be honored by "The New Direction, Inc." of Whitney, Pennsylvania. Its executive director, David James Hemmecoling, said the purpose of the group is to raise funds for college scholarships for "youths who are serious about their future and who need help." However, all help was confined to students seeking higher education in the "greater Pittsburg area" — of Pennsylvania.

The "New Direction" letterhead was included in the testimonial brochure honoring Scotto and listed as "Honorary General Chairman" George Meany, head of the AFL-CIO. "National Sponsors" included House Speaker Albert from Oklahoma, O'Niell from Massachusetts, Humphrey from Minnesota, former aide to President Lyndon Johnson, Jack Valenti, who now lives in California, and Congresswoman Boggs, who represents New Orleans.

Listed directly under national names like Meany, Humphrey and Albert as the opener to honor Scotto, were such FBI-named Mafia personalities as Anthony Anastasio and Anthony Pimpinella, who served on the "Dinner Committee" to sell tickets honoring Scotto.

Scotto's LCN "Gambino" family is headed by the aging Carlo Gambino, who assumed control after the barbershop murder of Albert Anastasia.

According to informed sources, the Gambino "family" is one of the cleanest mobs. It follows the late Frank Costello's advise and refrains from prostitution and drugs.

Its major activities are legalized gambling and loan-sharking.

But according to a series of stories by ace reporter — Tom Renner of Newsday, the Gambino family is one of nine LCN units illegal aliens from Sicily across the Canadian border into the United States. Some were slipped in from South America and Mexico. Renner quoted federal authorities as saying the purpose of the racket was three-fold;

1) The mob needed new endorcers or hit men to quell rebellion from the young turks within their own ranks — and they needed the new faces to replenish their limited supply of traveling assassins.

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2) The overwhelming majority of those smuggled across the borders wound up as cheap labor for mob-supplied pizza parlors. The new workers became virtual slaves, working 72 hours a week for \$65.00. Should they complain, they were turned in to Immigration authorities and deported.

3) All of the aliens had to pay a minimum fee of \$500 each plus 25 per cent of their savings. Renner estimates the new source to the mobs' coffers to be \$10,000,000 a year.

The Gambino family is also involved in legitimate real estate developments on the east coast — principally in New Jersey and Florida. It was definitely that Moynihan, Beame, and Carey were at the testimonial. None could be reached for comment.

However, in a recent television interview on CBS Moynihan said, "If you ask if I'm a man who can always sense that something wrong is going on around him, no, I'm not."



SCOTTO



JAVITS



MOYNIHAN

FBI

TRANSMIT VIA:

Teletype
Facsimile
X Airtel

PRECEDENCE:

Immediate
Priority
Routine

CLASSIFICATION:

TOP SECRET
SECRET
CONFIDENTIAL
UNCLAS EFTO
UNCLAS

Date 5/7/86

To: DIRECTOR, FBI

From: SAC, JACKSONVILLE (73-R-2) (RUC)

Subject: GEORGE MICHAEL STEINBRENNER
APPLICATION FOR PARDON
AFTER COMPLETION OF SENTENCE
BUDED: 5/19/86

Re Bureau teletype to Jacksonville, 4/3/86 and
Jacksonville teletype to Bureau, 4/14/86.

Enclosed for the Bureau is a xerox copy of Jacksonville
memo dated 4/10/85, JK 162C-893.

No further investigation being conducted by
Jacksonville.

- ② - Bureau (Encl. 1)
2 - Jacksonville
(1-73-R-2)
(1-73-364)

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