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(see Exhibit 1A51)
(Received 1/17/02) 49

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

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Date of transcription 05/22/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. 20530. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

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Investigation on [redacted] at Washington, D.C.

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File # 58A-NY-278417-Sub302 Date dictated

by SA [redacted] kjw

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(see Exhibit 1A53)
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213

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/15/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

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Investigation on [redacted] at Washington, D.C. b3

File # 58A-NY-278417-Sub302 Date dictated

by SA [redacted] kjw b6 b7C

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(see Exhibit 1456)
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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/18/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room #2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

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Investigation on [redacted] at Washington, D.C.

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File # 58A-NY-278417-Sub302 Date dictated

by SA [redacted] kjw

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(see Exhibit 1A57)
(Received 1/17/02)

FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 05/21/01

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

[redacted] date of birth [redacted] Social Security number [redacted] home address [redacted] work phone [redacted] was interviewed at 950 Pennsylvania Avenue, NW, Room 2712, Washington, D.C. Present during the interview was [redacted] Assistant United States Attorney, Southern District of New York (SDNY). After being advised of the identities of the interviewing parties and the nature of the interview, [redacted] provided the following information:

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Investigation on [redacted] at Washington, D.C.

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File # 58A-NY-278417-Sub302 Date dictated

by SA [redacted] kjw

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FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/16/83

[redacted] was interviewed at the Office of Assistant United States Attorney (AUSA) [redacted]. At the outset of the interview [redacted] was advised that his attendance was strictly voluntary and that he was free to leave at any time. [redacted] was also advised that he was a possible target for prosecution and that before making any statements he had the right to consult with an attorney. [redacted] replied that he understood that his attendance was voluntary and he was not compelled to make any statement. [redacted] added that he did not wish to speak with an attorney and that as far as he was concerned his conscious was clear and that he had not knowingly broken any Federal Laws. [redacted] then provided the following information:

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Investigation on 8/10/83 at Washington, D.C. File # WFO 159A-493

SA's [redacted] and

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by [redacted] RPH:arc Date dictated 8/14/83

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FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 11/22/83

Mr. DAVID MARGOLIS, Chief, Organized Crime and Racketeering Section, United States Department of Justice (DOJ), Washington, D. C. (WDC), telephonically contacted Special Agent (SA) [redacted] and advised as follows: b6 b7C

MARGOLIS advised that in thinking back on the previous interview of October 19, 1983, he recalled that on January 13, 1982, which was the day of the Air Florida airplane crash, he had plans to attend a going-away party for [redacted] who was council for the Sentate Permanent Subcommittee on Investigations on Capitol Hill. In going over his appointment catalog, he noticed a telephone call to [redacted] and after thinking about this, he remembered that [redacted] was the individual working with [redacted] whom he telephoned in order to cancel his plans to attend the reception. MARGOLIS advised that [redacted] was leaving to go into private practice and a large group of people were expected to attend.

MARGOLIS advised that it was well known that he planned on attending this reception and he believed that invitations were sent out regarding it. Because of the blizzard and traffic problems on that day, he was forced to cancell, but according to his recollection, the party did go ahead as scheduled. MARGOLIS did not know whether or not a guest list was maintained, but advised that this was being arranged by FBI Agent [redacted] who was on detail to the committee. MARGOLIS suggested the possibility of attempting to obtain a guest list or possibly a list of people invited in order to determine whether or not [redacted] or anyone associated with him, might have been in attendance.

Investigation on 10/24/83 at Washington, D. C. File # WFO 183A-873-149

by SA [redacted] kfo Date dictated 10/27/83 b6 b7C

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FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 11/22/83

Mr. DAVID MARGOLIS, Chief, Organized Crime and Racketeering Section, United States Department of Justice (DOJ), Washington, D. C. (WDC), telephonically contacted Special Agent (SA) [redacted] and advised as follows: b6 b7C

MARGOLIS advised that after being interviewed by the Federal Bureau of Investigation (FBI) on the previous day (October 19, 1983) he contacted Assistant Attorney General, [redacted] and advised him that he had been interviewed. b6 b7C In discussing this with [redacted] advised MARGOLIS that it was his recollection that during the April, 1981, visit by MARGOLIS to BROWN UNIVERISTY, the trip was covered by the news media. [redacted] daughter was a student at BROWN UNIVERSITY during this time period and [redacted] recalled receiving a copy of a news article which she cut out and sent to him concerning MARGOLIS' visit. He could not recall whether the article was published by one of the local newspapers or by the BROWN UNIVERSITY student newspaper. MARGOLIS advised that he wanted to bring this information to the attention of the FBI as a possible means of [redacted] obtaining his name.

Investigation on 10/20/83 at Washington, D. C. File # WFO 183A-873 -150

by SA [redacted] kfo Date dictated 10/27/83 b6 b7C

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FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/30/83

Mr DAVID MARGOLIS, Chief, Organized Crime and Racketeering Section, Criminal Division, United States Department of Justice (DOJ), was interviewed at his office which is located in the Main Justice Building, Washington, D.C. (WDC). Having been apprised of the identities of the interviewing agents, MARGOLIS was advised that the interview concerned allegations that someone within DOJ had attempted to block or impede an investigation of several officers and associates of the Laborers International Union of North America (LIUNA). MARGOLIS was advised that this investigation began in approximately 1980 and was initiated in Miami, Florida, and Boston, Massachusetts. MARGOLIS was further advised that, according to information provided to the Federal Bureau of Investigation (FBI), his name had been provided as a DOJ official who had possibly participated in blocking this investigation. It was further explained to MARGOLIS that it was for this reason that he was being interviewed. MARGOLIS consented to the interview and provided the following information:

MARGOLIS was shown a photograph of [redacted] and after viewing it he advised that this individual was not familiar to him. He further advised that the name [redacted] was not familiar to him, and to the best of his knowledge he has never met [redacted] MARGOLIS advised that he was not familiar with the Veridat Corporation and did not associate this company with [redacted] b6 b7C

It was explained to MARGOLIS that [redacted] attended Brown University from approximately 1959 through 1963, and he expressed surprise stating that he (MARGOLIS) had graduated from Brown University in 1961. At this point in the interview MARGOLIS checked his 1971 Brown University Catalog of Almuni, and was able to locate [redacted] name who, according to this catalog, graduated in 1963. This catalog gave a brief description and background on [redacted] and after reviewing it, MARGOLIS advised that he was still unable to recall ever meeting [redacted] or having any contact with him. Because they did attend Brown University during the same time period, MARGOLIS advised that he could not swear that he has never been introduced to [redacted] however, he had no recollection of such an introduction.

MARGOLIS' attention was directed to the dates of January 13, 1982, and March 17, 1982, and he was asked whether or not he recalled meeting with, or talking to, [redacted] or any one who might have been 151

Investigation on 10/19/83 at Washington, D. C. File # WFO 183A-873-151

SA [redacted] AND b6
by SA [redacted] kfo Date dictated 10/24/83 b7C

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associated with him. MARGOLIS advised that he did not do so. In order to verify this he located his 1982 appointment calendar, and reviewed it for the dates in question. According to his appointment calendar, MARGOLIS was in his office during the morning hours of January 13, 1982, and was scheduled to attend a going-away party on Capitol Hill in the afternoon. MARGOLIS recalled that a party was being given for [redacted] [redacted] Counsel to the Permanent Subcommittee on Investigations for the United States Senate. MARGOLIS recalled that January 13, 1982, was a day onwhich a sever snow storm hit the WDC area, and was also the day of the Air Florida Airline Crash. Because of the weather, MARGOLIS was forced to cancel his plans to attend [redacted] going-away party, and he left his office early in an effort to go home. b6 b7C

MARGOLIS advised that it was his recollection that he left with his car pool at approximately 3:00 p.m. on this date. After being held up by a massive traffic jam they were forced to return to the DOJ building where they remained until approximately 8:00 p.m. MARGOLIS advised that members of his car pool, who were with him on that day, were [redacted] from his section, [redacted] from the Public Integrity Section, and he believed [redacted] (phonetic) from the Office of Professional Responsibility. He further advised that after returning to the DOJ building, all of these individuals went to MARGOLIS' office where they remained until approximately 8:00 p.m. At that time they were able to depart for their residences. According to his records and recollection, MARGOLIS remained home on the following day as Administrative Leave was given to most government employees. In reviewing the records for this time period, MARGOLIS was unable to locate anything which was unusual or appeared to be abnormal. There was nothing which would indicate that Margolis was scheduled to meet with anyone outside the DOJ, with the exception of [redacted] going-away party, and there were no indications that anyone tried to contact him. b6 b7C

In reviewing the records of March 17, 1982, MARGOLIS advised that this date also appeared to be a normal business day. There was nothing which would indicate meetings or contacts with MARGOLIS on the part of anyone outside the normal course of business. MARGOLIS had no specific recollection of his activities on March 17, 1982, but advised he was certain that he had no contact with [redacted] or anyone associated with, or representing [redacted] b6 b7C

MARGOLIS advised that he was familiar with a prosecutive memo (Pros Memo) submitted by [redacted] in March of 1980, which requested authorization to indict several individuals associated with or employed by the LIUNA. MARGOLIS advised, however, that he did not get involved in this matter until after a second memo was written by [redacted] in approximately December, 1980. Until that time it was MARGOLIS' recollection that his deputies, [redacted] and [redacted] were handling this matter. Because the first memo was not up to usual standards, and lacked the necessary information, [redacted] had been ordered to rewrite his proposal. It was as a result of this that MARGOLIS became involved. A difference of opinion occurred between what [redacted] felt was necessary for approval, and what [redacted] felt was necessary for approval, and MARGOLIS became involved in the dispute. MARGOLIS advised that he does not normally become involved in matters such as these, and it was very unusual for him to participate in this type of thing. MARGOLIS advised that he usually becomes involved in matters of national importance such as the "ABSCAM" investigation, or matters involving political figures such as congressmen. MARGOLIS did not consider the LIUNA investigation such that it warranted his personal attention, and he felt that it could be adequately handled by his deputies.

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MARGOLIS advised that at no time during the LIUNA investigation was he approached by anyone, and asked to influence any portion of the investigation, or subsequent indictment, for political consideration or any other reason. MARGOLIS advised that he was not approached by anyone from within DOJ, or anywhere else, concerning such a request, and he had no information, whatsoever, which would indicate that any attempt was made to delay this investigation in any fashion. MARGOLIS advised that he could make this statement without hesitation to include anyone at DOJ to include the Attorney General who was BENJAMIN CIVILETTI at that time.

MARGOLIS was advised that his name had surfaced as an individual within DOJ whom [redacted] was using in order to obtain information. He was further advised that this information had been provided to the FBI by an informant, and he was asked to speculate why his name would have been provided. MARGOLIS advised that the information was not true. He speculated that someone might have been using his name in order to influence other individuals, and in order to give appearances that they could produce information. MARGOLIS referred to this tactic as "rain making," and advised that this has happened to him in the past. MARGOLIS could offer no other explanation, and advised that he did not associate [redacted] or anyone else who might be connected to [redacted] as being in a position to use his name in this fashion.

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MARGOLIS was asked to review the history of [redacted] prosecutive memo as he recalled it. He advised that, as previously stated, he did not really become involved until the second prosecutive memo was submitted in December, 1980. It was MARGOLIS' recollection that a "document purporting to be a pros memo" was submitted by [redacted] in March, 1980, while he was still with the Miami Strike Force. [redacted] was in charge of the Miami Strike Force, and was initially responsible for reviewing this prosecutive memo. At some point it was turned over to [redacted] MARGOLIS advised that [redacted] was appointed as Chief of Strike Force 18 in WDC sometime after submitting the first memo, and because Strike Force 18 is handled by [redacted] the memo was transferred to him. MARGOLIS recalled that [redacted] had been involved in a trial in Atlanta, Georgia, in 1980, and returned to WDC in approximately June or July. It was after returning to WDC that [redacted] became involved in the review of [redacted] first memo.

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MARGOLIS advised that [redacted] became Chief of Strike Force 18 in approximately June, 1980, after applying for the position. Generally, Strike Force Chiefs are selected by MARGOLIS, but in this case the decision was made by Deputy Assistant Attorney General [redacted] MARGOLIS explained that [redacted] was in the process of rejuvenating Strike Force 18. [redacted] wanted to use Strike Force 18 as a National Strike Force which would handle cases throughout the country. [redacted] was [redacted] choice and MARGOLIS did not object to him.

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MARGOLIS went onto advise that he did not know [redacted] very well at this time, and his choice was [redacted] who was being promoted by DOJ Public Integrity Chief [redacted] [redacted] had a good reputation, and because [redacted] wanted him MARGOLIS did not raise any objections.

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In December, 1980, MARGOLIS became aware that [redacted] was dissatisfied with the way the prosecutive memo was being handled. MARGOLIS was not aware of previous discussions between [redacted] concerning the memo, and he was not made aware of the quality of the first memo until that time. MARGOLIS believed that he received a copy of the second memo approximately two weeks after it had been written and after reviewing it he was of the opinion that it was still not up to standard. The memo did not specify how [redacted] intended to prove the allegations as stated. In short, MARGOLIS advised that the memo did not state what proof, or evidence, [redacted] had which could be introduced at the time the matter was tried in court.

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MARGOLIS advised that after reviewing this memo he had several long discussions with [redacted] and [redacted] special Counsel within the Organized Crime and Racketeering Section. These individuals were all in agreement that the second prosecutive memo submitted by [redacted] was not a complete product and they were against approving it. In addition to these discussions, there were several discussions on the same subject matter with [redacted] and other prosecutors assiged to assist him. During these discussions MARGOLIS made [redacted] aware of the problems he felt needed correction, and [redacted] tried to argue his point that the prosecutive memo was complete and should be authorized. b6 b7C

MARGOLIS recalled that one of these meetings was attended by [redacted] who is considered the "RICO expert" in the Organized Crime and Racketeering Section. [redacted] reviewed the case and was of the opinion that a viable RICO theory existed. During [redacted] review he was not concerned with the evidence, and was lead to assume that [redacted] could prove what he he was alleging. [redacted] was consulted for his assistance in determining whether or not the theory of the case was valid, and could be prosecuted under the RICO statute. [redacted] determined that it could be, but he had no imput and was not consulted regarding the evidence, or whether or not [redacted] could prove his case. b6 b7C

MARGOLIS advised that this was the thrust of the whole problem. Everyone was in agreement that there was a good RICO violation, but the problem they were faced with was that of trying to get [redacted] to list the evidence in some type of written format in order to evaluate it. b6 b7C

It was shortly after this time that both [redacted] and MARGOLIS began writing memos, as the discussions did not appear to be resolving anything MARGOLIS advised that on February 20, 1981, he wrote a memo to [redacted] suggesting that he tailor the proposed indictment to focus on the strongest portions of the case. MARGOLIS went on to state his reasons for making this proposal, and suggested that [redacted] prepare a memo outlining the strengths and weaknesses of this type of prosecution. MARGOLIS also suggested that [redacted] prepare a draft indictment; MARGOLIS pointed out that until this time he had yet to see a draft indictment, and it was his understanding that one had not been prepared.

MARGOLIS advised that also on February 20, 1981, a memo was prepared by [redacted] urging that a decision in the proposed LIUNA prosecution be reached as soon as possible. [redacted] advised that although he had no proof, it was his opinion that the [redacted] memo was prepared in response to the memo written by MARGOLIS on the same day. MARGOLIS advised that his memo was hand-delivered to [redacted] office by [redacted] several hours before the [redacted] memo was received by [redacted] It was MARGOLIS' belief that [redacted] had read his memo and then prepared a response for it. MARGOLIS advised that this was his opinion, and he stated that the memo prepared by him was not in response to [redacted] memo. b6 b7C

MARGOLIS advised that on February 23, 1981, [redacted] prepared a memo to him addressing the February 20, 1981, memo in which MARGOLIS suggested limiting the prosecution. In this memo [redacted] set out his reasons for authorizing the prosecution as originally proposed and argued that the indictment should include all defendants as set out in his original March, 1980 prosecutive memo.

MARGOLIS did not agree with [redacted] arguement, as set forth in this memo, and he instructed [redacted] to prepare a memo in response to [redacted] February 23, 1981, memo. At this point in the interview MARGOLIS provided a copy of a routing and transmittal slip to [redacted] advising him to respond to [redacted] memo. Under the remarks section of this slip, MARGOLIS advised that [redacted] memo was inaccurate and contained omissions of material facts. MARGOLIS went on to instruct [redacted] to prepare a memo in response, and in doing so he advised [redacted] that "it should be polite, but firm and should point out that his written product and the problems inherent in his cavalier approach caused us to spend inumerable hours on this. Further, that his so-called starting date of a year ago is false. A pros memo is not submitted until it is at least semi literate." MARGOLIS went on to advise [redacted] that if he felt it was appropriate, he could prepare this memo, over MARGOLIS' signature and leave off [redacted] initials. MARGOLIS went on to advise that he assumed that the memo had been prepared by [redacted] and at a later date, he learned that [redacted] had not prepared the memo as requested. After questioning him, MARGOLIS determined that [redacted] had chosen not to respond, and had let the matter drop out of friendship to [redacted] felt that by responding in such a matter it would only create more friction, and he chose to let the matter drop. MARGOLIS advised that he learned that [redacted] had not prepared the response after reviewing the file in preparation for a meeting requested by [redacted] in early April, 1981. [redacted] was the United States Attorney for the Southern District of Florida, and he had requested a meeting by letter to Associate Attorney General RUDOLPH W. GUILIANI. b6 b7C

MARGOLIS advised that by this time there was a considerable amount of hostility between [redacted] and himself, and [redacted] was angry with the results of the earlier reviews. [redacted] resented being asked the questions which were raised, but in MARGOLIS' opinion the questions were fair and to the point. They needed to be addressed. As stated previously, the main problem was the lack of evidence, or [redacted] refusal to include it in his memos. [redacted] also took the position that certain people should be prosecuted,which in the opinion of MARGOLIS and his subordinates, was not warranted. b6 b7C

The main problem surrounding this was a concern on MARGOLIS' part that [redacted] would be prosecuting individuals who had previosuly been immunized, and individuals who were already serving jail sentences for similar offenses. There was also a considerable amount of concern on MARGOLIS' part that the main government witness, [redacted] was not credible. MARGOLIS was aware that prosecutors from other jursidictions, who had used [redacted] as a witness, were not pleased with his ability as a witness for the government. MARGOLIS advised that in New Orleans and Los Angeles [redacted] testimony was useful in the prosecution of [redacted] but in those cases tape recordings were used to corroborate [redacted] testimony. These cases were successful, however, in Texas the prosecution of officials there was not successful. This was partly due to the fact that [redacted] could not be corroborated. There was concern in the Florida LIUNA case that [redacted] could not be corroborated. b6 b7C

MARGOLIS advised that even with these problems, it was the opinion of the Organized Crime and Racketeering Section that the case should be indicted, and this was never a question. The only serious question was who should be indicted, and it was his opinion that the number of individuals to be indicted should be scaled down considerably. MARGOLIS advised that even with all of the problems previously stated it was his opinion that it was still a salvageable case. However, in order to indict all of the defendants, which [redacted] was seeking, it would have been necessary to obtain additional evidence. MARGOLIS advised that if he had felt at any time that the case was not indictable, he would have told [redacted] so, and that would have been the end of it. MARGOLIS advised that the discussions, and subsequent memos, were not exercises to try and grind [redacted] down or discourage him. MARGOLIS advised that had he wanted to do something of this nature, he would have simply ordered [redacted] off the case, and closed it. b6 b7C

MARGOLIS advised that [redacted] became involved in this investigation during the early part of 1982, however, MARGOLIS had no communication or contact with [redacted] until he received a letter dated April 3, 1981, from [redacted] to GUILIANI. This letter requested a conference to appeal MARGOLIS' decision to decline prosecution on some of the defendants in South Florida. MARGOLIS did not know how [redacted] stood on this matter until this time, but he was sure that [redacted] was supportive of [redacted] and his attempts to indict the individuals who were originally set out in the March, 1980, prosecutive memo. [redacted] letter was written as a result of a March 5, 1982, memo from MARGOLIS to [redacted] which basically stated that MARGOLIS was standing firm on his position as previously stated in the February 20, 1982, memo. b6 b7C

As a result of [redacted] letter, two conferences were arranged in WDC which were attended by MARGOLIS. The first conference was with ASSISTANT ATTORNEY GENERAL [redacted] and after discussing matters with [redacted] they proceeded to GUILIANI'S office where a second conference was held. Besides himself, [redacted] and [redacted] there were a few other DOJ officials in attendance, but MARGOLIS had no specific recollection of who was actually there. In the first conference with [redacted] made a presentation stating his reasons for supporting [redacted] and the need to indict all individuals under investigation in South Florida. MARGOLIS presented his views to [redacted] and argued basically the same as he had throughout this investigation. MARGOLIS told [redacted] that he had doubts as to whether or not convictions could be obtained for all of these individuals. b6 b7C

At the conclusion of the conference, [redacted] made a decision that the indictment should include all of the individuals set forth in the original prosecutive memo, with the exception of four or five individuals. As MARGOLIS recalled, the individuals who [redacted] declined on were [redacted] was in agreement with MARGOLIS that the government lacked corroboration for the witnesses against these individuals. [redacted] reasoning behind reinstating the remaining defendants was that they were not the main thrust of the case, and the government really had nothing to loose by indicting them. He felt there was enough evidence to go ahead and include them in the indictment, however, if a problem came up later concerning them, it would not influence the main thrust of the case. b6 b7C

After concluding the conference with [redacted] the group went into GUILIANI'S office, and [redacted] began to make his presentation to GUILIANI. At this time MARGOLIS interrupted [redacted] and told GUILIANI that it was not necessary to go through [redacted] presentation as [redacted] had already made a decision. MARGOLIS briefed GUILIANI on the previous decision, and brought him up to date on the present status of the investigation. After listening to this, GUILIANI questioned why the other five individuals were not also being included, and he advised that it was his opinion that they should also be indicted. GUILIANI overruled the previous decision by [redacted] and he made a decision that all of the defendants should be included. GUILIANI'S reasoning was based upon his opinion that there was sufficient evidence against all of the defendants, rather than just a portion of them. He took an all or none position. b6 b7C

MARGOLIS advised that he was not upset with the decisions made by [redacted] or GUILIANI, and he stated that it was their call to make. MARGOLIS considered this to be a policy decision, which was made by his superiors, and because he was overruled by them he considered the matter finished. MARGOLIS advised that he made his opinions known and felt that he had fulfilled his responsibilities. MARGOLIS advised that [redacted] and GUILIANI were excellent attorneys, and were more than qualified for their positions. It was their responsibility to make decisions such as this one, and they were in a position to evaluate all of the facks and circumstances. MARGOLIS had no problem with the decision which was ultimately reached, and he was not upset that he had been overruled. He felt that in voicing his opinion, and making his arguements, he had done everything he could. His superiors did not agree with him in this case, and there was nothing wrong with that. MARGOLIS was not bitter as a result of this decision and considered the matter closed. b6 b7C

Concerning the prosecution in this matter, MARGOLIS advised that the attorneys were assigned to the United States Attorney's Office under [redacted] MARGOLIS advised GUILIANI that he felt uncomfortable prosecuting the case out of the Organized Crime and Racketeering Section for the reasons already set out. He further advised GUILIANI that it was his call to prosecute it, and that if [redacted] wanted it MARGOLIS preferred letting him have the case completely. MARGOLIS went on to advise GUILIANI that the attorneys who had been working on this matter and who were familiar with the case, should be allowed to prosecute it. However, MARGOLIS suggested that these attorneys, who were assigned to him, be temporarily assigned to the United States Attorney's Office in order to prosecute it. b6 b7C

MARGOLIS advised that it was at his suggestion that these attorneys were assigned to [redacted] and he did so in order to give the case the best shot at successful prosecution. This was not done in an effort to withdraw support, but rather to assist in providing the best resources available. MARGOLIS advised that once the decision had been made it was in the best interest of the DOJ to do everything possible to insure successful prosecution. b6 b7C

There was one additional reason for requesting the attorneys be assigned to [redacted] and this had to do with budgeting. MARGOLIS advised that although this was a minor concern it was taken into consideration, and because his funds were running low, he saw this as an opportunity to cut some expenses. MARGOLIS advised that although this was a consideration it was a small one, and he would never let something of that nature effect the prosecution of a case. [redacted] agreed to accept this responsibility, and there was no further discussion.

MARGOLIS conceded that to someone onthe outside, it might appear as though he had lost control of the LIUNA case, but this would not be an accurate assessment. There was some gossip regarding this, but nothing to any great extent. MARGOLIS advised that there was an account of this in the December, 1982, issue of the American Lawyer.

MARGOLIS was advised that statements had been made to the FBI concerning MARGOLIS' memorandum denying the prosecution and these comments suggested that facts other than the "strength of the case" went into the decision making, and that the reasons set forth justifing this decision were "spurious." MARGOLIS was further advised that statements had also been made that this was a "strange process" as far as the length of time involved in making this decision, and that one prosecutor had stated that he had "never seen anything like it in his experience as a federal prosecutor." It was further stated that statements had been made that prosecutors were "shocked" and "knew of no precedence" concerning the length of time involved. MARGOLIS responded by stating that all of these statements were inaccurate, and unfair,, and that this was not the type of decision which could have been made without a considerable amount of thought. There was difficulty in getting all of the facts out in this case, and it was not the type of case where they were able to make a positive decision of yes or no. MARGOLIS went on to advise that he did not believe there were any communication difficulties initially, but towards the end of this decision-making process there may have been some minor problems. He advised that there were some strong passions on the part of [redacted] but there were never any flair-ups that he was aware of. If there were hard feelings on [redacted] part they were deep seeded. Concerning the length of time involved, MARGOLIS advised that the primary reasons had been set out as previously stated, and everything came back to the original prosecutive memo submitted by [redacted] which was insufficient. Additionally, the prosecutive memo did not have a draft indictment attached, as is required, and this also contributed to the b6 b7C

MARGOLIS went on to state that he considered the prosecutive memo submitted by [redacted] to be more like a "pre-pros memo." In a case of this nature an investigation which is at the pre-pros. memo stage could take anywhere up to nine months before it is ready for indictment. MARGOLIS based his opinion on the fact that the case was not complete at the time the prosecutive memo was submitted by [redacted] He advised that it was his understanding that [redacted] was still presenting evidence to a federal grand jury in this matter after his original prosecutive memo had been submitted. MARGOLIS advised that had the case been completed to the stage were it was ready for an indictment all of the evidence should have been presented to the grand jury at this point, and [redacted] should have been ready to go to trial. Because [redacted] was not at this stage in his investigation, and was still presenting evidence to the grand jury, MARGOLIS felt, that he was not able to provide the necessary information in his prosecutive memo. MARGOLIS pointed this out only as an example of why the process was stretched out for such a long period of time, and in order to illustrate his reasons for believing that it was not delayed unnecessarily.

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MARGOLIS advised that he did not know why the forfeiture provisions in the RICO indictments were deleted. If they were deleted by the Organized Crime and Racketeering Section, MARGOLIS had no participation in it. MARGOLIS was not certain, but he believed that he had a conversation with [redacted] stated that he did not want the forfeiture provisions included, but that [redacted] had ordered him to reinstate them.

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MARGOLIS advised that prior to the indictments, there were several conferences with defense attorneys representing the various defendants. During these conferences, most of the defense attorneys made requests that in the event of indictments they be contacted in order to surrender their clients in lieu of arrests. MARGOLIS advised them that he would comply with their requests, however, after the matter had been transfered to [redacted] office, MARGOLIS was of the opinion that he was no longer obligated to honor this request. MARGOLIS advised [redacted] that he would write the various defense attorneys, and advise them that all of their contacts, or comments, should be directed to [redacted] from that point on. MARGOLIS advised that he did not care one way or the other whether or not these individuals were arrested, but Deputy Assistant Attorney General [redacted] took the position that the promises made to the defense attorneys earlier should be complied with. [redacted] did not agree with this position, but he was overruled by [redacted] MARGOLIS advised that this was the reason for some of the

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defense attorneys being advised of the indictments, but he was not personally involved, and did not make any contacts with defense attorneys. MARGOLIS went on to advise that after the indictments were returned, he recalled that he was in Buffalo, New York, on business, and he received a call from the Organized Crime and Racketeering Section advising him that some of the defense attorneys were trying to reach him. MARGOLIS advised his people that any calls from defense attorneys should be referred to [redacted] as it was his responsibility at that point. b6 b7C

With regards to the conferences arranged with the various defense attorneys, MARGOLIS advised that several attorneys representing potential defendants contacted the Organized Crime and Racketeering Section in late 1981 or early 1982 and requested the opportunity to come in and argue their clients cases. MARGOLIS advised that, to the best of his recollection, these meetings were arranged by requests made through the mail, and he believed that correspondence relating to these requests would be on file. MARGOLIS advised that such requests are normal procedure in the Organized Crime and Racketeering Section, and he recalled that similar occurances have taken place both prior to and subsequent to the LIUNA investigation.

MARGOLIS advised that all meetings with defense attorneys in this matter were arranged as a result of contacts initiated by the defense attorneys, and no meetings were initiated by anyone at DOJ. He further advised that it was common knowledge that a grand jury investigation did exist, and the attorneys who thought their clients might be the targets of the investigation arranged to make arguements on behalf of their clients. This was an attempt to persuade the DOJ that their clients should not be indicted. MARGOLIS advised that in these cases, as well as any meeting of this nature, the DOJ listens, but has no imput except for questions which might be asked of the attorneys in order to clarify their positions. No comments are made concerning the status of the investigation, or the grand jury, and no indications are given as to whether or not an individual will be indicted. In the case of the LIUNA defendants, these guidelines were followed, and there were no discussions concerning which individuals would be indicted or when an indictment would be forthcoming. MARGOLIS further advised that in all of the conferences held with LIUNA defense attorneys, [redacted] was present. This was at his request in order to address any questions or problems which might arise. MARGOLIS requested that [redacted] BE present as he was the lead attorney and was more familiar with background and circumstances surrounding each defendant. MARGOLIS advised that during all of these conferences, he had no recollection of any major problems coming up, and for the most part, it was his recollection that [redacted] listened to the presentations, and offered very few comments. MARGOLIS described this as standard procedure, and stated that there was nothing unusual or different concerning any of the LIUNA conferences. b6 b7C

MARGOLIS advised that none of the attorneys that he met with appeared to have any prior knowledge of the status of the grand jury proceeding, or the indictments, and there was no indication that anyone had knowledge of the disagreement between various individuals within DOJ concerning the indictments. None of the defense attorneys appeared to have much knowleadge at all concerning the indictments, and MARGOLIS advised that a few of the attorneys had less knowledge than they needed in order to make a decent presentation.

MARGOLIS was asked to comment on the defense attorneys who attended these conferences and to comment on whether or not he recalled having any previous contact with them. He advised that the first individual recalled was [redacted] who was with another individual named [redacted] MARGOLIS believed that these individuals represented [redacted] and after being introduced to them, they both stated that they had met MARGOLIS previously on other cases. MARGOLIS did not recall meeting them previously. b6 b7C

Another attorney who arranged for a meeting was [redacted] [redacted] who is General Counsel for LIUNA in WDC. Accompanying [redacted] was an attorney from New York named [redacted] and possibly [redacted] MARGOLIS advised that [redacted] was previously employed at the DOJ and at one time was in MARGOLIS' Section but he was not certain whether [redacted] came alone or was with [redacted] did attend a meeting at some point. MARGOLIS advised that he knew [redacted] from similar meetings of this type in the past. but he did not recall any specific information concerning the previous meetings. He believed that his meeting with [redacted] was the first time he had ever met him, but stated that it might be possible that he has met [redacted] on a previous occasion. MARGOLIS advised that [redacted] was previously employed at DOJ and worked for a brief period of time at Strike Force 18. After leaving DOJ he went to work for [redacted] firm. As MARGOLIS recalled, these attorneys represented the various LIUNA officials who were expected to be indicted. b6 b7C

MARGOLIS advised that he recalled an additional attorney by the name of [redacted] from the Chicago area, but was not certain who he represented. This was the first time MARGOLIS had ever met [redacted] and he has had no further contact. b6 b7C

Another individual who represented some of the defendants from the Chicago area was [redacted] who was previously the United States Attorney from that area. MARGOLIS advised that he knew of [redacted] and was familiar with him professionally, but he had never dealt with him or met him prior to that time.

MARGOLIS advised that all of the above contacts were as described above, and were of a professional nature. He further

advised that he has had no further contact with these individuals and has never socialized with any of them.

MARGOLIS advised that he is familiar with [redacted] and [redacted] and described them as prominent attorneys in the WDC area. He advised that both of these individuals were previously DOJ attorneys and [redacted] was, at one time, the Associate Attorney General. MARGOLIS advised that he knows both of these individuals, but has never had any contact with them concerning LIUNA or any related investigations. b6 b7C

MARGOLIS advised that the above named attorneys are the ones who he recalled having meetings with relative to LIUNA investigations, but he advised there may have been one or two other who were unrecalled at this time.

MARGOLIS advised that he was familiar with an allegation received which charged that LIUNA had made a campaign contribution to the Carter/Mondale Reelection Committee, but he was not officially aware of an investigation into this matter until being advised of it during this interview. He advised that this matter was first brought to his attention by either [redacted] and that it was being opened by Strike Force 18 as a possible illegal campaign contribution by LIUNA. Upon learning this, MARGOLIS ordered that the matter be brought to the attention of Public Integrity Section Attorney [redacted] who is an expert on Federal Election Laws, and he also ordered either [redacted] to do a sensitive case report in order to alert their superiors. He further ordered that the United States Attorney's Office in the District of Columbia be cut in and made aware of the allegation as it would probably involve their office if the allegation could be substantiated. b6 b7C

MARGOLIS could not recall exactly when he was made aware of this allegation, but he recalled subsequent to it, the same allegation appeared in a series of Spotlight newspaper articles which were written by [redacted] MARGOLIS advised that the allegation was first brought to his attention a short time prior to the date of the Spotlight articles. b6 b7C

MARGOLIS advised that a few weeks after the Spotlight articles appeared, he was telephoned at his residence by [redacted] who is a Washington Post reporter, who asked MARGOLIS whether or not he was familiar with the [redacted] articles. [redacted] advised that another Washington Post reporter had come up with similar allegations from an independent source, and

BABCOCK'S editor had requested that [redacted] telephone MARGOLIS in order to ask him if there was anything to it. The allegations, which were raised by [redacted] suggested that the LIUNA campaign contribution to the Carter/Mondale Reelection Committee was made in return for White House assistance in stopping or delaying the various investigations of LIUNA officials. The [redacted] allegations further suggested that influence had been placed on the DOJ through contacts from the White House to the Attorney General's office. MARGOLIS advised that after being questioned on this by [redacted] he became very angry and asked [redacted] if he realized what he was saying and the implications. [redacted] responded by stating that he did realize what the allegations implied, and MARGOLIS hung up on him. MARGOLIS received no further contacts or inquiries by [redacted] on this matter. b6 b7C

MARGOLIS advised that, because of this, he came into the office the next day and advised his deputies that if there was any type of investigation in his section concerning these allegations, they should not be involved. At this point MARGOLIS had no specific knowledge of any investigation within his office, and he could only speculate that there might have been. MARGOLIS gave this order so as to avoid any problems from second guessing at a later date. He thought it best that any allegation against his section should be handled by someone else, in order to avoid implications or questions that suggested a cover-up.

MARGOLIS advised that a couple of months later [redacted] and [redacted] came into his office, and advised him that [redacted] had received an allegation from [redacted] that Former Vice President [redacted] had received money from LIUNA in return for fixing the LIUNA case. According to information provided by [redacted] Vice President [redacted] had been contacted by Attorney General BENJAMIN CIVILETTI, who had in turn contacted MARGOLIS and ordered him to get rid of the case. MARGOLIS advised that this was absolutely false and he stated so to both [redacted] at that time. MARGOLIS denied this allegation to [redacted] and told him to prepare a memo to the Office of Professional Responsibility in order that it be brought to their attention for the proper handling. At this point, MARGOLIS was shown a copy of a memorandum dated November 20, 1981, from [redacted] Office of Professional Responsibility, and he advised that this would have been the correct date on which this allegation was received and brought to his attention. b6 b7C

MARGOLIS advised that approximately eight months later on July 26, 1982, he received a letter from [redacted] of the Office of Professional Responsibility Section stating

that the matter was being closed by their section inasmuch as MARGOLIS had denied the allegation to [redacted] b6 b7C MARGOLIS advised that he took exception to this and went to see [redacted] MARGOLIS told him that if there was a vote of lack of confidence in him by DOJ officials, they ought to consider finding a replacement for him and choose someone else to run the Organized Crime and Racketeering Section. [redacted] advised that he would look into this matter and get back to him, and a short time later he contacted MARGOLIS and told him to go back to doing his job as there was nothing to be concerned about. [redacted] advised MARGOLIS that he had misinterpreted the meaning of [redacted] letter and that there were no problems.

MARGOLIS advised that an additional occurance took place just after the Memorial Day weekend of 1983, which further raised his suspicion that some type of investigation was under way concerning the campaign contribution. He advised that he received a telephone call from an attorney, last name [redacted] who advised him that he was a partner in the law firm which represented [redacted] advised MARGOLIS that he had just been contacted by the Cable News Network (CNN) and was advised that a grand jury in WDC was investigating an allegation that [redacted] had received a bribe in order to fix the LIUNA case. MARGOLIS advised [redacted] that he knew nothing of any investigation and after hanging up, he telephoned [redacted] and passed this information on to him. [redacted] asked [redacted] if someone from his section could telephone [redacted] and talk to him. b6 b7C

MARGOLIS advised that an additional occurance took place a few days later on June 3, 1983, when [redacted] a [redacted] had been suppoenaed by a federal grand jury in WDC. MARGOLIS advised that [redacted] was upset and concerned about this, but he made a joke of it, and told her not to be concerned about it. After receiving [redacted] MARGOLIS recused himself from anything having to do with the United States Attorney's Office in the District of Columbia. He went to [redacted] office and advised him that he did not want anything referred to his section from the United States Attorney's Office. MARGOLIS advised [redacted] that he felt he might be under some type of investigation, and he did not b3 b6 b7C

want anything influenced through his position. MARGOLIS denied any wrong doing to [redacted] and told him that it would be in his best interest to check into this matter, and determine whether or not anything might be going on which could prove embarrassing to DOJ. MARGOLIS advised [redacted] that he was bringing this matter to his attention in order to protect the Department from any problems which might be forthcoming, and he told [redacted] that he should decide whether or not MARGOLIS should remain at his present position. MARGOLIS offered to step down in order to avoid any embarrassing problems for DOJ should he actually be under some type of investigation. Margolis advised that a short time later [redacted] got back to him and told him to remain at his position and to continue doing his job. He had no further contact with [redacted] regarding this matter. MARGOLIS was not sure what was going on at this point, but knew he had done nothing wrong, and he stated this to [redacted]

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MARGOLIS advised that he has never discussed this allegation with former Attorney General CIVILETTI, and he has purposely avoided any such conversation as he would have suffered personal embarrassment by bringing it up. MARGOLIS advised that he met CIVILETTI at a cocktail party in approximately June, 1982, and he purposely avoided this subject for the reasons stated above. MARGOLIS advised that he has nothing but the highest regard for the integrity and honesty of CIVILETTI, and he dismissed this allegation as being completely false.

MARGOLIS was asked whether or not he had ever heard allegations that [redacted] had "someone big in Washington, D.C. who was going to help take care of his LIUNA case. MARGOLIS advised that he vaguely recalled reading something from an FBI source who had made this type of statement concerning either [redacted] but he did not take it seriously at the time. MARGOLIS could not recall exactly when or in what context, this statement was made, and he had no recollection that there was any indication that this individual was from within the Attorney General's Office.

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MARGOLIS advised that he was aware that a subpoena had been issued in [redacted] and that a joint investigation with the United States Attorney's Office in the District of Columbia and Strike Force 18 Attorney [redacted] was being conducted. MARGOLIS advised that this was probably around the same time period he was contacted by Washington Post reporter [redacted] and that might have caused him to check to see whether or not the United States Attorney's Office or the Public Integrity Section were looking into the allegation of an illegal campaign contribution. MARGOLIS believed that he would

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have learned of the [redacted] subpoena at about the same time the [redacted] articles or the Washington Post contact was made, and he did not believe that he was aware of any subpoena for [redacted] prior to it being served. MARGOLIS went on to advise that after November, 1981, when the memo was sent to [redacted] he had no further knowledge of any investigation into this matter, with the exception of the previously discussed instances. MARGOLIS advised that he was not aware of any attempts to subpoena [redacted] and did not recall discussing attempts to secure authorization for such a subpoena with anyone. b3 b6 b7C

MARGOLIS advised that he was not aware of any attempts by attorney [redacted] to bring [redacted] before a federal grand jury in Los Angeles, California, in order to testify in an attempt to prove his innocence. MARGOLIS advised that although he knows [redacted] he has never had any professional contact with him. MARGOLIS was aware that [redacted] was a former DOJ employee, but believed this was before MARGOLIS came to WDC and assumed his present position. b6 b7C

MARGOLIS advised that after cutting himself off from this investigation in 1981, he was aware of one contact with the Office of Professional Responsibility on the part of [redacted] which was made in order to determine whether or not the investigation into the [redacted] allegation was continuing. MARGOLIS believed this contact was made after the November, 1981, memo, and he recalled [redacted] telling him that he made a contact in order to insure that the allegation had not slipped through the cracks, and was being pursued by someone. According to MARGOLIS, [redacted] wanted to make sure that after this allegation had been referred, it was actually investigated. [redacted] was concerned that if someone was not investigating the matter, it would come up at a later date and he would be held responsible. [redacted] made this inquiry in order to cover himself. According to [redacted] he was told by the Office of Professional Responsibility to mind his own business and not to worry about the matter. MARGOLIS was not certain, but believed [redacted] was told this by [redacted] It was MARGOLIS' belief that because they had not heard anything concerning this allegation for some time, [redacted] was concerned that someone might be dropping the ball. This was the reason for his inquiry, and MARGOLIS had no reason to believe there was anything more to it. b6 b7C

MARGOLIS advised that there was a series of events which lead him to believe that he was under some type of investigation,

and his main concern was that of not putting DOJ in any position which might cause embarrassment. He knew that he had committeed no wrong doing, however, he felt that it was best not to involve himself and let the investigation run its own course. MARGOLIS advised that as a result of this series of events, he knew that any investigation would eventually funnel down to his section, and it was just a mater of time until he would be implicated. It was for this reason that he chose to remove himself from any investigation into this area. The series of events which MARGOLIS referred to were the [redacted] articles, the Washington Post inquiry, the November 21, 1981, memo to the Office of Professional Responsibility, and the [redacted] had been subpoenaed. b3 b6 b7C

Concerning the Boston portion of the LIUNA investigation, MARGOLIS advised that he was aware of a series of telephone calls between [redacted] and Boston Strike Force Chief [redacted] concerning this matter. He further recalled that a Strike Force Attorney named [redacted] was also talking to [redacted] regarding this investigation, and it was their opinions that the case lacked strength. Both [redacted] were of the opinion that the case could not be prosecuted successfully. MARGOLIS advised, however that [redacted] was of the opinion that the investigation could be won if the individuals were indicted in Miami, Florida, rather than Boston, and he requested that this be done. MARGOLIS advised that he recalled a meeting in approximately September of 1981, which was attended by the Strike Force Chiefs from throughout the United States, and during this meeting the LIUNA investigation in Boston was discussed. MARGOLIS recalled [redacted] making the statement that he did not think the case was winnable, and it was after this statement that [redacted] made his pitch to have the case moved to Miami. Ultimately, the decision was made to go ahead and indict the individuals from Boston in Miami as requested by [redacted] b6 b7C

MARGOLIS advised that under normal conditions he probably would have denied [redacted] request, but because of the previous decision and the similarities between the two cases, he concluded that it would probably be a rerun of the events leading up to the Miami indictments. In view of this he decided to let [redacted] go ahead with the indictment. MARGOLIS advised that he was satisfied with [redacted] arguements that he could successfully prosecute the matter in Miami, and this also lead to this decision, but normally when a Strike Force Attorney does not recommend going forward with prosecution of an investigation, as was the case with [redacted] no further effort is made. This case was an exception to the rule b6 b7C

due to the arguements made by [redacted] and the similarities in this case and the previous LIUNA case in Miami. MARGOLIS advised that he had some recollection of a Statute of Limitations problem involving the Boston indictments, but this became a mute point because of the representation of the Boston Strike Force attorneys. MARGOLIS also thought the problem was a situation were some counts might be lost, but not all of them. b6 b7C

MARGOLIS was asked whether or not he was familiar with an individual named [redacted] and he advised that the name rang a bell, but he was not certain for what reason. After being advised that [redacted] was from the New England area, MARGOLIS advised that he had previously worked with the Boston Strike Force, and he might have come across the name [redacted] from his position there. He also advised that he read the prosecutive memo submitted by Strike Force Chief [redacted] and he felt he could have read the name from the prosecutive memo as well. MARGOLIS had no specific recollection of [redacted] or anything which he might have been involved in. b6 b7C

MARGOLIS advised that he had no information which could assist in determining how [redacted] was able to obtain his name, however, he advised that his name has been published in the media from time to time. MARGOLIS advised that he has never been back to Brown University for any class reunions, but has been a guest speaker on two occasions. After reviewing his appointment catalog, MARGOLIS advised that he went to Brown University in November, 1980, and April, 1981. At this time he addressed some of the classes, and also addressed a small group of students during one evening. To the best of his knowledge, no alumni were in attendance at any of these speeches, but he believed that at least the first visit got some type of media coverage. He recalled during the second trip he met with and socialized with faculty members at a luncheon in addition to making the speaches.

MARGOLIS advised that he could think of nothing which had not been covered during this interview that might be pertinent to the investigation. He advised that both [redacted] and [redacted] were totally familiar with the entire process, and could confirm any information which MARGOLIS had provided. He vouched for the integrity of these individuals, as well as [redacted] and stated that there was no question in his mind that any allegations of wrongdoing concerning individuals within his section were entirely unfounded. b6 b7C

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1354635-0

Total Deleted Page(s) = 27
Page 67 ~ b6; b7C;
Page 68 ~ b6; b7C;
Page 69 ~ b6; b7C;
Page 208 ~ Duplicate;
Page 209 ~ Duplicate;
Page 212 ~ b6; b7C;
Page 215 ~ b6; b7C;
Page 216 ~ b6; b7C;
Page 217 ~ b6; b7C;
Page 228 ~ b6; b7C;
Page 229 ~ b6; b7C; b7E;
Page 230 ~ b7E;
Page 231 ~ b7E;
Page 232 ~ b7E;
Page 233 ~ b7E;
Page 234 ~ b6; b7C; b7E;
Page 260 ~ Duplicate;
Page 261 ~ Duplicate;
Page 262 ~ Duplicate;
Page 263 ~ Duplicate;
Page 264 ~ Duplicate;
Page 265 ~ Duplicate;
Page 266 ~ Duplicate;
Page 267 ~ Duplicate;
Page 268 ~ Duplicate;
Page 269 ~ Duplicate;
Page 270 ~ Duplicate;

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X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXXX

Margolis

ELECTRONIC CASE FILE

Case Consolidation

01/23/1998

RUN BY: [redacted]

b6
b7C

From Case ID : HQ 77-97035
To Case ID . : 77L-HQ-1240842

Documents . : 3
Last Serial :

Old Serial	New Serial	FIF
13	3	
12	2	
11	1	

Total Documents Consolidated : 3

Margolis

UNIVERSAL INDEX

Case Consolidation

01/23/1998

RUN BY: [redacted]

b6
b7C

08:21:53

****** Statistical Information from Universal Index *****

Old Case ID	:	HQ 77-97035
New Case ID	:	77L-HQ-1240842
Mains	:	2
References	:	0
Total records processed	:	2

CIVIL 1-C

Margolis, David - 70" 12-18-39

Wc
Wwwc
O 32 W III 18
I 32 W MMI

Office of the Dep Att'y General US Dept of Justice Wash DC 4-29-65

A search of the fingerprints on the above individual has failed to disclose prior arrest data.

cc: Bruggeman
Room 1250
Bu

JOHN EDGAR HOOVER
DIRECTOR
FEDERAL BUREAU OF INVESTIGATION

4-22 (Rev. 1-22-60)

Federal Bureau of Investigation
Records Branch

APR 30 1965, 19__

- [ ] Name Searching Unit - Room 6527
- [ ] Service Unit - Room 6524
- [x] Forward to File Review
- [ ] Attention Druggemon 1250
- [ ] Return to _____
  Supervisor Room Ext.

Type of References Requested:
- [ ] Regular Request (Analytical Search)
- [x] All References (Subversive & Nonsubversive)
- [ ] Subversive References Only
- [ ] Nonsubversive References Only
- [ ] Main _____ References Only

Type of Search Requested:
- [x] Restricted to Locality of on farm
- [ ] Exact Name Only (On the Nose)
- [ ] Buildup
- [ ] Variations

Subject Marcelis, David
Birthdate & Place
Address

Localities

R# Date 4-29 Searcher Initials 721

Pages 5-4 FILE NUMBER MAY 3 SERIAL

DEADLINE

62-101087-42-3 *
David Booth (Bu)
67-612022 I

MAY 4 1965

9-1 (Rev. 2-3-65)

SPECIAL INVESTIGATIVE DIVISION
EMPLOYEES SECURITY AND SPECIAL
INQUIRY SECTION

4/29/65, 1965

___ Mr. Gale
___ Mr. Stanley
___ Mr. Eddy
___ Mr. McAndrews

___ Mr. Cleveland
___ Mr. Coleman

___ Mr. Auerswald
___ Mr. Ausen
___ Mr. Brennan
___ Mr. Bruggeman
___ Mr. Burke
___ Mr. Carlson
___ Mr. Connell
___ Mr. Conrad
___ Mr. Egan
___ Mr. Fawcett
___ Mr. Ferguson
___ Mr. Gochnour
___ Mr. Gunderson
___ Mr. Hartley
___ Mr. Hauer
___ Mr. Hetherington
___ Mr. Hogan
___ Mr. Holroyd
___ Mr. Hudson
___ Mr. Jarrett
___ Mr. May
___ Mr. McCaslin
___ Mr. McKinnon
___ Mr. Menzel
___ Mr. Montefiore
___ Mr. Moore
___ Mr. Phillips
___ Mr. Reed
___ Mr. Reilly
___ Mr. Rust
___ Mr. Schaefer
___ Mr. Schlarman
___ Mr. Shackelford
___ Mr. Sheets
___ Mr. Solomon
___ Mr. Spillers
___ Mr. Splendore
___ Mr. Steel
___ Mr. Still
___ Mr. Tierney
___ Mr. Warren
___ Mr. Wells
___ Mr. Young

___ Mr. _____
___ Room _____

___ Miss _____
___ Room _____
___ Call Me _____
___ See Me _____

___ Miss Stickland
___ Mrs. Roberts
___ Miss Hiett
___ Miss Proffitt
___ Mrs. Bellus
___ Mrs. Breazeale
___ Mrs. Martin
___ Mrs. Smith

___ Miss Atkinson
___ Miss Cole
___ Mr. Fulk
___ Mrs. Dowell
___ Mrs. Groschelle
___ Mr. Powell
___ Miss Shirey
___ Mrs. Teschner
___ Mrs. Wolf

___ Mail Room, 5531
___ Reading Room
___ Statistical Sec.
___ Mechanical Sec.

___ Open 140 File
___ Re-charge File
___ Request File
___ Mrs. McNeely, 6207 IB
___ Routing Unit, 7714
___ Place on Record and Return
___ Records Branch
___ Numbering
___ Recording
___ Name Searching 6529
___ File Review
___ Miss Wolfe, 6527
___ Place in File

"15 day deadline"

(1) NAME SEARCHING UNIT
(2) MAIN CARD TYPING
(3) FILE REVIEW
(4) RETURN TO:

Bruggeman
SUPERVISOR

1250

Form No. DJ-99
(Ed. 1-20.64)

APR 29 1965

UNITED STATES GOVERNMENT

DEPARTMENT OF JUSTICE

Memorandum

TO : Director, Federal Bureau of Investigation

DATE: April 28, 1965

FROM [redacted]
Assistant to the Deputy Attorney General

b6
b7C

SUBJECT	DATE OF BIRTH
MARGOLIS, David	~~4/26/65~~ 12-18-39
POSITION	**OFFICE OR DIVISION (Location)**
Asst. U. S. Attorney	District of Connecticut
(CHECK ONE)	**TYPE OF POSITION**
☐ EMPLOYEE ☒ APPLICANT	☒ SENSITIVE ☐ NON-SENSITIVE
ENTERED ON DUTY	**TYPE OF APPOINTMENT**
	Excepted - Indefinite

☒ Please institute the usual character investigation in this matter and forward copies of the reports to this office.

☐ Please furnish results of Name Check and search of Identification Records prior to completion of investigation.

Sent to DAG 5/19/65 jay

Standard Forms 86 are attached. Please return the original signed copy with the final report of this investigation.

Sent to Ident 4/29/65 jay

☒ Name Check made ☒ Fingerprint Chart attached

☐ Please institute a name check of this individual whose Standard Form 57/86 is enclosed. The Fingerprint Chart is being forwarded to the Identification Building.

☐ Please discontinue the character investigation in this matter. Standard Form 86 submitted with our request should be returned to this office.

N 77- 97035

MCT-25 APR 29 1965

REC

58 JUN 10 1965

Standard Form 86
REVISED JULY 1961
U.S. CIVIL SERVICE COMMISSION
(CHAPTER 1-2, F.P.M.)

SECURITY INVESTIGATION DATA FOR SENSITIVE POSITION

CASE SERIAL NO. (*CSC use only*)

INSTRUCTIONS.—Prepare in triplicate, using a typewriter. Fill in all items. If the answer is "No" or "None," so state. If more space is needed for any item, continue under item 23.

1. FULL NAME (*Initials and abridgments of full name are not acceptable. If no middle name, show "(NMN)"; if initials only, show "(no given or middle name)"*)
(LAST NAME) Margolis (FIRST NAME) David (MIDDLE NAME)
OTHER NAMES USED (*Maiden name, names by former marriages, former names changed legally or otherwise, aliases, nicknames, etc. Specify which, and show dates used.*)

2. DATE OF BIRTH 12-18-39
3. PLACE OF BIRTH Hartford, Conn.
4. [X] MALE [ ] FEMALE
5. HEIGHT 5'10" WEIGHT 160 COLOR EYES Brown COLOR HAIR Black

6. [X] SINGLE [ ] MARRIED [ ] WIDOW(ER) [ ] DIVORCED
7. IF MARRIED, WIDOWED, OR DIVORCED, GIVE FULL NAME AND DATE AND PLACE OF BIRTH OF SPOUSE OR FORMER SPOUSE, AND DATE AND PLACE OF MARRIAGE. INCLUDE WIFE'S MAIDEN NAME. (*Give same information regarding all previous marriages.*)

8. DATES AND PLACES OF RESIDENCE (*If actual places of residence differ from the mailing addresses, furnish and identify both. Begin with present and go back to January 1, 1937. Continue under item 23 on other side if necessary.*)

	FROM	TO	NUMBER AND STREET	CITY	STATE
NH	1948	1965	210 Manchester St.	Hartford	Conn.
	1939	1948	94 Colebrook St.	"	"
BS	1962 *	1964	211 Ames	Cambridge	Mass.
	1961 *	1962	14 Sumner Road	"	"
	1957 *	1961	Brown Dormitory	Providence	R.I.

* Denotes school residence

9. [X] U. S. CITIZEN — [X] BY BIRTH [ ] NATURALIZED — CERT. NO. — ALIEN REGISTRATION NO. — PETITION NO. — DATE, PLACE, AND COURT
[ ] DERIVED-PARENTS CERT. NO(S).
[ ] ALIEN — REGISTRATION NO. — NATIVE COUNTRY — DATE AND PORT OF ENTRY

10. EDUCATION (*All schools above elementary*)

	NAME OF SCHOOL	ADDRESS	FROM (*Year*)	TO (*Year*)	DEGREES
NH	Loomis	Windsor, Conn.	1953	1957	High School
BS	Brown	Providence, R.I.	1957	1961	A.B.
	Harvard	Cambridge, Mass.	1961	1964	L.L.B.

11. THIS SPACE FOR FBI USE (*See also item 24.*)

12. SOCIAL SECURITY NUMBER 047-30-3300
13. MILITARY SERVICE (*Past or present*) None

SERIAL NO. (*If none, give grade or rating at separation*)	BRANCH OF SERVICE (*Army, Navy, Air Force, etc.*)	FROM (*Yr.*)	TO (*Yr.*)

ENCLOSURE 77- 97035 - 1

14. EMPLOYMENT (*List ALL employment dates starting with your present employment. Show ALL dates and addresses when unemployed. Give name under which employed if different from name now used.*)

	FROM	TO	NAME OF EMPLOYER (*Firm or agency*) AND NAME OF SUPERVISOR	ADDRESS (*Where employed*)	TYPE OF WORK	REASON FOR LEAVING
NH	1965	Present	Nicholas Lenge	49 Pearl St. Hartford, Conn.	Law	-
	10/1964	1/1965	Self	210 Mamchester St. Hartford, Conn.	Law	To go with firm
	1962	1964	State Welfare Dept. John Costa Hartford, Conn.	80 Washington St. Hartford, Conn.	Social Work	Summers
	1957	1961	Htfd. Park Dept. George Sullivan	City Hall Hartford, Conn..	Recreation	Summers
BS	1957	1961	Brown U. Sidney Goldstein	Providence Rhode Island	Research	Graduation
NH	Christmas 1964		U.S. Post Office	Hartford Connecticut	Christmas Help	Temporary

15. HAVE YOU EVER BEEN ARRESTED, CHARGED, OR HELD BY FEDERAL, STATE, OR OTHER LAW-ENFORCEMENT AUTHORITIES, FOR ANY VIOLATION OF ANY FEDERAL LAW, STATE LAW, COUNTY OR MUNICIPAL LAW, REGULATION, OR ORDINANCE? (*Do not include anything that happened before your sixteenth birthday. Do not include traffic violations for which a fine of $25 or less was imposed. All other charges must be included even if they were dismissed.*) No
(ANSWER "YES" OR "NO")
IF YOUR ANSWER IS "YES," GIVE FULL DETAILS BELOW:

REASON CHARGED OR HELD	DATE	PLACE WHERE CHARGED OR HELD AND NAME OF LAW ENFORCEMENT AUTHORITY	DISPOSITION

16. FOREIGN COUNTRIES VISITED (SINCE 1930) (*Inclusive of military service*)

COUNTRY	DATE LEFT USA	DATE RETURNED USA	PURPOSE
	None		

17. ARE YOU NOW, OR HAVE YOU EVER BEEN, A MEMBER OF THE COMMUNIST PARTY, U. S. A., OR ANY COMMUNIST OR FASCIST ORGANIZATION?

NO
(ANSWER "YES" OR "NO")

18. ARE YOU NOW OR HAVE YOU EVER BEEN A MEMBER OF ANY FOREIGN OR DOMESTIC ORGANIZATION, ASSOCIATION, MOVEMENT, GROUP, OR COMBINATION OF PERSONS WHICH IS TOTALITARIAN, FASCIST, COMMUNIST, OR SUBVERSIVE, OR WHICH HAS ADOPTED, OR SHOWS, A POLICY OF ADVOCATING OR APPROVING THE COMMISSION OF ACTS OF FORCE OR VIOLENCE TO DENY OTHER PERSONS THEIR RIGHTS UNDER THE CONSTITUTION OF THE UNITED STATES, OR WHICH SEEKS TO ALTER THE FORM OF GOVERNMENT OF THE UNITED STATES BY UNCONSTITUTIONAL MEANS?

No
(ANSWER "YES" OR "NO")

19. IF YOUR ANSWER TO QUESTION 17 OR 18 ABOVE IS "YES," STATE THE NAMES OF ALL SUCH ORGANIZATIONS, ASSOCIATIONS, MOVEMENTS, GROUPS, OR COMBINATIONS OF PERSONS AND DATES OF MEMBERSHIP. IN QUESTION 23 OR ON A SEPARATE SHEET TO BE ATTACHED TO AND MADE A PART OF THIS FORM, GIVE COMPLETE DETAILS OF YOUR ACTIVITIES THEREIN AND MAKE ANY EXPLANATION YOU DESIRE REGARDING YOUR MEMBERSHIP OR ACTIVITIES.

NAME	ADDRESS	FROM	TO	OFFICE HELD

20 MEMBERSHIP IN OTHER ORGANIZATIONS (*List all organizations in which you are now a member or have been a member, except those which show religious or political affiliations.*)

NAME	ADDRESS	TYPE	FROM	TO	OFFICE HELD
American Bar Assoc.	Chicago	Law	1964	1965	-
Conn. Bar Assoc.	Hartford	Law	1964	1965	-
Brown NAACP	Providence R.I.	Social	1958	1961	President

C6 NH

21. RELATIVES (*Parents, spouse, divorced spouse, children, brothers, and sisters, living or dead. Name of spouse should include maiden name and any other names by previous marriage. If person is dead, state "dead" after relationship and furnish information for other columns as of time of death.*)

RELATION	NAME IN FULL	YEAR OF BIRTH	ADDRESS	COUNTRY OF BIRTH	PRESENT CITIZENSHIP
Father	Louis Margolis	1903	210 Manchester St. Hartford, Conn.	U.S.A.	U.S.A.
Mother	Martha Margolis	1908	same	"	"
Brother			same	"	"

NH

b6
b7C

22. REFERENCES (*Name three persons, not relatives or employers, who are well acquainted with you.*)

NAME	ADDRESS	YEARS KNOWN
Alexander A. Goldfarb	111 Pearl St., Hartford, Conn,	10
Joseph Klau	95 Washington St. " "	20
B. B. Golding	985 Main St., Hartford, Conn.	25

NH

23. SPACE FOR CONTINUING ANSWERS TO OTHER QUESTIONS (*Show item numbers to which answers apply. Attach a separate sheet if there is not enough space here.*)

24. REPORT OF INFORMATION DEVELOPED (*This space reserved for FBI use.*) DATE:

Before signing this form check back over it to make sure you have answered all questions fully and correctly.

CERTIFICATION

I CERTIFY that the statements made by me on this form are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

False statement on this form is punishable by law.

April 5, 1964 (DATE)

David Margolis (SIGNATURE—*Sign original and 1st carbon copy*)

INFORMATION TO BE FURNISHED BY AGENCY

INSTRUCTIONS TO AGENCY: See Federal Personnel Manual Chapter I-2 for details on when this form is required and how it is used. If this is a request for investigation before appointment, insert "APPL" in the space for Date of Appointment and show information about the *proposed* appointment in the other spaces for appointment data. The *original* and the *first* carbon copy should be signed by the applicant or appointee. Submit the *original* and the *unsigned* carbon copy of the form, Standard Form 87 (Fingerprint Chart), and any investigative information about the person received on voucher forms or otherwise, to the United States Civil Service Commission, Bureau of Personnel Investigations, Washington 25, D. C. If this is a request for full field security investigation, submit these forms to the attention of the Division of Reimbursable Investigations; if this is a request for preappointment national agency checks, submit these forms to the attention of the Control Section.

RETAIN THE CARBON COPY OF STANDARD FORM 86 (SIGNED BY THE APPLICANT OR APPOINTEE) FOR YOUR FILES

DATE OF APPOINTMENT	TYPE OF APPOINTMENT	CIVIL SERVICE REGULATION NUMBER OR OTHER APPOINTMENT AUTHORITY	TITLE OF POSITION AND GRADE OR SALARY
	☐ EXCEPTED ☐ COMPETITIVE (*Include indefinite and temporary types of competitive appointments.*)		

DEPARTMENT OR AGENCY	DUTY STATION	SEND RESULTS OF PREAPPOINTMENT CHECK TO:

THIS IS A SENSITIVE POSITION

(SIGNATURE AND TITLE OF AUTHORIZED AGENCY OFFICIAL)

U.S. GOVERNMENT PRINTING OFFICE : 1961—O-612728#14

4-29-65

Airtel

To: SACs, New Haven - Enc.
Washington Field - Enc.
Boston - Enc.
St. Louis
Chicago

From: Director, FBI

COVES
DAVID MARGOLIS
AUSA
DISTRICT OF CONNECTICUT
BUDED: 5-14-65

Enclosed for NH, WFO and BS is copy of form 86.

NEW HAVEN: Also check state bar and Grievance Committees.

ST. LOUIS: Employment: Christmas, 1964; U. S. Post Office; Hartford, Connecticut; Christmas Help.

ALL OFFICES: Applicant born 12-18-39, Hartford, Conn. Single. Received LL.B. degree Harvard, Cambridge, Mass. in 1964.

and Verify admittance to American Bar Association, state bar/check records of Bar Associations and Grievance Committees where appropriate. Where time permits, utilize airtels in setting out leads. Follow Coves instructions.

77-97035-2

MAILED 30
APR 29 1965
COMM-FBI

NOT RECORDED
12 MAY 27 1965

RLB:jag
-12-

Return to Mr. Bruggeman Rm 1250.

Tolson
Belmont
Mohr
DeLoach
Casper
Callahan
Conrad
Felt
Gale
Rosen
Sullivan
Tavel
Trotter
Tele. Room
Holmes
Gandy

JUN 18 1965

MAIL ROOM ✓ TELETYPE UNIT

FD-36 (Rev. 5-22-64)

FBI

Date: 5/4/65

Transmit the following in _____
(Type in plaintext or code)

Via AIRTEL _____
(Priority)

TO : DIRECTOR, FBI

FROM : SAC, NEW HAVEN (77-4836)(P)

SUBJECT: COVES
DAVID MARGOLIS
AUSA
DISTRICT OF CONNECTICUT

BUDED: 5/14/65

Re Bureau airtel, 4/29/65.

The Bureau's attention is directed to the investigation entitled, "DAVID BOOTH MARGOLIS, BUAP-SA" (BUfile 67-612022) and report of SA [redacted] 11/20/64, at New Haven, (NH file 67-5685).

b6
b7C

By letter to the Bureau dated 3/12/65, in referenced matter, the New Haven Office advised that MARGOLIS had contacted USA JON O. NEWMAN, District of Connecticut, and had made application to his office for the position of AUSA because he apparently felt he was not going to receive a Special Agent's appointment. Mr. NEWMAN advised at that time that he was going to write to the Department and point out that MARGOLIS had already been investigated by the FBI and that for that reason he would attempt to employ him as AUSA.

In view of the above, no reinvestigation will be conducted, UACB, and a report will be submitted, bringing the investigation up to date.

77-970.5-3

NOT RECORDED
3 MAY 5 1965

1- Bureau
1- New Haven
JUR/acg

56 JUN 10 1965

Approved: _____ Sent _____ M Per _____
Special Agent in Charge

Spec. Ind.

May 5, 1965

AIRTEL

best copy available

TO: SAC, New Haven

FROM: SAC, Boston (77-11310)

SUBJECT: COVES
DAVID MARGOLIS
AUSA
DISTRICT OF CONNECTICUT
Buded: May 14, 1965

Re: Bureau airtel, April 29, 1965.

Records of Harvard Law School, Cambridge, Massachusetts, show applicant, in addition to employment with City of Hartford, Recreation Department, also employed Kay Jewelry Company as salesman, dates and location not indicated, during Christmas vacations 1957, 1958, 1959 and 1960.

New Haven. Handle.

2 - New Haven
1 - Bureau
1 - Boston
JCW:CAK
(4)

77-11035-4

NOT RECORDED
8 MAY 6 1965

56 JUN 10 1965

Spec. Inv.

May 5, 1965

AIRTEL

best copy available

TO: SAC, New Haven

FROM: SAC, Boston (77-11310)

SUBJECT: COMS
DAVID LINGOLIS
AUSA
VIOLATION OF CONSPIRACY
Dated: May 14, 1965

Re: Bureau airtel, April 29, 1965.

Boston Office files show applicant, while at Brown University, Providence, Rhode Island, was President of the Campus National Association for the Advancement of Colored People and participated in picketing of department stores in downtown Providence, Rhode Island, protesting lunch counter segregation policies of stores belonging to the chains in the South.

Above for information all offices.

2 - New Haven
6 - Bureau
1 - Washington Field {Info}
1 - St. Louis {Info}
1 - Chicago {Info}
2 - Boston
JGW:CAK
(8)

77-97035-5

NOT RECORDED
8 MAY 7 1965

58 JUN 10 1965

5-6-65

Airtel

To: SACs, New Haven (77-4836)
Boston
Chicago
Washington Field

From: Director, FBI (77-97035)

DAVID BOOTH MARGOLIS
DAPLI
AUSA
DISTRICT OF CONNECTICUT
BUDED: 5-14-65

ReBuairtel 4-29-65.

New Haven and Washington Field refer to prior investigation, New Haven file 67-5685, Washington Field file 67-63217, and bring prior investigation up to date. WFO surep on national agency checks and current investigation.

Boston and Chicago refer to your files, Chicago 67-18596 and Boston 67-15953 and consider current investigation as RUC.

A copy of reports submitted in prior applicant investigation will be disseminated to the Department. New Haven need not reinterview references.

77-97035-6
NOT RECORDED
12 MAY 27 1965

MAILED 5
MAY 6 - 1965
COMM-FBI

Tolson
Belmont
Mohr
DeLoach
Casper
Callahan
Conrad
Felt
Gale
Rosen
Sullivan
Tavel
Trotter
Tele. Room
Holmes
Gandy

RLB:skm
(10)

58 JUN 10 1965

Return to Mr. Bruggeman, Room 1250.

MAIL ROOM ☑ TELETYPE UNIT ☐

5-6-65

AIRTEL AIRMAIL

TO: SAC, NEW HAVEN

FROM: SAC, ST. LOUIS (77-37611)(RUC)

RE: DAVID MARGOLIS
DAPLI

BUDED: 5/14/65

ReBuairtel, 4/29/65.

On 5/5/65, personnel, Federal Records Center, St. Louis, Mo., advised IC [redacted] that no record could be located of applicant's employment, 12/64, U. S. Post Office, Hartford, Conn.; and suggested that he was probably employed as a Temporary Christmas Assistant, records for which are maintained at the place where employed for one year, and then destroyed, if the employee fails to return the following year. b6 b7C

New Haven handle.

2 - New Haven
1 - Bureau
1 - St. Louis
WJE:ral
(4)

77-97035-7
NOT RECORDED
16 MAY 8 1965

58 JUN 10 1965

53 (Rev. 5-1-59)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
NEW HAVEN	BUREAU	5/13/65	5/5/65 - 5/12/65

TITLE OF CASE

DAVID BOOTH MARGOLIS

DAVID MARGOLIS

REPORT MADE BY

SA [redacted]

TYPED BY

gd

b6
b7C

CHARACTER OF CASE

DEPARTMENTAL APPLICANT
ASSISTANT UNITED STATES ATTORNEY
DISTRICT OF CONNECTICUT

REFERENCES

Report of Special Agent [redacted] dated November 20, 1964, at New Haven, entitled "DAVID BOOTH MARGOLIS BUREAU APPLICANT - SPECIAL AGENT", Bufile 67-612022.

Bureau Airtels to New Haven, dated April 29, 1965, and May 6, 1965.

New Haven Airtel to Bureau, dated May 4, 1965.

St. Louis Airtel to New Haven, dated May 4, 1965.

Boston Airtel to New Haven, dated May 5, 1965.

- RUC -

- A* -

COVER PAGE

APPROVED

SPECIAL AGENT IN CHARGE

COPIES MADE:

3 - BUREAU (77-97035)

1 - NEW HAVEN (77-4836)

One to Deputy A.G.

DO NOT WRITE IN SPACES BELOW

77-97035-8

MCT-46

NOT RECORDED

15 MAY 14 1965

DISSEMINATION RECORD OF ATTACHED REPORT

AGENCY			
REQUEST RECD.			
DATE FWD.			
HOW FWD.			
BY			

NOTATIONS

Special Agent

58 JUN 10 1965

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA [redacted] Office: NEW HAVEN b6 b7C
Date: May 13, 1965

Field Office File No.: NH 77-4836 Bureau File No.: 77-97035

Title: DAVID BOOTH MARGOLIS

Character: DEPARTMENTAL APPLICANT
ASSISTANT UNITED STATES ATTORNEY
DISTRICT OF CONNECTICUT

Synopsis: Applicant's employment at U. S. Post Office, Hartford, Conn., part-time, 12/18/64 to 12/24/64, verified; record clear. MARGOLIS presently an attorney with Law Firm of COLLINS, LENGE AND BYRNES, Hartford, Conn., a position he has held since early February, 1965. NICHOLAS LENGE, member of firm, deems applicant capable, reliable employee, and person of good character, reputation, and associations. He recommended applicant. Applicant admitted to Connecticut Bar on 8/11/64 and elected to member of Connecticut Bar Association on 9/14/64. No record of complaints or grievances filed against applicant at Grievance and Disciplinary Committee, Judicial Department, State of Connecticut. No adverse credit information for applicant and parents. No arrest record for applicant or members of family, Hartford, Conn. Records Hartford Police Department, Hartford, Conn. show applicant charged with failure to grant right-of-way at intersection 8/7/63. This charge nolled 8/28/63.

- RUC -

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NH 77-4836

DETAILS:

EMPLOYMENT

On May 5, 1965, [redacted] Personnel Department, United States Post Office, Hartford, Connecticut, Hartford, Connecticut, advised that DAVID B. MARGOLIS, 210 Manchester Street, Hartford, Connecticut, born December 18, 1939, Hartford, Connecticut, Social Security No. 047-30-3300, was employed part-time by the United States Post Office Department at Hartford, Connecticut, during the Christmas Season of 1964, and worked intermittently four or five hours a day from December 18, 1964, until December 24, 1964. [redacted] stated his employment record indicates his services were satisfactory and he is eligible for rehire. She stated that in his application, MARGOLIS advised that he was a self-employed attorney, working from his residence at 210 Manchester Street, Hartford, Connecticut.

b6
b7C

On May 12, 1965, NICHOLAS A. LENGE, Attorney, COLLINS, LENGE AND BYRNES, 49 Pearl Street, Hartford, Connecticut, advised that MARGOLIS, who resides at 210 Manchester Street, Hartford, Connecticut, has been employed as an attorney by him since early February, 1965. He stated neither he nor the other two members of this law firm had ever met the applicant prior to the time he interviewed him for the position. LENGE stated he selected MARGOLIS over several other candidates on the basis of his educational background and the impression he made during the initial interview. LENGE stated MARGOLIS, a young inexperienced attorney, is in the process of building up his confidence and is doing this at a healthy rate. He stated MARGOLIS is prompt, capable, conscientious, and has a fine attitude toward his work. He stated that with these attributes, he is confident that MARGOLIS will continue to progress in his chosen field. LENGE stated he, LENGE, is a representative from West Hartford in the Connecticut House of Representatives, which duty has taken him away from his office frequently of late. He stated that in his absence, MARGOLIS has assumed some of his duties, has handled himself well, and has shown he can shoulder responsibility. LENGE stated that although he does not know

the applicant socially, he felt that on the basis of his background and his association with him over the past three months he is convinced that MARGOLIS has a strong sense of obligation and dedication to his employer, his family, and his country. He stated he considers MARGOLIS to be a person good character, reputation, and associates and a person who will function well in a position of trust and confidence. LENGE recommended the applicant highly for a position with the United States Government.

CONNECTICUT STATE BAR ASSOCIATION

[redacted] Connecticut State Bar Association, 75 Lafayette Street, Hartford, Connecticut, advised that DAVID MARGOLIS, 210 Manchester Street, Hartford, Connecticut, born December 18, 1939, a graduate of Harvard Law School, was admitted to the Connecticut Bar on August 11, 1964, and was elected to membership in the Connecticut State Bar Association on September 14, 1964. b6 b7C

On May 10, 1965, [redacted] Judicial Department, State of Connecticut Supreme Court, Hartford, Connecticut, advised there is no record of any complaints having been filed and no record of any disciplinary action taken by the Grievance and Disciplinary Committee.

CREDIT AND ARREST

On May 5, 1965, [redacted] Hartford Credit Rating Bureau, Hartford, Connecticut, advised there is no record of the applicant or his brother, [redacted] in the files of that Bureau. She stated the credit files reflect that the applicant's father, LOUIS MARGOLIS, and his Stepmother, MARTHA HARRIS MARGOLIS, 210 Manchester Street, Hartford, Connecticut, have been on file since November 4, 1945. There is no adverse information in their file. b6 b7C

The following individuals advised there is no arrest record for the applicant or members of his family in the files of their respective agencies:

[redacted]

b6
b7C

Hartford Police Department,
Contacted May 12, 1965;
and,

[redacted]

Bureau of Identification,
Connecticut State Police,
Hartford, Connecticut,
Contacted May 7, 1965.

The files of the Hartford Police Department reflected that DAVID MARGOLIS, 210 Manchester Street, Hartford, Connecticut, born December 18, 1939, was charged with failure to grant the right-of-way at an intersection, on August 7, 1963. This charge was nolled on August 28, 1963.

(Rev. 5-1-59)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
WASHINGTON FIELD	BUREAU	5/14/65	5/10-12/65

TITLE OF CASE

DAVID MARGOLIS

This report does not complete the investigation. You will be furnished with additional information when it is received.

REPORT MADE BY [redacted]

TYPED BY wlm b6 b7C

CHARACTER OF CASE

DAPLI

REFERENCES: Buairtel 4/29/65.
BSairtel 5/5/65.

- RPC -

LEAD

AT WASHINGTON FIELD
Will report results of IRS check when received.

AN APPLICANT-TYPE INVESTIGATION BY THE FBI CONCLUDED ON 5/19/65 REVEALED NO PERTINENT DEROGATORY INFORMATION. THIS IS A RESULT OF A REQUEST FOR AN FBI FILE CHECK ONLY AND IS NOT TO BE CONSIDERED AS A CLEARANCE OR RECOMMENDATION OF THE INDIVIDUAL INVOLVED.
[redacted] 6/3/03 RR 4/17/03
OPM 2003/2004 12/04 TW
OKE

b7E

77-90825-9

APPROVED JDC SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:

3 - Bureau
1 - WFO (77-80675)

NOT RECORDED
12 MAY 27 1965

Deputy A.G.
MAY 18 1965

DISSEMINATION RECORD OF ATTACHED REPORT

AGENCY				
REQUEST RECD.				
DATE FWD.				
HOW FWD.				
BY				

NOTATIONS

- A* -

58 JUN 10 1965

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: [redacted] Office: Washington, D. C. b6 b7C
Date: 5/14/65

Field Office File #: 77-80675 Bureau File #:

Title: DAVID MARGOLIS

Character: DEPARTMENTAL APPLICANT
ASSISTANT UNITED STATES ATTORNEY
DISTRICT OF CONNECTICUT

Synopsis:

Official personnel file reviewed. No record CSC or HCUA.

- DPC -

DETAILS: AT WASHINGTON, D. C.

EMPLOYMENT

On May 10, 1965, SA [redacted] reviewed the applicant's file at the Office of the Deputy Attorney General, United States Department of Justice. It showed he is currently under consideration for the position of Assistant United States Attorney, District of Connecticut, but has not entered on duty. b6 b7C

No additional pertinent information was contained in the file.

MISCELLANEOUS

IC [redacted] caused the files of the Bureau of Personnel Investigations, Civil Service Commission, to be reviewed and was advised on May 12, 1965, that the files contained no record for the applicant. b6 b7C

The files of the House Committee on Un-American Activities were reviewed on May 11, 1965, by IC [redacted] and no identifiable record was found for the applicant.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

U.S. TREASURY DEPARTMENT
INTERNAL REVENUE SERVICE
WASHINGTON, D.C. 20224

IN REPLY REFER TO
CP:C:D

May 21, 1965

This report completes the investigation

b3 26 USC 6103 Per IRS

77-27035-10
NOT RECORDED
12 MAY 27 1965

No dissemination should be made of Internal Revenue Service information outside the White House, Department of Justice, and employing agency.

one ~~ce~~ Deputy AG,
MAY 26 1965

58 JUN 10 1965

FBI

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 9/23/81

TO : DIRECTOR, FBI ATTN: ADMINISTRATIVE SERVICES DIVISION - PERFORMANCE, RECOGNITION AND AWARDS UNIT

FROM : SAC, MILWAUKEE (66-1700)

SUBJECT: BELLWETHER;
TIMBRE

Re Milwaukee airtels to the Bureau, 11/13/80; 12/29/80 and 6/10/81.

Enclosed herewith for the Bureau is an FD-255 concerning [redacted] GS-6.

b6
b7C

On 10/1/81, indictments will be returned in captioned matters. Therefore, it is requested that the Bureau expeditiously process the recommendations set forth in re airtels and in this communication so that recognition can be given to deserving employees as timely as possible with the return of the indictments. In addition to the recommendations set forth in re airtels and the recommendation for an incentive award for [redacted] whose contribution occurred subsequent to re communications, it is believed that the Director should appropriately recognize the superlative efforts of the Strike Force Attorneys who have contributed immeasurably to the success of these major investigations.

Douglas P. Roller, Chief, Chicago Field Office of the Organized Crime and Racketeering Section, has been intimately

3 - Bureau (Encs.-2)
2 - Milwaukee (1 - 66-1700)
(1 - [redacted])
GWH/jl
(5)

1-D&D Whitson
31

FD-255 returned to Milwaukee for additional information 9/29/81

SEE CID ADDENDUM - PAGES 3, 4, 5
See ASD addendum, pages 6 & 7.

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

77-97035-12

ENCLOSURE

FBI/DOJ

involved in captioned matters since their inception in 1978. Mr. Roller was of material assistance in the preparation of several Title III and search affidavits, often at considerable personal inconvenience, and has continually offered sound legal opinions which have been of substantial assistance in the investigative direction in these matters.

[redacted] Chicago Field Office of the Organized Crime and Racketeering Section, with offices in Milwaukee, has been assigned as lead counsel for captioned matters since March, 1981. [redacted] has enthusiastically overcome the obstacles associated with joining in the prosecution of such complex matters at a late date, and has been required to digest voluminous physical evidence and Title III intercepts in a very short period. [redacted] has skillfully conducted interrogations in Federal Grand Juries of several key witnesses and has prepared four prosecutive memoranda succinctly stating the evidence in these matters and the legal arguments associated therewith. The memoranda prepared by [redacted] were very professionally done and are among the best ever reviewed by personnel of this office. [redacted] has sacrified a great deal of his personal time by working nights and week ends to successfuly bring these matters to the indictment stage in short order, and his prosecutive talents are indeed a credit to the Department.

b6
b7C

DO NOT SEND LETTER [redacted]

It is recommended that the Director recognize the contributions of Messrs. Roller and [redacted] by letter addressed to David Margolis, Chief, Organized Crime and Racketeering Section, U. S. Department of Justice.

b6
b7C

JUSTIFICATION (Set forth below, and attach supplemental page(s) as necessary, a clear, concise report of employee's outstanding performance. Justification should be specific and omit generalities. Give facts, not conclusions. Not only advise what was accomplished but how and when accomplished, showing employee's initiative. Remember that these justifications must be adequate. They may be sent to persons outside the Bureau. Do not withhold information for security reasons since neither the form nor any confidential information will be made available outside the Bureau for such purposes.)

Where additional space is required:

BELLAMY
MI 2[illegible]
BUfile 15[illegible]

TIMONY
MI 183-96
BUfile 280-1392

ADDENDUM: CRIMINAL INVESTIGATIVE DIVISION (CID) REW:mcm 10/20/81

The Bellwether/Timbre investigation is one of the most significant organized crime (OC) investigations in the Bureau. Not only has Bellwether/Timbre lead to the indictments of 16 OC figures in Milwaukee (including Frank P. Balistrieri, one of the most powerful LCN chiefs in the United States), but information emanating from this Milwaukee investigation later lead to substantial investigations in several field divisions, including Coldwater in Tampa; Fasthit in Columbia; Genus in New York; and Strawman in Chicago, Kansas City and Las Vegas. Accomplishments in Milwaukee have assisted in laying the groundwork for the thrust of the Bureau's current nationwide OC Program.

CID concurs with the recommendations of SAC, Milwaukee, regarding the awarding of incentive awards and letters of commendation as stated below:

SA [redacted] and SA [redacted] (currently assigned to the Las Vegas Division) were initially the joint case Agents in this extremely complex investigation. The highly successful results of Bellwether/Timbre could not have been achieved without their extremely dedicated efforts. SA [redacted] was responsible for the coordination of all informant activities and the preparation of several affidavits for complex Title III coverage (both wire and oral) over several months. SA [redacted] was responsible for the daily operations of the electronic surveillance (Title III). The electronic coverage in Bellwether, due to the legal [redacted] and logistical problems [redacted], were some of the most difficult ever encountered in the Bureau. b3 b6 b7C

Not only did [redacted] and [redacted] demonstrate their dedication, expertise and professionalism during the Title III coverage but also at the conclusion of the Title III which included the execution of six simultaneous search warrants in 3/80 and the detailed follow through of this investigation which culminated in the return of indictments in 9/81. b6 b7C

The probable cause that was utilized in the affidavits in support of the Title III coverage in Bellwether was furnished as a result of achievements obtained in the Milwaukee undercover operation (UCO) Timbre. SA [redacted] (currently assigned to the Miami Division) was the [redacted] During SA [redacted] SA [redacted] b6 b7C b7E

ADDENDUM (Cont'd)

Additionally, Title III coverage during the Balistrieri investigation [redacted] b6 b7C

The success of SA [redacted] b7E

SA [redacted] was assigned the responsibility of reviewing and coordinating all Title III interceptions during the Bellwether investigation. On 10/3/80 SA [redacted] was transferred to Las Vegas and on 3/9/81 SA [redacted] (case Agent of Timbre investigation) was transferred to the San Diego Division. SA [redacted] assumed the duties of both SAs [redacted] and [redacted] and was specifically responsible for coordinating all investigation and evidence obtained in the Timbre investigation and the correlation between the results of Timbre and Bellwether. Additionally, SA [redacted] was responsible for organizing witnesses to appear before the Federal Grand Jury and the coordination of this matter with the Strike Force Attorney's Office. b6 b7C

In view of the above, CID recommends that SAs [redacted] and Supervisor [redacted] receive the maximum allowable incentive awards for their efforts. b6 b7C

After reviewing all aspects of the Bellwether/Timbre investigation, CID concurs with the recommendations of SAC, Milwaukee, concerning the issuance of incentive awards to the following:

SA [redacted]
SA [redacted]
SA [redacted]
SA [redacted] (currently assigned to New Orleans)
Clerk-Stenographer [redacted] (currently assigned to Cincinnati)
Secretary (Stenography) [redacted]
Accounting Technician [redacted]
Supervisory Clerk [redacted]
Confidential Clerk [redacted] (resigned 4/8/81 after birth of her child; she is the wife of SA [redacted]
Confidential Clerk [redacted]
SA [redacted]

b6 b7C

CID also concurs with the recommendations of SAC, Milwaukee, regarding the issuance of letters of commendation from the Director concerning the following:

ASAC [redacted] (currently assigned to FBIHQ)
SA [redacted]
SA [redacted] (currently assigned to San Diego)
Supervisory Clerk [redacted]

In addition, CID concurs with the issuance of a letter recognizing the accomplishments of Chicago Strike Force Chief Douglas P. Roller in obtaining the indictments in this complex organized crime investigation. In view of the current criminal proceedings pending against [redacted] former Strike Force Attorney, CID does not believe a letter of recognition would be appropriate. b6 b7C

May 22, 1981

FEDERAL GOVERNMENT

Mr. David Margolis
Chief
Organized Crime and Racketeering Section
U. S. Department of Justice
Washington, D. C.

Dear Mr. Margolis:

It is my pleasure to bring to your attention the exemplary performance of Attorney in Charge David B. B. Helfrey and Attorney [redacted] of the Kansas City Field Office of the Organized Crime and Racketeering Section in connection with the successfully concluded electronic surveillance phase of the investigation of the murder of Judge John H. Wood. b6 b7C

Without the assistance of Mr. Helfrey and [redacted] the problems involved in the use of this extraordinary investigative technique could not have been successfully overcome. Please extend my appreciation, and that of my associates, to Mr. Helfrey and [redacted] for a job well done.

Sincerely yours,

William H. Webster

William H. Webster
Director

MAILED 1
MAY 22 1981
FBI

77L-HQ-1240842-1

1 - Kansas City (67-274) (detached)
Reurairtel 4-7-81 and urcal 5-10-81.
- [redacted] (detached) b6 b7C

CAM:ldp (7)

Exec AD Inv. ___
Exec AD Adm. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Intell. ___
Laboratory ___
Legal Coun. ___
Plan. & Insp. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Public Affs. Off. ___
Telephone Rm. ___
Director's Sec'y ___ MAIL ROOM ☐

APPROVED:
Director ___
Exec. AD Adm. ___
Exec. AD Inv. ___
Exec. AD LES ___
Adm. Serv. ___
Crim. Inv. ___
Ident. ___
Intell. ___
Laboratory ___
Legal Coun. ___
Plan. & Insp. ___
Rec. Mgnt. ___
Tech Servs ___
Training ___
Off of Cong. & Public Affs. ___

PERSONNEL UNIT

best copy available

FEDERAL [redacted]

December 7, 1981

Mr. David Margolis
Chief
Organized Crime and Racketeering
Section
U. S. Department of Justice
Washington, D. C.

Dear Mr. Margolis:

My associates in Milwaukee have advised me of the excellent legal guidance provided by Mr. Douglas P. Roller of the Chicago Field Office of the Organized Crime and Racketeering Section in connection with major organized crime investigations conducted by the FBI there, and it is a pleasure to bring his outstanding performance to your attention. We are most grateful for his invaluable assistance from the inception of these cases in 1970, especially in preparing several Title III and search affidavits. His wholehearted commitment contributed greatly to the overall success achieved and I ask that you extend our gratitude and very best wishes to him.

Sincerely yours,

William H. Webster
Director

MAILED 2
DEC 7 1981
FBI

1 - Milwaukee (66-1700)
Reurairtel 9/23/81.

77C-HQ-1240842-2

NOTE: We have had prior cordial correspondence with Messrs. Roller and Margolis. Address per prior correspondence.
CAM:sah (6)

Exec AD Inv. ___
Exec AD Adm. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Intell. ___
Laboratory ___
Legal Coun. ___
Plan. & Insp. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Public Affs. Off. ___
Telephone Rm. ___
Director's Sec'y ___
MAIL ROOM ☑

1 - ENCLOSURE

6-154 (Rev. 10-24-91)

Memorandum

To : Assistant Director
Information Management Division

Date 4/6/93

From : SI [redacted]

b6
b7C

Subject : David (NMN) Margolis

BACKGROUND INVESTIGATION
CONTACT SERVICES (BICS) PROGRAM

77J-HQ-97035 (File number)

The attached documents were generated in connection with a background investigation being conducted by a Special Investigator, under contract of the FBI. These documents should be filed in captioned file and placed on record for future retrieval.

Enc.

77L-HQ-1240842-3

~~77-97035-13~~

FBI/DOJ

FD-340 (Rev.8-18-89)

Universal File Case Number 77J-HQ-97035

Field Office Acquiring Evidence BICS Region I

Serial # of Originating Document _____

Date Received 9/18 - 10/7/92

From _____
(Name of Contributor)

_____
(Address of Contributor)

By SI [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title: David (NMN) Margolis

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

Misc

~~77-97035-13~~

Dave Morgales
7/77 - Present

[redacted]

[redacted] Va. 606

~~Left~~ Hunter Mill

Left Sunrise Valley

1st left after WIEHLE

[redacted] Cul-de-sac left

[redacted]

b6
b7C

Cul-de-sac left

[redacted]

CARL PA

Margolis Res

[redacted]

b6
b7C

P.O.
10/1/97

On 1984 = 8 yrs.
Just Neuroses.
Visit Homes —
Sees — 4 - 5 times per week
Occasional Drug
Reputation — good
Character — good, honest
reliable good credit
Fam — no — no drugs or
Alcohol — No — no place
No other Drugs — ✓
No problems
Loyal — ✓ Recommend for
P of T.

No unusually active
Leaves some time — comes
home some time
never goes anywhere

Family — very outgoing
pleasant
No wild parties.
Good Citizens
No problems

Hewitt
CARL-PA

Morrigoles 10/1/92

[redacted] b6 b7C

Lived 4 yrs in Jail
Cross - Absent
Never observed any/mail
address
+ Very isolated

No strong ideas
No drugs — No alcohol
Went to use telephone when
locked out. Regular
Just one ong.

Leo

Dave Morgolis

10/1/90
Phone
Response to Card

[redacted] b6 b7C

[redacted] Va.

CARL - PA [redacted]

F. Known — 1983, 9 yrs
Association — just neighbors, lots of talking
Wife close friend together
Olney, and in — month and seen several times a week
Associates: none in
Character, honest, reliable, Gentlemanly
Reputation — well liked, not complainy
Financial — "
Law, Alcohol — ? no abuse never saw drink
I.D. or Prescription — no suspicion
Good Responsibility, good
Loyalty ✓ Recommends for P.I.

Nice neighbors — keep eye have keys to to her house

Not neighborhood — adults
have no neighborhood.

Once a day talks w wife
see David once a
day and talks frequently

10/7/Phone

Dave Margolis

(Rec)

b6
b7C
b7E

[redacted]

D.O.B. [redacted]

Work [redacted]

Title! [redacted]

Observation – professional dealings & personal meetings

Socialize – 2-4 times per year

Does not do lot of socializing

Know – 1986 ~~Aug~~ Since August

see frequently in neighborhood

Character – honest reliable good moral – strong family man

Associates – ~~most~~ mostly – all of good character

Reputation – good reputation

Admiration – very much so

nothing

Alcohol – no abuse

I.D. – no drugs

Loyal – ✓ Recommend for Post without Observation

No other aspects

Absolutely devoted family man

CHAL
PA

(PA)

Morrizis 10/5/92

[redacted]

b6
b7C
b7E

Phil

15 years.

Phone 10/5/92 Neighbors → rides to work
5 - 30 - occasions
Family - in Neighborhood
No ob using
✓ Reputation ✓
✓ Character Honest reliable
good morals.
✓ Associates - good character
✓ Alcohol - no wine no abuse
I.D. - no drugs at all
No law -
Financial yes.
Loyal - ✓ Recommend for
P of T.
Good husband father
sees several times a week.

ONCE-A-DAY

NEW **Zoloft™**

(sertraline HCl) 50 mg, 100 mg scored tablets

FROM DEPRESSION...INTO THE MAINSTREAM

b6
b7C

2.

Please see ZOLOFT™ (sertraline HCl) prescribing information on last pages.

303

OMLPA

Jared Margolis 9/21/97
Room 2112 - D.O.J.

Deceased Dunn
Divorced.
Has no idea of whereabouts of ex-wife
Never said specifically
One divorce
No arrest -
No Speeding - not criminal matter
No employment denials
No bankruptcy - No
Credit 1976 - credit delinquency - first - late on charges on credit, no collection agency
No marital counseling
- Antisocial Attitudes
- Use of Alcohol - last 10 yrs 6 months per year with ~~out~~ wine bar
No foreign contacts or investments
No Professional grievances or complaints
No other aspects to course
No foreign contacts outside of business
* Kids had counseling when teen agers but not from.
No employment denials

Acting Deputy Assist atty Gen.

Ret

Dave Morgolis - FBI
(Pu

CARL PA
10/7/90
Phone

[redacted]

b6
b7C

Retired

Most - Retired 1988 - Deputy Ch
10 year as boss
10 years as colleague
Social - only thru business
strictly business & family man
Did travel w him to visit office several times
Associates - absolutely - other employees not to prove
Character, honest, reliable, good morals
Reputation: good - no complaints
no harassment
good attorney & effective manager (compassion) & leader.
Finance: no frugal
Attorney Skills - technical attorney - stay on top of legal part
Acholol - no abuse - hardly drinks glass of wine
I.D. - no - no drugs
Loyal - ✓

Recommend ✓ - whole heartedly.

Dave is a delicate Public Servant.

Re Dave Margolis 9/21 (Rep)

CARL(FAD)
PA

[redacted] DOB [redacted] b6 b7C

Chief Fraud Section
of Crim Div of Justice

Summary 1969 — Generally Professional
— 1969 — Not much socializing — see each — in same car pool
+ sometimes in neighborhood
+ associates of good character but few

No close
One of the smartest attorneys in
go — (no activities of prospective
attorney. Only devoted to his
office + dedicated public servant
Honest — honest, forthright
good person — well
Respected — good legal community
+ as a citizen
Financial — very modest life style.
Vacations w/ family — no divorce
M/E — Stable
Alcohol — ? doesn't drink / most like to have
Prescription code
Illegal — none
Loyal — ✓ Recommends for P of J.

No other aspects to investigate

Super - Emp

b6
b7C

CARL PA [redacted] 9/2/95

Know - 7-8 years
Supervisor - 2 years
Excellent - fundamentals - good
No prejudice - no bias
Associates - [illegible] - excellent attorney & adm
Cooperative - [illegible] Spirit
Personal - light, attitude, good morals
Associates - [illegible] - excellent office
Reputation - excellent
No grievances - filed [illegible]
Finances - OK - no [illegible]
Alcohol - [illegible]
Prescription - no problem
Loyal - Recommended for
Pos of Trust.

Beyond ethical
reproach

Re Margolis

Special Counsel
Chief of Staff to
Asst Attorney General
Criminal Div

9/21/92

b6
b7C

CARL
PA.

Knew Act 1990
Proven Professional and supervisor
Accessment Society
- Superior performance - adequate
- no prosecution
- Character - honest, reliable,
good moral Character
Associations - no - no
not know
Professional Grievances - Couple
OPR - no subpoena
Reputation - good in business and
legal Community
Alcohol - ? only occasional
Presumption - no - no adequate
Emotionally Responsible
Loyal - to US
Recommends for P.J.
Other - no other aspects to
o

9/21/92 G Morgolis

CARL
PA

John C Keeney DOB 2/19/22
Dept Asst
Atty General
Criminal

Known — ~~interviewed~~ 1965
Limited Contact — Since 1970's —
Professional — Supervised prosecutor
No association
years while he was chief of OC

Performance — o/s atty skills
No prejudices or bias
Character — honest, reliable, good
morals, — no prejudice or bias
No problems as attorney
Financial — ? doesn't favor it
Alcohol — very infrequent
No presumption or illegal
Reputation — excellent in
office and in legal community
Loyal — Yes — Recommend for P
No other other areas to investigate

9/21/92

Re Morgolis
Morgolis

PA
CARR
FIN.
PA

[redacted]

DOB [redacted] b6 b7C

Dep Assist AG - Criminal Div
USDOJ

Know - 11 years
Association - Professional / Socialization Occasion / Dinner & Lyceum
Outside - none

Excellent atty & Public
No bias or preference
Reputation - excellent
No professional grievance

Character, honest, good, high moral
ethical, good morale
Alcohol - infrequent - no problems
doesn't drink anymore (10 yrs)
Prescription / Legal Narcotics
Financial - no problems
Loyal - ✓ Recommends for Dept
unhesitatingly - high moral
& ethical

Never a supervisor - mostly
co workers and other atty
Worked closely over the years -
No other
Fine public servant decorated
and commended - highest traditions
fine example of a public servant

and has to serve the
public interest
— getting well

Congenial, decent guy, loves
family, job and D.C., and
committed to fulfilling
his oath of office — best
job and D.C. have as possible

Memorandum

TO:

DATE: 11-13-92

XX Office of Security Programs
Attn: [redacted]
Main Justice, Room 6525

____ Office of Personnel Management
Attn: [redacted]
Office of Federal Investigations
600 E Street, N.W.

____ The White House
Attn: [redacted]
Counsel to the President

____ Office of the Attorney General
Attn: [redacted]
Main Justice, Room 5132

___ Executive Office for U.S. Attorneys
Attn: [redacted]
Patrick Henry Building

____ Office for Professional Responsibility
Attn: [redacted]
Main Justice, Room 4304

____ Office of Senate Security
Attn: [redacted]
S407, U.S. Capitol
Washington, D.C. 20510

____ Office of the Vice President
Executive Office Building
Room 423

___ Office of Attorney Personnel Management
Attn: [redacted]
Main Justice, Room 6150

____ U.S. Department of State
Attn: [redacted]
2210 C Street, N.W., Room 2422

___ Administrative Office of the U.S. Courts
Attn: [redacted]
Personnel Security Specialist
Room 5-543, One Columbus Circle, N.E.

___ Office of the Pardon Attorney
Attn: [redacted]
7th Floor, 500 1st Street, N.W.

____ Executive Office for U.S. Trustees
Attn: [redacted]
HOLC Building, Room 812

____ Office of Intelligence Policy and Review
Attn: [redacted]
Main Justice, Room 6325

___ Office of the Attorney General
Attn: [redacted]
Main Justice, Room 4410

b6
b7C

From: [redacted] Chief
General Background Investigation Unit
EFJ/csr

Subject: DAVID NMN MARGOLIS

Status of Investigation: ____ Incomplete XX Complete

Comments:

Any questions regarding this candidate please contact [redacted] at 324-4229.

6/MS

U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535

October 28, 1992

DAVID MARGOLIS
BACKGROUND IVNESTIGATION
DEPARTMENT OF JUSTICE

RECORD CHECK

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the indices of appropriated field office, and other appropriate computer data bases, did not identify any documents containing pertinent information identifiable with the candidate or his close relatives, except the following:

David Margolis was the subject of a favorable background investigation initiated in April 1965 regarding employment with the United States Department of Justice as an Assistant United States Attorney.

David Margolis was also the subject of a background investigation initiated in November 1964 regarding employment with the Federal Bureau of Investigation as Special Agent.

It should be noted that the currency of the data input into the various indices can vary from days to even months from the date of the document as a result of existing clerical backlogs throughout the FBI.

AGENCY CHECK

On August 27, 1992, indices were checked at the United States Office of Personnel Management (OPM), and no record was located concerning the candidate.

Dep. Dir. ____
ADD Adm. ____
ADD Inv. ____
Asst. Dir.:
Adm. Servs. ____
Crim. Inv. ____
Ident. ____
Insp. ____
Intell. ____
Lab. ____
Legal Coun. ____
Rec. Mgnt. ____
Tech. Servs. ____
Training ____
Cong. Affs. Off. ____
Off. of EEO ____
Off. Liaison & Int. Affs. ____
Off. of Public Affs. ____
Telephone Rm. ____
Director's Sec'y ____

ORIGINAL DELIVERED TO DOJ-OSP BY COURIER ON 11-12-92 LOHIOH.

KLGH (2)

RC [redacted] 6/3/03 RR 4/17/03 TW

b7E

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MAIL ROOM ☐

RE: DAVID MARGOLIS

CREDIT RECORD

On August 27, 1992, a search of the computerized records of CBI, Washington, DC, Silver Spring, Maryland, revealed the candidate has fifteen (15) trade accounts, all of which are paid as agreed with no record of late payments or past due notices. One account is not rated.

TO: FACILITY ACCESS & SECURITY UNIT
ROOM 4370
ATTN: [redacted]

b6
b7C

FROM: GENERAL BACKGROUND INVESTIGATIONS
ROOM 4383

ATTN: [redacted] EXT. 4229

BUDED: 10/13/92

****
*** NOTICE OF PERSONNEL INVESTIGATIONS ***
****

TYPE OF APPOINTMENT DATE OF APPOINTMENT

POSITION SENSITIVITY: 3

INVESTIGATING AGENCY: SOI - DJ90

INVESTIGATION TYPE: 30

DATE INVESTIGATION INITIATED: 08/25/92

AGENCY FILE #: _____

No Record: ✓
No Pertinent Information: _____
File Requested: _____
Possible Match: _____
(OPM-FIPC will follow up)
Case in Progress, contact OPM-FIPC _____
Checked by: JB Date: 8-22-92
Facility Access and Secuirty Unit

AGENCY FILE LOCATION: SOI - DJ90
OR IF NOT AT SOI, ENTER LOCATION BELOW:
AGENCY NAME:
AGENCY LOCATION:

****
*** SUBJECT IDENTIFICATION ***
****

NAME: MARGOLIS DAVID NMN

SSN: 047-30-3300 DOB: 12/18/39

POB: HARTFORD CT

(COUNTRY IF OTHER THAN U.S.)

AKA: LAST FIRST MIDDLE SUFFIX FROM TO NEE

* NONE *

****

SII SEARCH REQUESTED? Y SII FILE RELEASE REQUESTED? Y

****

6/24/

NOTICE OF INVESTIGATION COMPLETED - NO SII RECORD FOR SSN 047-30-3300

****

FUNCTION: _ **** NOTICE OF PERSONNEL INVESTIGATION ****

****

POSITION SENSITIVITY: _ (1=NS, 2=NCS, 3=CS, 4=SS)

INVESTIGATING AGENCY: SOI - ____

INVESTIGATION TYPE: __ (11=PRI, 15=MBI, 20=LBI, 25=BI, 30=SBI, 39=OTHER)

DATE INVESTIGATION INITIATED: __ / __ / ____

AGENCY FILE #: _____

AGENCY FILE LOCATION: SOI - ____
OR, IF NOT AT SOI, ENTER LOCATION BELOW:
AGENCY NAME: _____
ADDRESS: _____

****

(A) AGENCY MENU (E) END

4B* E2

REQUEST FOR CREDIT CHECK

AUG 21 9 35 AM '92

DATE 08/25/92

TO: CONTRACTOR
FROM: GENERAL BACKGROUND INVESTIGATION UNIT (GBIU), CID

ATTENTION: [redacted] ROOM 4383 EXT. 4229 b6 b7C

RESPONSE CRITERIA	TYPE OF REQUEST
10 WORK DAYS	SUITABILITY

SUBJECT'S NAME: MARGOLIS,DAVID NMN MAIDEN:

DATE OF BIRTH (DOB): 12/18/39 PLACE OF BIRTH (POB): HARTFORD,CT

SEX: M SOCIAL SECURITY ACCOUNT NUMBER (SSAN): 047-30-3300

SPOUSE'S NAME: [redacted] MAIDEN: [redacted]
AKA:

SUBJECT'S CURRENT ADDRESS: [redacted] b6 b7C

SUBJECT'S ADDRESS(ES) FOR LAST SEVEN (7) YEARS: [redacted]

ENCLOSURE - RELEASE FORM TO BE ATTACHED

Standard Form 86
Revised December 1990
U.S. Office of Personnel Management
FPM Chapter 732

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any authorized Federal agency, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, and criminal history record information.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will or may be needed, and I may be contacted for such a release at a later date.

I Further Authorize the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any other authorized agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for, assignment to, or retention in, a sensitive position, in accordance with 5 U.S.C. 9101.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature (Sign in ink)	Full Name (Type or Print Legibly)	Date Signed
David Margolis	David Margolis (NMN)	8-5-92

Other Names Used	Social Security Number
	014 17 - 3 10 - 3 13 10 10

Current Address (Street, City)	State	ZIP Code	Home Telephone Number (Include Area Code)
[redacted]	V A	[redacted]	

b6
b7C

GBIU 10 DAYS E [redacted] b6 b7C

MARGOLIS ,DAVID [redacted]

VND: C B I PAGE 1
BUR: CBI WASHINGTON DC
12501 PROSPERITY DRIVE
SILVER SPRINGS MD 20904
(301) 680-0770

SUBJECTS NAME	MS	DEP	DOB	SSN
MARGOLIS ,DAVID			12/18/36	047303300

ALSO KNOWN AS

SPOUSE NAME SPOUSE SSN

CURRENT ADDRESS
[redacted]

FORMER ADDRESS
7 HOPE ST STAMFORD ,CT

CURRENT EMPLOYER
US DEPT OF JUSTICE

OCCUPATION

FORMER EMPLOYER

FORMER OCCUPATION

********** TRADE **********

SUBR NAME / SUBR CODE	ECOA TYPE / DLR	DO	DLA	ACCOUNT NUMBER / HIGH TERM	BAL	MOP HIST PAST	PAYMENT PATTERN 30 60 90	REMARKS
[redacted]						1 99		PAYS AS AGREED
						1 83		PAYS AS AGREED
						1 93		PAYS AS AGREED
						1 34		PAYS AS AGREED
						1 54		PAYS AS AGREED

b6 b7C

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
WMFO	BUREAU	11/5/92	11/5/92

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID "NMN" MARGOLIS	IA [redacted] (X) JSC 11-5-92	jsc

b6
b7C

CHARACTER OF CASE

BACKGROUND REINVESTIGATION
DEPARTMENT OF JUSTICE

Reference: Bureau airtel dated 8/26/92 and WMFO report dated 10/14/92, captioned as above.

- RUC -

ADMINISTRATIVE: Buded 10/13/92

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

This report is being submitted late due to delays at DOJ. Records were requested on 9/14/92, 10/14/92 and 11/2/92, but results were available on 11/5/92.

APPROVED B/RH SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2-BUREAU (77J-HQ-97035)
1-WMFO (77J-HQ-97035)

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	ICCTD			
Request Recd.	001-CSR	9117/03		
Date Fwd.	11-13-92	6/3/03		
How Fwd.				
By	LH/CSR	TW		

Notations: L.GH/LMH

b7E

A*
COVER PAGE

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: [redacted] Office: WMFO b6 b7C
Date: November 5, 1992

Field Office File #: 77J-HQ-97035 Bureau File #: 77J-HQ-97035

Title: DAVID NMN MARGOLIS

Character: BACKGROUND REINVESTIGATION
DEPARTMENT OF JUSTICE

Synopsis: The OPR at DOJ, revealed a case 88-0191, which MARGOLIS, was involved in, during his position as Chief, Organized Crime Section, at U.S. Justice Department, Washington, D.C.

- RUC -

DETAILS: AT WASHINGTON, D.C.

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WMFO 77J-HQ-97035
JSC:jsc
1

OFFICE OF PROFESSIONAL RESPONSIBILITY

10th and Pennsylvania Avenue, N.W.,
Washington, D.C.

On November 5, 1992, Investigative Assistant (IA) [redacted] [redacted] reviewed a file at the Office of Professional Responsibility, at above captioned address which was made available by [redacted] Room 4305, regarding the applicant, DAVID NMN MARGOLIS, DOB: 12/18/39, SSN:047-30-3300. The following information was made available: b6 b7C

CASE 88-0191
MARGOLIS, DAVID - Chief
[redacted] - Deputy Chief
OCRS, Criminal Division
[redacted] - Complainant

b6
b7C

Subject: Improper removal of Strike Force Attorney [redacted] From MCA Case.

Details: On February 11, 1988, a letter was received from [redacted] complaining that [redacted] was taken off the MCA Records Case, simply because he was uncovering payola and organized crime ties in the music business. On March 14, 1988, another letter was received from [redacted] wanting to know whether [redacted] had anything to do with [redacted] removal. On July 18, 1988, MARGOLIS and [redacted] categorically denied any improperties of the removal of [redacted] MARGOLIS, reviewed all material and letters from [redacted] for review and response. All of [redacted] letters refer to [redacted] as an U.S. Assistant Attorney, but throughout his service [redacted] was a Department of Justice Attorney assigned to Los Angeles Strike Force. b6 b7C

Conclusion: On January 10, 1991, the file was considered Administratively Closed-STALE, also there was no evidence of improper removal of [redacted]

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
BICS REGION 1	FBIHQ	10/28/92	9/18/92 - 10/7/92

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	SI [redacted]	rfm
	CHARACTER OF CASE	
	BI-US DOJ (R)	

b6
b7C

Correct pg 9

REFERENCE:

FBIHQairtel, dated 8/26/92.

-RUC-

ENCLOSURE:

Copy of divorce decree enclosed as an attachment.

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Where utilized, telephonic interviews were at the request of interviewees.

APPROVED Fmm/Kel	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2-Bureau
1-BICS Region 1 (Enclosure)
(77J-HQ-97035)
sent 11/10/92 Kel

DISSEMINATION RECORD OF ATTACHED REPORT					Notations
Agency	1 cc to	[redacted]			
Request Recd.	DOJ-OSP	4/17/03			
Date Fwd.	11-12-92	6/3/03			
How Fwd.					
By	LGH/OSP	TW			

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b7E

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SI [redacted] Office: BICS REGION 1 b6 b7C
Date: October 28, 1992

Field Office File #: 77J-HQ-97035 Bureau File #: 77J-HQ-97035

Title: DAVID (NO MIDDLE NAME) MARGOLIS

Character: BACKGROUND REINVESTIGATION -
UNITED STATES DEPARTMENT OF JUSTICE

Synopsis: Candidate interviewed and favorable. Employment verified, supervisors and coworkers recommend. Neighborhood favorable, neighbors recommend. References interviewed and recommend.

DETAILS:

The following investigation was conducted by Special Investigator (SI) [redacted]

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RESIDENCE

[redacted] b6 b7C

July, 1977 - Present

On October 1, 1992, [redacted] was interviewed at her residence, [redacted] VA. She advised the candidate has been her neighbor since she moved into the neighborhood in 1984. She stated she sees him four or five times a week in the neighborhood, and has had dinner with him and his wife on several occasions during the year. She stated the candidate is a good family man who spends most of his time at home with his family. b6 b7C

[redacted] stated the candidate has a good reputation in the neighborhood, is financially responsible, and of good moral character. The candidate has never expressed any bias or prejudice towards any groups of citizens. She stated the few associates known to her are other neighbors of good character and reputation.

She stated the candidate does not abuse alcohol, and she has no reason to suspect he might use illegal drugs or misuse prescription drugs. She stated he leaves early in the morning and comes home late at night, just like all the other commuters to Washington, DC.

[redacted] stated the candidate has no unusual hobbies or lifestyle habits that would warrant further investigation. She stated he is loyal to the United States, and recommends him for a position of trust. b6 b7C

On October 1, 1992, [redacted] VA, was interviewed at her residence. She stated she has resided at this address for four years, but does not know the candidate by name or description, and cannot comment on his character, reputation, loyalty, or suitability for a position of trust. She stated she visited the candidate's home one time to use the telephone when she had locked herself out of her house.

She stated she did not have any reason to suspect the candidate uses illegal drugs or misuses prescription drugs, and there is no indication that he ever abuses alcohol or has any financial difficulties. [redacted] could provide no further information. b6 b7C

On October 1, 1992, [redacted] VA, telephone number [redacted] telephonically responded to a calling card left at her residence on October 1, 1992.

She stated she has known the candidate since 1983, as a neighbor, and is a close friend of his wife. She stated she sees and speaks to them several times a week, and has dinner with them once a month.

[redacted] describes the candidate as a nice neighbor, and trusts him well enough to let him and his wife have keys to her house. She stated he was honest, reliable, and of good moral character and reputation. She stated she does not recall ever seeing the candidate use alcohol, and has no reason to suspect he uses, or ever used, illegal drugs or misuses prescription drugs. The candidate has never expressed any bias or prejudice towards any groups of citizenes. b6 b7C

She stated the candidate is financially responsible, and his few associates are of good character and reputation. She stated the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

On October 1, 1992, calling cards were left at [redacted] requesting contact for personal interview. A calling card was also left at [redacted] VA, at the residence of [redacted] requesting contact for personal interview. As of October 18, 1992, only residents of [redacted] had responded. b6 b7C

On October 5, 1992, [redacted] date of birth (DOB) [redacted] telephonically responded to a calling card left at his residence on October 1, 1992. He advised his telephone number is [redacted] and he has been a neighbor of the candidate for 15 years, and used to ride to work with the candidate. He stated he is retired from the [redacted] and now only sees the candidate as a neighbor. b6 b7C b7E

He described the candidate as honest, reliable, and of good moral character and reputation. He has never known the candidate to abuse alcohol, and has no reason to suspect he ever used illegal drugs or misuses prescription drugs. The candidate has never expressed any bias or prejudice towards any groups of citizens. He stated the candidate's few associates are of good character; the candidate is financially responsible, and a good citizen, husband, and father.

[redacted] stated he sees the candidate several times a week in the neighborhood, and has been to his home on 15 or so occasions for parties or dinner. b6 b7C

He advised the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

On October 7, 1992, [redacted] DOB [redacted] [redacted] telephone number [redacted] telephonically responded to a calling card left at his residence on October 1, 1992. He advised he is [redacted] at the [redacted] and has been a neighbor of the candidate since August, 1986. He advised he sees the candidate in the neighborhood several times a week, but only socializes with him approximately twice a year for dinner or a neighborhood function.

b6
b7C
b7E

He stated the candidate is a very responsible citizen, honest, reliable, and of good moral character and reputation. The candidate has never expressed any bias or prejudice towards any groups of citizens. He describes the candidate as a family man who spends most of his time at home with his family.

He advised the candidate is financially responsible, and does not abuse alcohol, use illegal drugs, or misuse prescription drugs.

He advised the candidate has few associates of whom he is aware, and those he has observed are other neighbors and others who appear to be of good character and reputation.

He stated the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

EMPLOYMENT

United States Department of Justice
Criminal Division
Tenth and Pennsylvania Avenues, Northwest
Washington, DC 20530
August, 1976 - Present

The candidate has served in numerous positions with the United States Department of Justice since 1976, and prior. His current position is Acting Deputy Assistant Attorney General, Criminal Division, United Stated Department of Justice.

On September 21, 1992, the following investigation was conducted at the captioned location:

[redacted] Assistant Attorney, Criminal Division, United States Department of Justice, was interviewed at his office. b6 b7C

He stated he has known the candidate for about eight years, and has been his supervisor for about two years. He advised the candidate is an excellent employee who is known for his good judgment. He did not know him to have any prejudice or bias, and considered him an excellent attorney and administrator.

He stated the candidate is honest, reliable, and of good moral character and reputation. He noted the candidate is ethical, and has not had any professional complaints filed against him, except those normally experienced in his managerial position, none of which were of any merit or substance.

[redacted] stated he did not associate with the candidate outside work, and did not know his close friends or associates. He stated the candidate has a good reputation in the legal community and within the Department of Justice. b6 b7C

He stated the candidate is financially responsible, does not abuse alcohol, use any illegal drugs, or misuse prescription drugs.

He stated the candidate is loyal to the United States, and recommends him for a position of trust.

[redacted] Special Counsel to the Assistant Attorney General, Criminal Division, United States Department of Justice, advised that he has known the candidate since October, 1990, as an associate, but has never been the candidate's supervisor. He stated he has known the candidate professionally for a number of years, but their encounters were brief. b6 b7C

He advised he did not socialize with the candidate, and did not know his close friends or associates outside work.

He advised the candidate was the subject of several Office of Professional Responsibility Investigations connected with his duties at the Department of Justice, but none of the allegations were of any substance.

[redacted] advised the candidate had a good reputation in the Department of Justice and within the legal community, and does not exhibit bias or prejudice. b6 b7C

[redacted] advised the candidate does not abuse alcohol, and he has no reason to suspect he ever used illegal drugs or misused prescription drugs.

[redacted] stated the candidate is financially responsible and a responsible citizen of the community. He advised the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

JOHN C. KEENEY, DOB February 19, 1922, Deputy Assistant Attorney General, United States Department of Justice, Criminal Division, advised he has known the candidate since 1965, but only on a casual basis. He stated he interviewed the candidate in 1965, as an applicant to the Department of Justice. He stated he supervised the candidate for several years during the 1970s when the candidate was Chief of the Organized Crime Section. Since then, they have been peers or associates at the Department of Justice.

KEENEY stated the candidate is an excellent attorney of exceptional skills, and is not known to have any bias or prejudice. He described him as honest, reliable, and of good moral character and reputation. He has never known him to be the subject of any investigation of substance regarding his ethics or professional conduct.

KEENEY stated the candidate was financially responsible and well-regarded in the legal community. He did not know the candidate to abuse alcohol, or to ever use illegal drugs or misuse prescription drugs. He did not socialize with the candidate outside work, and did not know his close friends or associates.

KEENEY stated the candidate is loyal to the United States, and he recommended him for any position of trust and responsibility.

He stated he did not know of anything in the candidate's background or lifestyle that would warrant further investigation.

[redacted] DOB [redacted] Deputy Assistant Attorney General, United States Department of Justice, Criminal Division, advised he has known the candidate as an associate for about 11 years. He advised their association has been purely professional, and he does not socialize with the candidate or know any of his close friends or associates. b6 b7C

He advised the candidate has a good reputation as an excellent attorney and public servant. He did not know him to have any bias or prejudice. He stated the candidate is honest, reliable, ethical, and of good moral character and reputation. He advised he has not known the candidate to use alcohol during the past ten years, and does not believe he abuses alcohol. He advised he has no reason to suspect the candidate uses, or ever used, illegal drugs, or misuses prescription drugs.

He advised the candidate is financially responsible and a dedicated public servant.

[redacted] described the candidate as a congenial, decent guy who loves his family, his job, is fully committed to fulfilling his oath of office and to serve in the public interest. b6 b7C

He advised the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

REFERENCES

On September 21, 1992, [redacted] DOB [redacted] Chief, Fraud Section, Criminal Division, United States Department of Justice, 1400 New York Avenue, Northwest, Washington, DC, was interviewed at his office. He stated he has known the candidate since 1969, as a colleague in the Department of Justice. He advised he was also a resident of [redacted] VA, and often carpooled with the candidate for several years. b6 b7C

He stated he did not socialize often with the candidate, and did not know his close friends or associates. He noted that the few associates he met were of good character and reputation.

He advised the candidate is forthright, honest, personable, and of good moral character. The candidate has never expressed any bias or prejudice towards any groups of citizens. He stated the candidate has a good reputation in his community and within the legal community. He stated the candidate and his family live very modest lives, well within the candidate's financial ability.

[redacted] advised the candidate has an excellent reputation as an attorney and public servant, and is well-respected in the legal community. b6 b7C

He did not know the candidate to abuse alcohol, and had no reason to suspect he ever used illegal drugs or misused prescription drugs.

He stated the candidate is loyal to the United States, and recommended him for any position of trust or responsibility.

[redacted] stated he knew of no other aspects of the candidate's lifestyle, hobbies, or habits which would warrant further investigation. b6 b7C

On October 7, 1992, [redacted] VA, telephone number [redacted] was telephonically contacted for interview. [redacted] was contacted numerous times to arrange a personal interview, but was always unavailable for personal interview. He recognized the difficulty in arranging an interview with this SI, and requested a telephonic interview.

[redacted] stated he retired from the Department of Justice in 1988. He had known the candidate as a colleague at the Department of Justice for ten years in the Criminal Division. He described the candidate as a dedicated public servant who is honest and of good moral character. He stated the candidate was a good attorney and an effective manager who is well-respected

for his ethics and good character. The candidate never expressed any bias or prejudice towards any groups of citizens. He stated the candidate is of good character and reputation, is financially responsible, and does not abuse alcohol, use illegal drugs, or misuse prescription drugs. [redacted] could not comment relative to candidate's outside associates. b6 b7C

He stated the candidate is loyal to the United States, and recommends him for a position of trust and responsibility.

Where utilized, telephonic interviews were at the request of interviewees.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/28/92

DAVID (NO MIDDLE NAME) MARGOLIS, the candidate, was interviewed at his place of employment, UNITED STATES JUSTICE DEPARTMENT, Tenth and Pennsylvania Avenues, Northwest, Washington, DC, where he is employed as Acting Deputy Assistant Attorney General, Criminal Division. He reviewed his Standard Form (SF)-86, stated it was complete and correct and that he had no changes or corrections. He was further advised that his responses to the questions asked should cover his entire adult life and were not limited to time periods in his SF-86.

MARGOLIS stated he has never filed for bankruptcy, or had any property repossessed or any credit accounts placed for collection. He stated he has never been a party to a civil suit, except for a divorce (copy attached), and several suits resulting from his management position with the DEPARTMENT OF JUSTICE, all of which were unwarranted. He recalled he had one delinquent credit account in 1976, but was able to remedy it without the account being placed for collection.

He stated he has never been arrested or been the subject or suspect in a criminal investigation. He stated he has never been denied employment for any cause, and has never been fired from a job.

He advised he has never had any professional complaints or grievances filed against him, and has no business or other interests that would conflict with his duties at the UNITED STATES DEPARTMENT OF JUSTICE.

MARGOLIS advised he has never had any type of marital counseling or psychological counseling. However, his children did receive some counseling as teenagers. He received some feedback regarding the counseling, but was not himself a patient.

MARGOLIS advised he has used alcohol about once every six months during the past ten years, on special occasions, and usually limits himself to a glass of wine. He stated he has

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Investigation on 9/21/92 at Washington, DC File # 77J-HQ-97035

by SI [redacted] rfm Date dictated 10/18/92

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

never had any contact with agents or representatives of foreign governments, except in the line of duty, and has no interests whatsoever that would conflict with his duties with the UNITED STATES DEPARTMENT OF JUSTICE.

He stated he has never used illegal drugs or misused prescription drugs, and does not know of anything in his life or lifestyle that could possibly be used to coerce him.

He advised that he knew of nothing else in his life that would have a bearing on his continued employment with the UNITED STATES DEPARTMENT OF JUSTICE.

The candidate advised he has no idea of the whereabouts of his former wife.

UNITED MEXICAN STATES)
STATE OF CHIHUAHUA)
CONSULATE OF THE UNITED) ss:
STATES OF AMERICA)
AT CIUDAD JUAREZ)

I, [redacted] Consul of the United States of America at Ciudad Juarez, Chihuahua, Mexico, duly commissioned and qualified, do hereby certify that [redacted] and [redacted] whose true signatures and official seals are, respectively subscribed and affixed to the annexed document, were on the 30 day of DECEMBER 1967 the date thereof, Judge and Secretary of the Third Civil Court, (Juez y Secretario del Juzgado Tercero de lo Civil), Bravos District, Ciudad Juarez, Chihuahua, Mexico, duly commissioned and qualified to whose official acts faith and credit are due.

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The Consulate assumes no responsibility for the contents of the annexed document, nor for the validity of the document, or for its acceptability in any state in the United States.

IN WITNESS THEREOF I have hereunto set my hand and affixed the seal of this Consulate at Ciudad Juarez, Chihuahua, Mexico, this 2 day of JANUARY 1968.

[redacted]

Consul of the United States
of America.

Item No. 48
Fee $2.50

Torres.

THE HON. BENJAMIN ASCENSIO CORDERO, CLERK OF THE THIRD CIVIL COURT OF THE b6
DISTRICT OF BRAVOS, STATE OF CHIHUAHUA, REPUBLIC OF MEXICO, C E R T I F I b7C
E S :- That in the suit for necessary divorce filed by [redacted] against Mr. DAVID MARGOLIS, a Judgment was pronounced worded as follows:- -

J U D G M E N T :- Ciudad Juarez, Chihuahua, December 30, 1967.- In suit - for necessary divorce filed by [redacted] against Mr. DAVID MARGOLIS, (File 9631 /967); and, W H E R E A S :- By writ dated in this City on December 28, 1967, [redacted] expressly submitting to the jurisdiction of this Court, filed suit for necessary divorce against her husband, Mr. DAVID MARGOLIS, alleging as grounds the ill treatments from the defendant and the incompatibility of temperaments that exists between the spouses, and declaring:- That the marriage was contracted on July 31, 1966, in Hartford, Connecticut, United States of North America, as appears from the testimonial evidence submitted; that there are no children issue of said marriage.- On December 21, 1967, the parties entered into an Agreement of Separation in Hartford, Connecticut, United States of North America, which prayed to be approved and incorporated by reference into this decree, as if fully set forth herein, but that same is not to be merged herein, but shall survive the decree and shall continue to -- have full legal force and effect.- THE SUIT WAS ENTERED and notification - to the defendant was ordered; and the plaintiff, [redacted] b6 b7C having personally appeared before this Court to file her complaint was notified of said order and she then declared:- That she ratifies the - divorce complaint in all its parts, including her express submission to -- the jurisdiction of this Court and exhibited the certificate of her inscription in the Municipal Registry of Residents of this City.- Attorney [redacted] presented a writ to this Court on December 29, 1967, exhibiting a power of attorney granted in his favor by Mr. DAVID MARGOLIS; said document contains express instructions so that said Attorney in Fact answer the complaint, submit his principal to the jurisdiction of this Court and so that he may confess the existence of incompatibility of temperaments between the parties in these proceedings; and finally, the authorized Attorney in Fact is authorized to ratify the agreement of separation entered into and between [redacted] and David Margolis.- The power of attorney with which Counsellor [redacted] entered his appearance was granted on December 22, 1967, in the County of Hartford, State of Connecticut, United States of North America, before [redacted] Notary Pub--

lic; and in accordance with authority granted in such mandate, Attorney - Amparan confessed the complaint in the ground of incompatibility of temperaments, ratified the agreement entered into by the parties, submitted his principal to the jurisdiction of this Court and prayed for the resolution which is rendered today in view of the fact that all legal requirements -- have been complied with, including the payment for publication of the same as per Tax Payment Certificate No. 35-107086; and, W H E R E A S :- First: This Court is competent to rule in the present case pursuant to articles - 22, 23 and 24 of the Divorce Law; the marriage of the parties was estab-- lished by the testimonial evidence submitted in accordance with article 29 of the same Law.- Second:- As grounds for divorce the plaintiff alleged -- the ill treatments and the incompatibility of temperaments, grounds provided for in Sub-divisions VIII and XIX of article 3 of the Divorce Law, and the defendant having admitted to the petition in the ground of incompatibility of temperaments, said ground was duly proved in accordance with article 370 of the Code of Civil Procedure, supplementally applied.- Third:- - It was stated in the petition that there are no children issue of the marriage, hence nothing is ruled in this decree in this respect.- THEREFORE, based on articles 1, 2, 10, 37, 43, 44 and 45 of the Divorce Law, IT IS -- DECREED:- FIRST:- The marriage contracted by [redacted] -- b6 b7C and DAVID MARGOLIS on July 31, 1966, in Hartford, Connecticut, United States of North America, is hereby declared dissolved with all its legal consequences, leaving both parties legally free to contract a new marriage.-- SECOND:- The Agreement of Separation entered into between the parties on - December 21, 1967, in Hartford, Connecticut, United States of North America, is hereby approved in all its parts, the same being incorporated by reference into this decree, as if fully set forth herein, but said agreement is not merged herein, but shall survive the decree and shall continue to - have full legal force and effect.- THIRD:- The maiden name is hereby restored to the plaintiff, to wit: [redacted] - FOURTH:- This judgment b6 b7C is to be recorded and published and certified copies supplied to the par-- ties concerned as requested and the records are to be filed in due course. Thus this final judgment of the Hon. Florencio Torres Z., Judge of the --- Third Civil Court of the District of Bravos.- Attest:- Florencio Torres Z. [redacted] - Signed.- -

O R D E R :- Ciudad Juarez, Chihuahua, December 30, 1967.- Add to its file the writ presented by [redacted] within her own ri-- ghts, and Attorney [redacted] as Attorney in Fact for Mr. DAVID

MARGOLIS.- As requested, and in view of the fact that both parties stated their express conformity with the judgment rendered, in accordance with articles 38 and 39 of the Divorce Law, the said judgment is now declared final.- Thus was ordered and signed by the Hon. Florencio Torres Z., Judge - of the Third Civil Court of the District of Bravos.- Attest:- Florencio -- Torres Z.- [redacted] Signed.- - - - - - - - - - - - - - -- b6 b7C

IN COMPLIANCE WITH WHAT IS ORDERED IN THE FOURTH RESOLUTIVE POINT OF THE -- FOREGOING JUDGMENT, THIS TRUE AND EXACT COPY OF THE ORIGINAL THEREOF IS ISSUED ON THIS LEGAL FOLIO TO THE PLAINTIFF AND HAVING BEEN DULY COMPARED IS AUTHORIZED AND SIGNED AT CIUDAD JUAREZ, CHIHUAHUA, MEXICO, ON THIS THIRTIETH DAY OF DECEMBER, NINETEEN HUNDRED AND SIXTY-SEVEN.- I SO CERTIFY.- -

THE CLERK

/s/ [redacted]

SEEN FOR APPROVAL

THE HON. THIRD JUDGE OF CIVIL MATTERS

/s/ ATTY. FLORENCIO TORRES Z.

STATE OF TEXAS

COUNTY OF EL PASO

[redacted] who being by me duly sworn b6 b7C upon oath states: That he has good and sufficient knowled of both the English and the Spanish languages; that he is qualified to translate legal documents from Spanish into - English and that the foregoing translation is correct according to the best of his knowledge and ability.

[redacted]

Subscribed and sworn to before me this 2nd da of January 1968 [redacted]

Notary Public in and for
El Paso County, Texas.

COPIA CERTIFICADA DE SENTENCIA DE DIVORCIO

ACTA Nº 284073

EL CIUDADANO [redacted] SECRETARIO DEL JUZGADO TERCERO DE LO CIVIL DEL DISTRITO BRAVOS, ESTADO DE CHIHUAHUA, REPUBLICA MEXICANA, C E R T I F I C A :- Que en el juicio de divorcio necesario promovido por la señora -- [redacted] en contra del señor DAVID MARGOLIS , se dictó -- una resolución que es del tenor literal siguiente:- - - - - - - - - - - - - - -- b6 b7C

R E S O L U C I O N :- "Ciudad Juárez, Chihuahua, a 30 treinta de diciembre de 1967 mil novecientos sesenta y siete.- VISTO para resolver en definitiva el juicio de divorcio necesario promovido por la señora [redacted] en contra del señor DAVID -- MARGOLIS, (Expediente 9631 /967); y, R E S U L T A N D O .-Por escrito fechado en esta ciudad el día veintiocho de los corrientes, la señora [redacted] sometiéndose expresamente a la jurisdicción de este Tribunal, promovió juicio de divorcio necesario en contra de su esposo, señor DAVID MARGO-- LIS, alegando como causas los malos tratamientos de que la hizo objeto el demandado y la incompatibilidad de caracteres que -- existe entre ambos; y exponiendo:- Que el matrimonio fué con-- traído el día 31 treinta y uno de julio de 1966 mil novecien-- tos sesenta y seis en Hartford, Connecticut, Estados Unidos de Norteamérica, como consta de la información testimonial que se ofreció; que de dicho matrimonio no existen hijos.- Con fecha 21 de diciembre de 1967, las partes celebraron un convenio de separación en Hartford, Connecticut, Estados Unidos de Norteamérica, el cual solicitaron fuera aprobado por referencia para que forme parte de esta sentencia como si se hubiese incorporado en su totalidad, pero sin fusionarse a ella, de tal manera que sus efectos y fuerza legales sobrevivan y subsistan a la misma.- SE DIO ENTRADA A LA DEMANDA, mandándose correr el traslado respectivo al demandado y habiendo comparecido personal-- mente ante el suscrito Juez la actora, señora [redacted] a presentar su demanda, se le notificó del acuerdo -- que dió entrada a aquélla y dijo: que ratifica en todas sus -- partes dicha demanda incluso en lo que se refiere a la sumisión expresa que hace a la jurisdicción de este juzgado y exhibió - el certificado de su inscripción en el Registro Municipal de - Residentes de esta ciudad.- El Lic. [redacted] com- pareció por escrito ante este juzgado con fecha veintinueve de los corrientes, exhibiendo un mandato conferido en su favor -- por el señor DAVID MARGOLIS; el documento de cuenta contiene - instrucciones expresas para que el apoderado conteste la deman da, someta a su mandante a la jurisdicción de este Tribunal y para que confiese la existencia de la incompatibilidad de ca-- racteres entre las partes de este juicio; y finalmente se fa-- culta al apoderado para que ratifique el convenio de separa--- ción celebrado entre la señora [redacted] y David Margolis.- El mandato con que comparece el Lic. Amparán -- fué otorgado en el Condado de Hartford, Estado de Connecticut, Estados Unidos de Norteamérica, con fecha 22 de diciembre de - 1967, ante la fé de [redacted] Notario Público; y de acuerdo con las facultades que le fueron conferidas al Lic. Amparán, confesó la demanda por lo que se refiere a la incompa tibilidad de caracteres, ratificó el convenio celebrado entre las partes, sometió a su mandante a la jurisdicción de este -- Tribunal y pidió la resolución que hoy se dicta en atención a que se han satisfecho todos los requisitos legales inclusive - el del pago de la publicación de la misma, según certificado - de la Recaudación de Rentas, No. 35-107086; y, C O N S I D E - R A N D O .- Primero.- Es competente este juzgado para resol-- ver en el presente caso de acuerdo con lo dispuesto por los ar tículos 22, 23 y 24 de la Ley del Divorcio y de conformidad -- con el artículo 29 de la propia Ley, se comprobó el matrimonio

COTEJADO

de las partes con la información testimonial que se rindió.- Segundo.- Como causas de divorcio señaló - la actora la incompatibilidad de caracteres y los - malos tratamientos, causales previstas en las fracciones XIX y VIII del artículo 3o. de la Ley del Divorcio y al confesarse la demanda por lo que se refiere a la causal de incompatibilidad de caracteres, quedó plenamente probada de conformidad con el artículo 370 del Código de Procedimientos Civiles, supletorio.- Tercero.- Habiéndose manifestado en la - demanda que del matrimonio no existen hijos, nada - se resuelve en esta sentencia sobre este particular. Por lo expuesto y con apoyo además en los artículos 1, 2, 10, 37, 43, 44 y 45 de la Ley del Divorcio, - se falla:- PRIMERO.- Se declara disuelto con todas sus consecuencias legales, el matrimonio contraído por [redacted] con DAVID MARGOLIS, el día 31 treinta y uno de julio de 1966 mil novecientos sesenta y seis en Hartford, Connecticut, Estados Unidos de Norteamérica, quedando ambas partes en aptitud legal de contraer nuevas nupcias.- SEGUNDO.- Se aprueba en todas sus partes el convenio de separación celebrado por los cónyuges el día 21 de diciembre de 1967 en Hartford, Connecticut, Estados Unidos de Norteamérica, el cual es incorporado a esta resolución por referencia para que forme parte - de esta sentencia como si se hubiera transcrito íntegramente; sin embargo, dicho convenio no queda fusionado a esta sentencia, sino que sus efectos y -- fuerza legales deberán subsistir a la misma.- TERCERO.- Se restituye a la actora su nombre de soltera, que es: [redacted] - CUARTO.- Regístrese la presente resolución, publíquese, dénse a los interesados las copias certificadas que soliciten y en su oportunidad archívense los autos.- Así definitivamente juzgando lo sentenció y firma el C. licenciado Florencio Torres Z., Juez Tercero de lo Civil -- del Distrito Bravos.- Doy fé.- FLORENCIO TORRES Z.- [redacted] - Rúbricas.- - - - - - - - - -

A C U E R D O .- "Ciudad Juárez, Chihuahua, a 30 -- treinta de diciembre de 1967 mil novecientos sesenta y siete.- A sus autos el escrito que presentan - la señora [redacted] por su propio derecho y el señor licenciado [redacted] como apoderado del señor DAVID MARGOLIS. Como lo piden y en virtud de que ambos manifiestan expresamente que están conformes con la sentencia, se declara ésta ejecutoriada para todos los efectos legales a que haya lugar. Artículos 38 y 39 de la Ley del Divorcio.- Así lo acordó y firma el C. licenciado Florencio Torres Z., Juez Tercero de lo Civil del Distrito Bravos.- Doy fé.- FLORENCIO TORRES Z.- [redacted] - Rúbricas.- - - - - - - - - - - -

EN CUMPLIMIENTO A LO ORDENADO EN EL CUARTO PUNTO RESOLUTIVO, - SE EXPIDE ESTA COPIA FIEL Y EXACTA SACADA DE SU ORIGINAL EN ESTA FOJA UTIL PARA LA ACTORA Y DEBIDAMENTE COTEJADA SE AUTORIZA Y FIRMA EN CIUDAD JUAREZ, CHIHUAHUA, MEXICO, A LOS TREINTA -- DIAS DEL MES DE DICIEMBRE DE MIL NOVECIENTOS SESENTA Y SIETE.- DOY FE.- - - - - - - - - - - - - - - - - - - -

EL SECRETARIO

[redacted]

JUZ. TERCERO DE LO CIVIL ESTADOS UNIDOS MEXICANOS Dist. Bravos, Chih.

Vo.Bo.
EL C. JUEZ TERCERO DE LO CIVIL

LIC. FLORENCIO TORRES Z.

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FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
WMFO	BUREAU	10/14/92	9/8/92-10/9/92

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID "NMN" MARGOLIS	IA [redacted] jsc 10-14-92	jsc

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CHARACTER OF CASE

BACKGROUND REINVESTIGATION
DEPARTMENT OF JUSTICE

Reference: Bureau airtel dated 8/26/92, captioned as above.

- P -

ADMINISTRATIVE: Buded: 10/13/92

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

WMFO indices revealed no derogatory information regarding the applicant, children, [redacted] and spouse, [redacted]

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ELECTRONIC [redacted] 9/1/92
GENERAL 9/9/92
This report is late due to backlog at DOJ.

APPROVED B/ SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2-BUREAU (77J-HQ-97035)
1-WMFO (77J-HQ-97035)

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	1CC to	[redacted]		
Request Recd.	DOJ-OSP	4/17/03		
Date Fwd.	11-12-92	4/3/03		
How Fwd.				
By	KGH/OSP	TW		

Notations

b7E

6/

A*
COVER PAGE

77J-HQ-97035

LEAD: AT WASHINGTON METROPOLITAN FIELD OFFICE:

Will review file at Office of Professional Responsibility, at Department of Justice, as soon as possible.

B*

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: [redacted] Office: WMFO b6 b7C

Date: October 14, 1992

Field Office File #: 77J-HQ-97035 Bureau File #: 77J-HQ-97035

Title: DAVID "NMN" MARGOLIS

Character: BACKGROUND REINVESTIGATION
DEPARTMENT OF JUSTICE

Synopsis: All appropriate record checks for applicant and relatives were negative. U.S. Attorney's Office, Washington, D.C., advised no identifiable record could be located regarding the applicant. U.S. Attorney's Office, Virginia, advised no record was located for applicant. Security Office, at DOJ, revealed a Top Secret clearance was granted for applicant. D.C. Bar advised no record was located regarding the applicant. The applicant's OPF was reviewed and revealed no derogatory information.

- P -

DETAILS: AT WASHINGTON D.C.

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WMFO 77J-HQ-97035
JSC:jsc
1

RECORD CHECK

On September 3, 1992, [redacted] caused a search to be made of the records of the Metropolitan Police Department, Washington, D.C., and was advised that no record was located concerning the applicant, DAVID (NMN) MARGOLIS. b6 b7C

On September 8, 1992, made a computerized check of the Central Criminal Records Exchange (CCRE), Virginia State Police (VSP), Richmond, Virginia, failed to reflect any information identifiable with the applicant and children, [redacted] [redacted] and [redacted] and spouse, [redacted] [redacted]

WMFO 77J-HQ-97035
MLP:trs

NATIONAL PARK SERVICE (NPS)
U.S. Park Police Department (PKPD)
1100 Ohio Drive
Washington, D.C.

On September 8, 1992, Investigative Assistant (IA) [redacted] caused a search to be made at the above captioned agency concerning the applicant, DAVID "NMN" MARGOLIS. [redacted] Liaison of the agency reviewed the records and advised that no identifiable Adult Criminal record could be located regarding the applicant.

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WMFO 77J-HQ-97035
CH

U.S. ATTORNEY'S OFFICE
555 4th Street, N.W.,
Washington, D.C.

On September 9, 1992, Investigative Assistant (IA) [redacted] submitted a request for a search to be conducted regarding DAVID MARGOLIS. b6 b7C

On September 28, 1992, IA [redacted] was contacted by [redacted] U.S. Attorney's Office, Liaison of the Pending and Closed Cases of the NARCOTICS, PUBLIC CORRUPTION, ECONOMIC CRIME, TRANSNATIONAL/MAJOR CRIMES UNIT, of the above captioned agency. [redacted] advised that no identifiable record could be located regarding DAVID MARGOLIS.

On September 17, 1992, IA [redacted] was contacted by [redacted] U.S. Attorney's Office, Liaison of the Pending and Closed Cases of the Civil Division. [redacted] advised that no identifiable record could be located regarding DAVID MARGOLIS.

It should be noted that the index system of the Civil Division, U.S. Attorney's Office, contains only names of plaintiffs, except in Civil Actions brought by the United States against a particular defendent. Suits against government employees who are represented by the United States Attorney would be files by plaintiff's name and docket number.

WMFO 77J-HQ-97035
PAK:pak
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UNITED STATES ATTORNEY'S OFFICE

UNITED STATES ATTORNEY'S OFFICE
Eastern District of Virginia
1101 King Street, Suite #502
Alexandria, Virginia

Investigative Assistant (IA) [redacted] caused a search to be made of the computerized records (PROMIS) from 1986 to the present at the UNITED STATES ATTORNEY'S OFFICE, Eastern District of Virginia, which encompasses Alexandria, Norfolk, and Richmond Offices and the United States Magistrate Courts containing class one misdemeanors only. Also, a search was made of the Index Card System prior to 1986 at the UNITED STATES ATTORNEY'S OFFICE, Alexandria Branch only. b6 b7C

IA [redacted] was advised on September 9. 1992 that no record could be located for the applicant - DAVID (NMN) MARGOLIS; Social Security Account Number (SSAN) 047-30-3300.

WMFO 77J-HQ-97035
GN:gn
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FAIRFAX COUNTY POLICE DEPARTMENT

On September 12, 1992, Investigative Assistant (IA) [redacted] caused a search to be made of the files of the Fairfax County Police Department, Fairfax, Virginia, and was advised that no record was located regarding applicant DAVID MARGOLIS, Date of Birth (DOB): December 18, 1939, [redacted] DOB: [redacted] DOB: [redacted] DOB: [redacted]

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WMFO 77J-HQ-97035
JSC:jsc
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DEPARTMENT OF JUSTICE

10th and Pennsylvania Avenue, N.W.,
Washington, D.C.

On September 14, 1992, [redacted], Security Office, DEPARTMENT OF JUSTICE (DOJ), advised Investigative Assistant, (IA) [redacted] that applicant, DAVID (NMN) MARGOLIS, was granted a Top Secret clearance on May 12, 1966. A background investigation was completed by the Federal Bureau of Investigation (FBI) on May 21, 1965 and a reinvestigation was completed on July 28, 1980.

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WMFO 77J-HQ-97035
RLW:rlw

BAR MEMBERSHIP

DISTRICT OF COLUMBIA BAR
1707 L Street, Northwest
Washington, D.C.

On September 24, 1992, [redacted] District of Columbia Bar, Washington, D.C., advised Investigative Assistant (IA) [redacted] that no record was found regarding the applicant DAVID "NMN" MARGOLIS.

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WMFO 77J-HQ-97035
CH:trs

DRIVER'S RECORD

DEPARTMENT OF TRANSPORTATION
Bureau of Motor Vehicle Services
District of Columbia Government
301 C Street, N.W.
Washington, D.C.

On October 8, 1992, Investigative Assistant (IA) [redacted] searched the computerized records of the DEPARTMENT OF TRANSPORTATION, Bureau of Motor Vehicle Services, for the District of Columbia Government and determined that no record could be located concerning the applicant, DAVID "NMN" MARGOLIS. b6 b7C

The following relatives have no record:

[redacted] Child

[redacted] Child

[redacted] Spouse

[redacted] Spouse

WMFO 77J-HQ-97085
JSC:jsc
1

OFFICIAL PERSONNEL FOLDER (OPF)

DEPARTMENT OF JUSTICE
10th and Pennsylvania Avenue
WASHINGTON, D.C.

On October 9, 1992, Investigative Assistant (IA) [redacted] reviewed the Official Personnel Folder (OPF) of the applicant, DAVID (NMN) MARGOLIS, DOB: 12/18/39, SSN: 047-30-3300, at the captioned agency. The following updated information from 1982 was obtained: b6 b7C

DATE	ACTION
12/18/92	PROMOTION CHIEF, ORGANIZE CRIME AND RACKETEERING SECTION, CRIMINAL DIVISION ORGANIZED CRIME AND RACKETEERING SECTION, OFFICE OF THE CHIEF, WASHINGTON, D.C. SALARY $47,500.00
9/2/88	SPECIAL ACHIEVEMENT AWARD $3,000.00
9/28/90	SES PERFORMANCE AWARD $6,300.00
7/15/91	REASSIGNMENT TO: SENIOR COUNSEL CRIMINAL DIVISION OFFICE OF THE ASSISTANT ATTORNEY GENERAL, WASHINGTON, D.C.
9/26/91	SES PERFORMANCE AWARD $7,000.00 SALARY $100,500.00

The applicant's OPF, contained no derogatory information.

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
NEW HAVEN	BUREAU	9/8/92	8/31/92 - 9/4/92

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	IA [redacted]	saf
	CHARACTER OF CASE BACKGROUND REINVESTIGATION DEPARTMENT OF JUSTICE	

b6
b7C

REFERENCE: Bureau airtel to New Haven, 8/26/92.

- RUC -

ADMINISTRATIVE:

New Haven Office Indices were searched and proved negative for candidate, close relatives and former spouse [redacted]

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b7C

Where appropriate, Privacy Act (E) (3) data furnished to persons interviewed. Express promises of confidentiality, have not been granted.

APPROVED MA	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW

COPIES MADE:
(2) - Bureau (77J-HQ-97035)
ATTN: PSS [redacted]
GBIU, ROOM 4383
2 - New Haven (77J-HQ-97035)

b6
b7C

DISSEMINATION RECORD OF ATTACHED REPORT					Notations
Agency	ICCTC	[redacted]			
Request Recd.	DOJ-OSP	4/17/03			
Date Fwd.	11-22-92	6/3/03			
How Fwd.					
By	LGH/ebd	TW			

b7E

A*
COVER PAGE

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA[redacted] Office: NEW HAVEN b6
Date: September 8, 1992 b7C

Field Office File #: 77J-HQ-97035 Bureau File #: 77J-HQ-97035

Title: DAVID (NMN) MARGOLIS

Character: BACKGROUND REINVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Birth verified. United States Attorney Indices negative.

- RUC -

DETAILS:

Birth

On September 6, 1992, [redacted] b6
Bureau of Vital Statistics, [redacted] Hartford, b7C
Connecticut, advised IA[redacted] her agency records the birth of DAVID MARGOLIS on December 18, 1939 at Hartford, Connecticut. Parents' names were not available as Mr. MARGOLIS was adopted rendering his records sealed.

Miscellaneous

On August 14, 1992, [redacted] United States Attorney's Office, New Haven, Connecticut, advised that she could locate no record for the candidate indicating any federal prosecutive action, civil or criminal (pending or closed).

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
RICHMOND	BUREAU	9/2/92	8/31/92-9/1/92

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	IA [redacted]	jzg

b6
b7C

CHARACTER OF CASE
BACKGROUND REINVESTIGATION
DEPARTMENT OF JUSTICE

REFERENCE: Bureau airtel to BICS Region I dated 8/26/92.

-RUC-

ADMINISTRATIVE:

Richmond indices negative regarding the candidate.

Xpg

APPROVED RM 8/ SA. SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2 - Bureau (77J HQ-97035)
Attn: PSS [redacted]
GBI Unit, Room 4383
1 - Richmond (77J HQ-R97035)

b6
b7C

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	ICC to			
Request Recd.	DOJ-OSP	4/17/03		
Date Fwd.	11-12-92	6/3/03		
How Fwd.				
By	LGH/cbl	TW		

Notations

b7E

A*
COVER PAGE

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA [redacted] Office: RICHMOND b6 b7C
Date: September 2, 1992

Field Office File #: 77J HQ-R97035 Bureau File #: 77J HQ-97035

Title: DAVID (No Middle Name) MARGOLIS

Character: BACKGROUND REINVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Records, DEPARTMENT OF MOTOR VEHICLES, Richmond, Va., failed to disclose any traffic violations for the candidate. The candidate is not a member of the VIRGINIA STATE BAR, Richmond.

-RUC-

DETAILS:

ARREST

On September 1, 1992, a review of the computerized files of the DEPARTMENT OF MOTOR VEHICLES, Richmond, Virginia, by [redacted] failed to disclose any traffic violations for the candidate. The candidate has a current Virginia driver's license with an expiration date of December 31, 1994. b6 b7C

MISCELLANEOUS

On August 31, 1992, [redacted] VIRGINIA STATE BAR (VSB), Richmond, Virginia, advised the candidate is not a member of the VSB.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

9 (Rev. 8-16-79)

TRANSMIT VIA: AIRTEL

CLASSIFICATION:

DATE: 8/26/92

FROM: Director, FBI (77J-HQ-97035)

TO: SACs, Bics Region I - Enc. (1)
Boston - Enc. (1)
New Haven - Enc. (1)
Richmond - Enc. (1)
WMFO - Enc. (1)

DAVID (NMN) MARGOLIS
BACKGROUND REINVESTIGATION
DEPARTMENT OF JUSTICE
BUDED: 10/13/92
OO: BUREAU

Attached for each receiving office is a copy of Standard Form 86 (SF-86) and appropriate forms.

THIS INVESTIGATION WILL COVER A TEN-YEAR SCOPE.

Captioned individual is currently employed with the Department of Justice, Washington, DC, as Acting Deputy Assistant Attorney General. **Captioned individual was the subject of a previous applicant-type investigation by the FBI in (April 1965). This investigation is being updated and will cover a TEN-YEAR scope.** The following previous file numbers are set forth:

New Haven	**77-4838; 67-5685**
Boston	**67-15953**
WMFO	**77-8067; 67-67-63217**

MAILED 29
AUG 27 1992
FBI

Submit results of current investigation under file number 77J-HQ-97035. **All offices note at the time this case was opened Bufile 67-612022, containing the results of candidate's previous BI could not be located.**

Investigators should review Manual of Investigative Operations and Guidelines (MIOG), Part II, Section 17, and Part II, Section 77. It would also be helpful to review MIOG, Part II, Section 23-6 and the Manual of Administrative Operations and Procedures (MAOP), Part II, Section 10-13.3.5.

Dep. Dir. ____
ADD Adm. ____
ADD Inv. ____
Asst. Dir.: ____
Adm. Servs. ____
Crim. Inv. ____
Ident. ____
Insp. ____
Intell. ____
Lab. ____
Legal Coun. ____
Rec. Mgmt. ____
Tech. Servs. ____
Training ____
Cong. Affs. Off. ____
Off. of EEO ____
Off. Liaison & Int. Affs. ____
Off. of Public Affs. ____
Telephone Rm. ____
Director's Sec'y ____

RETURN TO [redacted] ROOM 4383, GBIU.
BICS REGION I AND WMFO COPIES DELIVERED BY COURIER. LGH/mce

b6
b7C

MAIL ROOM

RE: DAVID (NMN) MARGOLIS

All investigation should be submitted to the General Background Investigation Unit, Room 4383, Attention: PSS [redacted] [redacted] FTS 324-4229, in report format to include headings and subheadings. All indices information should be reported in the Administrative Section or by separate communication. b6 b7C

EACH PERSON INTERVIEWED MUST PROVIDE COMMENTS REGARDING CHARACTER, ASSOCIATES, REPUTATION, LOYALTY, ABILITIES, BIAS/PREJUDICE AND FINANCES. ALSO, ENSURE EACH INTERVIEW CONTAINS COMMENTS CONCERNING ANY PAST/PRESENT ILLEGAL DRUG USE, PRESCRIPTION DRUG AND ALCOHOL ABUSE.

Education verification should include dates of attendance, GPA/scale and class standing, honors/disciplinary action, financial aid and campus police records checks. Interview professors if attendance is within the past three years.

Employment investigation should include interview of supervisor, three co-workers and review of personnel records verifying dates.

Neighborhood investigation should include interview of three neighbors for residences of past five years, verifying dates. If rental property, also interview landlord/manager to include payment and complaint information. Identify/interview current roommates; immediately advise FBIHQ regarding roommate information.

In all DOJ reinvestigation cases (77J-M), ensure the investigation includes at least three (3) developed sources (individuals not provided by the candidate) who have, to the extent practical, social knowledge of the candidate. Developed sources may include other associates, neighbors, co-workers (peers/support employees), etc.

LEADS:

ALL OFFICES: Check records of the U.S. Attorney's Office at all places of residence, education, and employments.

BICS REGION 1: Interview candidate at inception of investigation and record specific answers to each question in MIOG, Part II, Section 17-5.6 (a-m) on FD-302 (any additional information obtained from the candidate during investigation must also be reported on FD-302). Candidate should be advised the scope of the questions asked during the initial interview is not limited to the time frame noted on the SF-86 and their responses should reflect activities during their entire life. FD-302 SHOULD INCLUDE A STATEMENT TO THAT EFFECT. Obtain full details of positive responses and set appropriate leads via teletype.

RE: DAVID (NMN) MARGOLIS

BICS REGION 1: At candidate's current employment, review personnel file, and interview listed supervisor and at **least** three (3) co-workers. If unable, so state.

During neighborhood investigation, interview neighbors in addition to those listed on application. If no others are available, so state.

Review Official Personnel File (OPF) and verify all Federal employments.

Obtain duplicate of candidate's certified copy of his divorce and attached to investigative report.

NEW HAVEN: Verify candidate's date/place of birth.

NEW HAVEN/WMFO: Conduct appropriate indices checks on candidate and listed relatives.

RICHMOND: Check DMV records for the last five years.

RICHMOND/WMFO: Check State bar and grievance records. Obtain information such as date of admission, current status, and whether any complaints/grievances were filed.

WMFO: Check appropriate Security/IG records.

```
 SSSS  PPPP   EEEE   CCCC  IIIII      A      L
S      P   P  E      C        I       A A     L
***** SSSS   PPPP   EE     C        I      AAAAA    L      *****
    S  P       E      C        I     A     A   L
SSSS   P       EEEE   CCCC  IIIII  A       A  LLLLL
```

510

GENERAL BACKGROUND INVESTIGATIONS UNIT
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

AUG 2 8 1992

(7)

SS GBIU

DATE: 08/25/92 BUDED: 10/13/92

X NAME SEARCHING UNIT, 4989, TL# 121
X FORWARD TO FILE REVIEW, 5447, TL# 143
X RETURN TO: [redacted] ROOM 4383, TL# 114, EXT. 4229 b6 b7C

SCOPE OF SEARCH: UNRESTRICTED (ADB)

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

SPECIAL INSTRUCTIONS:
_ EXACT NAME ONLY (ON THE NOSE)
X VARIATIONS

AUG 28 1992

SUBJECT: * MARGOLIS,DAVID,NMN *
AKA(S): *
BIRTHDATE & PLACE: * 12/18/39; HARTFORD,CT *
SSAN: * 047-30-3300 *
CURRENT ADDRESS: [redacted] b6 b7C
[redacted] NVCAut

R# 683 DATE 8-27 SEARCHER INITIALS 510
PROD. 3

(4)

	FILE NUMBER	SERIAL	IDENT	ADB	INACT	DATE
NI poss	9-70501					
NP poss I	197-2853					
NP NCO	66-19162	-458 SEC 9 I				
NP I	26-361984					
I	77-97035 (YOU GOT) I					

S D E

GBIU/Bau

SEP 01 1992

4-22a (9-22-87)

Page 2

Numerous Reference Search Slip

FR UTD

Subject Margolis, David

R# Date 8-27 Searcher Initials SIO

Prod. 1

conti.

	File Number	Serial	Ident	ADB	Inactive	Date of Ref M/Y
	Raiton, David (AKA)					
	SI					
	Marglas, David					
NI I	166-16718		I			
	Margolis, David (AKA) Broth					
	SI					

SEP 01 1992

FBI/DOJ

GENERAL BACKGROUND INVESTIGATIONS UNIT
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 08/25/92 BUDED: 10/13/92

X NAME SEARCHING UNIT, 4989, TL# 121
X FORWARD TO FILE REVIEW, 5447, TL# 143
X RETURN TO: [redacted] ROOM 4383, TL# 114, EXT. 4229 b6 b7C

SCOPE OF SEARCH: UNRESTRICTED (ADB & INACTIVE INDEX

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

SPECIAL INSTRUCTIONS:
_ EXACT NAME ONLY (ON THE NOSE)
X VARIATIONS

SPOUSE: * [redacted] b6
AKA(S): * [redacted] (MAIDEN) * b7C
*
*
BIRTHDATE & PLACE: * [redacted] [redacted] CT
SSAN: * [redacted] *
ADDRESS: [redacted]
[redacted]

R# _____ DATE 8-27 SEARCHER INITIALS SLO
PROD. _____

FILE NUMBER	SERIAL	IDENT	ADB	INACT	DATE
[redacted]					
NR					
[redacted]					
NR					

GENERAL BACKGROUND INVESTIGATIONS UNIT
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 08/25/92 BUDED: 10/13/92

X NAME SEARCHING UNIT, 4989, TL# 121
X FORWARD TO FILE REVIEW, 5447, TL# 143
X RETURN TO: [redacted] ROOM 4383, TL# 114, EXT. 4229 b6 b7C

SCOPE OF SEARCH: UNRESTRICTED (ADB)

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

SPECIAL INSTRUCTIONS:
X EXACT NAME ONLY (ON THE NOSE)
_ VARIATIONS

RELATIVES:	DOB:	ADDRESS:
MARGOLIS,MARTHA,	06/04/08	44 AVONWOOD RD AVON,CT

R# _____ DATE 8-27 SEARCHER INITIALS SLO
PROD. _____

FILE NUMBER	SERIAL	IDENT	ADB	INACT	DATE

[redacted] [redacted] b6 b7C

R# _____ DATE 8-27 SEARCHER INITIALS SLO
PROD. _____

FILE NUMBER	SERIAL	IDENT	ADB	INACT	DATE

[redacted]

b6
b7C

R# _____ DATE 8-27 SEARCHER INITIALS 510
PROD. _____

FILE NUMBER	SERIAL	IDENT	ADB	INACT	DATE

[redacted] 09/27/46 [redacted]

b6
b7C

R# _____ DATE 8-27 SEARCHER INITIALS 510
PROD. _____

FILE NUMBER	SERIAL	IDENT	ADB	INACT	DATE

[redacted]

b6
b7C

R# _____ DATE 8-27 SEARCHER INITIALS 510
PROD. _____

FILE NUMBER	SERIAL	IDENT	ADB	INACT	DATE
[redacted]					

Standard Form 86
Revised December 1990
U.S.Office of Personnel Management
FPM Chapter 732

QUESTIONNAIRE FOR SENSITIVE POSITIONS (For National Security) REINVESTIGATION

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

Part 1

OPM USE ONLY — Codes — Case Number

Agency Use Only (Complete items A through P using instructions in FPM Supplement 296-33)

A Type of Investigation	B Extra Coverage	C Sensitivity Level	D Access	E Nature of Action Code	F Date of Action (Month Day Year)

G Geographic Location	H Position Code	I Position Title
		ATTORNEY MANAGER

J SON	K Location of Official Personnel Folder	Other Address	ZIP Code
	None / NPRC / At SON		

L SOI	M Location of Security Folder	Other Address	ZIP Code
	None / At SOI / NPI		

N OPAC-ALC Number	O Accounting Data and/or Agency Case Number
	2B/30/ CRIMINAL

P Requesting Official	Name and Title	Signature	Telephone Number FTS ( ) ( )	Date

Persons completing this form should begin with the questions below. Please type or print your answers.

1 FULL NAME • If you have only initials in your name, use them and State (IO). • If you have no middle name, enter "NMN." • If you are a "Jr.," "Sr.," "II," etc., enter this in the box after your middle name.

BICS INTERVIEW

Last Name	First Name	Middle Name	Jr., II, etc.	2 DATE OF BIRTH Month	Day	Year
MARGOLIS	David	NMN		12	18	39

3 PLACE OF BIRTH • Use the two letter code for the State.

City	County	State	Country (if not in the United States)	4 SOCIAL SECURITY NUMBER
Hartford	---	CT	BUS-NH	047-30-3366

5 OTHER NAMES USED
Give other names you used and the period of time you used them *(for example: your maiden name, name[s] by a former marriage, former name[s], alias[es], or nickname[s])*. If the other name is your **maiden name**, put **"nee"** in front of it.

Name	Month/Year Month/Year To	Name	Month/Year Month/Year To
Name	Month/Year Month/Year To	Name	Month/Year Month/Year To

6 OTHER IDENTIFYING INFORMATION

Height *(feet and inches)*	Weight *(pounds)*	Hair Color	Eye Color	Sex *(mark one box)*
5'10"	160	Black	Brown	☐ Female ☒ Male

7 TELEPHONE NUMBERS

Work *(include Area Code and extension)*	Home *(include Area Code)*
(X) Day ( ) Night (202) 514-3729	( ) Day (X) Night

b6
b7C

8 CITIZENSHIP U.S.

a Mark the box at the right that applies to you and follow the instructions next to the box you marked.

I am a U.S. citizen by birth in the U.S.	X	Answer Items **b and d**
I am a U.S. citizen, but I was NOT born in the U.S.		Answer Items **b, c, and d**
I am not a U.S. citizen.		Answer Items **b and e**

b Your Mother's Maiden Name: Martha Harris

c UNITED STATES CITIZENSHIP If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate ***(Where were you naturalized?)***

Court	City	State	Certificate Number	Month/Day/Year Issued

Citizenship Certificate ***(Where was the certificate issued?)***

City	State	Certificate Number	Month/Day/Year Issued

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.	Month/Day/Year	Explanation

U.S. Passport

This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued

d DUAL CITIZENSHIP If you are *(or were)* a dual citizen of the United States and another country, provide the name of that country in the space to the right. Country: ---

e ALIEN If you are an alien, provide the following information:

Place You Entered the United States:	City	State	Date You Entered U.S. Month	Day	Year	Alien Registration Number	Country of Citizenship

9 WHERE YOU HAVE LIVED

Fill in your full address for every place you have lived **beginning with the present (#1) and working backward 15 years.**

- If you attended school away from your permanent residence, list the address you lived at while attending school.
- For any address **in the past 3 years:**
  - List a person who knew you at that address, preferably someone who still lives in that area.
  - If address listed is "General Delivery," a Rural Route, or Star Route, provide directions for locating the residence on an attached continuation sheet, **and show the block #.**

DMV RH USA WF

RES/

	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
#1 Present	8/92 7/77 To Pres.	[redacted]		[redacted]	VA	[redacted]
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State	Telephone Number
[redacted]		[redacted]			VA	[redacted]
#2	Month/Year Month/Year To	Street Address	Apt. #	City (Country)		
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State / ZIP Code	Telephone Number ( )
#3	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State / ZIP Code	Telephone Number ( )
#4	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State / ZIP Code	Telephone Number ( )
#5	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State / ZIP Code	Telephone Number ( )
#6	Month/Year Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code
Name of Person Who Knows You		Street Address	Apt. #	City (Country)	State / ZIP Code	Telephone Number ( )

b6
b7C

10 WHERE YOU WENT TO SCHOOL

Fill in information about schools you have attended, beyond Junior High School, **beginning with the most recent (#1) and working backward 15 years. Also list College or University degrees** received beyond 15 years.

- For schools you attended **in the past 3 years**, list a person who knew you at school (such as an instructor or a student).
- For correspondence schools and extension classes, **list records location address.**
- In the "Code" block, use one of these **codes: 1 - High School 2 - College/University 3 - Vocational/Trade School**

	Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other *(show each degree and date received if Code 2)*	Month/Year
#1	9/61 To 6/64	2	The Harvard Law School	L.L.B.	6/64
Street Address and City (Country) of School					State / ZIP Code
	Cambridge			BS	MA 02138
Name of Person Who Knew You		Street Address and City (Country)		State / ZIP Code	Telephone Number ( )
#2	9/57 To 6/61	2	Brown University	A.B.	6/61
Street Address and City (Country) of School					State / ZIP Code
	Providence				RI 02912
Name of Person Who Knew You		Street Address and City (Country)		State / ZIP Code	Telephone Number ( )
#3	Month/Year Month/Year To	Code	Name of School	Degree/Diploma/Other *(show each degree and date received if Code 2)*	Month/Year
Street Address and City (Country) of School					State / ZIP Code
Name of Person Who Knew You		Street Address and City (Country)		State / ZIP Code	Telephone Number ( )

Enter your Social Security Number before going to the next page → 017-46-3401

11 YOUR EMPLOYMENT ACTIVITIES

Fill in your employment activities, beginning with the present (#1) **and working backward 15 years. INCLUDE:**

- all full-time work
- all part-time work
- all paid work
- active military duty
- self-employment
- all periods of unemployment

IN THE NUMBERED ACTIVITY SECTION USE ONE OF THESE CODES IN THE CODE BLOCK:

1 - Active military duty stations
2 - National Guard/Reserve
3 - U.S.P.H.S. Commissioned Corps
4 - Other Federal employment
5 - State Government (Non-Federal) employment
6 - Self-employment (Enter business name and/or name of person who can verify)
7 - Unemployment (Enter name of person who can verify)
8 - Federal Contractor (list Contractor, not Federal agency)
9 - Other

FOR EACH ACTIVITY SECTION, provide information requested. **For example,** if you had worked at XY Plumbing in Denver, CO, for 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment in the appropriate blocks below that information. *(For locations outside the U.S., show city and country.)*

#1 Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
Present 8/92 7/90	4	U.S. Justice Dept. - Criminal Division	Acting Deputy Asst. Atty. Gen.

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
U.S. Justice Dept. 10th & Pa. Av., NW	Washington	D.C.	20530	(202) 514-5749
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
---				( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
[redacted]	BICS WF			(202) 514-2601 b6 b7C

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
2/79 To 7/90	Chief, Organized Crime Section [redacted] - John C. Keeney	To	BICS/WF
8/76 To 2/79	Deputy Chief, Organized Crime [redacted]	To	

#2 Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				( )
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				( )

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#3 Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title
To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				( )
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number
				( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
				( )

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

Enter your Social Security Number before going to the next page → 014-30-3 B 101 0

12 PEOPLE WHO KNOW YOU WELL

List **two people** who know you well and live in the United States.

- Don't list spouse, other relatives, or former spouses.
- Try not to list anyone mentioned in item 9, 10, or 11.

	Name	Number Years Known	Telephone Number:
#1	[redacted] BICS	23	[redacted]
	Home Address	City (Country)	State / ZIP Code
	[redacted]	[redacted]	VA [redacted]
#2	[redacted] BICS	16	[redacted] Day ( ) or Night ( )
	Home Address	City (Country)	State / ZIP Code
	[redacted]	[redacted]	VA [redacted]

b6
b7C

13 YOUR OUTSIDE ACTIVITIES

List any activities which you may wish to have considered as reflecting favorably on your reputation for leadership, responsibility, honesty, and integrity **in the last 15 years. (Response Optional)**

	Month/Year Month/Year	Activity	Location of Activity: City (Country)	State
#1	To	--- BAR- RH/WF		
#2	To			
#3	To			

14 YOUR FOREIGN ACTIVITIES

		Yes	No
a.	Do you have any foreign property, business connections, or financial interests?		X
b.	Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?		X
c.	In the last 15 years, have you had continuing contact with a national of any foreign country designated by the agency instructing you to fill out this form? **(NOTE: If the agency wants you to answer this question, it will provide you with a list of countries.)**		X

If you answered "Yes" to a, b, or c, explain in the space below:

15 FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, **beginning with the most current (#1) and working backward 15 years.**

- Do **not** include countries covered in items 9, 10, and 11.
- In the "Code" block, use one of these **codes: 1 - Business 2 - Pleasure 3 - Education 4 - Other**

	Month/Year Month/Year	Code	Country		Month/Year Month/Year	Code	Country
#1	3 days 9/91 To 9/91	1	Montreal, Canada	#3	To		
#2	4 hours 6/85 To 6/85	2	Windsor, Ontario, Canada Dinner on business trip to Detroit	#4	To		

16 YOUR MILITARY HISTORY

		Yes	No
a.	Have you served in the United States military?		X
	Have you served in the United States Merchant Marine?		X

- If your answer to both questions is "No," **GO TO QUESTION 17.**
- If your answer to either question is "Yes," **GO TO b.**

b. Starting with the most current (#1) and working backward, enter information for **all** periods of active service into the table below.

- Mark "O" block for Officer or "E" block for Enlisted.
- In the "Code" block, use one of these **codes:**

1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard

	Month/Year Month/Year	Code	Service/Certificate #	O	E	Status (Mark "X" in appropriate blocks - use State Code for National Guard): None	Active Duty	Active Reserve	National Guard (show State)	Inactive Reserve	Retired
#1	To										
#2	To										
#3	To										
#4	To										

Enter your Social Security Number before going to the next page → [redacted]

17 YOUR RELATIVES

Give full names and enter the correct code for all relatives, living or dead, specified below:

1 - Mother *(first)* 4 - Stepfather 7 - Stepchild 10 - Stepbrother 13 - Half-sister 16 - Guardian
2 - Father *(second)* 5 - Foster parent 8 - Brother 11 - Stepsister 14 - Father-in-law
3 - Stepmother 6 - Child *(adopted also)* 9 - Sister 12 - Half-brother 15 - Mother-in-law

Full Name *(If deceased, check box on the left before entering name)*	Code	Date of Birth Month/Day/Year	Country of Birth	Country of Citizenship	Current Street Address and City *(country)* of Living Relatives	State
Biological Mother	1	UNK - I was adopted at birth - Identity UNKNOWN				
Biological Father	2	Same as above				
X Sylvia Booth Margolis	1	UNK Died in 1944	US	US-Portland, CT		
X Louis Margolis	2	04/18/03	US	US		
Martha Margolis	1	06/04/08	US	US	44 Avonwood Rd. Apt.#101, Avon	CT
[redacted]	6	[redacted]	US	US	[redacted]	[redacted]
[redacted]	6		US	US	[redacted]	VA
[redacted]	8		US	US	[redacted]	CT
David Lipman	14	04/17/10	US	US	829 Camino Rd. Delray Beach	FL
Ruth Lipman	15	11/30/16	US	US	829 Camino Rd. Delray Beach	FL

NH WF WF NH

b6 b7C

18 YOUR MARITAL STATUS

Mark one of the following boxes to show your current marital status:

1 - Never married *(go to question 19)* 3 - Separated 5 - Divorced
X 2 - Married 4 - Legally Separated 6 - Widowed

Current Spouse Complete the following about your current spouse.

WF

Full Name	Date of Birth	Place of Birth *(Include country if outside the U.S.)*	Social Security Number
[redacted]	[redacted]	[redacted] CT	[redacted]

b6 b7C

Other Names Used *(Specify maiden name, names by other marriages, etc., and show dates used for each name)*
[redacted] (Maiden) 11/11/42-5/25/69

Country of Citizenship	Date Married	Place Married *(Include country if outside the U.S.)*	State
US	05/25/69	West Hartford	CT

If Separated, Date of Separation *(Mo./Day/Yr.)*	If Legally Separated, Where is the Record Located? City *(Country)*	State

Address of Current Spouse *(Street, city, and country if outside the U.S.)*	State	ZIP Code
[redacted]	VA	[redacted]

Former Spouse(s) Complete the following about your former spouse(s), use blank sheets if needed.

NA

Full Name	Date of Birth	Place of Birth *(Include country if outside the U.S.)*	State
[redacted]	[redacted]	I think - Roanoke	VA

Country of Citizenship	Date Married	Place Married *(Include country if outside the U.S.)*	State
US	07/31/66	Hartford	CT

BICS OBTAIN COPY & ATTACH DOC TO REPORT

Check One, Then Give Date	Month/Day/Year	If Divorced, Where is the Record Located? City *(Country)*	State
X Divorced ☐ Widowed	12/30/67	Juarez, Mexico - I have a certified copy	

Address of Former Spouse *(Street, city, and country if outside the U.S.)*	State	ZIP Code
UNKNOWN-- No contact in nearly 25 years - Parents live in Bloomfield, CT		

19 PERSONS LIVING WITH YOU

Does the citizen of another country, or a United States citizen by other than birth, live at your residence? If **"Yes,"** provide the information required below. If a United States citizen by other than birth lives with you, show both **"United States"** and prior country of citizenship below. Don't list your spouse or other relatives you provided in question 17.

Yes	No
	X

Name of Person	Country of Citizenship	Relationship

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right: → 18

Enter your Social Security Number before going to the next page → [redacted]

Standard Form 86
Revised December 1990
U.S.Office of Personnel Management
FPM Chapter 732

QUESTIONNAIRE FOR SENSITIVE POSITIONS (For National Security)

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

Part 2

20 YOUR SELECTIVE SERVICE RECORD

	Yes	No
a. Are you a male born after December 31, 1959? If **"No,"** go to **21**. If **"Yes,"** go to **b**.		X
b. Have you registered with the Selective Service System? If **"Yes,"** provide your registration number. If **"No,"** show the reason for your legal exemption below.		

Registration Number | Legal Exemption Explanation

21 YOUR MILITARY RECORD

	Yes	No
a. Have you ever received other than an honorable discharge from the military? If **"Yes,"** provide: **Date of Discharge *(Month and Year)*:** **Type of Discharge:**		X
b. Have you ever been subject to court-martial or other disciplinary proceedings under the Uniform Code of Military Justice? If **"Yes,"** list **any** disciplinary proceedings in the last 15 years and **all** courts-martial. (Include non-judicial and Captain's mast, etc.)		X

Month/Year	Charge or Specification / Action Taken	Place *(City and county/country if outside the United States)*	State

22 YOUR EMPLOYMENT RECORD

	Yes	No
Has any of the following happened to you in **the last 15 years**? If **"Yes,"** begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.		X

Use the following codes and explain the reason your employment was ended:

1 - Fired from a job
2 - Quit a job after being told you'd be fired
3 - Left a job by mutual agreement following allegations of misconduct
4 - Left a job by mutual agreement following allegations of unsatisfactory performance
5 - Left a job for other reasons under unfavorable circumstances

Month/Year	Code	Specify Reason	Employer's Name and Address	State	ZIP Code

23 YOUR POLICE RECORD *(Do not include anything that happened before your 16th birthday.)*

	Yes	No
a. Have you ever been charged with or convicted of any felony offense?		X
b. Have you ever been charged with or convicted of a firearms or explosives offense?		X
c. Are there currently any charges pending against you for any criminal offense?		X
d. Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?		X
e. In the last 5 years, have you been arrested for, charged with, or convicted for any offense(s) not listed in response to a, b, c, or d above? *(Leave out traffic fines of less than $100.)*		X

If you answered **"Yes"** to a, b, c, d, or e above, explain your answer(s) in the space provided.

Month/Year	Offense	Action Taken	Law Enforcement Authority or Court *(City and county/country if outside the U.S.)*	State	ZIP Code

24 YOUR MEDICAL RECORD

	Yes	No
a. Have you experienced problems on or off the job because of any emotional or mental condition?		X
b. Have you ever seen a health care professional for any of the types of problems mentioned above?		X

If you answered "Yes" to questions **a or b**, explain below.

Month/Year	Month/Year	Explanation
	To	
	To	

Enter your Social Security Number before going to the next page → 047-30-3300

25 ILLEGAL DRUGS AND ALCOHOL

	Yes	No
a. In the last 5 years, have you used, possessed, supplied, or manufactured any illegal drugs? When used without a prescription, illegal drugs include marijuana, cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), stimulants (cocaine, amphetamines, etc.), depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.). *(NOTE: The information you provide in response to this question will not be provided for use in any criminal proceedings against you.)*		X
b. Have you experienced problems (disciplinary actions, evictions, formal complaints, etc.) on or off a job from your use of illegal drugs or alcohol?		X

If you answered "Yes" to question **a** or **b** above, provide information relating to the types of substance(s), the nature of the activity, and any other details relating to your involvement with illegal drugs or alcohol. *Include any treatment or counseling received.*

Month/Year	Month/Year	Type of Substance	Explanation
	To		
	To		
	To		

26 YOUR INVESTIGATIONS RECORD

	Yes	No
a. Has the United States Government ever investigated your background? If **"Yes,"** use the codes that follow to provide the requested information below. If **"Yes,"** but you can't recall the investigating agency and/or the security clearance received, enter **"Other"** agency code or clearance code, as appropriate, and **"Don't know"** or **"Don't recall"** under the **"Other Agency"** heading, below. If your response is **"No,"** or you don't know or can't recall if you were investigated and cleared, check the **"No"** box.	X	

Codes for Investigating Agency
1 - Defense Department
2 - State Department
3 - Office of Personnel Management
4 - FBI
5 - Treasury Department
6 - Other *(Specify)*

Codes for Security Clearance Received
0 - Not Required
1 - Confidential
2 - Secret
3 - Top Secret
4 - Sensitive Compartmented Information
5 - Q-Sensitive
6 - Q-Nonsensitive
7 - L
8 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
5/65	4		2 or 3	7/90	6	Justice JMD	4
Summer 1988	6	Justice JMD	4				

	Yes	No
b. To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If **"Yes,"** give date of action and agency.		X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

27 YOUR FINANCIAL RECORD

	Yes	No
a. In the last 5 years, have you, or a company over which you exercised some control, filed for bankruptcy, been declared bankrupt, been subject to a tax lien, or had legal judgment rendered against you for a debt? If you answered **"Yes,"** provide date of initial action and other information requested below.		X

Month/Year	Type of Action	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

	Yes	No
b. Are you now over 180 days delinquent on any loan or financial obligation? Include loans or obligations funded or guaranteed by the Federal Government. *(If an SF 171, Application for Federal Employment, will be attached, you do not need to repeat Federal Government delinquencies. See the instructions headed, "How is the SF 171 used with this form?")*		X

If you answered **"Yes,"** provide the information requested below:

Month/Year	Type of Loan or Obligation and Account #	Name/Address of Creditor or Obligee	State	ZIP Code

Enter your Social Security Number before going to the next page → 014-36-3014

28 YOUR ASSOCIATION RECORD

	Yes	No
a. In the last 15 years, have you been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?		X
b. In the last 15 years, have you knowingly engaged in any acts or activities designed to overthrow the United States Government by force? If you answered "Yes" to a or b, explain in the space below:		X

Continuation Space

Use the continuation sheet(s) *(SF 86A)* for additional answers to questions 9, 10, and 11. Use the space below to continue answers to all other questions and any information you would like to add. If more space is needed than what is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the question.

18) As to former spouse: She was a [redacted] employed by the [redacted] CT., Board of Education. They may have a current address. There were no children of this marriage and her maiden name was restored [redacted] b6 b7C

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10. If you attach an SF 171, Application for Federal Employment, make sure that it is updated and that any information added to the SF 171 is initialed and dated.

Certification That My Answers Are True

I read each question asked of me and understood each question. My statements on this form, and any attachments to this form, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both.

Signature *(Sign in Ink)* [signature] Date 8-5-97

Enter your Social Security Number before going to the next page → 014 17 - 3 10 - 3 13 0 10

To help the Internal Revenue Service find my tax records, I am voluntarily giving the following information:

MY NAME DAVID MARGOLIS MY SSN 047-30-3300

IF MARRIED AND FILED A JOINT RETURN:

HUSBAND/WIFE NAME: [redacted] HUSBAND/WIFE SSN [redacted] b6 b7C

CURRENT ADDRESS [redacted] Va. [redacted]

NAMES AND ADDRESSES SHOWN ON RETURNS (IF DIFFERENT FROM ABOVE)

YEAR	NAME	ADDRESS

DATE: 8-3-92

(WAIVER INVALID UNLESS RECEIVED BY THE INTERNAL REVENUE SERVICE WITHIN 60 DAYS OF THIS DATE)

David Margolis

SIGNATURE OF TAXPAYER AUTHORIZING THE DISCLOSURE OF RETURN INFORMATION

Home Telephone [redacted] b6 b7C

Work Telephone: 202-514-3729

TAX CHECK WAIVER

I am signing this waiver to permit the Internal Revenue Service to release information about me which would otherwise be confidential under 26 U.S.C. 6103. This information will be used in connection with my appointment or employment by the United States Government. This waiver is made pursuant to 26 U.S.C. 6103(c).

I request that the Internal Revenue Service release the following information to: Director, Office of Attorney Personnel Management or his/her designee.

1. Have I failed to file any Federal income tax return for any of the last three years?

   If this waiver is received by the Internal Revenue Service before July 1, the "last three years" means the latest three years for which a return might be required, since the return for the immediately preceding year may not yet have been processed.

2. Were any income tax returns filed more than 45 days after the due date for filing (determined with regard to any extension of time for filing)?

3. Have I failed to pay any tax, penalty or interest during the current or last three calendar years within 90 days of the date on which the Internal Revenue Service gave notice of the amount due and requested payment?

4. Has any penalty for negligence, etc. under Internal Revenue Code section 6653(a), false withholding information under section 6682(a), or filing of a frivolous income tax return under section 6702(a), been assessed against me this year or during the last three calendar years?

5. Am I now or have I ever been under investigation by the Internal Revenue Service for possible criminal offenses?

6. Has any civil penalty for fraud ever been assessed against me?

If the Internal Revenue Service response includes a "YES" answer (based on currently available information) to any of the above six questions, I authorize the Internal Revenue Service to release any additional relevant information.

IDENT CHECK ROOM 1126[redacted] TUBE J1, ATTN: CATHY WAD[redacted]

FROM: BACKGROUND INVESTIGATION UNIT, DIV 6, RM 4383, TL# 114
EXT: 4229 ATTN: PSS [redacted] b6 b7C

SUBJECT: DAVID NMN MARGOLIS BUDED: 10/13/92

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE BACKGROUND INVESTIGATION UNIT, RM 4383, VIA ROUTING SLIP MARKED 'URGENT'.

8-27 peam

SUBJECT IS DESCRIBED AS FOLLOWS:
RESULT NAME: * DAVID NMN MARGOLIS
DOB: 12/18/39
POB: HARTFORD, CT
SSAN: 047-30-3300
CURRENT ADDRESS: [redacted]

EMPLOYMENT:

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
Too old	MARTHA MARGOLIS	06/04/08	44 AVONWOOD RD AVON, CT
	[redacted]	[redacted]	[redacted]

b6 b7C

* UNABLE TO IDENTIFY WITH ARREST RECORD ON BASIS OF INFORMATION FURNISHED. FBI IDENTIFICATION DIVISION AUG 28 '92

CHECK CONDUCTED BY: _____, ON _____

6

6237

ROUTE IN ENVELOPE

TO: INFORMATION MANAGEMENT DIVISION, ELSUR INDEX
ROOM 5331, TL 114

DATE: 08/25/92

FROM: X CID _ LCD _ INTD _ RMS _ OTHER_____

PRIORITY: _ EXPEDITE, WILL PICK UP;
_ ROUTINE
_ DATE NEEDED: _____

REQUEST FOR SEARCH OF ELSUR INDEX FOR THE PURPOSE OF:

_ TITLE III APPLICATION _ FBI _ DEA
_ FISC APPLICATION
_ LEGAL MOTION (DOJ)
_ FOIPA

_ SPIN/DAPLI
_ SPU
_ INVESTIGATIVE LEAD
_ OTHER_____

(ONE OF THE ABOVE MUST BE CHECKED BEFORE SEARCH WILL BE CONDUCTED.)

REQUESTING/AUTHORIZING AGENT COMPLETE AND RETURN TO:

[redacted] [redacted] 4229 4383 114 b6 b7c

NAME	NAME	EXT.	ROOM	TL#

NAME; TELEPHONE #; VIN; OR ADDRESS TO BE SEARCHED	KNOWN ALIASES	SEARCH RESULTS
DAVID NMN MARGOLIS		NR

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
NR	MARTHA MARGOLIS	06/04/08	44 AVONWOOD RD AVON, CT

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
NR			
NR			
NR			
NR			
NR			

b6
b7C

BUFILE: 077J-97035

SEARCHED BY

9/2/92
DATE

b6
b7C

NCIC CHECK ROOM 1328 TL153, ATTN: [redacted] b6
WANTED & CCH FILES b7C

FROM: BACKGROUND INVESTIGATION UNIT, DIV 6, RM 4383, TL# 114
EXT: 4229 ATTN: PSS [redacted]

SUBJECT: DAVID NMN MARGOLIS BUDED: 10/13/92
AKA(S):

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE BACKGROUND INVESTIGATION UNIT, RM 4383, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:
RESULT NAME: DAVID NMN MARGOLIS
DOB: 12/18/39
POB: HARTFORD, CT
NR SSAN: 047-30-3300
CURRENT ADDRESS: [redacted] b6 b7C

EMPLOYMENT:

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
NR	MARTHA MARGOLIS	06/04/08	44 AVONWOOD RD AVON, CT
NR	[redacted]	[redacted]	[redacted] b6 b7C
NR			
NR			
NR			
NR			

CHECK CONDUCTED BY: [redacted], ON 8/26/92

6/Kyt

QW.DCFBIWAA6.NAM/MARGOLIS,DAVID .SEX/M.DOB/121839.SOC/047303300

DCFBIWAA6
NO NCIC WANT SOC/047303300
NO NCIC WANT DOB/121839 NAM/MARGOLIS,DAVID SEX/M

QW.DCFBIWAA6.NAM/MARGOLIS,MARTHA .SEX/F.DOB/060408

DCFBIWAA6
NO NCIC WANT DOB/060408 NAM/MARGOLIS,MARTHA SEX/F

QW.DCFBIWAA6.NAM/[redacted] .SEX/F.DOB/[redacted] b6 b7C

DCFBIWAA6
NO NCIC WANT DOB[redacted] NAM/[redacted] SEX/F

QW.DCFBIWAA6.NAM/[redacted] .SEX/F.DOB/[redacted]

DCFBIWAA6
NO NCIC WANT DOB[redacted] NAM[redacted] SEX/F

QW.DCFBIWAA6.NAM[redacted] .SEX/M.DOB/[redacted]

DCFBIWAA6
NO NCIC WANT DOB[redacted] NAM[redacted] SEX/M

QW.DCFBIWAA6.NAM[redacted] .SEX/F.DOB/[redacted]

DCFBIWAA6
NO NCIC WANT DOB/[redacted] NAM/[redacted] SEX/F

QW.DCFBIWAA6.NAM[redacted] .SEX/F.DOB[redacted]

DCFBIWAA6
NO NCIC WANT DOB[redacted] NAM/[redacted] SEX/F

FEDERAL BUREAU OF INVESTIGATION
Records/Operations Sections

FR UTD AUGUST 17 , 1992
****
TO: ___ NAME SEARCHING UNIT, ROOM 4989, TL# 121
XXX SERVICE UNIT, ROOM 4330, TL# 111
___ SPECIAL FILE ROOM, 5991, TL# 122
___ FORWARD TO FILE REVIEW, ROOM 5447, TL# 143
___ FROM: GENERAL BACKGROUND INVESTIGATION (GBI) UNIT
XXX ATTENTION [redacted] 4383 114 4503 b6 b7C
___ RETURN TO
SUPERVISOR, ROOM, TL#,EXT.
****
SCOPE OF SEARCH: (CHECK ONE)
XXX AUTOMATED DATA BASE - 5 & 30 ___ AUTOMATED DATA BASE 5 & 20
___ UNRESTRICTED (ADB & INACTIVE INDEX)
****
TYPE OF SEARCH REQUESTED:
___ ALL REFERENCES (SECURITY & CRIMINAL) ___ SECURITY SEARCH
XXX MAIN APPLICANT REFERENCES ONLY. ___ CRIMINAL SEARCH
****
SPECIAL INSTRUCTIONS:
___ EXACT NAME ONLY (ON THE NOSE) ___ BUILDUP XXX VARIATIONS
___ RESTRICTED TO LOCALITY OF
****
SUBJECT: DAVID NMN MARGOLIS
AKA (s):
NEE:

DOB & POB: 12/18/39
SSAN: 047-30-3300
Localities:

R# DATE 8/17 Searcher Initials 611
Prod.

File Number	Serial	Ident	ADB	Inactive	Date of Ref M/Y
166-6718	NI	✓	✓		
77-97035		✓	✓		
David Booth	SI	✓	✓		
listed Idents only.					
[redacted]	(DUB [redacted]	not listed			

b6 b7C

6/25/96

Memorandum

Subject	Date
Background Reinvestigation	AUG 14 1992

To

[redacted] Chief
General Background Investigation Unit
Federal Bureau of Investigation

From

[redacted] Department Security Officer

b6
b7C

Attached please find investigative forms for the following Department of Justice employee:

David Margolis
Attorney
Criminal Division

This is to request that the Federal Bureau of Investigation conduct a reinvestigation on Mr. Margolis. This full-field background reinvestigation is necessary due to the sensitivity of his position and because his background investigation is over 5 years old.

Please send the results of this reinvestigation directly to [redacted] in Room 6525, MAIN Justice. Should you have any questions concerning this memorandum, please have a member of your staff contact [redacted] on [redacted]

b6
b7C

Attachments

177-97 035

OSA - [redacted]

b6
b7C

8/17/92

1 copy
DOJ-OSP
11-12-92
LGH/ebl

Memorandum

471

PRIVACY ACT PROTECTED INFORMATION

Subject	Date
Request for Investigation/Reinvestigation	January 15, 1998

To

[redacted]
Chief
Special Inquiry and Background
Investigation Unit
Federal Bureau of Investigation

From

[redacted]
Department Security Officer
Department of Justice

b6
b7C

The purpose of this memorandum is to request an investigation as indicated indicated below:

INVESTIGATION COST CODE: _____

PSS: [redacted]
RECEIVED: JAN 20 1998
ASSIGNED: 1-22
OPENED: 2-10
BUDED: 4 30
PCD: 5-19

NAME: David Margolis

SSAN: 047-30-3300

DATE OF BIRTH: 12-18-39

PLACE OF BIRTH: Hartford, CT

SELECT ONE: EMPLOYEE: XX APPLICANT: _____

POSITION: Associate Deputy Attorney General

ORGANIZATION: Office of the Deputy Attorney General

TYPE OF POSITION: SENSITIVE: XX NON-SENSITIVE: _____

SCOPE OF INVESTIGATION REQUIRED:

3 Year: _____	5 YEAR: X	10 YEAR: _____	10 YEAR: _____
(PRIR)	(SSBI-PR)	(SSBI/INITIAL)	(SSBI/REINVESTIGATION)

X **Please institute a name check of the above named subject whose security forms are attached.**

_____ **Please discontinue the character investigation regarding the above named subject and we request that all security forms be returned to this office.**

77L-10-1040842-4

Upon completion of the investigation, request that copies of the investigation reports be forwarded to this office. The required forms for the investigation are attached and should you have any questions regarding this request, please contact [redacted] Security Specialist, Personnel Security Group, at [redacted]

b6
b7C

OPM REQUESTED DATE 1/22/98

COMMENTS (if any):

cc: 1 - Security File

77J-97035

77L

PRIVACY ACT PROTECTED INFORMATION

Fingerprints attached

United States Department of Justice

Disclosure and Authorization
Pertaining to Consumer Reports
Pursuant to the Fair Credit Reporting Act

This is a release for the Department of Justice to obtain one or more consumer/credit reports about you in connection with your application for employment or in the course of your employment with the Department. One or more reports about you may be obtained for employment purposes, including evaluating your fitness for employment, promotion, reassignment, retention, or access to classified information.

I, DAVID MARGOLIS, hereby authorize the Department of Justice to obtain such report(s) from any consumer/credit reporting agency for employment purposes.

Signature

1-13-98
Date

047-30-3300
Social Security Number

ODAG
Current Organization Assigned

FORM DOJ-555
SEPT. 97

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

Part 1

Investigating Agency Use Only	Codes	Case Number

Agency Use Only (Complete Items A through P using instructions provided by the investigating agency).

A Type of Investigation	B Extra Coverage	C Sensitivity Level	D Access	E Nature of Action Code	F Date of Action (Month Day Year)
SSBI-PR					

G Geographic Location	H Position Code	I Position Title

J SON	K Location of Official Personnel Folder	None / NPRC / At SON	Other Address	ZIP Code

L SOI	M Location of Security Folder	None / At SOI / NPI	Other Address	ZIP Code

N OPAC-ALC Number	O Accounting Data and/or Agency Case Number

P Requesting Official	Name and Title	Signature	Telephone Number ( )	Date

Persons completing this form should begin with the questions below.

1 FULL NAME • If you have only initials in your name, use them and state (IO). • If you have no middle name, enter "NMN." • If you are a "Jr.," "Sr.," "II," etc., enter this in the box after your middle name.

2 DATE OF BIRTH

Last Name	First Name	Middle Name	Jr., II, etc.	Month	Day	Year
MARGOLIS	DAVID			12	18	39

3 PLACE OF BIRTH • Use the two letter code for the State.

4 SOCIAL SECURITY NUMBER

City	County	State	Country (if not in the United States)	Social Security Number
Hartford		CT		047-30-3300

5 OTHER NAMES USED

Give other names you used and the period of time you used them (*for example: your maiden name, name[s] by a former marriage, former name[s], alias[es], or nickname[s]*). If the other name is your maiden name, put "nee" in front of it.

Name	Month/Year To Month/Year	Name	Month/Year To Month/Year
#1		#3	
#2		#4	

6 OTHER IDENTIFYING INFORMATION

Height (feet and inches)	Weight (pounds)	Hair Color	Eye Color	Sex (mark one box)
5'10"	190	Black	Brown	[ ] Female [X] Male

7 TELEPHONE NUMBERS

Work (include Area Code and extension)	Home (include Area Code)
(X) Day ( ) Night (202) 514-4945	( ) Day (X) Night [redacted]

b6
b7C

8 CITIZENSHIP

a Mark the box at the right that reflects your current citizenship status, and follow its instructions.

[X]	I am a U.S. citizen or national by birth in the U.S. or U.S. territory/possession.	Answer Items b and d
[ ]	I am a U.S. citizen, but I was NOT born in the U.S.	Answer Items b, c, and d
[ ]	I am not a U.S. citizen.	Answer Items b and e

b Your Mother's Maiden Name: Martha Harris

c **UNITED STATES CITIZENSHIP** If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate *(Where were you naturalized?)*

Court	City	State	Certificate Number	Month/Day/Year Issued

Citizenship Certificate *(Where was the certificate issued?)*

City	State	Certificate Number	Month/Day/Year Issued

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.	Month/Day/Year	Explanation

U.S. Passport

This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued

d **DUAL CITIZENSHIP** If you are *(or were)* a dual citizen of the United States and another country, provide the name of that country in the space to the right. Country:

e **ALIEN** If you are an alien, provide the following information:

Place You Entered the United States:	City	State	Date You Entered U.S. Month Day Year	Alien Registration Number	Country(ies) of Citizenship

9 WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last 5 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 5-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last five years, if the address is "General Delivery," a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

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	Month/Year	Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
#1	7/77	To Present				VA		b6 b7C
Name of Person Who Knows You			Street Address	Apt.#	City (Country)	State	ZIP Code	Telephone Number
						VA		
#2	Month/Year	Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You			Street Address	Apt.#	City (Country)	State	ZIP Code	Telephone Number ( )
#3	Month/Year	Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You			Street Address	Apt.#	City (Country)	State	ZIP Code	Telephone Number ( )
#4	Month/Year	Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You			Street Address	Apt.#	City (Country)	State	ZIP Code	Telephone Number ( )
#5	Month/Year	Month/Year To	Street Address	Apt. #	City (Country)	State	ZIP Code	
Name of Person Who Knew You			Street Address	Apt.#	City (Country)	State	ZIP Code	Telephone Number ( )

10 WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, **beginning with the most recent (#1) and working back 7 years**. List College or University degrees and the dates they were received. If all of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

- Use one of the following codes in the "Code" block:

  1 - High School 2 - College/University/Military College 3 - Vocational/Technical/Trade School

- For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.
- For correspondence schools and extension classes, provide the address where the records are maintained.

	Month/Year	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
#1	9/61	To 6/64		The Harvard Law School	L.L.B.	6/64
Street Address and City (Country) of School					State	ZIP Code
				Cambridge	MA	02138
Name of Person Who Knew You			Street Address Apt.#	City (Country)	State	ZIP Code / Telephone Number ( )
#2	9/57	To 6/61	2	Brown University	A.B.	6/61
Street Address and City (Country) of School					State	ZIP Code
				Providence	RI	02912
Name of Person Who Knew You			Street Address Apt.#	City (Country)	State	ZIP Code / Telephone Number ( )
#3	Month/Year	Month/Year To	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
Street Address and City (Country) of School					State	ZIP Code
Name of Person Who Knew You			Street Address Apt.#	City (Country)	State	ZIP Code / Telephone Number ( )

Enter your Social Security Number before going to the next page → 047-30-3300

YOUR EMPLOYMENT ACTIVITIES (CONTINUED)

#4 Month/Year Month/Year To	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number ( )
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number ( )

PREVIOUS PERIODS OF ACTIVITY (Block #4)

Month/Year Month/Year To	Position Title	Supervisor
Month/Year Month/Year To	Position Title	Supervisor
Month/Year Month/Year To	Position Title	Supervisor

#5 Month/Year Month/Year To	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number ( )
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number ( )

PREVIOUS PERIODS OF ACTIVITY (Block #5)

Month/Year Month/Year To	Position Title	Supervisor
Month/Year Month/Year To	Position Title	Supervisor
Month/Year Month/Year To	Position Title	Supervisor

#6 Month/Year Month/Year To	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number ( )
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number ( )

PREVIOUS PERIODS OF ACTIVITY (Block #6)

Month/Year Month/Year To	Position Title	Supervisor
Month/Year Month/Year To	Position Title	Supervisor
Month/Year Month/Year To	Position Title	Supervisor

12 PEOPLE WHO KNOW YOU WELL

List **three people** who know you well and live in the United States. They should be good friends, peers, colleagues, college roommates, etc., whose combined association with you covers as well as possible the last 7 years. Do not list your spouse, former spouses, or other relatives, and try not to list anyone who is listed elsewhere on this form.

#1 Name	Dates Known Month/Year Month/Year	Telephone Number
	9/69 To Present	(X) Day [redacted] ( ) Night
Home or Work Address	City (Country)	State	ZIP Code
[redacted]	[redacted]	VA	[redacted]

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#2 Name	Dates Known Month/Year Month/Year	Telephone Number
[redacted]	3/76 To Present	( ) Day [redacted] (X) Night
Home or Work Address	City (Country)	State	ZIP Code
[redacted]	[redacted]	VA	[redacted]

#3 Name	Dates Known Month/Year Month/Year	Telephone Number
	To	( ) Day ( ) Night ( )
Home or Work Address	City (Country)	State	ZIP Code

Enter your Social Security Number before going to the next page → 047-30-3300

11 YOUR EMPLOYMENT ACTIVITIES

List your employment activities, beginning with the present (#1) and working back 7 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work, and all periods of unemployment. The entire 7-year period must be accounted for without breaks, but you need not list employments before your 16th birthday. **EXCEPTION:** Show all Federal civilian service, whether it occurred within the last 7 years or not.

- **Code.** Use one of the codes listed below to identify the type of employment:
  - 1 - Active military duty stations
  - 2 - National Guard/Reserve
  - 3 - U.S.P.H.S. Commissioned Corps
  - 4 - Other Federal employment
  - 5 - State Government (Non-Federal employment)
  - 6 - Self-employment (Include business name and/or name of person who can verify)
  - 7 - Unemployment (Include name of person who can verify)
  - 8 - Federal Contractor (List Contractor, not Federal agency)
  - 9 - Other
- **Employer/Verifier Name.** List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.
- **Previous Periods of Activity.** Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#1 5/93	To Present	4	U.S. Dept. of Justice/ODAG	Associate Deputy Attorney Gen.

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
950 Pennsylvania Avenue, N.W.	Washington	DC	20530	(202) 514-4945
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number
Eric H. Holder, Jr.	Washington	DC	20530	(202) 514-2101

PREVIOUS PERIODS OF ACTIVITY (Block #1)

Month/Year	Month/Year	Position Title	Supervisor
7/90	To 5/93	Acting DAAG/CRM [redacted]	[redacted]
2/79	To 7/90	Chief, Organized Crime Section	John C. Keeney [redacted]
	To		

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Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#2	To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				( )
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number ( )

PREVIOUS PERIODS OF ACTIVITY (Block #2)

Month/Year	Month/Year	Position Title	Supervisor
	To		
	To		
	To		

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#3	To			

Employer's/Verifier's Street Address	City (Country)	State	ZIP Code	Telephone Number
				( )
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number ( )

PREVIOUS PERIODS OF ACTIVITY (Block #3)

Month/Year	Month/Year	Position Title	Supervisor
	To		
	To		
	To		

Enter your Social Security Number before going to the next page → 047-30-3300

13 YOUR SPOUSE

Mark one box to show your current marital status and provide information about your spouse(s) in items a, and/or b.

- [ ] 1 - Never married
- [X] 2 - Married
- [ ] 3 - Separated
- [ ] 4 - Legally Separated
- [ ] 5 - Divorced
- [ ] 6 - Widowed

a Current Spouse Complete the following about your current spouse only.

Full Name	Date of Birth	Place of Birth (Include country if outside the U.S.)	Social Security Number
		CT	

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Other Names Used (Specify maiden name, names by other marriages, etc., and show dates used for each name)	Country(ies) of Citizenship
(Maiden)	

Date Married	Place Married (Include country if outside the U.S.)	State
5/25/69	West Hartford	Ct

If Separated, Date of Separation	If Legally Separated, Where Is the Record Located? City (Country)	State

Address of Current Spouse, If different than your current address (Street, city, and country if outside the U.S.)	State	ZIP Code

b Former Spouse(s) Complete the following about your former spouse(s), use blank sheets if needed.

Full Name	Date of Birth	Place of Birth (Include country if outside the U.S.)	State
		I think - Roanoke	VA

Country(ies) of Citizenship	Date Married	Place Married (Include country if outside the U.S.)	State
US	7/31/66	Hartford	CT

Check One, Then Give Date	Month/Day/Year	If Divorced, Where Is the Record Located? City (Country)	State
[X] Divorced [ ] Widowed	12/30/67	(so does the FBI) Juarez, Mexico - I have a certified copy	

Address of Former Spouse (Street, city, and country if outside the U.S.)	State	ZIP Code	Telephone Number
UNKNOWN - No contact in nearly 30 years - Parents live in Bloomfield, CT			

14 YOUR RELATIVES AND ASSOCIATES

Give the full name, correct code, and other requested information for each of your relatives and associates, living or dead, specified below.

1 - Mother *(first)*
2 - Father *(second)*
3 - Stepmother
4 - Stepfather
5 - Foster parent
6 - Child *(adopted also)*
7 - Stepchild
8 - Brother
9 - Sister
10 - Stepbrother
11 - Stepsister
12 - Half-brother
13 - Half-sister
14 - Father-in-law
15 - Mother-in-law
16 - Guardian
17 - Other Relative*
18 - Associate*
19 - Adult Currently Living With You

* Code 17 (Other Relative)-include only foreign national relatives not listed in 1-16 with whom you or your spouse are bound by affection, obligation, or close and continuing contact. Code 18 (Associates) - include only foreign national associates with whom you or your spouse are bound by affection, obligation, or close and continuing contact.

Full Name (If deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country(ies) of Citizenship	Current Street Address and City (country) of Living Relatives	State
[ ] Biological Mother	1	UNK - I was adopted at birth -			Identity UNKNOWN	
[ ] Biological Father	2	(SAME AS ABOVE)				
[X] Sylvia Booth Margolis	1	(Died in 44) UNKNOWN	US	US - Portland, CT		
[X] Louis Margolis	2	4/18/03	US	US		
[ ] Martha Margolis	1	6/4/08	US	US	44 Avonwood Road Apt. #101, Avon	CT
[ ] [redacted]	6	[redacted]	US	US	[redacted]	IL
[ ] [redacted]	6	[redacted]	US	US	[redacted]	VA
[ ] [redacted]	8	[redacted]	US	US	[redacted]	CT
[X] David Lipman	14	4/17/10	US	US	829 Camino Road Delray Beach	FL
[ ] Ruth Lipman	15	11/30/16	US	US	829 Camino Road Delray Beach	FL
[ ]						
[ ]						

b6
b7C

Enter your Social Security Number before going to the next page → 047-30-3300

15 CITIZENSHIP OF YOUR RELATIVES AND ASSOCIATES

If your mother, father, sister, brother, child, or current spouse or person with whom you have a spouse-like relationship is a U.S. citizen by other than birth, or an alien residing in the U.S., provide the nature of the individual's relationship to you (Spouse, Spouse-like, Mother, etc.), and the individual's name and date of birth on the first line *(this information is needed to pair it accurately with information in items 13 and 14).*

On the second line, provide the individual's naturalization certificate or alien registration number and use one of the document codes below to identify proof of citizenship status. Provide additional information on that line as requested.

1. **Naturalization Certificate:** Provide the date issued and the location where the person was naturalized (Court, City and State).
2. **Citizenship Certificate :** Provide the date and location issued (City and State).
3. **Alien Registration:** Provide the date and place where the person entered the U.S. (City and State).
4. **Other:** Provide an explanation in the "Additional Information" block.

Association #1	Name	Date of Birth (Month/Day/Year)
Certificate/Registration #	Document Code	Additional Information

Association #2	Name	Date of Birth (Month/Day/Year)
Certificate/Registration #	Document Code	Additional Information

16 YOUR MILITARY HISTORY

	Yes	No
a Have you served in the United States military?		X
b Have you served in the United States Merchant Marine?		X

List all of your military service below, including service in Reserve, National Guard, and U.S. Merchant Marine. Start with the most recent period of service (#1) and work backward. If you had a break in service, each separate period should be listed.

- **Code.** Use one of the codes listed below to identify your branch of service:
  1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard
- **O/E.** Mark "O" block for Officer or "E" block for Enlisted.
- **Status.** "X" the appropriate block for the status of your service during the time that you served. If your service was in the National Guard, do not use an "X": use the two-letter code for the state to mark the block.
- **Country.** If your service was with other than the U.S. Armed Forces, identify the country for which you served.

Month/Year Month/Year	Code	Service/Certificate #	O	E	Status: Active	Status: Active Reserve	Status: Inactive Reserve	Status: National Guard (State)	Country
To									
To									

17 YOUR FOREIGN ACTIVITIES

	Yes	No
a Do you have any foreign property, business connections, or financial interests?		X
b Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?		X
c Have you ever had any contact with a foreign government, its establishments (embassies or consulates), or its representatives, whether inside or outside the U.S., other than on official U.S. Government business? (Does not include routine visa applications and border crossing contacts.)		X
d In the last 7 years, have you had an active passport that was issued by a foreign government?		X

If you answered "Yes" to a, b, c, or d above, explain in the space below: provide inclusive dates, names of firms and/or governments involved, and an explanation of your involvement.

Month/Year Month/Year	Firm and/or Government	Explanation
To		
To		

18 FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, except on travel under official Government orders, beginning with the most current (#1) and working back 7 years. (Travel as a dependent or contractor must be listed.)

- Use one of these codes to indicate the purpose of your visit: 1 - Business 2 - Pleasure 3 - Education 4 - Other
- Include short trips to Canada or Mexico. If you have lived near a border and have made short (one day or less) trips to the neighboring country, you do not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips").
- Do not repeat travel covered in items 9, 10, or 11.

Month/Year Month/Year	Code	Country	Month/Year Month/Year	Code	Country
#1 9/91 To (3 days)	1	Montreal, Canada	#3 2/96 To (2 days)	1	Dominican Republic
#2 6/85 To (4 hrs.)	2	Windsor, Ontario, Canada Dinner on business trip	#4 To		(Speech to FBA of N.Y. to Detroit

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right:

Enter your Social Security Number before [illegible]ng to the next page → 047-30-3300

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

Part 2

OFFICIAL USE ONLY

19 YOUR MILITARY RECORD

	Yes	No
Have you ever received other than an honorable discharge from the military? If "Yes," provide the date of discharge and type of discharge below.		X

Month/Year	Type of Discharge

20 YOUR SELECTIVE SERVICE RECORD

	Yes	No
a Are you a male born after December 31, 1959? If **"No,"** go to **21**. If **"Yes,"** go to b.		X
b Have you registered with the Selective Service System? If **"Yes,"** provide your registration number. If **"No," show the** reason for your legal exemption below.		

Registration Number	Legal Exemption Explanation

21 YOUR MEDICAL RECORD

	Yes	No
In the last 7 years, have you consulted with a mental health professional (psychiatrist, psychologist, counselor, etc.) or have you consulted with another health care provider about a mental health related condition?		X

If you answered "Yes", provide the dates of treatment and the name and address of the therapist or doctor below, unless the consultation(s) involved only marital, family, or grief counseling, not related to violence by you.

Month/Year	Month/Year	Name/Address of Therapist or Doctor	State	ZIP Code
	To			
	To			

22 YOUR EMPLOYMENT RECORD

	Yes	No
Has any of the following happened to you **in the last 7 years?** If **"Yes,"** begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.		X

Use the following codes and explain the reason your employment was ended:

1 - Fired from a job
2 - Quit a job after being told you'd be fired
3 - Left a job by mutual agreement following allegations of misconduct
4 - Left a job by mutual agreement following allegations of unsatisfactory performance
5 - Left a job for other reasons under unfavorable circumstances

Month/Year	Code	Specify Reason	Employer's Name and Address *(Include city/Country if outside U.S.)*	State	ZIP Code

23 YOUR POLICE RECORD

For this item, report information regardless of whether the record in your case has been "sealed" or otherwise stricken from the court record. The single exception to this requirement is for certain convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607.

	Yes	No
a Have you ever been charged with or convicted of any felony offense? (Include those under Uniform Code of Military Justice)		X
b Have you ever been charged with or convicted of a firearms or explosives offense?		X
c Are there currently any charges pending against you for any criminal offense?		X
d Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?		X
e In the last 7 years, have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? (Include non-judicial, Captain's mast, etc.)		X
f In the last 7 years, have you been arrested for, charged with, or convicted of any offense(s) not listed in response to a, b, c, d, or e above? (Leave out traffic fines of less than $150 unless the violation was alcohol or drug related.)		X

If you answered "Yes" to a, b, c, d, e, or f above, explain below. Under "Offense," do not list specific penalty codes, list the actual offense or violation (for example, arson, theft, etc.).

Month/Year	Offense	Action Taken	Law Enforcement Authority/Court *(Include City and county/country if outside U.S.)*	State	ZIP Code

Enter your Social Security Number before going to the next page → 047-30-3300

24 YOUR USE OF ILLEGAL DRUGS AND DRUG ACTIVITY

The following questions pertain to the illegal use of drugs or drug activity. You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment decision or action against you, but neither your truthful responses nor information derived from your responses will be used as evidence against you in any subsequent criminal proceeding.

	Yes	No
a Since the age of 16 or in the last 7 years, whichever is shorter, have you illegally used any controlled substance, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), amphetamines, depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.), or prescription drugs?		X
b Have you ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety?		X
c In the last 7 years, have you been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for your own intended profit or that of another?		X

If you answered "Yes" to a or b above, provide the date(s), identify the controlled substance(s) and/or prescription drugs used, and the number of times each was used.

Month/Year	Month/Year	Controlled Substance/Prescription Drug Used	Number of Times Used
	To		
	To		

25 YOUR USE OF ALCOHOL

	Yes	No
In the last 7 years, has your use of alcoholic beverages (such as liquor, beer, wine) resulted in any alcohol-related treatment or counseling (such as for alcohol abuse or alcoholism)?		X

If you answered "Yes", provide the dates of treatment and the name and address of the counselor or doctor below. Do not repeat information reported in response to item 21 above.

Month/Year	Month/Year	Name/Address of Counselor or Doctor	State	ZIP Code
	To			
	To			

26 YOUR INVESTIGATIONS RECORD

	Yes	No
a Has the United States Government ever investigated your background and/or granted you a security clearance? If **"Yes,"** use the codes that follow to provide the requested information below. If **"Yes,"** but you can't recall the investigating agency and/or the security clearance received, enter **"Other"** agency code or clearance code, as appropriate, and **"Don't know"** or **"Don't recall"** under the **"Other Agency"** heading, below. If your response is **"No,"** or you don't know or can't recall if you were investigated and cleared, check the **"No"** box.	X	

Codes for Investigating Agency
1 - Defense Department
2 - State Department
3 - Office of Personnel Management
4 - FBI
5 - Treasury Department
6 - Other *(Specify)*

Codes for Security Clearance Received
0 - Not Required
1 - Confidential
2 - Secret
3 - Top Secret
4 - Sensitive Compartmented Information
5 - Q
6 - L
7 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
6-65	4		2	7-90	6	Justice-JMD	4
Summer-80	6	Justice-JMD	4	-92	4	—	4

	Yes	No
b To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If **"Yes,"** give date of action and agency. **Note:** An administrative downgrade or termination of a security clearance is not a revocation.		X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

27 YOUR FINANCIAL RECORD

	Yes	No
a In the last 7 years, have you filed a petition under any chapter of the bankruptcy code (to include Chapter 13)?		
b In the last 7 years, have you had your wages garnished or had any property repossessed for any reason?		X
c In the last 7 years, have you had a lien placed against your property for failing to pay taxes or other debts?		X
d In the last 7 years, have you had any judgments against you that have not been paid?		X

If you answered **"Yes"** to a, b, c, or d, provide the information requested below:

Month/Year	Type of Action	Amount	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

Enter your Social Security Number before going to the next page → 047-30-3300

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I Further Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in, a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner. Read, sign and date the release on the next page if you answered "Yes" to question 21.

Signature *(Sign in ink)*	Full Name *(Type or Print Legibly)*		Date Signed
David Margolis	DAVID MARGOLIS		1-14-98
Other Names Used			Social Security Number 047-30-3300
Current Address *(Street, City)*	State VA	ZIP Code	Home Telephone Number *(Include Area Code)*

b6
b7C

28 YOUR FINANCIAL DELINQUENCIES

	Yes	No
a In the last 7 years, have you been over 180 days delinquent on any debt(s)?		X
b Are you currently over 90 days delinquent on any debt(s)?		X

If you answered **"Yes"** to a or b, provide the information requested below:

Incurred Month/Year	Satisfied Month/Year	Amount	Type of Loan or Obligation and Account Number	Name/Address of Creditor or Obligee	State	ZIP Code

29 PUBLIC RECORD CIVIL COURT ACTIONS

	Yes	No
In the last 7 years, have you been a party to any public record civil court actions not listed elsewhere on this form?		X

If you answered "Yes," provide the information about the public record civil court action requested below.

Month/Year	Nature of Action	Result of Action	Name of Parties Involved	Court *(Include City and county/country if outside U.S.)*	State	ZIP Code

30 YOUR ASSOCIATION RECORD

	Yes	No
a Have you ever been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?		X
b Have you ever knowingly engaged in any acts or activities designed to overthrow the United States Government by force?		X

If you answered "Yes" to a or b, explain in the space below.

Continuation Space

Use the continuation sheet(s) (SF 86A) for additional answers to items 9, 10, and 11. Use the space below to continue answers to all other items and any information you would like to add. If more space is needed than is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the item.

13. As to former spouse: She was a [redacted] employed by the [redacted] Board of Education. They may have a current address. There were no children of this marriage and her maiden name was restored [redacted] - I recently read a newspaper which indicated that she now lives in New York City. b6 b7C

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10.

Certification That My Answers Are True

My statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both. (See section 1001 of title 18, United States Code).

Signature *(Sign in ink)*	Date
	1-13-98

Enter your Social Security Number before going to the next page → 047-30-3300

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

I am seeking assignment to or retention in a position with the Federal government which requires access to classified national security information or special nuclear information or material. As part of the clearance process, **I hereby authorize** the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

> Does the person under investigation have a condition or treatment that could impair his/her judgement or reliability, particularly in the context of safeguarding classified national security information or special nuclear information or material?
>
> If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.
>
> What is the prognosis?

I understand the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for 1 year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature *(Sign in ink)*	Full Name *(Type or Print Legibly)*		Date Signed
	DAVID MARGOLIS		1-13-98
Other Names Used			Social Security Number 047-30-3300
Current Address *(Street, City)*	State VA	ZIP Code	Home Telephone Number

b6
b7C

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998 **Date:** 02/10/1998

To: Chicago
New Haven
Personnel **Attn:** BICS, Region I
Richmond
Washington Field **Attn:** 77 Rotor Clerk

From: Personnel
Special Inquiry and General Background Investigation Unit (SIGBIU), Room 4371
Contact: PSS [redacted] Extension 2586 b6 b7C

Approved By: [redacted]

RH **Drafted By:** [redacted] rsh

Case ID #: 77L-HQ-1240842 (Pending)

Title: DAVID (NMN) MARGOLIS
BI-DOJ

Synopsis: Initiation of 5-year scope background reinvestigation on captioned candidate using SF-86.

Administrative: BUDED is 04/30/1998. Prior case ID/file number for captioned candidate was 77J-97035.

NOTE: As a result of discussions with representatives of the Department of Justice (DOJ), changes in certain procedures/policy involving classification 77L 5-year background reinvestigations conducted by the FBI for the DOJ when using the SF-86 have been made. These changes must be followed when conducting a 5-year reinvestigation.

RECEIVING OFFICES ARE TO ENSURE THAT A COPY OF THE ENCLOSED 77L INVESTIGATIVE PACKET IS PROVIDED WITH THIS EC TO EACH INDIVIDUAL (I.E., BUREAU EMPLOYEE OR BICS SPECIAL INVESTIGATOR) CONDUCTING INVESTIGATION IN CAPTIONED MATTER. The investigative packet reflects the changes and provides specific instructions concerning them, as well as other (UNCHANGED) investigation to be conducted in a 77L background reinvestigation in which the SF-86 is used. PARTICULAR ATTENTION IS TO BE PAID TO THE SCOPE OF QUESTIONING, ESPECIALLY WITH RESPECT (ALTHOUGH NOT LIMITED) TO MENTAL HEALTH AND ALCOHOL RELATED COUNSELING, PRIOR ILLEGAL DRUG USE AND OTHER DRUG ACTIVITY, AND THE "INITIAL" CANDIDATE INTERVIEW.

As was done in this particular reinvestigation, FBIHQ will provide the enclosed investigative packet in each 77L background reinvestigation in which SF-86 is used, as an EC enclosure, to each field office/BICS Region having investigation

MAIL DIRECT from SPECIAL INQUIRY UNIT, CID

✓Mail Room 3/RH Return to [redacted] Rom 4371 77L-HQ-1240842-5 b6 b7C

therein. Field offices/BICS Regions sending followup leads to other field offices/BICS Regions not known to be involved in captioned investigation are to ensure that a copy of the enclosed investigative packet is provided to those field offices/BICS Regions along with a copy of this EC.

To the extent that they do not conflict with the policy/procedure changes in the enclosed investigative packet, and as applicable, the investigative guidelines set forth in MIOG, Part I, Section 77, and Part II, Section 17, are to be referred to for assistance in conducting investigation in captioned matter. However, to the extent those guidelines are in conflict with the changes, the guidelines are to be disregarded and the changes followed.

Additionally, field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

Direct results/questions to PSS [redacted] supra. Advise SIGBIU (PSS [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If Buded will not be met, telephonically advise PSS [redacted] and set forth reason(s) in **Administrative Section** of investigative report. SIGBIU facsimile numbers are (202) 324-2574, and (202) 324-1373. b6 b7C

Enclosures: Being forwarded to each field office/BICS Region with a hard copy of this EC, via Bureau mail, is one copy each of the following: candidate's SF-86 dated 1/13/98, Authorization for Release of Information dated 1/13/98, and Authorization for Release of Medical Information dated 1/13/98, and an investigative packet revised 9/12/96 to be used in conducting candidate's 5-year background reinvestigation.

Details: Bureau has been requested by the DOJ to conduct a 5-year background reinvestigation of candidate using the SF-86. Candidate is currently an Associate Deputy Attorney General, Department of Justice, Washington, D.C. Candidate's last FBI background investigation was closed in 1992. The results of that investigation have not yet been received. If that investigation discloses the necessity for additional investigation, PSS [redacted] will immediately forward to appropriate field offices for lead coverage. Update from that point and as indicated on b6 b7C

the SF-86.

At this time, PSS [redacted] has not received appropriate HQ credit reports for review. If review reveals unsatisfactory credit history, PSS [redacted] will immediately forward copies of pertinent details to appropriate Field Offices for lead coverage. b6 b7C

IN ADDITION TO INVESTIGATION TO BE CONDUCTED IN THOSE AREAS MARKED FOR COVERAGE ON THE CANDIDATE'S SF-86, RECEIVING OFFICES ARE TO CONDUCT SPECIFIC INVESTIGATION SET FORTH IN THE LEADS SECTION BELOW.

ALL OFFICES: AFTER REVIEWING THE INFORMATION IN THIS EC AND ITS ENCLOSURES, CONDUCT INVESTIGATION SET FORTH UNDER THE "LEAD(S)" SECTION HEREIN AND/OR AS MARKED ON THE ENCLOSED SF-86. ENSURE THAT EACH INDIVIDUAL CONDUCTING INVESTIGATION IN THIS MATTER IS PROVIDED A COPY OF THE ENCLOSED 77L INVESTIGATIVE PACKET WITH THIS EC.

LEAD (s):

Set Lead 1:

CHICAGO

AT CHICAGO, IL

Conduct appropriate indices searches concerning candidate's relatives as indicated on the enclosed SF-86.

Set Lead 2:

NEW HAVEN

AT NEW HAVEN, CT

Verify D/POB at the Bureau of Vital Statistics.

Conduct appropriate indices searches concerning candidate's relatives as indicated on the enclosed SF-86.

Set Lead 3:

PERSONNEL

AT BICS, REGION I

Conduct candidate interview using enclosed candidate interview guidelines and ensure each question is thoroughly addressed and clearly set forth in candidate's FD-302. In addition, specifically address the following:

Determine from the candidate where he may be licensed to practice law. If not already set out in this communication, set appropriate leads to verify current membership standing and any grievances.

Set Lead 4:

AT BICS, REGION I

During routine interviews of candidate's listed references, developed references, supervisors, coworkers, neighbors, and/or residence verifiers, refer to enclosed third party interview guidelines as outlined on pages 4 and 5 of investigative packet.

Set Lead 5:

RICHMOND

AT RICHMOND, VA

Check Department of Motor Vehicles for any information concerning candidate.

Check the Virginia State Bar Association for current membership standing and any grievances.

Set Lead 6:

WASHINGTON FIELD

AT WASHINGTON, DC

Review records of appropriate U.S. Attorney's Offices for any pertinent information concerning candidate.

Conduct appropriate law enforcement checks in areas where the candidate has resided/been employed for the scope of this investigation.

Check the District of Columbia Bar Association for current membership standing and any grievances.

Check the DOJ. Check the Offices of the Inspector General and Professional Responsibility.

CC: 1 - PSS [redacted] b6 b7C

♦♦

FD-263 (Rev. 4-30-85)

76957

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
Richmond	Bureau	2/18/98	2/12/98

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	IA [redacted]	jzg
	CHARACTER OF CASE BI - DOJ	

b6
b7C

Reference: Bureau "EC" to Chicago dated 2/10/98.

-RUC-

Administrative:

Richmond indices negative regarding the candidate.

APPROVED SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2 - Bureau (77L-HQ-1240842)
Attn: PSS [redacted]
SIGBIU, Room 4371
1 - Richmond (77L-HQ-1240842)

b6
b7C

2/16

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	DOJ	[redacted]		
Request Recd.		4/17/03		
Date Fwd.	5/22/98	4/3/03		
How Fwd.				
By				

Notations

b7E

3/24

A*
COVER PAGE

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA [redacted] Office: Richmond b6 b7C
Date: February 18, 1998

Case ID #: 77L-HQ-1240842

Title: DAVID (NO MIDDLE NAME) MARGOLIS

Character: BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: DMV set forth. Candidate not a member of the VSB.

-RUC-

DETAILS:

ARREST

On February 12, 1998, a review of the computerized files of the Department of Motor Vehicles, Richmond, Virginia, by [redacted] failed to disclose any traffic violations for the candidate. The candidate has a current Virginia driver's license with an expiration date of December 31, 1999. b6 b7C

MISCELLANEOUS

On February 12, 1998, [redacted] [redacted] Virginia State Bar (VSB), Richmond, Virginia, advised that the candidate is not a member of the VSB.

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-263 (Rev. 4-30-85)

76957

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
New Haven	Bureau	2/24/98	02/10-24/1998

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	IA [redacted]	cjw
	CHARACTER OF CASE: BI-DOJ	

b6
b7C

REFERENCE: Bureau EC to New Haven, Et Al, dated February 10, 1998.

ADMINISTRATIVE:

New Haven Office indices were reviewed and proved negative for the candidate and his family with the exception of a possible reference for the candidate to File Number 76-1397-3, dated October 1970.

NP

APPROVED MSP/HB SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

TTL	HQ	1240842	7

COPIES MADE:
2-Bureau (77I-HQ-1240842)
(Attn: PSS [redacted]
SIGBIU, Room 4371
2-New Haven
[redacted]

2/26

b6
b7C
b7E

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	DOJ	[redacted]		
Request Recd.		4/17/03		
Date Fwd.	5/22/98	6/3/03		
How Fwd.				
By				

Notations

3/RH 4371

A*
COVER PAGE

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA[redacted] Office: New Haven b6 b7C
Date: February 24, 1998

Case ID #: 77L-HQ-1240842

Title: DAVID (NMN) MARGOLIS

Character: BI-DOJ

Synopsis: Birth check conducted.

DETAILS:

BIRTH:

In view of the fact that the candidate stated in his application that he was adopted at birth, on February 24, 1998, [redacted] Department of Public Health, Vital Records, 410 Capital Avenue, Hartford, Connecticut, verified that David (NMN) Margolis was born on December 18, 1939, at Hartford, Connecticut. Parents listed on the birth certificate are Louis and Sylvia Margolis. b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998 **Date:** 03/12/1998

To: New Haven

From: Personnel
Special Inquiry and General Background Investigation Unit (SIGBIU), Room 4371
Contact: PSS [redacted] Extension 2586 b6 b7C

Approved By: [redacted]

RH **Drafted By:** [redacted] rsh

Case ID #: 77L-HQ-1240842 (Pending)

Title: DAVID (NMN) MARGOLIS
BI-DOJ

Synopsis: Additional lead regarding background investigation (BI) on captioned candidate.

Reference: 77L-HQ-1240842 Serial 5

Administrative:

<u>Field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity</u>.

All investigation is to be submitted in investigative report format, to include headings and subheadings.

Direct results/questions to [redacted] supra. b6 b7C
Advise SIGBIU (PSS [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If Buded will not be met, telephonically advise PSS [redacted] and set forth reason(s) in **<u>Administrative Section</u>** of investigative report. SIGBIU facsimile numbers are (202) 324-2574, and (202) 324-1373.

Details: Bureau has been requested by the DOJ to conduct a 5-year background reinvestigation of candidate using the SF-86. Candidate is currently an Associate Deputy Attorney General, Department of Justice, Washington, D.C. Candidate's last FBI background investigation was closed in 1992. During that investigation, candidate advised he was a member of the Connecticut State Bar.

MAIL DIRECT from SPECIAL INQUIRY UNIT, CID BY 3/12 MCD

Mail Room ✓ Return to [redacted] Room 4371

77L-HQ-1240842-8

To: New Haven From: Personnel
Re: 77L-HQ-1240842, 03/12/1998

LEAD (s):

Set Lead 1:

NEW HAVEN

AT NEW HAVEN, CT

Check the Connecticut State Bar Association for current membership standing and any grievances.

CC: 1 - PSS [redacted] b6 b7C

♦♦

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998 **Date:** 03/17/1998

To: Boston
Pittsburgh

From: Personnel
Special Inquiry and General Background Investigation Unit (SIGBIU), Room 4371
Contact: PSS [redacted] Extension 2586 b6 b7C

Approved By: [redacted]

Drafted By: [redacted] rsh

Case ID #: 77L-HQ-1240842 (Pending)

Title: DAVID (NMN) MARGOLIS
BI-DOJ

Synopsis: Additional leads regarding background investigation (BI) on captioned candidate.

Reference: 77L-HQ-1240842 Serial 5

Administrative:

Field offices/BICS Regions are reminded that neither the investigative status of a background investigation nor any deadlines are to be disclosed to the candidate or any interviewees. Candidates making inquiries of the status of their investigation are to be referred to the client entity.

All investigation is to be submitted in investigative report format, to include headings and subheadings.

Direct results/questions to PSS [redacted] supra. b6 b7C Advise SIGBIU [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1). If Buded will not be met, telephonically advise PSS [redacted] and set forth reason(s) in **Administrative Section** of investigative report. SIGBIU facsimile numbers are (202) 324-2574, and (202) 324-1373.

Details: Bureau has been requested by the DOJ to conduct a 5-year background reinvestigation of candidate using the SF-86. Candidate is currently an Associate Deputy Attorney General, Department of Justice, Washington, D.C. A review of the Universal Name Index maintained at FBIHQ has revealed field office file, 89B-BS-80615 (Serial 36) which may contain a reference to the candidate, David Margolis. This review also revealed field office file, 139B-PG-63149 (Serial 1), which may concern the candidate's mother, Martha Margolis (nee Harris).

Faxed 3/17

77L-HQ-1240842-9

To: Boston From: Personnel
Re: 77L-HQ-1240842, 03/17/1998

LEAD (s):

Set Lead 1:

BOSTON

AT BOSTON, MA

Review appropriate field office file 89B-BS-80615 for possible identification of captioned candidate. If investigation reveals a positive identification, immediately telephonically advise PSS [redacted] and provide any pertinent details. b6 b7C

Set Lead 2:

PITTSBURGH

AT PITTSBURGH, PA

Review appropriate field office file 139B-PG-63149 for possible identification of captioned candidate's mother. If investigation reveals a positive identification, immediately telephonically advise PSS [redacted] and provide any pertinent details.

CC: 1 - PSS [redacted]

♦♦

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
New Haven	Bureau	3/23/98	3/23/98

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	IA [redacted]	ses
	CHARACTER OF CASE BI-DOJ	

b6
b7C

REFERENCE:

Bureau EC to NH, et al, dated 03/12/1998.

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

New Haven Office indices were furnished in a previous communication.

Correspondence from ACS 5/21

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2- Bureau (77L-HQ-1240842)
(Attn: PSS [redacted] SIGBIU, Ext. 2586)
2- New Haven (77L-HQ-1240842)

b6
b7C

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	DOJ	[redacted]		
Request Recd.		4/17/03		
Date Fwd.	5/22/98	6/3/03		
How Fwd.				
By	[illegible]	[illegible]		

Notations

b7E

3/RH

A*

COVER PAGE

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA [redacted] Office: New Haven b6 b7C
Date: March 23, 1998

Case ID #: 77L-HQ-1240842

Title: DAVID (NMN) MARGOLIS

Character: BI-DOJ

Synopsis: Bar membership verified for candidate.

DETAILS:

- STATE BAR

On March 23, 1998, [redacted] Statewide Grievance Committee, 287 Main Street, East Hartford, Connecticut, advised that David Margolis was admitted to the Connecticut Bar on August 11, 1964, at New Haven, Connecticut. [redacted] advised that the candidate is an active member of the Bar and there have been no complaints or grievances filed against him. Both public and private records were checked. The Statewide Grievance Committee is the licensing authority for attorneys in the State of Connecticut. b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

(12/31/1995)

76957

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998 **Date:** 03/23/1998

To: Personnel **Attn:** SIGBIU Room 4371
PSS [redacted]

b6
b7C

From: Pittsburgh
Support Services/Unit 1
Contact: [redacted] Ext. 9300

Approved By: [redacted]

Drafted By: [redacted] bcr

Case ID #: 77L-HQ-1240842 (Pending) -10

Title: DAVID (NMN) MARGOLIS
BI-DOJ

Synopsis: Pittsburgh Indices checks and File Review conducted.

Reference: 77L-HQ-1240842 Serial 9

Administrative: All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Details: A review of Pittsburgh General Indices and Universal Index search failed to locate any information on the applicant, or applicant's mother.

♦♦

not disseminated

for 4371
3/RH

(12/31/1995)

76959

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998 **Date:** 03/25/1998

To: Personnel **Attn:** SIGBIU, Room 4371
PSS [redacted] b6 b7C

From: Pittsburgh
Support Services/Unit 1
Contact: [redacted] Ext. 9300

Approved By: [redacted]

Drafted By: [redacted] bcr

Case ID #: 77L-HQ-1240842 (Pending) -//

Title: DAVID (NMN) MARGOLIS
BI-DOJ

Synopsis: Pittsburgh Indices checks and File Review conducted.

Reference: 77L-HQ-1240842 Serial 9

Administrative: All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Details: A review of 139B-PG-63149 references a [redacted] b6 b7C
however the information on [redacted] is not identical to the applicant's mother, Martha Margolis (nee [redacted].

♦♦

not disseminated

4371
3/RH

(12/31/1995)

76957

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998 **Date:** 04/08/1998

To: Personnel **Attn:** PSS [redacted] b6 b7C
SIGBIU, Room 4371

From: Chicago
Squad CI-3
Contact: SSA [redacted] Ext. 3027

Approved By: [redacted] JKW/JS

Drafted By: [redacted] rmb

Case ID #: 77L-HQ-1240842-13

Title: DAVID (NMN) MARGOLIS
BI - DOJ

Synopsis: See details below.

Reference: 77L-HQ-1240842 Serial 5

Administrative: Chicago's general indices are negative regarding the candidate and relative.

All investigation is completed in the Chicago Division.

Details: On February 18, 1998, a check of Chicago's general indices was made. This check revealed no information identifiable with the candidate David (NMN) Margolis, and child [redacted] b6 b7C

♦♦

not disseminated

3/RH

(12/31/1995)

76[redacted]7

FEDERAL BUREAU OF INVESTIGATION

Precedence: DEADLINE 04/30/1998 **Date:** 04/16/1998

To: New Haven **Attn:** 77L Supervisor

Personnel **Attn:** [redacted] SIGBIU, Rm. 4371 b6 b7C

BICS **Attn:** Region II

From: BICS
Region I
Contact: PSS [redacted] 703-762-3771

Approved By: [redacted]

Drafted By: [redacted] mlb

Case ID #: 77L-HQ-1240842

Title: DAVID (NMN) MARGOLIS -14
BACKGROUND INVESTIGATION
DEPARTMENT OF JUSTICE

Synopsis: Verify the candidate's membership with the State Bar and review grievance committee records. Interview the candidate's supervisor.

Reference: 77L-HQ-1240842 Serial 5

Details: The candidate advised that he is licensed to practice law only in the state of Connecticut.

Investigation at Washington, D.C., determined the candidate listed supervisor, [redacted] is currently residing and working in Philadelphia, Pennsylvania. His work telephone number is 215-963-5722. No other information was provided. b6 b7C

not disseminated

3/214

LEAD (s):

Set Lead 1:

NEW HAVEN

AT NEW HAVEN, CT

Verify the candidate's membership with the Connecticut State Bar and review grievance records.

Set Lead 2:

BICS

AT REGION II, VA

Interview the candidate's supervisor, [redacted] b6 b7C

♦♦

FD-263 (Rev. 4-30-85)

76957

[redacted] b6 b7C

76957

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
BICS - REGION 2	FBIHQ	4/28/98	04/23/98

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS BUDED: 4/30/98	SI [redacted]	ksr

CHARACTER OF CASE
BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

REFERENCE

Bureau EC, dated 04/16/98.

- C -

ADMINISTRATIVE

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

APPROVED [signature] SPECIAL AGENT IN CHARGE 4/28/98

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COPIES MADE:
(2) - Bureau (77L-HQ-1240842) 15
1 - BICS REGION II (77L-HQ-1240842)
(PSS [redacted] 703-762-3782)

b6 b7C

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	DOJ	[redacted]		
Request Recd.		4/17/03		
Date Fwd.	5/22/98	6/3/03		
How Fwd.				
By	[illegible]	TW		

Notations

b7E

3/Pt

A*
COVER PAGE

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SI [redacted] Office: BICS - REGION II b6 b7C
Date: April 28, 1998

Case ID #: 77L-HQ-1240842

Title: DAVID (NMN) MARGOLIS

Character: BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Supevisor interviewed; favorable and recommends.

- C -

DETAILS:

The following investigation was conducted by Special Investigator (SI) [redacted]

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77L-HQ-1240842
NAM:ksr

EMPLOYMENT

U.S. DEPARTMENT OF JUSTICE
950 Pennsylvania Avenue, Northwest
Washington, D.C.
July, 1990 - May, 1993

[redacted] Date of Birth [redacted] b6
[redacted] MORGAN LEWIS AND BOCIUS, Suite 2000, One Logan b7C
Square, Philadelphia, Pennsylvania, was interviewed at his place of business in connection with a background investigation of DAVID MARGOLIS on April 23, 1998.

[redacted] advised he has known the candidate since 1980 as a colleague and as an Assistant Attorney General. He supervised MARGOLIS from June, 1988 to June, 1990 in the Criminal Division of Main Justice, Washington, D.C. [redacted] advised during the period of time he supervised MARGOLIS, he would have occasional contact with MARGOLIS during the work week.

[redacted] advised the candidate has the ability to work b6
under pressure, he is amenable to supervision and he has b7C
leadership ability. [redacted] advised the candidate is a mature and emotionally stable individual. [redacted] stated the candidate is of good moral character and reputation, he is trustworthy, honest, reliable and a person of discretion. [redacted] stated the candidate's associates are of unquestionable character and MARGOLIS is highly regarded by his colleagues and associates. [redacted] added MARGOLIS is conscientious, hard working, and is a great asset to the department. [redacted] stated the candidate is loyal to the United States and he has never heard him express any sympathy towards any foreign government or ideology. [redacted] also advised the candidate is a financially responsible person who lives within his means. He stated the candidate has never indicated any bias or prejudice towards any individual or group.

[redacted] stated to his knowledge that MARGOLIS has never been known to abuse alcohol, prescription drugs, or been involved in the use, purchase, manufacture, possession, sale, or any type of distribution of illegal drugs. [redacted] also stated he is not aware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion or compromise and/or would impact negatively on the candidate's character, reputation, judgement, discretion, trustworthiness, responsibility, or loyalty to the United States or which he believes would, should, or could have a bearing on the candidate's continued federal employment or access to classified information.

[redacted] advised he would recommend MARGOLIS for a position of trust and confidence with the United States Government.

b6
b7C

FD-263 (Rev. 4-30-85)

769

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
BICS Region I	FBIHQ	4/28/98	04/15/98 - 04/21/98

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID MARGOLIS BUDED: 04/30/98	SI [redacted]	km

b6
b7C

CHARACTER OF CASE
BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

REFERENCE:

Bureau Electronic Communication (EC) dated 02/10/98 and BICS Region I EC dated 4/16/98.

- C -

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

Current immediate supervisor, Deputy Attorney General ERIC HOLDER, advised that due to large number of reference interviews he is requested to do, he can now only provide telephonic interviews for Special Investigators. Previous Supervisor, [redacted] is no longer in the Washington, D.C. area. He now resides in Philadelphia, Pennsylvania.

b6
b7C

APPROVED VTD/MB SPECIAL AGENT IN CHARGE 4/28/98

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2 - Bureau (77L-HQ-1240842) 16
Attn: [redacted]
1 - BICS Region I (77L-HQ-1240842)
Attn: [redacted] 703-762-3771

b6
b7C

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	DOJ	[redacted]		
Request Recd.		4/17/03		
Date Fwd.	5/22/98	6/3/03		
How Fwd.				
By		TW		

Notations

3 | RH

b7E

A*
COVER PAGE

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SI [redacted] Office: BICS Region I b6 b7C
Date: April 28, 1998

Case ID #: 77L-HQ-1240842

Title: DAVID MARGOLIS

Character: BACKGROUND INVESTIGATION -
DEPARTMENT OF JUSTICE

Synopsis: Candidate interviewed, all information favorable. Employment verified. Supervisor and coworkers interviewed and recommend. Neighborhood verified. Listed references and developed references interviewed and recommend.

- C -

DETAILS:

The following investigation was conducted by Special Investigator (SI) [redacted]

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FD-302 (Rev. 10-6-95)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/22/98

DAVID MARGOLIS, the candidate, was interviewed at his office, United States Department of Justice (DOJ), 950 Pennsylvania Avenue, Washington, D.C. 20530. The candidate was advised that this interview concerned his background investigation with the DOJ. He was advised that the DOJ has requested the Federal Bureau of Investigation to conduct a five year scope background reinvestigation and that the purpose of the interview is to ensure that complete, current, and accurate information is available concerning the candidate to conduct the requested investigation. MARGOLIS was shown his Standard Form 86 (SF 86), in its entirety. Each items was reviewed individually with the candidate. He advised that his SF 86, dated January 13, 1998, is entirely correct and accurate.

MARGOLIS advised that his date of birth is December 19, 1939, in Hartford, Connecticut, and his Social Security Account Number is 047-30-3300. He has resided with his wife at [redacted] Virginia [redacted] since July, 1977. His home telephone number is [redacted] b6 b7C

In connection with a review of his SF 86, MARGOLIS advised that in the past five years he has lived at no other residence other than the residence indicated on his SF 86.

MARGOLIS stated that he was married to [redacted] May 25, 1969, West Hartford, Connecticut. There are two children born of this marriage.

The candidate advised that his father and mother are deceased.

MARGOLIS stated that in the past five years, he has not abused alcoholic beverages. He considers himself to be a very moderate drinker, at the most, consuming a glass or two of wine at dinner, or an occasional beer once or twice a week.

The candidate stated that in the last five years, he has not used any controlled substance or abused prescription drugs or been involved in the illegal purchase, manufacture,

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Investigation on 04/15/98 at Washington, D.C.

File # 77L-HQ-1240842 Date dictated 04/20/98

by SI [redacted] km b6 b7C

1a

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trafficking, production, transfer, shipping, receiving or sale of any controlled substance. MARGOLIS reported that he has never used a controlled substance or abused prescription drugs while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety.

The candidate reported that in the last five years, he, except for marital, family or grief counseling, has not consulted with a mental health professional (e.g. psychiatrist, psychologist, counselor) or another health care provider about a mental health problem.

MARGOLIS stated that to the best of his knowledge, as an individual, and/or employer, he is current on all Federal, State, and local tax obligations (this includes but is not limited to, income taxes, Medicare taxes, Social Security taxes, Employee Withholding taxes, "Nanny Taxes," and Unemployment taxes), and he has never made back payment of any such tax.

The candidate stated that in the past five years he has no personal or business credit issues including but not limited to repossessions, delinquent student loans, debts placed for collection or bankruptcy. In the past five years, he has not filed for bankruptcy, has not had any property repossessed, and has not had any credit accounts or loans become delinquent or placed for collection. In the past five years, he has not been a party to any type of civil suit.

The candidate stated that in the last five years, he has not been the subject of any professional complaints, or any nonjudicial disciplinary action, e.g. Bar Association grievances, Better Business complaints, student or military disciplinary proceedings, Equal Employment Opportunity complaints, et cetera. The candidate reported that he is only licensed before the Connecticut State Bar.

The candidate stated that in the last five years, he has not been involved in any business or investment circumstances that could involve, or that has involved, conflict of interest allegations.

The candidate advised that he is unaware of any activity or conduct in his background, regardless of when that activity or conduct occurred, which could be used in any way to subject him to influence, pressure, coercion, or compromise, and/or impact adversely on his character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty to the United States, and/or which he believed would, could, or should affect his continued Federal employment and/or access to classified information.

EMPLOYMENT

United States Department of Justice
Office of the Deputy Attorney General
950 Pennsylvania Avenue
Washington, D.C. 20530
May, 1993 - Present

On April 21, 1998, ERIC HOLDER, JR., Deputy Attorney General, was interviewed telephonically at his request. HOLDER reported that he is the candidate's immediate supervisor but he has known the candidate for almost twenty years as a colleague and friend. HOLDER described the candidate as possibly the finest attorney and public servant he has ever worked with in his career at the Department of Justice (DOJ).

In the opinion of HOLDER, there is not a finer attorney to be found. He has all of the professional skills needed to do the job, but more than this he is dedicated to serving the public and his career accomplishment have amply demonstrated that he has been entirely successful. According to HOLDER, the candidate is confident, hard working, loyal, honest, trustworthy, and extremely reliable. The candidate is also one of the nicest human beings a person could hope to meet. He is a dedicated parent, husband, and member of the community, and citizen.

HOLDER reported that it would take pages to annotate all of the candidate's accomplishments and accolades. HOLDER added that you would not fine anyone who will describe the candidate any differently. Even those who have opposed him in court cases have tremendous respect and admiration for the candidate.

HOLDER advised that he did not observe any conduct or activity that would reflect adversely on his character. HOLDER reported that all of the candidate's associates and friends appear to be people of reputable character and values. HOLDER reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. HOLDER stated that there were no indications that the candidate was not loyal to the United States. HOLDER stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. HOLDER had no knowledge of the candidate's specific financial

condition; however, there were no indications of any credit or debt problems.

In the past five years, HOLDER has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs.

In the past five years, HOLDER has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

HOLDER is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, HOLDER has not known the candidate to abuse alcohol or prescription drugs.

HOLDER is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

HOLDER highly recommended the candidate for a position of trust and responsibility with the United States Government.

On April 15, 1998, [redacted] date of birth (DOB) [redacted] was interviewed at his office, above address. [redacted] reported that he has known the candidate as a coworker for four years. b6 b7C

[redacted] reported that he has frequent office social contact (e.g. official social functions, holiday parties, et cetera) and has also had outside social contact with the candidate. [redacted] has been to the candidate's home and met his wife and children.

[redacted] described the candidate as a very intelligent person who was an outstanding attorney. [redacted] indicated that the candidate has been a mentor and a good friend. The candidate, according to [redacted] is the epitome of what a true public servant should be. He is dedicated beyond all expectations and is the most honest and trustworthy person [redacted] has ever met. He gets along extremely well with supervisors, subordinates, and coworkers, and has a very good sense of humor. He is serious and hard working on the job, but knows how not to take life to seriously. [redacted] stated that the candidate is totally reliable. b6 b7C

For leisure activities, the candidate enjoys reading, watching baseball, and spending time with his family. [redacted] added that the candidate is also a model husband and father. The candidate is in good health after his recovery from a heart attack and emotionally stable. [redacted] considers the candidate to be a well-rounded individual who is liked and respected by coworkers and friends.

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems. b6 b7C

In the four years, [redacted] has known the candidate, he is not aware of the candidate illegally using any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs.

In the past four years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another. b6 b7C

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. During the time [redacted] has known the candidate, he was not aware of the candidate abusing alcohol or prescription drugs. b6 b7C

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government. b6 b7C

On April 16, 1998, [redacted] DOB [redacted] Attorney, DOJ, above address, was interviewed. [redacted] has known the candidate as a coworker since 1993.

[redacted] is both a professional and social friend of the candidate, has been to his home and knows the candidate's family. [redacted] described the candidate as an individual who is well liked and respected for his outstanding, professional abilities, and his very impressive interpersonal skills. The candidate is easy to work with, has a very outgoing and pleasant personality and is a very sincere, honest, and trustworthy person.

According to [redacted] he is always willing to help others, is a dedicated attorney as well as an asset to the office. [redacted] commented that the candidate is probably one of the most highly respected employees in the entire Justice Department. He is well known for his dedication to duty. [redacted] advised that the candidate teaches "ethics" classes to other DOJ attorneys. The candidate is a very dedicated father and husband who considers his family to be the center of his life. However, any time not spent with his family is usually dedicated to the DOJ. He is in his office almost every Saturday finishing up his week's work and preparing for the next week. [redacted] stated that the candidate is in good physical health and actually in better shape after recovering from a heart attack several years ago. [redacted] described the candidate as the ideal employee and stated that if the Government had more employees like the candidate, "we would virtually have no problems." b6 b7C

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems. b6 b7C

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs. b6 b7C

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another. b6 b7C

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs. b6 b7C

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government. b6 b7C

On April 17, 1998, [redacted], DOB [redacted] Assistant United States Attorney, Chief Homicide Division, was interviewed at his office, United States Attorney's Office, 555 4th Street, NW, Washington, D.C. 20005. [redacted] has known the candidate for approximately ten years. [redacted] was the candidate's immediate supervisor from 1990 to 1993. [redacted] is both a professional and social friend of the candidate, has been to his home, and knows the candidate's family. b6 b7C

[redacted] described the candidate as one of the finest attorneys in the Government. He is extremely intelligent, an excellent leader, and team player, and the type of person others rely on for assistance. b6 b7C

[redacted] commented that the candidate has received calls from the Federal Bureau of Investigation Director and Attorney General asking for his advice on various issues. He is highly respected in the Justice Department and has a reputation for being a problem solver. The candidate possesses exceptionally good judgment and common sense. His hallmark is his honesty and integrity. The candidate is easy to work with, has a very outgoing and pleasant personality and is a very sincere, honest, and trustworthy person.

According to [redacted], he has the ability to get along with anyone and [redacted] has never met anyone who had anything derogatory or negative to say about the candidate. He is well known for his dedication to duty. The candidate is a very dedicated father and husband. b6 b7C

[redacted] stated that the candidate is in good physical health and actually in better shape after recovering from a heart attack several years ago. He is emotionally stable.

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial b6 b7C

condition; however, there were no indications of any credit or debt problems.

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs. b6 b7C

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another. b6 b7C

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs.

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information. b6 b7C

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government.

RESIDENCE

[redacted] b6 b7C

July, 1977 - Present

On April 13, 1998, [redacted] date of birth (DOB) [redacted] retired Assistant United States Attorney, was interviewed at his residence, [redacted] Virginia [redacted] has known the candidate as a friend and former coworker for twenty seven years. b6 b7C

[redacted] and the candidate were in the same car pool for twelve years. [redacted] has been to the candidate's home many times for dinner and social functions; he has met the candidate's wife and children. [redacted] reported that as long as he has known the candidate he has had an excellent reputation in his neighborhood and has not been the cause of any problems or disturbances. [redacted] described the candidate as an outstanding person and not the type that would ever cause any problems in the neighborhood. He is a good member of the community. The candidate is an avid reader, enjoys working with computers, and going to dinner with friends.

The candidate is very dedicated to his wife and children. [redacted] considers the candidate an excellent attorney. [redacted] stated that the candidate is professional at work but fun to be around in a social environment. He is dependable and reliable as a friend and the type of person you can trust to keep his word. The candidate had a heart attack several years but has recovered completely. He quit smoking and is probably in better shape than he was before the heart attack. b6 b7C

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial

condition; however, there were no indications of any credit or debt problems.

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs. b6 b7C

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs.

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government. b6 b7C

On April 13, 1998, [redacted] DOB [redacted] was interviewed at his residence [redacted] Virginia [redacted] has known the candidate as a neighbor for seven years.

[redacted] has been to the candidate's home many times for dinner and social functions; he has met the candidate's wife and children. [redacted] reported that as long as he has known the candidate he has had an excellent reputation in his neighborhood and has not been the cause of any problems or disturbances.

[redacted] described the candidate as an outstanding person and not the type that would ever cause any problems in the neighborhood. He is a good member of the community. The candidate enjoys working with computers, and going to dinner with friends, and is an avid Yankees fan. The candidate is very dedicated to his wife and children. [redacted] considers the candidate an excellent neighbor. b6 b7C

[redacted] advised that in the past five years, he has not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another. b6 b7C

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs.

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character,

reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government. b6 b7C

On April 13, 1998, [redacted] DOB [redacted] was interviewed at her residence, [redacted] Virginia [redacted] has known the candidate as a neighbor since 1982.

She is well acquainted with both the candidate and his wife and watched the children grow up. [redacted] has been to the candidate's home many times for dinner and social functions and vice versa. [redacted] reported that as long as she has known the candidate he has had an excellent reputation in his neighborhood and has not been the cause of any problems or disturbances.

[redacted] described the candidate as an outstanding person and not the type that would ever cause any problems in the neighborhood. He is a good member of the community. The candidate has a very outgoing personality, is fun to be around, and is one of the most reliable friends anyone could hope to have. [redacted] considers the candidate an excellent neighbor.

[redacted] advised that during the time she has known the candidate, she has not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that she is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems. b6 b7C

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et

cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another. b6 b7C

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs.

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which she believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government.

LISTED REFERENCES

On March 15, 1998, [redacted] date of birth (DOB) [redacted] was interviewed at his residence, [redacted] Virginia 22031. [redacted] advised that he has known the candidate for twenty years. b6 b7C

They both worked together at the Justice Department and are social friends. [redacted] now sees the candidate several times a month. [redacted] has met the candidate's family and been in their home many times. [redacted] described the candidate as being one of the nicest, most sincere, trustworthy, reliable, and responsible people a person could meet.

[redacted] advised that the candidate takes his personal and professional responsibilities very seriously. The candidate is a well-rounded person who likes music, reading, art, movies, plays, and going to dinner with friends for leisure activities. He is in good physical and emotional health. He is an excellent attorney and is [redacted] opinion one of the finest attorneys to ever work for the Department of Justice.

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems. b6 b7C

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture,

trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs. b6 b7C

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government.

On March 16, 1998, [redacted] Assistant United States Attorney, was interviewed at his office, 1400 New York Avenue, NW, Washington, D.C. 20005. [redacted] advised that he has known the candidate for twenty nine years.

[redacted] has had both professional and social contact with the candidate. [redacted] reported that he worked on numerous cases with the candidate and had the opportunity to observe both his professional and interpersonal skills. b6 b7C

[redacted] described the candidate as a very easy going, lad back individual, who has an excellent sense of humor and is the type of person who gets along with everyone. [redacted] stated that the candidate is trustworthy, reliable, and responsible. The candidate enjoys reading, going to dinner with friends, spending time with his wife and children, and he is an avid ELVIS PRESLEY fan. Although he had a heart attack several years ago, he is now in good physical and emotional health. He is an excellent attorney and is well liked and respected by coworkers and supervisors.

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted]

reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems. b6 b7C

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenics (LSD, PCP, et cetera), or prescription drugs.

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another. b6 b7C

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs.

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government.

DEVELOPED REFERENCES

On March 16, 1998, [redacted] date of birth (DOB) [redacted] Operations, FANNIE MAE FOUNDATION, was interviewed at his office, 4000 Wisconsin Avenue, NW, North Tower, Suite 1, Washington, D.C. 20016. [redacted] advised that he has known the candidate for twenty years. b6 b7C

[redacted] has had both professional and social contact with the candidate. [redacted] advised that the candidate is the model employee. He has had a very impressive career and is well known and highly respected in the legal professional.

The candidate was largely responsible for the break up of the Mafia. He thoroughly enjoys his work and can usually be found in the office every weekend. [redacted] commented that although he does spend a lot of time at work, the candidate is still a well-rounded person who knows how to enjoy life. He is a very dedicated father and husband. The candidate enjoys reading, watching sports, and spending time with his family.

[redacted] reported that the candidate has worked on numerous cases with the candidate and had the opportunity to observe both his professional and interpersonal skills. b6 b7C

[redacted] stated that the candidate is an excellent attorney, an upright and loyal citizen, and a genuinely nice person. He has a very good sense of humor and is the type of person who gets along with everyone. Since his heart attack two years ago, the candidate is very careful about his health and takes good care of himself in terms of diet and exercise. He is an excellent attorney and is well liked and respected by coworkers and supervisors.

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial

condition; however, there were no indications of any credit or debt problems.

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs. b6 b7C

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs.

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise, and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information. b6 b7C

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government.

On March 16, 1998, [redacted] DOB [redacted] [redacted] was interviewed at his office, ARNOLD AND PORTER, 555 12th Street, NW, Washington, D.C. 20530. [redacted] advised that he has known the candidate for twenty years.

They worked together at the Department of Justice and saw each other on a daily basis. [redacted] now sees or talks to the candidate at least once a week. [redacted] has had both professional and social contact with the candidate. [redacted] advised that the candidate is one of the most well known and highly respected

attorneys in the Justice Department. He is also highly respected in the outside legal community. He is thorough, precise, logical, and demonstrates common sense and good judgment in all of his work. [redacted] advised that the candidate is a well-rounded person. The candidate spends time with his family and enjoys watching baseball games. He is a very dedicated father and husband. He has a very good sense of humor and is the type of person who gets along with everyone. b6 b7C

[redacted] advised that he did not observe any conduct or activity that would reflect adversely on his character. [redacted] reported that all of the candidate's associates and friends appear to be people of reputable character and values. [redacted] reported that he is unaware of any derogatory information concerning the candidate's past conduct or reputation. [redacted] stated that there were no indications that the candidate was not loyal to the United States. [redacted] stated that the candidate routinely demonstrated that he was competent and had excellent work habits and professional abilities. The candidate did not display any prejudice or bias toward any group or individual. [redacted] had no knowledge of the candidate's specific financial condition; however, there were no indications of any credit or debt problems.

In the past five years, [redacted] has not known the candidate to illegally use any controlled substances, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroine, et cetera) amphetamines, depressants (barbiturates, methaqualone, tranquilizers, et cetera), hallucinogenic (LSD, PCP, et cetera), or prescription drugs. b6 b7C

In the past five years, [redacted] has not known the candidate to be involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for the candidate's own intended profit or that of another.

[redacted] is not aware of the candidate ever using a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official, while possessing a security clearance; or while in a position directly and immediately affecting the public safety. In the past five years, [redacted] has not known the candidate to abuse alcohol or prescription drugs.

[redacted] is unaware of any activity or conduct in the candidate's background which could be used in any way to subject the candidate to influence, pressure, coercion, or compromise,

and/or would impact negatively on the candidate's character, reputation, judgment, discretion, trustworthiness, responsibility, or loyalty, or which he believes could have a bearing on the candidate's suitability for Federal employment or access to classified information.

[redacted] highly recommended the candidate for a position of trust and responsibility with the United States Government. b6 b7C

U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535

May 21, 1998

DAVID MARGOLIS
BACKGROUND INVESTIGATION
DEPARTMENT OF JUSTICE

Agency Checks

On January 26, 1998, records of the Office of Personnel Management were checked and found to contain no pertinent information concerning candidate.

On January 22, 1998, records of the Defense Clearance and Investigations Index were checked and found to contain no record concerning candidate.

Searches of the various indices of the FBI, including but not limited to the central index maintained at FBI Headquarters, the index of the Criminal Justice Information Services Division (Mr. Margolis by fingerprints and other known identifying data; Mr. Margolis' close relatives by name and other known identifying data only), the indices of appropriate field offices and other appropriate computer data bases, did not identify any documents that contain pertinent information identifiable with him or his close relatives,

> Candidate was the subject* of a prior applicant-type FBI background investigation completed in February, 1993, in connection with his employment with the Department of Justice.

It should be noted that results of the above indices searches reveal only data entered into those indices as of the date each was searched. However, it should also be noted that some delays may occur as to the entry of such data.

Dep. Dir. ____
Chief of Staff ____
Off. of Gen. Counsel ____
Asst. Dir.: ____
Crim. Inv. ____
CJIS ____
Finance ____
Info. Res. ____
Insp. ____
Lab. ____
National Sec. ____
Personnel ____
Training ____
Off. of EEOA ____
Off. of Public & Cong. Affairs ____
Director's Office ____

CC [redacted] b7E
6/3/03 RR 4/17/03 TW

Delivered by Courier to DOJ on 5/22/98

77L-HQ-1240842-17

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

David Margolis

Credit Check

A search of computerized credit records of Trans Union and Equifax Credit Information Services, conducted at FBI Headquarters on February 17, 1998, revealed no pertinent information concerning candidate.

REQUEST FOR CREDIT CHECK

DATE 01/22/98

TO: CONTRACTOR
FROM: SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)

ATTENTION: [redacted] ROOM 4383 EXT. b6 b7C

RESPONSE CRITERIA	TYPE OF REQUEST
5 WORK DAYS	SUITABILITY

SUBJECT'S NAME: MARGOLIS,DAVID MAIDEN:

DATE OF BIRTH (DOB): 12/18/39 PLACE OF BIRTH (POB): HARTFORD,CT

SEX: M SOCIAL SECURITY ACCOUNT NUMBER (SSAN): 047-30-3300

SPOUSE'S NAME: [redacted] MAIDEN: [redacted] b6 b7C
AKA:

SUBJECT'S CURRENT ADDRESS: [redacted]

SUBJECT'S ADDRESS(ES) FOR LAST SEVEN (7) YEARS: [redacted]

ENCLOSURE - RELEASE FORM TO BE ATTACHED

cc to DOJ

3/pH

Memorandum

76957

PRIVACY ACT PROTECTED INFORMATION

Subject	Date
Request for Investigation/Reinvestigation	January 15, 1998

To

[redacted]
Chief
Special Inquiry and Background
Investigation Unit
Federal Bureau of Investigation

From

[redacted]
Department Security Officer
Department of Justice

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The purpose of this memorandum is to request an investigation as indicated indicated below:

INVESTIGATION COST CODE: _____

PSS: [redacted]
RECEIVED: JAN 20 1998
ASSIGNED: 1-22
OPENED: 2-16
BUDED: 4-30
PCD: 5-19

NAME: David Margolis

SSAN: 047-30-3300

DATE OF BIRTH: 12-18-39

PLACE OF BIRTH: Hartford, CT

SELECT ONE: EMPLOYEE: XX APPLICANT: _____

POSITION: Associate Deputy Attorney General

ORGANIZATION: Office of the Deputy Attorney General

TYPE OF POSITION: SENSITIVE: XX NON-SENSITIVE: _____

SCOPE OF INVESTIGATION REQUIRED:

3 Year: _____	5 YEAR: X	10 YEAR: _____	10 YEAR: _____
(PRIR)	(SSBI-PR)	(SSBI/INITIAL)	(SSBI/REINVESTIGATION)

X **Please institute a name check of the above named subject whose security forms are attached.**

_____ **Please discontinue the character investigation regarding the above named subject and we request that all security forms be returned to this office.**

Upon completion of the investigation, request that copies of the investigation reports be forwarded to this office. The required forms for the investigation are attached and should you have any questions regarding this request, please contact [redacted] Security Specialist, Personnel Security Group, at (202) 514-2325.

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COMMENTS (if any):

cc: 1 - Security File

77J-97035

77L

PRIVACY ACT PROTECTED INFORMATION

Fingerprints attached

********* EQUIFAX ACROFILE PLUS CREDIT REPORT W/ON-LINE DIRECTORY (DF) *********
* BEARAK REPORTS / FBI CONTRACTING UNIT *
*
********** Dept: SPIN / Queued by: SUPERVISOR **********

DATE:02-17-1998 TIME:13:23:00 SUBJECT ID:Look

NM-MARGOLIS,DAVID,,,.
CA-[redacted]. b6
ID-SSS-047-30-3300,BDS-12/18/39. b7C

* 007 EQUIFAX CREDIT INFORMATION SERVICES, P O BOX 740241,
1150 LAKE HEARN DRIVE STE 460,ATLANTA,GA,30374-0241,800/685-1111

*MARGOLIS,DAVID SINCE 10/22/77 FAD 04/01/97 FN-320
[redacted]
07,HOPE,ST,STAMFORD,CT,DAT RPTD 09/86
100 07,HOPE,,STANFORD,CT,DAT RPTD 10/84
BDS-12/18/36,SSS-047-30-3300
01 ES-,US DEPT OF JUSTICE

*SUM-12/69-01/98,PR/OI-NO,COLL-NO,FB-NO, ACCTS:13,HC$0-58500, 12-ONES, 1-OTHER.

FIRM / IDENT CODE ECOA/ACCOUNT NUMBER	CS	RPTD OPND	LIMIT P/DUE	HICR TERM	BAL $	DLA MR (30-60-90+)MAX/DEL 24 MONTH HISTORY
[redacted]						11/97 99 b6 b7C
						12/97 08
						01/98 99
						08/97 71
						12/97 99
						04/97 76
						10/97 99

&

END OF REPORT EQUIFAX AND AFFILIATES - 02/17/98

*** END OF REPORT ID: 02-17-1998/13:23:00 (ORN: 670) [B-0/F-0/P-0/O-0] ***

********** TRANS UNION CONSUMER CREDIT REPORT WITH LOOK **********
* BEARAK REPORTS / FBI CONTRACTING UNIT *
* *
********** Dept: SPIN / Queued by: SUPERVISOR **********

DATE:02-13-1998 TIME:12:20:01 SUBJECT ID:Look

ARPT0001000Look 14BTZ Y I09N AN00 L

TRANS UNION PEER REPORT FOR:
US DEPT OF JUSTICE
Z BT0004252 BUREAU: 17 NV

USER REF: LOOK
DATE REPORT PRINTED: 02/13/1998
CENTRAL STANDARD TIME: 11:22
IN OUR FILES SINCE: 01/1984

SUBJECT NAME:
MARGOLIS, DAVID L.

SOCIAL SECURITY NUMBER: 047-30-3300
PHONE: 476-4188

CURRENT ADDRESS REPORTED 08/1993:
[redacted]

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CREDIT INFORMATION

SPECIAL MESSAGES:

TRANS-ALERT: CURRENT INPUT ZIP CODE INVALID

THE FOLLOWING CREDIT SUMMARY REPRESENTS THE SUBJECT'S TOTAL FILE HISTORY

PUBLIC RECORDS:	0	CURRENT NEGATIVE ACCTS:	0	REVOLVING ACCTS:	12
COLLECTIONS:	0	PREVIOUS NEGATIVE ACCTS:	0	INSTALLMENT ACCTS:	4
TRADE ACCTS:	21	PREVIOUS TIMES NEGATIVE:	0	MORTGAGE ACCTS:	3
CREDIT INQUIRIES:	0	EMPLOYMENT INQUIRIES:	1	OPEN ACCTS:	2

	HIGH CRED	CRED LIMIT	BALANCE	PAST DUE	MNTHLY	AVAIL
REVOLVING:	$19.2K	$45.1K	$5059	$0	$135	89%
OPEN:	$469	$	$0	$0		100%
MORTGAGE:	$116K	$	$35.0K	$0	$630	
TOTALS:	$135K	$45.1K	$40.0K	$0	$765	

THE FOLLOWING ACCOUNT INFORMATION IS PRINTED IN ORDER BY MOST NEGATIVE MANNER OF PAYMENT (MOP) AND DATE MOST RECENTLY UPDATED.

[redacted]

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THE FOLLOWING COMPANIES HAVE REQUESTED THE SUBJECT'S FILE FOR EMPLOYMENT USE:

DATE	SUBCODE	SUBSCRIBER NAME
02/13/1998	Z 4252	US DEPT OF JUSTICE 935 PENNSYLVANIA A, ROOM WASHINGTON, DC 20535

END OF PEER REPORT - SERVICED BY:

TRANS UNION CORPORATION
760 W. SPROUL ROAD, PO BOX 390
SPRINGFIELD, PA 19064-0390
800-888-4213

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A SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORTING ACT

THE FEDERAL FAIR CREDIT REPORTING ACT (FCRA) IS DESIGNED TO PROMOTE ACCURACY, FAIRNESS, AND PRIVACY OF INFORMATION IN THE FILES OF EVERY "CONSUMER REPORTING AGENCY" (CRA). MOST CRA'S ARE CREDIT BUREAUS THAT GATHER AND SELL INFORMATION ABOUT YOU -- SUCH AS IF YOU PAY YOUR BILLS ON TIME OR HAVE FILED BANKRUPTCY -- TO CREDITORS, EMPLOYERS, LANDLORDS, AND OTHER BUSINESSES. YOU CAN FIND THE COMPLETE TEXT OF THE FCRA, 15 U.S.C $$1681-1681U, AT THE FEDERAL TRADE COMMISSION'S WEB SITE (HTTP://WWW.FTC.GOV). THE FCRA GIVES YOU SPECIFIC RIGHTS, AS OUTLINED BELOW. YOU MAY HAVE ADDITIONAL RIGHTS UNDER STATE LAW. YOU MAY CONTACT A STATE OR LOCAL CONSUMER PROTECTION AGENCY OR A STATE ATTORNEY GENERAL TO LEARN THOSE RIGHTS.

- YOU MUST BE TOLD IF INFORMATION IN YOUR FILE HAS BEEN USED AGAINST YOU. ANYONE WHO USES INFORMATION FROM A CRA TO TAKE ACTION AGAINST YOU -- SUCH AS DENYING AN APPLICATION FOR CREDIT, INSURANCE, OR EMPLOYMENT -- MUST TELL YOU, AND GIVE YOU THE NAME, ADDRESS, AND PHONE NUMBER OF THE CRA THAT PROVIDED THE CONSUMER REPORT.

- YOU CAN FIND OUT WHAT IS IN YOUR FILE. AT YOUR REQUEST, A CRA MUST GIVE YOU THE INFORMATION IN YOUR FILE, AND A LIST OF EVERYONE WHO HAS REQUESTED IT

RECENTLY. THERE IS NO CHARGE FOR THE REPORT IF A PERSON HAS TAKEN ACTION AGAINST YOU BECAUSE OF INFORMATION SUPPLIED BY THE CRA. IF YOU REQUEST THE REPORT WITHIN 60 DAYS OF RECEIVING NOTICE OF THE ACTION. YOU ALSO ARE ENTITLED TO ONE FREE REPORT EVERY TWELVE MONTHS UPON REQUEST IF YOU CERTIFY THAT (1) YOU ARE UNEMPLOYED AND PLAN TO SEEK EMPLOYMENT WITHIN 60 DAYS, (2) YOU ARE ON WELFARE, OR (3) YOUR REPORT IS INACCURATE DUE TO FRAUD. OTHERWISE, A CRA MAY CHARGE YOU UP TO EIGHT DOLLARS.

- YOU CAN DISPUTE INACCURATE INFORMATION WITH THE CRA. IF YOU TELL A CRA THAT YOUR FILE CONTAINS INACCURATE INFORMATION, THE CRA MUST INVESTIGATE THE ITEMS (USUALLY WITHIN 30 DAYS) BY PRESENTING TO ITS INFORMATION SOURCE ALL RELEVANT EVIDENCE YOU SUBMIT, UNLESS YOUR DISPUTE IS FRIVOLOUS. THE SOURCE MUST REVIEW YOUR EVIDENCE AND REPORT ITS FINDINGS TO THE CRA. (THE SOURCE ALSO MUST ADVISE NATIONAL CRA'S -- TO WHICH IT HAS PROVIDED THE DATA -- OF ANY ERROR.) THE CRA MUST GIVE YOU A WRITTEN REPORT OF THE INVESTIGATION, AND A COPY OF YOUR REPORT IF THE INVESTIGATION RESULTS IN ANY CHANGE. IF THE CRA'S INVESTIGATION DOES NOT RESOLVE THE DISPUTE, YOU MAY ADD A BRIEF STATEMENT IN FUTURE REPORTS. IF AN ITEM IS DELETED OR A DISPUTE STATEMENT IS FILED, YOU MAY ASK THAT ANYONE WHO HAS RECENTLY RECEIVED YOUR REPORT BE NOTIFIED OF THE CHANGE.

- INACCURATE INFORMATION MUST BE CORRECTED OR DELETED. A CRA MUST REMOVE OR CORRECT INACCURATE OR UNVERIFIED INFORMATION FROM ITS FILES, USUALLY WITHIN 30 DAYS AFTER YOU DISPUTE IT. HOWEVER, THE CRA IS NOT REQUIRED TO REMOVE ACCURATE DATA FROM YOUR FILE UNLESS IT IS OUTDATED (AS DESCRIBED BELOW) OR CANNOT BE VERIFIED. IF YOUR DISPUTE RESULTS IN ANY CHANGE TO YOUR REPORT, THE CRA CANNOT REINSERT INTO YOUR FILE A DISPUTED ITEM UNLESS THE INFORMATION SOURCE VERIFIES ITS ACCURACY AND COMPLETENESS. IN ADDITION, THE CRA MUST GIVE YOU A WRITTEN NOTICE TELLING YOU IT HAS REINSERTED THE ITEM. THE NOTICE MUST INCLUDE THE NAME, ADDRESS AND PHONE NUMBER OF THE INFORMATION SOURCE.

- YOU CAN DISPUTE INACCURATE ITEMS WITH THE SOURCE OF THE INFORMATION. IF YOU TELL ANYONE -- SUCH AS A CREDITOR WHO REPORTS TO A CRA -- THAT YOU DISPUTE AN ITEM THEY MAY NOT THEN REPORT THE INFORMATION TO A CRA WITHOUT INCLUDING A NOTICE OF YOUR DISPUTE. IN ADDITION, ONCE YOU'VE NOTIFIED THE SOURCE OF THE ERROR IN WRITING, IT MAY NOT CONTINUE TO REPORT THE INFORMATION IF IT IS, IN FACT, AN ERROR.

- OUTDATED INFORMATION MAY NOT BE REPORTED. IN MOST CASES, A CRA MAY NOT REPORT NEGATIVE INFORMATION THAT IS MORE THAN SEVEN YEARS OLD; TEN YEARS FOR BANKRUPTCIES.

- ACCESS TO YOUR FILE IS LIMITED. A CRA MAY PROVIDE INFORMATION ABOUT YOU ONLY TO PEOPLE WITH A NEED RECOGNIZED BY THE FCRA -- USUALLY TO CONSIDER AN APPLICATION WITH A CREDITOR, INSURER, EMPLOYER, LANDLORD, OR OTHER BUSINESS.

- YOUR CONSENT IS REQUIRED FOR REPORTS THAT ARE PROVIDED TO EMPLOYERS, OR REPORTS THAT CONTAIN MEDICAL INFORMATION. A CRA MAY NOT GIVE OUT INFORMATION ABOUT YOU TO YOUR EMPLOYER, OR PROSPECTIVE EMPLOYER, WITHOUT YOUR WRITTEN CONSENT. A CRA MAY NOT REPORT MEDICAL INFORMATION ABOUT YOU TO CREDITORS, INSURERS, OR EMPLOYERS WITHOUT YOUR PERMISSION.

- YOU MAY CHOOSE TO EXCLUDE YOUR NAME FROM CRA LISTS FOR UNSOLICITED CREDIT AND INSURANCE OFFERS. CREDITORS AND INSURERS MAY USE FILE INFORMATION AS THE BASIS FOR SENDING YOU UNSOLICITED OFFERS OF CREDIT OR INSURANCE. SUCH OFFERS MUST INCLUDE A TOLL-FREE PHONE NUMBER FOR YOU TO CALL IF YOU WANT YOUR NAME AND ADDRESS REMOVED FROM FURTURE LISTS. IF YOU CALL, YOU MUST BE KEPT OFF THE LISTS FOR TWO YEARS. IF YOU REQUEST, COMPLETE, AND RETURN THE CRA FORM PROVIDED FOR THIS PURPOSE, YOU MUST BE TAKEN OFF THE LISTS INDEFINITELY.

- YOU MAY SEEK DAMAGES FROM VIOLATORS. IF A CRA, A USER OR (IN SOME CASES) A PROVIDER OF CRA DATA, VIOLATES THE FCRA, YOU MAY SUE THEM IN STATE OR FEDERAL COURT.

THE FCRA GIVES SEVERAL DIFFERENT FEDERAL AGENCIES AUTHORITY TO ENFORCE THE FCRA:

FOR QUESTIONS OR CONCERNS REGARDING:	PLEASE CONTACT:
CRA'S CREDITORS AND OTHERS NOT LISTED BELOW	FEDERAL TRADE COMMISSION CONSUMER RESPONSE CENTER - FCRA WASHINGTON, DC 20580 202-326-3761
NATIONAL BANKS, FEDERAL BRANCHES / AGENCIES OF FOREIGN BANKS (WORD "NATIONAL" OR INITIALS "N.A." APPEAR IN OR AFTER BANK'S NAME)	OFFICE OF THE COMPTROLLER OF THE CURRENCY COMPLIANCE MANAGEMENT, MAIL STOP 6-6 WASHINGTON, DC 20219 800-613-6743
FEDERAL RESERVE SYSTEM MEMBER BANKS (EXCEPT NATIONAL BANKS, AND FEDERAL BRANCHES / AGENCIES OF FOREIGN BANKS)	FEDERAL RESERVE BOARD DIVISION OF COMSUMER & COMMUNITY AFFAIRS WASHINGTON, DC 20551 202-452-3693
SAVINGS ASSOCIATIONS AND FEDERALLY CHARTERED SAVINGS BANKS (WORD "FEDERAL" OR INITIALS "F.S.B." APPEAR IN FEDERAL INSTITUTION'S	OFFICE OF THRIFT SUPERVISION CONSUMER PROGRAMS WASHINGTON, DC 20552 800-842-6929
FEDERAL CREDIT UNIONS (WORDS "FEDERAL CREDIT UNION" APPEAR IN INSTITUTION'S NAME)	NATIONAL CREDIT UNION ADMINISTRATION 1775 DUKE STREET ALEXANDRIA, VA 22314 703-518-6360
STATE-CHARTERED BANKS THAT ARE NOT MEMBERS OF THE FEDERAL RESERVE SYSTEM	FEDERAL DEPOSIT INSURANCE CORPORATION DIVISION OF COMPLIANCE & CONSUMER AFFAIRS WASHINGTON, DC 20429 800-934-FDIC
AIR, SURFACE, OR RAIL COMMON CARRIERS REGULATED BY FORMER CIVIL AERONAUTICS BOARD OR INTERSTATE	DEPARTMENT OF TRANSPORTATION OFFICE OF FINANCIAL MANAGEMENT WASHINGTON, DC 20590 202-366-1306
ACTIVITIES SUBJECT TO THE PACKERS AND STOCKYARDS ACT, 1921	DEPARTMENT OF AGRICULTURE OFFICE OF DEPUTY ADMINISTRATOR - GIPSA WASHINGTON, DC 20250 202-720-7051

APER0000LOOK 14BTZ 0000425200000100AP17NV MARGOLIS DAVI
GO

*** END OF REPORT ID: 02-13-1998/12:20:01 (ORN: 670) [B-0/F-0/P-0/O-0] ***

Sent

FROM: SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS UNIT, DIV 3, RM
EXT: ATTN: [redacted] b6 b7C

SUBJECT: DAVID MARGOLIS BUDED: 04/30/98

BUREAU FILE NUMBER: 077L-*

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS UNIT, RM 4371 VIA ROUTING SLIP MARKED 'URGENT'. TRI

Please search FPC's and DOB 32 and Below

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: *DAVID MARGOLIS
DOB: 12/18/39
POB: HARTFORD, CT
SSAN: 047-30-3300
CURRENT ADDRESS: [redacted] b6 b7C
EMPLOYMENT: US DEPT. OF JUSTICE/ODAG
950 PENNSYLVANIA AVE WASHINGTON, DC 2053

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
	[redacted]	[redacted]	[redacted]
			[redacted]
			[redacted]
			[redacted] VA
			[redacted]
			[redacted] CT
			[redacted]

b6 b7C

*MARTHA MARGOLIS 06363 ✓ 06/04/08 44 Avonwood RD Avon, CT.

*UNABLE TO IDENTIFY WITH ARREST RECORD BASED ON INFORMATION FURNISHED. FBI CJIS DIVISION.

CHECK CONDUCTED BY: BR, ON 1/23

3/RH

CIVIL APPLICANT RESPONSE

PCN 973659464711 CIDN OCA
MARGOLIS,DAVID U 510 1939/12/18
MNU SOC SEX M
FPC PI PI PI PM PI PI PM PM PI PI
HEN CLASS D 32 W IIM 11
I 32 W MMI
DC0013470 DEP ATTY GEN PRT REC
WASHINGTON DC 1998/02/03
A SEARCH OF THE FINGERPRINTS ON THE ABOVE
INDIVIDUAL HAS FAILED TO DISCLOSE PRIOR ARREST DATA.
CJIS DIVISION
1998/02/03 FEDERAL BUREAU OF INVESTIGATION

DC0013470
US DEPT JUSTICE-OFFICE OF
DEPUTY ATTORNEY GENERAL
ROOM 6150-MAIN
950 PENNSYLVANIA AVE NW
WASHINGTON, DC 20530-0001

DO NOT MAIL

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S      P   P  E     C        I       A A     L
SSSS   PPPP   EE    C        I      AAAAA    L
    S  P      E     C        I      A   A    L
SSSS   P      EEEE   CCCC  IIIII   A   A    LLLLL
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SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 01/22/98 BUDED: 04/30/98

****

~~X SERVICE UNIT, ROOM 5991~~

X RETURN TO: [redacted] ROOM 4371, EXT. b6 b7C

****

SCOPE OF SEARCH: SECURE DATA INFORMATION SYSTEM

****

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

****

FR UTD , 19

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: MARGOLIS,DAVID * NR

DOB: 12/18/39
POB: HARTFORD,CT *
SSAN: 047-30-3300
LOCALITIES: VA

****

RELATIVES: FR UTD , 19

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP	NAME	DOB	LOCALITIES
MOTHER	MARTHA MARGOLIS NR	06/04/08	CT
CHILD	[redacted]	[redacted]	IL
CHILD			VA
BROTHER			CT
CURRENT SPOUSE			

NEE: [redacted]

b6 b7C

3/RH

MARGOLIS, DAVID (MN)
aka: David Margolis

12/18/39

047-30-3300
OFI 79 (FBI) 8-27-92

b6
b7C

[redacted]
aka: [redacted] MI

[redacted]
aka [redacted]
Wa DC.

[redacted]
aka [redacted]

[redacted]
aka [redacted]

[redacted]
aka: [redacted]

[redacted]
aka [redacted]

[redacted]

[redacted]
aka [redacted]

[redacted] NMN)
aka: [redacted]
CAMBODIA

[redacted] (Justic

DCII CHECK REQUEST FORM

****
** SUBJECT IDENTIFICATION **
****

PLEASE RETURN TO PSS [redacted] ROOM 4383. b6 b7C

SUBJECT: MARGOLIS, DAVID

DOB: 39/12/18

SSAN: 047-30-3300

OTHER-NAMES:

CHECK CONDUCTED BY: bR ON: 1/22

3/RH

```
*01     MARGOLIS,DAVID                    SSN=047303300  DB=391218  SB=     CB=
        *** RECORD NOT FOUND ***


PF1 = HELP                    PF4 = RNTR                                PA2 = EXT
PRINT       * 00  DEMAND = 00  DELETE = 00  CHANGE = 00  ADD * 00  DDAS * 00


4Ãý                              NUM                                  á        33
```

DCIC

WANTED & CCH FILES

FROM:

EXT: ATTN: PSS [redacted] b6 b7C

SUBJECT: DAVID MARGOLIS BUDED: 04/30/98
AKA(S):

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE RM
VIA ROUTING SLIP
MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: DAVID MARGOLIS
M DOB: 12/18/39
POB: HARTFORD, CT
SSAN: 047-30-3300
CURRENT ADDRESS: [redacted] b6 b7C
EMPLOYMENT: US DEPT. OF JUSTICE/ODAG
950 PENNSYLVANIA AVE WASHINGTON, DC 2053

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
M	MARTHA MARGOLIS	06/04/08	44 AVONWOOD RD AVON, CT
M	[redacted]	[redacted]	[redacted]
M	[redacted]		
M	[redacted]		
M M	[redacted]		

b6 b7C

CHECK CONDUCTED BY: BR, ON 1/13

3/RH

BUREAU DEADLINE: 01/30/98 BY COURIER

S P P E C I A A L
SSSS PPPP E C I AAAAA L
S P E C I A A L
SSSS P EEEE CCCC IIIII A A LLLLL

#58

SPECIAL INQUIRY & GENERAL BACKGROUND INVESTIGATIONS (SIGBIU)
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 01/22/98 BUDED: 04/30/98

****

X NAME SEARCHING UNIT, NEWINGTON ANNEX
X FORWARD TO PICKETT STREET
X FORWARD TO FILE REVIEW : RM 5931
X RETURN TO: [redacted] ROOM 4371, EXT. b6 b7C

****

SCOPE OF SEARCH: UNRESTRICTED ACTIVE/INACTIVE (ADB)

****

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

****

FR UTD , 19

SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: MARGOLIS,DAVID *

DOB: 12/18/39
POB: HARTFORD,CT *
SSAN: 047-30-3300
LOCALITIES: VA

****

RELATIVES: FR UTD , 19

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP	NAME	DOB	LOCALITIES
MOTHER	MARTHA MARGOLIS	06/04/08	CT
CHILD	[redacted]	[redacted]	IL
CHILD	[redacted]		VA
BROTHER	[redacted]		CT
CURRENT SPOUSE	[redacted] NEE: [redacted]		

b6 b7C

FD-263 (Rev. 4-30-85)

76957

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
WFO	BUREAU	5/29/98	4/29/98 - 5/28/98

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	IA [redacted]	dom

b6
b7C

CHARACTER OF CASE
BACKGROUND INVESTIGATION
DEPARTMENT OF JUSTICE

dom 5/29/98

REFERENCE: WFO report to Bureau, dated 05/20/98.

(RUC)

ADMINISTRATIVE: Buded: 4/30/98 (PAST)

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

This report is submitted past buded due to an outstanding U.S. Department of Justice, OPR inquiry.

APPROVED [signature] SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2-Bureau (77L-HQ-1240842)
Attn: [redacted] SIGBIU
Room 4371
1-WFO (77L-HQ-1240842)

b6
b7C

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	DOJ	[redacted]		
Request Recd.		4/17/63		
Date Fwd.	6/3/98	6/3/63		
How Fwd.		[redacted]		
By	[redacted]	[redacted]		

Notations

b7E

3/RK

A*
COVER PAGE

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA [redacted] Office: WFO b6 b7C
Date: May 29, 1998

Case ID #: 77L-HQ-1240842

Title: DAVID MARGOLIS

Character: BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Agency check conducted at U.S. Department of Justice, OPR.

- RUC -

DETAILS:

AT WASHINGTON, D.C.

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WFO 77L-HQ-1240842
RLK:rlk
1

RECORD CHECK

DEPARTMENT OF JUSTICE

Office of Professional Responsibility
10th and Pennsylvania Avenue, Northwest
Washington, D.C.

On April 29, 1998, Investigative Analyst (IA) [redacted] [redacted] requested a search of the files at the above mentioned agency in an effort to locate information concerning DAVID MARGOLIS. b6 b7C

On May 18, 1998, [redacted] for the Office of Professional Responsibility (OPR) informed IA [redacted] that OPR records were located regarding DAVID MARGOLIS.

On May 28, 1998, IA [redacted] reviewed the following OPR records regarding MARGOLIS and the following pertinent information was obtained:

CASE # 88-0191

MARGOLIS, DAVID
CHIEF,
[redacted] DEPUTY CHIEF, b6
OCRS, CRIMINAL DIVISION, b7C
[redacted] - COMPLAINANT

ALLEGATION: IMPROPER REMOVAL OF STRIKE FORCE ATTORNEY [redacted] FROM MCA CASE.

On February 16, 1988, OPR opened this investigation. Letter from [redacted] dated 2/11/88, enclosing a copy of his letter to AG [redacted] dated 2/2/88, alleging that MCA used its huge economic power and influence in Washington, D.C. to obstruct/ compromise the Department's investigation by having Special Attorney [redacted] removed from the investigation of organized crime ties to the music business.

On January 10, 1998, OPR closed report to file. No evidence of improper removal of [redacted]

WFO 77L-HQ-1240842
RLK:rlk
2

CASE # 87-0064

MARGOLIS, DAVID, ET AL
CHIEF, ORGANIZED CRIME AND RACKETEERING SECTION
CRIMINAL DIVISION;
CRIMINAL REFERRAL - COMPLAINANT

ALLEGATION: POSSIBLE OBSTRUCTION OF JUSTICE

On March 6, 1987, OPR opened this investigation. Memo from JOHN C. KEENEY, dated 3/6/87, with reference to discussion of this date, forwarding copy of a "Second Report to the Court" which is filed under seal with the court in Chicago.

On December 15, 1988, OPR closed report to file. No misconduct on the part of DOJ employees.

No additional information was located in the file.

FD-263 (Rev. 4-30-85)

76957

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
WFO	BUREAU	5/20/98	4/29/98 - 5/8/98

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	IA [redacted]	dom

b6
b7C

CHARACTER OF CASE

BACKGROUND INVESTIGATION
DEPARTMENT OF JUSTICE

Dom 5/20/98

REFERENCE: WFO report to Bureau, dated 05/13/98.

(RUC)

ADMINISTRATIVE: Buded: 4/30/98 (PAST)

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

This report is submitted past buded due to an outstanding U.S. Department of Justice, OPR inquiry.

APPROVED [signature] SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

COPIES MADE:
2-Bureau (77L-HQ-1240842)
Attn: [redacted] SIGBIU
Room 4371
1-WFO (77L-HQ-1240842)

b6
b7C

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	DOJ			
Request Recd.		4/17/03		
Date Fwd.	6/3/98	6/3/03		
How Fwd.				
By				

Notations

b5/21st

b7E

3/RH

A#141

COVER PAGE

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA [redacted] Office: WFO b6 b7C
Date: May 20, 1998

Case ID #: 77L-HQ-1240842

Title: DAVID MARGOLIS

Character: BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Agency check conducted at U.S. Department of Justice, IG.

- RUC -

DETAILS:

AT WASHINGTON, D.C.

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WFO 77L-HQ-1240842
RLK:rlk
1

RECORD CHECK

DEPARTMENT OF JUSTICE

Office of the Inspector General
14th & New York Avenue, Northwest
Washington, D.C.

On April 29, 1998, Investigative Analyst (IA) [redacted] requested a search of the records at the above mentioned agency in an effort to locate information regarding DAVID MARGOLIS. b6 b7C

On May 8, 1998, [redacted] Investigative Assistant at the Office of the Inspector General, informed IA [redacted] that no identifiable record was found regarding DAVID MARGOLIS.

76957

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE	OFFICE OF ORIGIN	DATE	INVESTIGATIVE PERIOD
WFO	BUREAU	5/13/98	4/28/98 - 5/8/98

TITLE OF CASE	REPORT MADE BY	TYPED BY:
DAVID (NMN) MARGOLIS	IA [redacted]	dom

b6
b7C

CHARACTER OF CASE
BACKGROUND INVESTIGATION
DEPARTMENT OF JUSTICE

dom 5/13/98

REFERENCE: WFO report to Bureau, dated 02/10/98.

(P)

ADMINISTRATIVE: Buded: 4/30/98 (PAST)

Where appropriate, Privacy Act (e) (3) data was furnished to persons interviewed. Express promise of confidentiality, both limited and unlimited have been noted where granted.

This report is submitted pending and past buded due to an outstanding U.S. Department of Justice, OPR inquiry. (IG)

Indices searches conducted concerning candidate & relatives through ACS by PSS [redacted] on 5/21. No pertinent information was located concerning candidate & no records found regarding relatives.

b6
b7C

APPROVED	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW
	JCC	

COPIES MADE:
2-Bureau (77L-HQ-1240842)
Attn: [redacted] SIGBIU
Room 4371
1-WFO (77L-HQ-1240842)

DISSEMINATION RECORD OF ATTACHED REPORT				
Agency	DOJ	[redacted]		
Request Recd.		4/17/63		
Date Fwd.	5/22/98	6/3/63		
How Fwd.				
By		TW		

Notations

3/PH

b7E

FD-204 (Rev. 12-1-95)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: IA[redacted] Office: WFO b6 b7C
Date: May 13, 1998

Case ID #: 77L-HQ-1240842

Title: DAVID MARGOLIS

Character: BACKGROUND INVESTIGATION - DEPARTMENT OF JUSTICE

Synopsis: Agency checks conducted at D.C. Bar, United States Attorney's Office, Alexandria, Virginia and Washington, D.C., U.S. Department of Justice, OPF. Appropriate arrest checks conducted.

- P -

DETAILS:

AT WASHINGTON, D.C.

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WFO 77L-HQ-1240842
SDB:sdb
1

RECORD CHECK

BAR MEMBERSHIP
DISTRICT OF COLUMBIA BAR
1250 H Street, N.W.,
Washington, D.C.

On April 28, 1998, Investigative Analyst (IA) [redacted] contacted the District of Columbia Bar (D.C. BAR), Washington, D.C., concerning DAVID MARGOLIS, and was advised of the following: b6 b7C

On April 29, 1998, [redacted] D.C. Bar, advised IA [redacted] that a search of the files disclosed no records identifiable with the applicant.

WFO 77L-HQ-1240842
JSC:jsc
1

RECORD CHECK

UNITED STATES ATTORNEY'S OFFICE
Eastern District of Virginia
2100 Jamieson Avenue
Alexandria, Virginia

On April 28, 1998, Investigative Analyst (IA) [redacted] contacted [redacted] United States Attorney's Office (USAO), Eastern District of Virginia concerning DAVID MARGOLIS. b6 b7C

On April 29, 1998, [redacted] conducted a search of all civil and criminal indexes both pending and closed and advised the following:

A search of the promise database, which covers the period from 1985 to the present and encompasses the Alexandria, Newport News, Norfolk, and Richmond regional areas in addition to the United States Magistrate Courts, disclosed no record concerning MARGOLIS.

[redacted] further advised that an additional search of their Index Card System which covers the period prior to the year 1985, at the United States Attorney's Office, Alexandria, Virginia, also revealed no record concerning MARGOLIS.

No additional information was available regarding MARGOLIS.

WFO 77L-HQ-1240842
CET:cet
1

ARREST CHECK

UNITED STATES DEPARTMENT OF JUSTICE
Office of the United States Attorney
Judiciary Center
555 Fourth Street, N.W.,
Washington, D.C. 20001

On APRIL 28, 1998, the following investigation was initiated by Investigative Analyst (IA) [redacted] at captioned agency concerning the applicant DAVID MARGOLIS. b6 b7C

On APRIL 28, 1998 [redacted] U.S. Attorney's Office, Narcotics, Public Corruption, Economic Crime, Transnational and Major Crime Unit, advised that no identifiable record could be located regarding the applicant.

On APRIL 28, 1998, IA [redacted] caused a search to be made of the files of the Civil Division.

On MAY 12, 1998, [redacted] of the Civil Division advised IA [redacted] that no identifiable record could be located regarding the applicant.

It should be noted that the index system of the Civil Division, U.S. Attorney's Office (USAO) contains only names of plaintiffs, except in civil actions brought by the United States against a particular defendant. Suits against government employees who are represented by the United States Attorney would be filed by plaintiff's name and docket number.

WFO 77L-HQ-1240842
ARC:arc
1

EMPLOYMENT

U.S. DEPARTMENT OF JUSTICE (DOJ)
Central Processing Unit (CPU)
1331 Pennsylvania Avenue
Washington, D.C.

On April 28, 1998, Investigative Analyst (IA) [redacted] [redacted] received an investigative lead concerning the candidate, DAVID MARGOLIS. b6 b7C

On May 8, 1998, IA [redacted] manually searched the records, at the captioned agency, in an effort to review the Official Personnel Folder (OPF) of the candidate, MARGOLIS, Social Security Account Number: 047-30-3300, date of birth: December 18, 1939. The following information that was obtained is based on a 5 year scope.

DATE	ACTION
September 30, 1993	SES Performance Award $5,400.00 Senior Counsel Criminal Division Office of the Assistant Attorney General Department of Justice
August 22, 1993	Special Act or Service Award $10,000.00
January 2, 1994	Reassignment Associate Deputy Attorney General Office of the Deputy Attorney General Immediate Office of the Deputy Attorney General Washington, D.C.

There was no additional pertinent information.

FO L-HQ-1240842
TMT:t

1

LAW ENFORCEMENT AGENCY CHECK

NATIONAL PARK SERVICE
United States Park Police Department
1100 Ohio Drive, Southwest
Washington, D.C.

On April 28, 1998, Investigative Analyst (IA) [redacted] caused a search to be made of the files of the United States Park Police Department, 1100 Ohio Drive, Southwest, Washington, D.C., concerning DAVID MARGOLIS. b6 b7C

On April 28, 1998, [redacted] advised that no identifiable Adult Criminal record could be located concerning MARGOLIS.

WFO 77L-HQ-1240842
DOM:dom
1

ARREST CHECK

VIRGINIA STATE POLICE
CENTRAL CRIMINAL RECORDS EXCHANGE
Richmond, Virginia

On April 29, 1998, [redacted] conducted a computerized search of the Central Criminal Records Exchange (CCRE), Virginia State Police (VSP), Richmond, Virginia, pertaining to candidate, DAVID MARGOLIS. The search failed to reflect any information identifiable with candidate MARGOLIS. b6 b7C

WFO 77L-HQ-1240842
DOM:dom
1

LAW ENFORCEMENT AGENCY CHECK

METROPOLITAN POLICE DEPARTMENT
300 Indiana Avenue Northwest
Washington, D.C.

On April 29, 1998, [redacted] caused a search to be made of the records of the Metropolitan Police Department, Washington, D.C., and was advised that no record was located concerning candidate DAVID MARGOLIS. b6 b7C

WFO: 77L-HQ-1240842
LMT/ldt

RECORD CHECK

FAIRFAX COUNTY POLICE DEPARTMENT
10600 Page Avenue,
Fairfax, Virginia

On May 5, 1998, Investigative Analyst (IA) [redacted] caused a search to be made of the files of the Fairfax County Police Department, Fairfax, Virginia, and was advised that there was no record found concerning, DAVID MARGOLIS. b6 b7C

It should be noted that only class 3 and 4 misdemeanors subsequent to July 1, 1973, are available and that this record check request is restricted to offenses not reportable to the CENTRAL CRIMINAL RECORDS EXCHANGE (CCRE). Unauthorized dissemination will subject the disseminator to criminal and civil penalties.

WFO 77L-HQ-1240842
LDF:ldt

RECORD CHECK

FAIRFAX CITY POLICE DEPARTMENT
3730 Old Lee Highway
Fairfax, Virginia

On May 5, 1998, Investigative Analyst (IA) [redacted] caused a search to be made of the files of the Fairfax City Police Department, at Fairfax, Virginia, and was advised that no record was located concerning, DAVID MARGOLIS. b6 b7C

It should be noted that this record check request is restricted to the Offenses NOT REPORTABLE to the CENTRAL CRIMINAL RECORDS EXCHANGE (CCRE) covering the period January 1, 1988 to the present. Unauthorized dissemination will subject the disseminator to criminal and civil penalties.

76957

PCD 5/19

Memorandum

TO:

DATE: MAY 21 1998

XXX Office of Security Programs
Attn: [redacted]
Main Justice, Room 6525

____ Office of Personnel Management
Attn: [redacted]
Investigations Service
Box 886
Washington, D.C. 20044-0886

____ The White House
Attn [redacted]
Counsel to the President

____ Executive Office for U.S. Attorneys
Security Program Staff
600 E Street, N.W., Room 8200

____ Office of the Pardon Attorney
Attn: [redacted]
4th Floor, 500 1st Street, N.W.

____ Office of Policy Development
U.S. Department of Justice
Attn: [redacted]
Main Justice, Room 4229

____ Office of Attorney Personnel Management
Attn: [redacted]
Main Justice, Room 3525

____ Administrative Office of the U.S. Courts
Attn: [redacted]
Personnel Security Specialist
Room 5-543, One Columbus Circle, N.E.

____ Office of Intelligence Policy and Review
Main Justice, Room 6325

____ Executive Office for U.S. Trustees
901 E Street, N.W., Room 732

b6
b7C

From: [redacted] Chief JAB/RHS
Special Inquiry and General Background Investigations Unit

Subject: DAVID MARGOLIS

b6
b7C

In response to your request of January 15, 1998, enclosed are the partial results of our background investigation regarding Mr. David Margolis. Additional investigation is outstanding, the results of which will be provided upon completion. Should you have any questions regarding this matter, please contact Supervisory Personnel Security Specialist [redacted] at [redacted]

Status of Investigation: XXX Partial _____ Closed

RSH:rsh (2)
PARTIAL
NOTE: This case was opened on 2/10/98. Mr. Margolis is being reinvestigated in connection with his current position as Associate Deputy Attorney General, Department of Justice, Washington, D.C.

Mr. Margolis' reinvestigation is favorable and complete, except for reviews of the records of the Offices of the Inspector General and Professional Responsibility at the Department of Justice (WFO).

The following footnote corresponds to information located in the Agency Checks section of summary memorandum dated 5/21/98:

* 77-97035, now 77L-HQ-1240842

Dep. Dir. ____
Chief of Staff ____
Off. of Gen. Counsel ____
Asst. Dir.: ____
Crim. Inv. ____
CJIS ____
Finance ____
Info. Res. ____
Insp. ____
Lab. ____
National Sec. ____
Personnel ____
Training ____
Off. of EEOA ____
Off. of Public & Cong. Affs. ____
Director's Office ____
MAIL ROOM ☐

3/RH

77L-HQ-1240842-18

FBI/DOJ

76959 PCD 5/19

Memorandum

TO:

DATE: JUN 3 1998

XXX Office of Security Programs
Attn: [redacted]
Main Justice, Room 6525

____ Office of Personnel Management
Attn: [redacted]
Investigations Service
Box 886
Washington, D.C. 20044-0886

____ The White House
Attn: [redacted]
Counsel to the President

____ Executive Office for U.S. Attorneys
Security Program Staff
600 E Street, N.W., Room 8200

____ Office of the Pardon Attorney
Attn: [redacted]
4th Floor, 500 1st Street, N.W.

____ Office of Policy Development
U.S. Department of Justice
Attn: [redacted]
Main Justice, Room 4229

____ Office of Attorney Personnel Management
Attn: [redacted]
Main Justice, Room 3525

____ Administrative Office of the U.S. Courts
Attn: [redacted]
Personnel Security Specialist
Room 5-543, One Columbus Circle, N.E.

____ Office of Intelligence Policy and Review
Main Justice, Room 6325

____ Executive Office for U.S. Trustees
901 E Street, N.W., Room 732

b6
b7C

From: [redacted] Chief
Special Inquiry and General Background Investigations Unit

Subject: DAVID MARGOLIS

Reference is made to your request of January 15, 1998, and my memorandum dated May 21, 1998, enclosing the partial results of our investigation regarding Mr. David Margolis. The enclosed completes our investigation. Should you have any questions regarding this matter, please contact Supervisory Personnel Security Specialist [redacted] at [redacted]

b6
b7C

Status of Investigation: ____ Partial XXX Closed

RSH:rsh (2)
CLOSED

NOTE: Records of the Offices of the Inspector General and Professional Responsibility at the Department of Justice were reviewed. Mr. Margolis' investigation is favorable and complete.

This is an FBI delay. Reason 09 - Investigation conducted after BUDED (WFO).

97L-HQ-1240842-19

Dep. Dir. ____
Chief of Staff ____
Off. of Gen. Counsel ____
Asst. Dir.: ____
Crim. Inv. ____
CJIS ____
Finance ____
Info. Res. ____
Insp. ____
Lab. ____
National Sec ____
Personnel ____
Training ____
Off. of EEOA ____
Off. of Public & Cong. Affs. ____
Director's Office ____
MAIL ROOM ☐

FBI/003

FD-491 (Rev. 5-26-92)

Memorandum

To: RMD Pickett St

Date 7-28-07

From: WFO

Subject: David Margolis
(Title)

☐ RUC
☒ File Destruction Program

77J-HQ-97035

Enclosed are 1 items.

These items are forwarded to your office since file meets criteria for destruction.

Enclosures are described as follows:

☐ Original Notes.

☐ Original FD-302's.

☐ Laboratory and/or Technical Reports.

☑ Miscellaneous Documents. Indexing

CT

77HQ-1240842

Enc.

Note: Do Not Block Stamp Original Enclosures.

0-9 (Rev. 8-16-79)

TRANSMIT VIA: AIRTEL

CLASSIFICATION:

DATE: 8/26/92

FROM: Director, FBI (77J-HQ-97035)

TO: SACs, Bics Region I - Enc. (1)
Boston - Enc. (1)
New Haven - Enc. (1)
Richmond - Enc. (1)
WMFO - Enc. (1)

DAVID (NMN) ~~MARGOLIS~~
BACKGROUND REINVESTIGATION
DEPARTMENT OF JUSTICE
BUDED: 10/13/92
OO: BUREAU

Attached for each receiving office is a copy of Standard Form 86 (SF-86) and appropriate forms.

THIS INVESTIGATION WILL COVER A TEN-YEAR SCOPE.

Captioned individual is currently employed with the Department of Justice, Washington, DC, as Acting Deputy Assistant Attorney General. **Captioned individual was the subject of a previous applicant-type investigation by the FBI in (April 1965). This investigation is being updated and will cover a TEN-YEAR scope.** The following previous file numbers are set forth:

New Haven	**77-4838; 67-5685**
Boston	**67-15953**
WMFO	**77-8067; 67-67-63217**

Submit results of current investigation under file number 77J-HQ-97035. **All offices note at the time this case was opened Bufile 67-612022, containing the results of candidate's previous BI could not be located.**

Investigators should review Manual of Investigative Operations and Guidelines (MIOG), Part II, Section 17, and Part I, Section 77. It would also be helpful to review MIOG, Part II, Section 23-6 and the Manual of Administrative Operations and Procedures (MAOP), Part II, Section 10-13.3.5.

77J-HQ-97035-2

SEARCHED SERIALIZED INDEXED FILED

AUG 27 1992

[redacted]

8/27/92

[redacted]

b6
b7C

FMT 08/31/92

RE: DAVID (NMN) MARGOLIS

All investigation should be submitted to the General Background Investigation Unit, Room 4383, Attention: PSS [redacted] [redacted] FTS 324-4229, in report format to include headings and subheadings. All indices information should be reported in the Administrative Section or by separate communication.

b6
b7C

EACH PERSON INTERVIEWED MUST PROVIDE COMMENTS REGARDING CHARACTER, ASSOCIATES, REPUTATION, LOYALTY, ABILITIES, BIAS/PREJUDICE AND FINANCES. ALSO, ENSURE EACH INTERVIEW CONTAINS COMMENTS CONCERNING ANY PAST/PRESENT ILLEGAL DRUG USE, PRESCRIPTION DRUG AND ALCOHOL ABUSE.

Education verification should include dates of attendance, GPA/scale and class standing, honors/disciplinary action, financial aid and campus police records checks. Interview professors if attendance is within the past three years.

Employment investigation should include interview of supervisor, three co-workers and review of personnel records verifying dates.

Neighborhood investigation should include interview of three neighbors for residences of past five years, verifying dates. If rental property, also interview landlord/manager to include payment and complaint information. Identify/interview current roommates; immediately advise FBIHQ regarding roommate information.

In all DOJ reinvestigation cases (77J-M), ensure the investigation includes at least three (3) developed sources (individuals not provided by the candidate) who have, to the extent practical, social knowledge of the candidate. Developed sources may include other associates, neighbors, co-workers (peers/support employees), etc.

LEADS:

ALL OFFICES: Check records of the U.S. Attorney's Office at all places of residence, education, and employments.

BICS REGION 1: Interview candidate at inception of investigation and record specific answers to each question in MIOG, Part II, Section 17-5.6 (a-m) on FD-302 (any additional information obtained from the candidate during investigation must also be reported on FD-302). Candidate should be advised the scope of the questions asked during the initial interview is not limited to the time frame noted on the SF-86 and their responses should reflect activities during their entire life. FD-302 SHOULD INCLUDE A STATEMENT TO THAT EFFECT. Obtain full details of positive responses and set appropriate leads via teletype.

RE: DAVID (NMN) MARGOLIS

BICS REGION 1: At candidate's current employment, review personnel file, and interview listed supervisor and at **least** three (3) co-workers. If unable, so state.

During neighborhood investigation, interview neighbors in addition to those listed on application. If no others are available, so state.

Review Official Personnel File (OPF) and verify all Federal employments.

Obtain duplicate of candidate's certified copy of his divorce and attached to investigative report.

NEW HAVEN: Verify candidate's date/place of birth.

NEW HAVEN/WMFO: Conduct appropriate indices checks on candidate and listed relatives.

RICHMOND: Check DMV records for the last five years.

RICHMOND/WMFO: Check State bar and grievance records. Obtain information such as date of admission, current status, and whether any complaints/grievances were filed.

WMFO: Check appropriate Security/IG records.