CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

United States District Court

for the DISTRICT OF Columbia

TO:

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

☐ PERSON ☒ DOCUMENT(S) OR OBJECT(S) b3

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
United States District Court for the District of Columbia United States Courthouse Third and Constitution Avenue, N.W. Washington, DC 20001	Grand Jury 93-2 Third Floor
	DATE AND TIME April 26, 1994 1:00 p.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

COMPLIANCE CAN BE MADE BY PROVIDING THE REQUESTED DOCUMENTS TO THE ASSISTANT U.S. ATTORNEY NAMED BELOW. IF YOU HAVE ANY QUESTIONS, PLEASE CALL LAURA TOLSON AT (202) 514-8062.

☐ *Please see additional information on reverse.*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE OR CLERK OF COURT	DATE
Nancy M. Mayer-Whittington, Clerk (BY) DEPUTY CLERK Margaret A. Cipier	4/20/94

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

LARRY PARKINSON/WENDY WYSONG WW
555 Fourth Street, N.W., 5th floor
Washington, DC 20001
~~(202) 514-9620/514-8321~~

SBC-WF-180673-750

*If not applicable, enter "none."

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)

	DATE	PLACE
RECEIVED BY SERVER	4/21/94	Chicago, IL
SERVED	4/22/94	[redacted]

SERVED ON (PRINT NAME)

[redacted]

b3
b6
b7C

SERVED BY (PRINT NAME) [redacted]

TITLE Special Agent, FBI

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
—	—	—

DECLARATION OF SERVER(2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of S[redacted]nd correct.

Executed on 4/22/94
Date

[redacted]

219 S. Dearborn St., Chicago, IL
Address of Server

ADDITIONAL INFORMATION

[redacted] accepted service of this subpoena via fax [redacted] and verified receipt of this subpoena at 12:38 PM 4/22/94.

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

United States District Court

for the DISTRICT OF Columbia

TO:

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

☐ PERSON ☐ DOCUMENT(S) OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
United States District Court for the District of Columbia United States Courthouse Third and Constitution Avenue, N.W. Washington, DC 20001	Grand Jury 93-2 Third Floor
	DATE AND TIME April 26, 1994 9:00 a.m.

b3

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

58C-WF-180673-751

☐ *Please see additional information on reverse.*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE OR CLERK OF COURT	DATE
Nancy M. Mayer-Whittington, Clerk (BY) DEPUTY CLERK Margaret Napier	4/22/94
This subpoena is issued upon application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY WENDY WYSONG/THOMAS MOTLEY TJM 555 Fourth Street, N.W., 5th floor Washington, DC 20001 (202) 514-9832/514-8321

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

*If not applicable, enter "none."

RETURN OF SERVICE (1)

	DATE	PLACE
RECEIVED BY SERVER	4/22/94	Chicago, IL
SERVED	4/22/94	[redacted]

b3
b6
b7C

SERVED ON (PRINT NAME)

[redacted]

SERVED BY (PRINT NAME)	TITLE
[redacted]	SA, FBI

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
—	—	—

DECLARATION OF SERVER(2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 4/22/94
Date

[redacted]
Signature of Server

219 S. Dearborn St., Chicago, IL
Address of Server

ADDITIONAL INFORMATION

[redacted] accepted service of this subpoena via fax to: [redacted] and verified receipt of this subpoena at 12:38 PM 4/22/94.

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

United States District Court

for the DISTRICT OF Columbia

TO:

b3

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

☒ PERSON ☐ DOCUMENT(S) OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
United States District Court for the District of Columbia United States Courthouse Third and Constitution Avenue, N.W. Washington, DC 20001	Grand Jury 93-2 Third Floor
	DATE AND TIME May 2, 1994 1:00 p.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

PERSONAL APPEARANCE IS REQUIRED

58C-WF-180673-752

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

☐ *Please see additional information on reverse.*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE OR CLERK OF COURT	DATE
Nancy M. Mayer-Whittington, Clerk (BY) DEPUTY CLERK Margaret Spier	4/20/94
This subpoena is issued upon application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY THOMAS MOTLEY/LARRY PARKINSON TM 555 Fourth Street, N.W., 5th floor Washington, DC 20001 (202) 514-8321/514-9620

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

*If not applicable, enter "none."

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)

RECEIVED BY SERVER	DATE 4/27/94	PLACE Chicago, IL
SERVED	DATE 5/6/94	PLACE [redacted]

SERVED ON (PRINT NAME) [redacted]

b3
b6
b7C

SERVED BY (PRINT NAME) [redacted] TITLE Special Agent, FBI

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
—	—	—

DECLARATION OF SERVER(2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of S[redacted]d correct.

Executed on 5/6/94 [redacted]
Date

219 S. Dearborn St., Chicago, IL
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

United States District Court

for the DISTRICT OF Columbia

TO:

b3

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

☒ PERSON ☐ DOCUMENT(S) OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
United States District Court for the District of Columbia United States Courthouse Third and Constitution Avenue, N.W. Washington, DC 20001	Grand Jury 93-2 Third Floor
	DATE AND TIME May 2, 1994 9:00 a.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

58C-WF-180673-753

SEARCHED INDEXED

SERIALIZED

☐ *Please see additional information on reverse.*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE OR CLERK OF COURT	DATE
Nancy M. Mayer-Whittington, Clerk (BY) DEPUTY CLERK Margaret R. Copier	4/18/94
This subpoena is issued upon application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY LARRY PARKINSON/THOMAS MOTLEY LP 555 Fourth Street, N.W., 5th floor Washington, DC 20001 (202) 514-9620/514-8321

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

*If not applicable, enter "none."

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)

	DATE	PLACE
RECEIVED BY SERVER	4/18/94	Chicago, IL
SERVED	4/20/94	Chicago, IL

SERVED ON (PRINT NAME)

[redacted]

b3
b6
b7C

SERVED BY (PRINT NAME) [redacted]

TITLE SA, FBI

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
—	—	—

DECLARATION OF SERVER(2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 4/26/94 [redacted]
Date

219 S. Dearborn St., Chicago, IL
Address of Server

ADDITIONAL INFORMATION

[redacted] accepted service of this subpoena re. fax to [redacted]

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

United States District Court

for the DISTRICT OF Columbia

TO:

[redacted]

b3

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

☒ PERSON ☐ DOCUMENT(S) OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
United States District Court for the District of Columbia United States Courthouse Third and Constitution Avenue, N.W. Washington, DC 20001	Grand Jury 93-2 Third Floor
	DATE AND TIME April 26, 1994 1:00 p.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

58C-WF-180673-754

SEARCHED INDEXED

☐ *Please see additional information on reverse.*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE OR CLERK OF COURT	DATE
Nancy M. Mayer-Whittington, Clerk (BY) DEPUTY CLERK Margaret Copier	4/20/94
This subpoena is issued upon application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY LARRY PARKINSON/WENDY WYSONG 555 Fourth Street, N.W., 5th floor Washington, DC 20001 (202) 514-9620/514-8321

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

*If not applicable, enter "none."

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)

	DATE	PLACE
RECEIVED BY SERVER	4/20/94	Chicago, IL
SERVED	4/20/94	Chicago, IL

SERVED ON (PRINT NAME)

[redacted]

b3
b6
b7C

SERVED BY (PRINT NAME)	TITLE
[redacted]	SA, FBI

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
—	—	—

DECLARATION OF SERVER(2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on 4/26/94 [redacted]
Date

219 S. Dearborn St., Chicago, IL
Address of Server

ADDITIONAL INFORMATION

[redacted] accepted service of this subpoena via fax to [redacted]

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

United States District Court

for the DISTRICT OF Columbia

TO:

b3

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

☒ PERSON ☐ DOCUMENT(S) OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
United States District Court for the District of Columbia United States Courthouse Third and Constitution Avenue, N.W. Washington, DC 20001	Grand Jury 93-2 Third Floor
	DATE AND TIME April 26, 1994 10:30 a.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

58C-WF-180673-755

☐ *Please see additional information on reverse.*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE OR CLERK OF COURT	DATE
Nancy M. Mayer-Whittington, Clerk (BY) DEPUTY CLERK Margaret A. Napier	4/14/94
This subpoena is issued upon application of the United States of America	NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY LARRY PARKINSON/THOMAS MOTLEY TM 555 Fourth Street, N.W., 5th floor Washington, DC 20001 (202) 514-9620/514-8321

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

*If not applicable, enter "none."

RETURN OF SERVICE (1)

	DATE	PLACE
RECEIVED BY SERVER	4/15/94	Chicago, IL
SERVED	4/18/94	Chicago, IL

SERVED ON (PRINT NAME)

[redacted]

b3
b6
b7C

SERVED BY (PRINT NAME) [redacted]

TITLE SA, FBI

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
—	—	—

DECLARATION OF SERVER(2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of S[redacted]e and correct.

Executed on 4/26/94 [redacted]
Date

219 S. Dearborn St., Chicago, IL
Address of Server

ADDITIONAL INFORMATION

[redacted] verbally accepted service of this subpoena via fax to [redacted].

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825; Rule 17(b) Federal Rules of Criminal Procedure)".

58C-WF-180673
TJB:tb

The following investigation was conducted by SA [redacted] at Chicago, Illinois: b6 b7C

On 5/9/94, [redacted] (aka [redacted], [redacted], Real Estate Division, GENERAL SERVICES ADMINISTRATION (GSA), Region 5, 230 S. Dearborn Street, Chicago, Illinois, (312)353-5603, faxed SA [redacted] a GSA document which verified that DAN ROSTENKOWSKI's assignment of office space at 230 S. Dearborn Street, Chicago, Illinois was terminated on April 1, 1983.

Additional information obtained from the GSA document faxed 5/9/94, indicated that ROSTENKOWSKI's office was located on the 39th Floor of the KLUCZYNSKI FEDERAL BUILDING, building number IL0236FC, office assignment number AIL45185, 230 S. Dearborn Street, Chicago, Illinois.

On 5/16/94, [redacted] faxed SA [redacted] a GSA SPACE ASSIGNMENT RECORD (SAR) which verified that ROSTENKOWSI was assigned office space at 230 S. Dearborn Street, Chicago, Illinois, effective May 1, 1975. The remarks section of the SAR stated that ROSTENKOWSKI's Office was relocated from the EVERETT McKINLEY DIRKSEN BUILDING, 219 S. Dearborn Street, Chicago, Illinois. b6 b7C

The SAR also indicated that ROSTENKOWSKI's office was located on the 39th Floor of the KLUCZYNSKI BUILDING, but documented the building number as BIL0236FC and the office assignment number as AIL518574. The SAR was dated 6/9/75, and signed by [redacted] of the FEDERAL BUILDINGS FUND ASSIGNMENT & UTILIZATION BRANCH, 36th Floor, 230 S. Dearborn Street, Chicago, Illinois. b6 b7C

The GSA documents mentioned above are being maintained in the 1A Exhibit section of this file.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/4/94

On 5/2/94, a letter was received by FBI SPECIAL AGENT [redacted] from Attorney [redacted] enclosing a copy of a letter written by [redacted] in September, 1993, to U.S. REPRESENTATIVE DANIEL ROSTENKOWSKI and his wife thanking them for their gift to [redacted] of a golf afghan.

b6
b7C

As [redacted] cover letter indicated, [redacted] was providing the copy of his letter to ROSTENKOWSKI as it had come to [redacted] attention after his interview with the FBI on April 12, 1994, concerning the gifts he had received from ROSTENKOWSKI over the years of their acquaintance. These letters have been placed in the 1(A) Exhibit section of this file.

Investigation on 5/2/94 at Chicago, Illinois File # 58C-WF-180673 -757

by SA [redacted] Date dictated 5/4/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/11/94

home address: Date of Birth (DOB): Social Security Account Number (SSAN): was interviewed at his place of employment. After being advised of the official identity of the interviewing Agents and the nature of the interview, provided the following information:

b6
b7C

advised that he has known for approximately two years. stated that informed him that his prior employment experience was that he owned his own business in New York, and that he freelanced in the movie business in the Chicago area starting around 1984. stated that this period was briefly interrupted by suicide attempt in the year 1983. stated that was very successful in the movie support business, and has done set design for the movies Home Alone II, Dennis the Menace, Baby's Day Out, Miracle on 34th Street (the remake), and a new movie to be released entitled Peanuts.

b6
b7C

was not aware of any problems with memory lapses of for any periods of time in his past. replied that to his knowledge, did not have a problem with memory loss, and seemed to recall things from his past very clearly. further advised that had no discussions with him regarding who he is now divorced from.

b6
b7C

stated that formerly had a relationship with just prior to the time that he met him, and that used to run Studios which used to perform not-for-profit theater.

b6
b7C

stated that when various news articles came out in the Chicago papers regarding former and current employees of Representative DAN ROSTENKOWSKI, mentioned to him that he was a former employee of ROSTENKOWSKI and that he worked for him

Investigation on 4/27/94 Chicago, Illinois HWMFO 58C-WF-180673-758

by SAs and vls

b6
b7C

Date dictated 5/2/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

b6
b7C

for three summers. [redacted] stated that sometime after that, [redacted] stated to him that he had consulted an attorney and the attorney had advised him not to discuss his former employment with ROSTENKOWSKI.

b6
b7C

[redacted] stated that [redacted] expressed no particular discomfort regarding the articles that were printed in the Chicago papers that mentioned his name, adding that all of the former employees of ROSTENKOWSKI were going to be interviewed. At the time [redacted] told him this, [redacted] did not recall many of the names that were listed in the articles as former co-workers. The excuse that [redacted] gave for this was that there was high turnover at ROSTENKOWSKI's office

b6
b7C

[redacted] was unable to advise of the three summers that [redacted] worked for ROSTENKOWSKI, but he believed it was three consecutive summers. [redacted] stated that he had no knowledge regarding the arrangement for compensation to [redacted] attorney.

b3

[redacted]

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/19/94

[redacted] date of birth [redacted] Social Security Number (SSAN) [redacted] work phone [redacted] fax [redacted] telephonically contacted the FEDERAL BUREAU OF INVESTIGATION (FBI), was advised of the identity of the interviewing Agent and the purpose of the interview, and provided the following information: b6 b7C

[redacted] was asked if he ever received a gift from DAN ROSTENKOWSKI (i.e., a Capitol chair, a crystal replica of the U.S. Capitol Building, or any other thing of value), and [redacted] indicated that he never received any gift from ROSTENKOWSKI.

(Telephonically)

Investigation on 5/18/94 at Chicago, Illinois File # 58C-WF-180673-759

by SA [redacted] TJB/tb Date dictated 5/19/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

b6
b7C

Date of transcription 5/10/94

[redacted] Date of Birth [redacted] Social Security Number [redacted] home address [redacted] was advised of the identity of the interviewing Agents and the nature of the interview, and provided the following information:

b6
b7C

[redacted] stated that he worked as an assistant for Congressman MARTIN RUSSO from approximately 1974 to 1983 or 1984. [redacted] handled immigration and Social Security disability problems at RUSSO's Office. [redacted] worked at RUSSO's Washington D.C. Office for approximately 7 or 8 months prior to returning to Chicago to attend classes at JOHN MARSHALL LAW SCHOOL (JMLS), 315 S. Plymouth Court, Chicago, Illinois. [redacted] started taking classes at JMLS, and began working at RUSSO's Chicago office located at 230 S. Dearborn Street, Chicago, Illinois, in approximately September, 1974. [redacted] could not remember the floor number at 230 S. Dearborn Street where RUSSO had his offices, but [redacted] believed that DAN ROSTENKOWSKI's office (located at 230 or 219 S. Dearborn Street) was a few floors above RUSSO's office. [redacted] was not certain if ROSTENKOWSKI's office was located at 230 or 219 S. Dearborn Street, because he had never visited ROSTENKOWSKI's office.

[redacted] was asked if he knew ED PIENCZAK and/or [redacted] stated that he was more familiar with PIENCZAK than [redacted] because he had known PIENCZAK for a longer period of time, and PIENCZAK had a similar ethnic background. [redacted] stated that PIENCZAK worked for Congressman JOHN KLUCZYNSKI until KLUCZYNSKI died, then PIENCZAK started working for ROSTENKOWSKI. [redacted] was asked how he knew PIENCZAK worked for ROSTENKOWSKI, and [redacted] stated that he would talk to PIENCZAK at RUSSO's Chicago Office and RUSSO fundraisers. [redacted] stated that PIENCZAK told him that he (PIENCZAK) was working for ROSTENKOWSKI, and PIENCZAK would occasionally drop by RUSSO's office and ask [redacted] about an immigration case he had. [redacted] recalled the last time he spoke to PIENCZAK was in regards to an immigration case PIENCZAK was handling in the mid 1980's.

b6
b7C

Investigation on 5/6/94 at Galena, Illinois File # 58C-WF-180673-760

by SA [redacted] TJB/tb Date dictated 5/10/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted] could not specifically recall how he met [redacted] but stated that PIENCZAK may have told [redacted] to call [redacted] [redacted] estimated that it was around 1980 when he first spoke with [redacted] [redacted] stated that he made that estimate based on his recollection that he was maintaining a satellite office for RUSSO at 230 S. Dearborn Street, around 1980 (because RUSSO had moved his offices out of 230 S. Dearborn Street) when he first spoke with [redacted] b6 b7C

Later in the interview, [redacted] recalled that he remembered having his first conversation with [redacted] a few days after POPE JOHN PAUL II was elected POPE (October 16, 1978). Most of [redacted] conversations with [redacted] were over the telephone, and [redacted] advised that [redacted] calls were more of a social nature than work related. [redacted] cannot recall ever having a discussion with [redacted] about Congressional work. [redacted] knows very little about [redacted] and only spoke to him approximately 15 to 20 times over a period of at most a year to a year and a-half. [redacted] was not certain, but stated that [redacted] may have been attending law classes at DePAUL UNIVERSITY, located in Chicago, Illinois (25 E. Jackson and/or 243 S. Wabash). [redacted] remembers encouraging [redacted] to complete his law degree. b6 b7C

[redacted] stated that he could not verify either PIENCZAK's or [redacted] employment with ROSTENKOWSKI, because he had never been to ROSTENKOWSKI's office at either 219 or 230 S. Dearborn Street, Chicago, Illinois.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/10/94

[redacted] Date of Birth [redacted] Social Security Number [redacted] work phone [redacted] was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information: b6 b7C

[redacted] stated that he use to be a part-time faculty member for the ACTORS ACADEMY OF CHICAGO (AAC). [redacted] stated that the AAC was an Illinois Not-For-Profit (NFP) Organization and rented space at 1034 W. Berry, Chicago, Illinois, from the MOMING DANCE AND ART CENTER. [redacted] stated that he met [redacted] in late 1983 or early 1984 when [redacted] was taking acting classes at the AAC. [redacted] stated that the classes were held a couple times a week during evening hours. [redacted] stated that [redacted] appeared in AAC performances in January and February, 1984.

[redacted] was not certain of [redacted] employment during the period he was taking classes at the AAC, but [redacted] stated that [redacted] may have been employed by the AAC. [redacted] believed that [redacted] held some sort of management position at the AAC, or may have been a benefactor or board member for the AAC. [redacted] based his belief on the fact that [redacted] was doing more than just taking classes at the AAC. [redacted] stated that he recalled [redacted] organizing a fundraiser to benefit the AAC which was held on January 26, 1984. [redacted] stated that the AAC [redacted] may know more about [redacted] activities during late 1983 and early 1984. b6 b7C

[redacted] stated that approximately November, 1984, [redacted] began preparations to open a NFP dance studio at 3829 N. Broadway, Chicago, Illinois. The dance studio was named the DAVID PUSZH DANCE COMPANY (DPDC) and was incorporated in the State of Illinois on December 3, 1984. [redacted] stated that he was [redacted] for the DPDC and ran the dance studio while [redacted] of the DPDC and ran DPDC operations. b6 b7C

Investigation on 4/19/94 and 5/9/94 at (Telephonically) Galena, Illinois File # 58C-WF-180673 - 761

b6 b7C

by SA [redacted] TJB/tb Date dictated 4/22/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted] was asked if [redacted] had ever been employed by DAN ROSTENKOWSKI. [redacted] stated that [redacted] put in a minimum of 40 hours per week at the DPDC, working everyday Monday through Friday from approximately November, 1984 until [redacted] attempted suicide in the summer of 1985 (Approximately July 14, 1985). [redacted] stated that there was no way that [redacted] could have been working full time at the DPDC, and full time for ROSTENKOWSKI or anyone else. [redacted] duties at the DPDC included writing grants for students, taking care of the payroll, renovating and maintaining the dance studio, purchasing office furniture and supplies, and organizing fundraisers for the DPDC. b6 b7C

[redacted] stated that [redacted] held a fundraiser to benefit the DPDC on February 2, 1984. [redacted] stated that ROSTENKOWSKI was an honorary member of the DPDC. [redacted] stated that the DPDC was using ROSTENKOWSKI's name to promote business for the dance studio, but to [redacted] knowledge ROSTENKOWSKI never contributed money to the DPDC. b6 b7C

[redacted] stated that [redacted] had mentioned to him that he [redacted] was employed by a politician, but [redacted] couldn't recall the name of the politician. [redacted] stated that he didn't know how much of what [redacted] was telling him was true, because [redacted] had also told him that he was an architect and had done some work on the STATE OF ILLINOIS BUILDING. b6 b7C

[redacted] also recalled [redacted] mentioning that he was a salaried employee for the politician, and was not paid by the hour. [redacted] vaguely remembered going with [redacted] to an office to pick up a check, but [redacted] could not identify where, or for whom, [redacted] was employed. [redacted] stated that [redacted] gave him the impression that he [redacted] did little or no work for the politician, other than to pick up a check. [redacted] also remembers wishing that he could have gotten a job like [redacted] b6 b7C

[redacted] stated that he had the incorporation papers for DPDC and other documents relating to [redacted] and that he would mail them to the interviewing Agent. b6 b7C

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/10/94

b6
b7C

[redacted] Date of Birth [redacted] Social Security Number [redacted] was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information:

[redacted] stated that she worked for Congressman JOHN FARY from 1975 to January, 1983. [redacted] stated that she worked at FARY's Office located on the 39th Floor of 230 S. Dearborn Street, Chicago, Illinois, from approximately 1978 to 1980.

b6
b7C

[redacted] described the layout of the 39th Floor of 230 S. Dearborn Street, Chicago, Illinois. [redacted] stated that FARY's Office was located near the center of the hallway, near the elevator bank, and ROSTENKOWSKI's Office was two doors down at the end of the hall. [redacted] could not recall who's office separated FARY's Office from ROSTENKOWSKI's Office.

b6
b7C

[redacted] was asked if she could recall the names of employees who use to work at ROSTENKOWSKI's Office located at 230 S. Dearborn Street, Chicago, Illinois. [redacted] stated that no one manned ROSTENKOWSKI's Office on a full-time basis, but that a women use to come by a couple times a week and say hi. [redacted] stated that she could not remember the woman's name, but she was of the understanding that the woman manned the phones at ROSTENKOWSKI's Office. [redacted] stated that the unknown female was not [redacted] and [redacted] could not recall any other ROSTENKOWSKI employee working at 230 S. Dearborn Street, Chicago, Illinois.

b6
b7C

[redacted] was asked if she knew either ED PIENCZAK and/or [redacted] stated that she knew PIENCZAK, but not [redacted] stated that PIENCZAK use to work for FARY, but [redacted] has no idea what happened to PIENCZAK after he left FARY's employment. The interviewing Agent asked [redacted] if she could identify when PIENCZAK left FARY's Office and [redacted] stated that PIENCZAK left FARY's Office sometime before FARY lost his election in 1982.

b6
b7C

Investigation on 5/6/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673 -762

by SA [redacted] TJB/tb Date dictated 5/10/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/5/94

[redacted], Date of Birth [redacted] Social Security Number [redacted] was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information: b6 b7C

[redacted] stated that he owns a [redacted] that is currently doing business with a horse track known as [redacted] located in [redacted] can be reached at [redacted] stated that he could also be reached at [redacted] b6 b7C

The interviewing Agent asked [redacted] if he had ever received a gift from DAN ROSTENKOWSKI. [redacted] stated that he received a chair and a miniature replica of the U.S. Capitol Building (CBR) from ROSTENKOWSKI. b6 b7C

[redacted] stated that he received the chair and CBR approximately two or three years ago. [redacted] could not recall the time of year he received either gift. [redacted] stated that the gifts were delivered to his residence at [redacted] but [redacted] was not sure if the gifts were hand delivered or mailed to his residence. [redacted] did not recall receiving any correspondence from ROSTENKOWSKI with the gifts. [redacted] stated that he knew the gifts were from ROSTENKOWSKI because they had ROSTENKOWSKI's name on them.

[redacted] was asked if he had been solicited by ROSTENKOWSKI, around the same time he had received a gift, to donate money to ROSTENKOWSKI's political campaigns and/or committees. [redacted] stated that he has never donated money to ROSTENKOWSKI's campaigns or the 32nd Ward. b6 b7C

Investigation on 5/3/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673-763

by SA [redacted] TJB/tb Date dictated 5/5/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302a (Rev. 11-15-83)

58C-WF-180673

b6
b7C

Continuation of FD-302 of [redacted], On 5/3/94, Page 2

[redacted] was asked if he had ever provided ROSTENKOWSKI with political advise, or spoke with ROSTENKOWSKI about political issues. [redacted] stated that ROSTENKOWSKI never talked "shop", and their relationship was neither political nor professional. [redacted] stated that he met ROSTENKOWSKI 35 years ago through a mutual friend named DAN SWEENEY who was a former Illinois State Senator. [redacted] stated that he plays golf, and has dinner with ROSTENKOWSKI and considers him a personal friend.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/10/94

b6
b7C

[redacted] Date of Birth [redacted] Social Security Number [redacted] was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information:

[redacted] stated that she worked for Congressman JOHN KLUCZYNSKI from 1967 to 1969 or 1970. [redacted] stated that she left KLUCZYNSKI's Office approximately six months prior to KLUCZYNSKI's death. [redacted] stated that she never worked for Congressman JOHN FARY.

b6
b7C

[redacted] stated that while she was employed by KLUCZYNSKI, DAN ROSTENKOWSKI had a reception office in KLUCZYNSKI's Office. [redacted] stated that KLUCZYNSKI's Office was located on the 26th Floor of the EVERETT McKINLEY DIRKSEN FEDERAL BUILDING, located at 219 S. Dearborn Street, Chicago, Illinois. [redacted] stated that ROSTENKOWSKI did not have personnel at ROSTENKOWSKI's reception office, but if someone came by wanting to speak with someone in ROSTENKOWSKI's Office, KLUCZYNSKI's employees would refer them to ROSTENKOWSKI's District Office (2148 N. Damen Avenue, Chicago, Illinois).

b6
b7C

Investigation on 5/9/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673 - 764

by SA [redacted] TJB/tb Date dictated 5/10/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/26/94

[redacted] date of birth [redacted], Social Security Number [redacted], was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information:

b6
b7C

The interviewing Agent asked [redacted] if he had ever received a gift from DAN ROSTENKOWSKI. [redacted] stated that the only things he's ever received from ROSTENKOWSKI were calendars and a bottle of champaign at Christmas time. [redacted] was asked if he had ever received a crystal paperweight or chair from ROSTENKOWSKI, and [redacted] stated that he was never given either item.

Investigation on 4/26/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673-765

b6
b7C

by SA [redacted] TJB/tb Date dictated 4/26/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/19/94

b6
b7C

[redacted], Date of Birth [redacted] Social Security Number [redacted] Illinois, [redacted], was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information:

[redacted] stated that she worked for Congressman JOHN FARY from 1975 to 1982. [redacted] stated that she started working as a [redacted] for FARY, and eventually became [redacted] for FARY's Chicago District Office. [redacted] stated that she worked at FARY's Office located on the 39th Floor of 230 S. Dearborn Street, Chicago, Illinois, from approximately 1978 to 1980. b6 b7C

[redacted] described the layout of the 39th Floor of 230 S. Dearborn Street, Chicago, Illinois. [redacted] stated that FARY's Office was located in the middle of the hallway and ROSTENKOWSKI had a corner office. [redacted] stated that Congressman MORGAN MURPHY had an office located between FARY's office and ROSTENKOWSKI's office. b6 b7C

[redacted] was asked if she could recall the names of employees who use to work at ROSTENKOWSKI's Office located at 230 S. Dearborn Street, Chicago, Illinois. [redacted] stated that ROSTENKOWSKI had a few employees that worked at 230 S. Dearborn Street, Chicago, Illinois, but [redacted] could only remember one employee named [redacted] [redacted] stated that [redacted] was at ROSTENKOWSKI's office on a daily basis, and worked on immigration cases. [redacted] recalled that [redacted] use to answer [redacted] immigration questions, because [redacted] had had a lot of experience working immigration cases and [redacted] was relatively new. b6 b7C

[redacted] was asked if she knew either ED PIENCZAK and/or [redacted] stated that she knew PIENCZAK, but had never heard of [redacted] verified that PIENCZAK worked for FARY, but [redacted] could not confirm that PIENCZAK worked for ROSTENKOWSKI. b6 b7C

Investigation on 5/18/94 at (Telephonically) Oak Park, Illinois File # 58C-WF-180673-766

by SA [redacted] TJB/tb Date dictated 5/19/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302a (Rev. 11-15-83)

58C-WF-180673

Continuation of FD-302 of [redacted], On 5/18/94, Page 2

[redacted] had no information concerning [redacted] but stated that [redacted] (the former Administrative Assistant for FARY) would probably remember ROSTENKOWSKI's employees at 230 S. Dearborn Street, Chicago, Illinois. [redacted] stated that [redacted] last known phone number was [redacted]. [redacted] did not have an address for [redacted]

b6
b7C

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/3/94

b6
b7C

[redacted] Date of Birth [redacted] Social Security Number [redacted], was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information:

The interviewing Agent asked [redacted] if he had ever received a chair or miniature replica of the U.S. Capitol Building from DAN ROSTENKOWSKI. [redacted] stated that he received a miniature replica of the U.S. Capitol Building (CBR) from ROSTENKOWSKI but did not receive a chair. [redacted] could not recall when he had received the CBR, but stated that he thought it was given to him as a Christmas gift. [redacted] stated that he spoke with [redacted] about the CBR, and [redacted] recalled receiving it around Christmas time two to three years ago.

[redacted] could not recall receiving any correspondence with the CBR, nor could he recall sending ROSTENKOWSKI a thank-you note. [redacted] stated however, that it was customary for him to write thank-you notes to individuals that sent him gifts.

Investigation on 4/29/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673 -767

by SA [redacted] TJB/tb TJB Date dictated 5/3/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/4/94

b6
b7C

[redacted] Date of Birth [redacted] Social Security Number [redacted] was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information:

b6
b7C

The interviewing Agent asked [redacted] if he had ever received a gift from DAN ROSTENKOWSKI. [redacted] stated that he received a chair, a miniature replica of the U.S. Capitol Building (CBR); a beer mug, and a set of four old fashioned drinking glasses from ROSTENKOWSKI.

b6
b7C

[redacted] stated that he received the chair approximately two or three years ago. [redacted] could not recall the time of year he received the chair. [redacted] stated that the chair was delivered to his office at HCM, but [redacted] could not recall receiving any note or letter with the chair.

b6
b7C

[redacted] stated that he received the CBR over two years ago and personally thanked ROSTENKOWSKI for the gift. [redacted] stated that he remembered thanking ROSTENKOWSKI, because ROSTENKOWSKI told him that he (ROSTENKOWSKI) was thinking about retiring from the UNITED STATES HOUSE OF REPRESENTATIVES and wanted to provide his friends with a memento.

[redacted] stated that he thought the CBR was mailed to him, but he couldn't recall with certainty how or where he had received the CBR.

b6
b7C

[redacted] was asked if he had been solicited by ROSTENKOWSKI, around the same time he had received a gift, to donate money to ROSTENKOWSKI's political campaigns and/or committees. [redacted] stated that their was "no quid pro quo" involved in the receipt of any of the gifts he had received from ROSTENKOWSKI.

Investigation on 4/28/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673-768

by SA [redacted] TJB/tb Date dictated 5/4/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted] was asked if he had ever provided ROSTENKOWSKI with political advise, or spoke with ROSTENKOWSKI about political issues. [redacted] stated that he couldn't talk to ROSTENKOWSKI about politics. [redacted] explained that he [redacted] is a Republican and vary rarely agrees with ROSTENKOWSKI's legislative or political decisions. [redacted] speculated that if he discussed politics with ROSTENKOWSKI, they would no longer be friends. [redacted] also stated that ROSTENKOWSKI was not the type of person to discuss politics with friends.

[redacted] stated that he is a personal friend of Alderman TERRY GABINSKI and had gotten to know ROSTENKOWSKI through GABINSKI.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/20/94

b3

[redacted] was served a subpoena from the District of Columbia, which required her personal appearance before Federal Grand Jury (FGJ) 93-2 at 1:00 p.m. on Tuesday, April 26, 1994.

The interviewing Agent advised [redacted] that a subpoena had been issued for her personal appearance in Washington D.C. before a FGJ on April 26, 1994. [redacted] verbally excepted service of the subpoena via a fax machine, and [redacted] was faxed a copy of the subpoena to her place of business. On April 20, 1994, at 1:06 p.m., the subpoena was faxed to [redacted] at [redacted]

Investigation on 4/20/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673 - 769

b6
b7C

by SA [redacted] TJB/tb Date dictated 4/20/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/3/94

b6
b7C

[redacted] Date of Birth [redacted] Social Security Number [redacted] home address [redacted] was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information:

b6
b7C

The interviewing Agent asked [redacted] if he had ever received a gift from DAN ROSTENKOWSKI. [redacted] stated that the only thing he's ever received from ROSTENKOWSKI were monthly newsletters mailed to [redacted] as a constituent of ROSTENKOWSKI's Congressional District. [redacted] was asked if he had ever received a chair or miniature replica of the U.S. Capitol Building from ROSTENKOWSKI, and [redacted] stated that he never received either item.

Investigation on 5/2/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673-770

by SA [redacted] TJB/tb Date dictated 5/3/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/18/94

[redacted] date of birth [redacted] Social Security Account Number [redacted] [redacted] was served a subpoena from the District of Columbia, which required her personal appearance before Federal Grand Jury (FGJ) 93-2 at 10:30 a.m. on Tuesday, April 26, 1994. b3

The interviewing Agent advised [redacted] that a subpoena had been issued for her personal appearance in Washington D.C. before a FGJ investigating DAN ROSTENKOWSKI. [redacted] verbally excepted service of the subpoena via a fax machine, and [redacted] was faxed a copy of the subpoena to her place of business. On April 18, 1994, at 12:39 p.m., the subpoena was faxed to [redacted]

On April 18, 1994, at 12:43 p.m. [redacted] telephonically contacted the interviewing Agent and verified that she had received the subpoena.

Investigation on 4/18/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673-771

by SA [redacted] TJB/tb Date dictated 4/18/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/19/94

[redacted] b6 b7C
[redacted], date of birth [redacted] Social Security number [redacted] was interviewed at the [redacted] was advised of the identities of the interviewing Agents and the nature of the interview and provided the following information:

[redacted] stated that he was an employee of the [redacted] from 1950 to 1989. [redacted] started out as [redacted] in 1950, and moved up the ranks from [redacted] and eventually to [redacted] After leaving the [redacted] took approximately a year and half off prior to December of 1990 when he was appointed [redacted] by Cook County Sheriff MIKE SHEAHAN. b6 b7C

[redacted] stated that approximately 45 years ago, [redacted] owned a tavern on Diversey, located in Chicago, Illinois, and sponsored a baseball team. [redacted] and DAN ROSTENKOWSKI played on the baseball team together, and they've been friends ever since. b6 b7C

[redacted] was asked if he had ever received a gift from ROSTENKOWSKI and [redacted] stated that he received an ash tray and an ice bucket. [redacted] stated that both gifts bear a congressional seal. [redacted] recalled that he received the ash tray about 27 years ago, just after moving into a new home. [redacted] believes that he received the ice bucket (approximately 10 years ago) as a gift for his 25th wedding anniversary. b6 b7C

[redacted] stated that he may have received a golf shirt from ROSTENKOWSKI after attending a golf outing sponsored by ROSTENKOWSKI at the NIPPERSINK COUNTY CLUB, but [redacted] could not recall receiving any other gifts from ROSTENKOWSKI. [redacted] stated that he did not receive a chair or a miniature replica of the U.S. Capitol Building from ROSTENKOWSKI. b6 b7C

Investigation on 5/18/94 at Chicago, Illinois File # 58C-WF-180673 -772

by SA [redacted] TJB/tb Date dictated 5/19/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

b6
b7C

[redacted] stated that [redacted] is good friends with [redacted] and introduced her to DAN ROSTENKOWSKI. [redacted] and his wife meet socially with [redacted] and DAN ROSTENKOWSKI, having dinner or celebrating anniversaries together, on an average of 8 to 10 times per year. [redacted] has visited the ROSTENKOWSKI's Wisconsin home on approximately ten occasions. The last time [redacted] was at ROSTENKOWSKI's Wisconsin home was approximately 2 years ago.

b6
b7C

[redacted] stated that he is not active in politics, but does attend 32nd Ward fundraisers. [redacted] also attended a meeting held at HARRY CARAY'S RESTAURANT, located in Chicago, Illinois, to promote contributions to ROSTENKOWSKI's legal defense fund. [redacted] stated that he got a call from [redacted] who told him about the meeting, and [redacted] donated $500 to ROSTENKOWSKI's legal defense.

b6
b7C

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/19/94

[redacted], date of birth [redacted] Social Security number [redacted], work address [redacted] was advised of the identity of the interviewing Agent and the nature of the interview and provided the following information: b6 b7C

[redacted] stated that he is a third or fourth cousin to ROSTENKOWSKI and has been a personal friend of ROSTENKOWSKI's for the past 55 to 60 years. b6 b7C

The interviewing Agent asked [redacted] if he had ever received a gift from DAN ROSTENKOWSKI. [redacted] stated that he received a couple ash trays and some beer mugs from ROSTENKOWSKI. [redacted] could not recall receiving any other gifts from ROSTENKOWSKI and stated that he did not receive a chair or a miniature replica of the U.S. Capitol Building from ROSTENKOWSKI. b6 b7C

[redacted] could not recall the circumstances surrounding his receipt of the ash trays or beer mugs, and [redacted] could not recall the dates he received the gifts. b6 b7C

(Telephonically)

Investigation on 5/19/94 at Chicago, Illinois File # 58C-WF-180673 -773

by SA [redacted] TJB/tb Date dictated 5/19/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 5/25/94

TO : SAC, WMFO

FROM : SAC, CHICAGO (58C-WF-180673) (P)

SUBJECT : PLATE BLOCK;
CFPO-LEGISLATIVE BRANCH;
OO:WMFO

Re: WMFO teletype to Chicago dated 3/31/94,

Enclosed for WMFO are the following:

1) One 1A Envelope containing a 1984 photograph of [redacted] with identifying data.

2) The original and two copies each of FD-302 interviews of [redacted] and [redacted]

b6
b7C

3) Eleven 1A Envelopes containing the original notes of the interviews of: [redacted]

4) The original FD-302 interview of [redacted]

5) The original and two copies each of an FD-302 documenting the receipt of letters received from [redacted] and his Attorney [redacted] and a 1A Envelope

2-WMFO (Enc. 78)
1-Chicago (58C-WF-180673)
TJB:tb
(3)

58C-WF-180673-774

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

containing the two letters.

6) One insert documenting the receipt of evidence obtained from [redacted], GSA Supervisory Realty Officer, Chicago, Illinois, regarding ROSTENKOWSKI's use of office space at 230 S. Dearborn Street, Chicago, Illinois. b6 b7C

7) One 1A Envelope containing the document evidence received from [redacted] GSA.

8) The original and one copy each of executed Federal Grand Jury (FGJ) subpoenas served on the following individuals/offices: [redacted] and [redacted] b3

9) Two copies of miscellaneous documents received from [redacted] pursuant to the Federal Grand Jury subpoenas referenced in item 8. b3

LEADS

CHICAGO DIVISION

AT CHICAGO, ILLINOIS

Will coordinate future leads.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/11/94

[redacted] was contacted at his former place of employment where he maintains an office. He was advised of the identity of the interviewing agent as well as the nature and purpose of the contact. He acknowledged this information and thereafter advised as follows: b6 b7C

He has been retired from [redacted] for over five years however knows Representative DAN ROSTENKOWSKI as that individual chaired an important House Committee which dealt with important company tax issues. He indicated he never personally met or talked with Mr. ROSTENKOWSKI and his knowledge of that individual is gleamed from contacts with [redacted] and the press. b6 b7C

[redacted] stated that he has never donated to Mr. ROSTENKOWSKI's election campaign nor has he been involved in any Democratic fundraiser involving the Representative. He has never received any momento of any type from ROSTENKOWSKI and doubts anyone in the company has received anything. He did volunteer to inquiry of the Government Affairs Department or any "gifts" had been provided by Mr. ROSTENKOWSKI. b6 b7C

[redacted] b3

Investigation on 4/8/94 at Los Angeles, Ca. File # 58C-WF-180673 -775

by SA [redacted] ch Date dictated 4/8/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/3/94

b6
b7C

[redacted] The Irvine Company 550 Newport Center Drive, Newport Beach, CA., (714) 720-2000 was advised as to the identity of the interviewing agent and the purpose of the interview at which time he advised as follows:

b6
b7C

[redacted] confirmed the fact as [redacted] he is responsible for facilitating political contributions to political campaigns in all levels of government.

[redacted] further confirmed in the past on a limited basis The Irvine Company has contributed to Congressman DAN ROSTENKOWSKI primarily through fund raisers. [redacted] as well as other representatives have attended the fund raisers.

b6
b7C

[redacted] has never received a gift from the Congressman or any individual associated with his staff or campaign committees. In addition [redacted] is certain no employees at The Irvine company have received any gifts from the Congressman.

b6
b7C

[redacted] advised to his knowledge no Irvine Company representative is well acquainted with the Congressman and/or his staff. No special relationship exists with the Congressman or his staff.

b6
b7C

[redacted] advised the company is represented by lobbyist [redacted], of BILL FERGUSON AND COMPANY in Washington DC. He is not aware of any special relationship between [redacted] and the Congressman"s office. He is also not aware of any gifts provided to [redacted].

b6
b7C

(telephonically)

Investigation on 5/3/94 at SANTA ANA, CA. File # 58C-WF-180673 -776

b6
b7C

by SA [redacted] Date dictated 5/3/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/13/94

[redacted] b6 b7C

Pacific Mutual Life Insurance Company (PMLIC), 700 Newport Center Drive, Newport Beach, CA., was advised as to the identity of the interviewing agent and the purpose of the interview at which time he advised as follows:

[redacted] stated his DOB is [redacted] and his SSAN is [redacted]

[redacted] stated he has been with PMLIC in the above position for approximately 10 years. As [redacted] he also is responsible for all Government Relations and maintains a staff to assist with interaction in local, state and Federal representatives. b6 b7C

[redacted] confirmed he is also [redacted] for the Pacific Mutual Life Insurance Political Action Committee. He stated virtually all contributions made by PMLIC come from the PAC. The PAC was in existence when he started with PMLIC. b6 b7C

[redacted] further stated the PMLIC retains two political lobbyists in the Washington DC area. Those individuals are constantly in touch with members of legislatures and their staffs both from the House and Senate. Those lobbyists are [redacted] and [redacted]

[redacted] stated [redacted] attend the vast majority of fund raisers where a representative of PMLIC is invited. The fees paid to the lobbyist are paid by PMLIC however all political contributions are paid from the PAC.

[redacted] stated in the past he has had limited contact with Congressman ROSTENKOWSKI and/or his staff. He is acquainted with [redacted] from ROSTENKOWSKI'S office however has not spoken to him in years. [redacted] has never been in consistent contact with ROSTENKOWSKI or his staff. He doesn't think ROSTENKOWSKI would even know [redacted] if they came in contact today. b6 b7C

Investigation on 4/8/94 at NEWPORT BEACH, CA. File # 58C-WF-180673 -777

by SA [redacted] Date dictated 4/13/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted] has never received a gift of any kind from ROSTENKOWSKI or his staff. [redacted] is not aware of gifts provided to any individual from the congressman or his staff.

[redacted] is aware representatives from PMLIC have in the past attended fund raisers for ROSTENKOWSKI, however those have been relatively few in numbers over the past 8 to 10 years.

[redacted] believes all contributions have been for $1000 and primarily were paid as entrance to a fund raiser. The PAC may have made a straight contribution although [redacted] can not recall when that took place.

[redacted] believes in 1986 he raised a total of $5000 for ROSTENKOWSKI as a request from [redacted] He cannot recall who he contacted to come up with the money. He believes the PMLIC PAC probably contributed $1000 of that money.

[redacted] recalls the $5000 was raised in connection with a reception held in Los Angeles to honor ROSTENKOWSKI. The reception was held at the home of [redacted]. In exchange for raising the money [redacted] was named a member of the organizing committee and along with other prominent individuals was listed on the invitation. He believes the $1000 from the PAC was paid to AMERICA'S LEADER FUND.

[redacted] advised their records indicate the PAC made the following contributions on the indicated dates:

6/4/86 - $1000

6/9/88 - $1000

3/19/92 - $1000

2/24/94 - $1000

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/4/94

[redacted] Pacific Mutual Life Insurance Company (PMLIC), 700 Newport Center Drive, Newport Beach, CA., was advised as to the identity of the interviewing agent and the purpose of the interview at which time he advised as follows: b6 b7C

[redacted] stated his Date of Birth is [redacted] and he was born in [redacted] His Social Security Account Number is [redacted].

[redacted] advised his home address is [redacted] from PMLIC in September of 1987 and has been [redacted] the company since that time. [redacted] maintains an office at the PMLIC at the above mentioned address. b6 b7C

[redacted] is familiar with CONGRESSMAN DAN ROSTENKOWSKI and confirmed that PMLIC had attended fund raisers and contributed to ROSTENKOWSKI in the past. [redacted] does not recall personally contributing to the Congressman.

[redacted] considers himself a former acquaintance of ROSTENKOWSKI, not a personal friend. He is confident ROSTENKOWSKI would not know [redacted] by name if they met again. [redacted] believes any contributions to ROSTENKOWSKI came from the PMLIC Political Action Committee (PAC). b6 b7C

[redacted] advised at no time has he ever received a gift from ROSTENKOWSKI or any of his staff members. He is not and has not been acquainted with anyone else from ROSTENKOWSKI'S staff. [redacted] is not aware of any gifts large or small provided by ROSTENKOWSKI or his staff. b6 b7C

[redacted] believes one of the fund raisers he attended in the past was organized by [redacted] in Los Angeles. In addition he believes [redacted] and [redacted] from the IRVINE COMPANY also may have organized some event for ROSTENKOWSKI. He believes those two individuals may have been more closely

Investigation on 3/31/94 at NEWPORT BEACH, CA. File # 58C-WF-180673-778

b6 b7C

by SA [redacted] Date dictated 4/4/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

associated with ROSTENKOWSKI and/or his staff members.

[redacted] further stated the PMLIC Public Affairs [redacted] is [redacted] and he suggests [redacted] be contacted in that he facilitates all political contributions and may be acquainted with ROSTENKOWSKI and his staff. In addition he may have additional knowledge regarding fund raisers of ROSTENKOWSKI and contributions made from the PAC of PMLIC.

b6
b7C

FD-36 (Rev. 8-29-85)

FBI

c-9

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 5/20/94

TO : ADIC, WMFO (58C-WF-180673)

FROM : SAC, LOS ANGELES (58C-WF-180673) (RUC)

SUBJECT : PLATE BLOCK;
OO: WMFO

Re Los Angeles airtel to WMFO dated 4/11/94, and Los Angeles teletype to WMFO dated 4/12/94.

b6
b7C

Enclosed for WMFO are the original and one copy each of FD-302's reflecting interviews with [redacted] and [redacted]

Also enclosed are notes of the above interviews and the original unserved subpoena directed to [redacted]

b6
b7C
b3

For information the subpoena to [redacted] was not served due to his cooperation and the fact no gifts were provided to [redacted]

As noted in the interview of [redacted] a grand jury subpoena was served directing an April 14, 1994 appearance.

It appears at this point no further investigation need be conducted by LA division and this matter is being placed in RUC status.

58C-WF-180673 -779

SEARCHED INDEXED
SERIALIZED FILED
MAY
FBI—WASHINGTON FIELD OFFICE

(2) - WMFO (Enc. 8)
1 - Los Angeles

GKM/
(3)

Approved: _____ Transmitted _____ (Number) (Time) Per _____

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 6/2/94

TO : SAC, WMFO

FROM : SAC, CHICAGO (58C-WF-180673) (P)

SUBJECT : PLATE BLOCK;
CFPO-LEGISLATIVE BRANCH;
OO:WMFO

Re: Chicago Airtel to WMFO dated 5/25/94.

Enclosed for WMFO are seven photographs of [redacted] taken 6/2/94, and maintained in a 1A Envelope.

The enclosed photos are being provided to WMFO to assist in a surveillance of [redacted] tentatively scheduled for June 6, 1994, at Washington D.C. On June 6th, the Chicago Division will have a surveillance team on standby to conduct a physical surveillance of [redacted] to determine the flight number and identifying data (i.e. clothing) for surveilling Agents waiting to pick up [redacted] at WASHINGTON INTERNATIONAL AIRPORT.

b6
b7C

It should be noted that the identifying data on [redacted] provided to WMFO in the referenced Airtel should be modified. [redacted] hair color should be changed from brown to a salt and pepper light brown.

LEADS

CHICAGO DIVISION

AT CHICAGO, ILLINOIS
Will coordinate future leads.

2-WMFO (Enc. 1)
1-Chicago (58C-WF-180673)
TJB:tb
(3)

58C-WF-180673-780

Approved: _____ Transmitted _____ (Number) _____ (Time) -Per- _____

06/27/94

********** INDICTMENT **********

SENSITIVE / UNCLASSIFIED

Case Number: 058C WF0180673
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 06/27/1994
Accom Date.: 05/31/1994

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC
Drugs	N		
A Fugitive.	N		
Bankruptcy Fraud.	N		
Computer Fraud/Abuse.	N		
Corruption of Public Officials:	Y		
Forfeiture Assets	N		

Subject Name

ROSTENKOWSKI, DANIEL

RA	Squad	Task Force
CRIM	C9	

Investigative Assistance or Technique Used

FINAN ANALYST	INFORMNT INFO	TECH AG/EQUIP
AIRCRAFT ASST	LAB DIV EXAMS	TEL TOLL RECS
COMPUTER ASST	LAB FIELD SUP	UCO-GROUP I
CONSEN MONITR	PEN REGISTERS	UCO-GROUP II
ELSUR/FISC	PHOTO COVERGE	UCO-OTHER
ELSUR/III	POLYGRAPH	NCAVC/VI-CAP
ENG FIELD SUP	SRCH WAR EXEC	VISUAL INVEST
ENG TAPE EXAM	SHOW MONEY	CRIS NEG-FED
HYPNOSIS ASST	SOG ASST	CRIS NEG-LOC
	SWAT TEAM	ERT ASST

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

b6
b7C
b7E

Serial Number of Indictment . . . . :

United States Code Violation

Title	Section	Counts
18	1341	4
18	1343	1
18	1512	1
18	641	7

Accomplishment Narrative

ALSO CHARGED WITH VIOLATIONS OF TITLE 18, SECTION 371, 3 COUNTS; TITLE 18, SECTION 2, ONE COUNT

SENSITIVE / UNCLASSIFIED

58C-WF-180673-781

FD-515 (Rev. 8-29-93)

Squad Supervisor Approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRA within 30 days from date of accomplishment)

Date Prepared 6/27/94
Date Loaded _____
Data Loader's initials _____

Does Accomplishment Involve: (check all that apply)	
Drugs	☐
A Fugitive	☐
Bankruptcy Fraud	☐
Computer Fraud/Abuse	☐
Corruption of Public Officials	☒
Forfeiture Assets	☐

File Number
58C-WF-180673

Stat Agent Soc Sec No

RA	Squad
NUMRA	C-9

Task Force

Assisting Agents Soc. Sec. No.×	
1.	– –
2.	– –

Assisting Joint Agencies ×●
1. U.S. P.I.S.
2. CAP POLICE

Serial No. of FD-515

Investigative Assistance or Technique Used

1 = Used, but did not help
2 = Helped, minimally
3 = Helped, substantially
4 = Absolutely essential

IAT	Rating	IAT	Rating	IAT
Financ. Analyst Asst.		Informant Info.		Tech. Agnt.or Equip.
Aircraft Assistance		Lab. Div. Exams		Telephone Toll Recs.
Computer Assistance		Lab. Div. Field Supt.		UCO - Group I
Consensual Monitor		Pen Registers		UCO - Group II
ELSUR / FISC		Photograph Coverage		UCO - Other
ELSUR / Title III		Polygraph Assistance		NCAVC / VI - CAP
Eng. Sect. Field Supt.		Search Warrants Exec.		Visual Invest. Anal.
Eng. Sect. Tape Exams		Show Money Usage		Crisis Neg. - Fed.
Hypnosis Assistance		SOG Assistance		Crisis Neg. - Local
		Swat Team Action		ERT Assistance

b6
b7C
b7E

A. Complaint / Information / Indictment
Complaint Date _____
Check if Civil/ Rico Complaint ☐
Information Date _____
Indictment Date 3/31/94
Also Complete Section I

B. Arrest / Locate / Summons
Arrest Date _____
☐ Federal ☐ Local ♦
Subject Priority: ☐ A ☐ B ☐ C
☐ Subject Resisted
☐ Subject was Armed
Locate Date _____
Subject Priority: ☐ A ☐ B ☐ C
Summons Date _____
☐ Federal ☐ Local ♦

C. Hostage(s) Released Date _____
Released by: ☐ Terrorists ☐ Other
Number of Hostages: _____
Child Located Date _____

D. Recovery / Restitution / Potential Economic Loss Prevented (PELP) × ✦
Recovery Date _____
☐ Federal ☐ Local
Restitution Date _____
☐ Court Ordered
☐ Pretrial Diversion
PELP Date _____

Code •	Amount	Code •	Amount
	$		$
	$		$
	$		$

E. Civil / Rico Matters Date _____
Also Complete Section I
Judgment ____ ____ •
Judicial Outcome ____ ____ × •
Fines: $ _____
Suspension:

Years	Months

F. AFA Payment Date _____
Amount: $ _____

G. Administrative Sanctions Date _____
Type:
☐ Suspension
☐ Debarment
☐ Injunction
Length:
☐ Permanent
☐

Years	Months

Subject Description Code ____ ____ •

H. Final Judicial Process Conviction
Also Complete Section I
Date of Conviction: _____
Date of Sentence: _____
Subject Description Code: ____ ____ •
Judicial State: _____ District: _____
Sentence type: ____ ____ ____ ____ •
Sentence Term:

In-Jail Years	In-Jail Months	Suspended Years	Suspended Months	Probation Years	Probation Months

Fines: $ _____

☐ Federal
☐ Local ♦

☐ Felony
☐ Misdemeanor

☐ Plea
☐ Trial

I. U.S. Code Violations TOTAL (17)

Title	Section	Counts
18	1341	(4)
18	1343	(1)

Title	Section	Counts
18	1512	(1)
18	641	(8)

Required for Sections A, E, and H (Conviction) 18 - 371 (3)
Optional for Section B (arrest) 18 - 2 - (1)

Acquittal / Dismissal / Pretrial Diversion
Acquittal Date _____
Dismissal Date _____
Pretrial Diversion Date _____

(1)

J. Subject Information (Required for Sections A, B, D (Restitution), E, F, G, and H)

Name	Date of Birth	Race •	Sex	Place of Birth (if available)	Social Security No. (if available)
ROSTENKOWSKI, DANIEL [redacted]	1/2/28	W	M	CHICAGO, ILLIN	335-32-0299

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (Submit form FD-515a for indictments and convictions only)

× Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)
• See codes on reverse side.

For Further Instructions See: MAOP, [illegible]II, Sections 3-5 thru 3-5.3.

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negotiable Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

JOINT AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOI Dept. of Interior
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Administration
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCS U.S. Customs Service
USDS U.S. Department of State
USSS U.S. Secret Service
USTR U.S. Treasury
ST State
LOC Local
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERMENT SUBJECTS

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/24/94 b6 b7C

On May 16, 1994, Special Agent (SA) [redacted] received an envelope enclosing documents pertaining to the activities of [redacted] during the year 1984. The documents were provided by [redacted] Date of Birth [redacted] Social Security Number [redacted]

In earlier interviews of [redacted], dated 4/19/94 and 5/9/94, [redacted] stated that [redacted] appeared in ACTORS ACADEMY OF CHICAGO (AAC) performances in January and February, 1984. Enclosed with the above mentioned documents was a copy of an invitation to the premiere performance of "PICK", being held on 1/26/84. Also included, was a program for "PICK" which indicates that [redacted] performed the part of PICK HERSHEY. The program also indicates that, "PICK is the culmination of ten weeks' study and rehearsal by it creators and performers."

Also included in the envelope, were the "ARTICLES OF INCORPORATION", Certificate of Incorporation, and related documents of DAVID PUSZH DANCE COMPANY (DPDC), which lists [redacted] The "ARTICLES OF INCORPORATION" were executed on 11/19/84, and the DPDC was incorporated on 12/3/84.

The above referenced envelope and its' enclosures were placed into the 1A Exhibit Section of this file.

Investigation on 5/16/94 at Chicago, Illinois File # 58C-WF-180673 -182

by SA [redacted] TJB/tb Date dictated 5/24/9 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/3/94

[redacted], date of birth, [redacted] social security number [redacted] telephone number [redacted] was interviewed at the Federal Bureau of Investigation office and accompanied by his attorney [redacted] After being advised of the identities of everyone present and the nature of the interview, [redacted] provided the following information: b6 b7C

[redacted] advised that he has known DAN ROSTENKOWSKI for approximately 25 years, but was unable to recall how he met him. [redacted] considers ROSTENKOWSKI as a friend. [redacted] stated that he has made contributions to ROSTENKOWSKI's campaign, normally when there is a fund raiser. b6 b7C

[redacted] advised that he received a capital chair from ROSTENKOWSKI. He believes the chair was delivered to his business located at [redacted] This was a gift to [redacted] which was received within the last ten years. He was unable to recall any other details in regards to the chair. The chair is currently located at his residence in [redacted] b6 b7C

[redacted] remembers receiving a crystal capitol from ROSTENKOWSKI, although he has not been able to locate it. [redacted] could not recall when he received the crystal capitol, how it was delivered, or whether he sent ROSTENKOWSKI a thank you note. b6 b7C

In addition, [redacted] has received mugs, glasses and a sweater. He might have received a bicentennial plate and stand but was unable to recall. He believes that he has received the above gifts within the last ten years but was unable to provide specific dates. b6 b7C

[redacted] advised that he would make the capital chair available for photographs if necessary. b6 b7C

Investigation on 5/2/94 at Chicago, Illinois File # 58C-WF-180673-783

by SA [redacted] /jmb Date dictated 5/3/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302a (Rev. 11-15-83)

58C-WF-180673

Continuation of FD-302 of [redacted], On 5/2/94, Page 2

b3
b6
b7C

[redacted]

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/16/94

[redacted] (NMN) [redacted] (aka: [redacted], Date of Birth [redacted] Social Security number [redacted] was interviewed at the law offices of [redacted] and was accompanied by his Attorney [redacted] Also present during the interview was Assistant United States Attorney (AUSA) [redacted] After being advised of the identities of everyone present and the nature of the interview, [redacted] provided the following information: b6 b7C

[redacted] is currently [redacted] and was originally appointed to that position by former Illinois Governor JAMES THOMPSON. [redacted] term as a [redacted] expires in 1998. b6 b7C

[redacted] is also on the Board of Directors of the STATE STREET COUNSEL which [redacted] described as a business group that is responsible for the revitalization of State Street, in Chicago, Illinois.

From 1955 to 1985, [redacted] was employed by [redacted] retired from [redacted] in 1985 as [redacted] and is currently a [redacted] stated that as part of his duties as a [redacted] at various charitable and civic functions. b6 b7C

From 1947 to 1955, [redacted] was [redacted] for the CITY OF CHICAGO, CITY COUNSEL. [redacted] stated that he met DAN ROSTENKOWSKI casually during his years as [redacted] because he and ROSTENKOWSKI were on the CITY COUNSEL Baseball Team together. b6 b7C

[redacted] stated that his relationship with ROSTENKOWSKI picked up again around 1969. [redacted] stated that he plays golf with, and has dinner with ROSTENKOWSKI. [redacted] estimated that he sees ROSTENKOWSKI approximately ten times a year, and [redacted]

Investigation on 5/11/94 at Chicago, Illinois File # 58C-WF-180673-784

by SA [redacted] TJB/tb Date dictated 5/16/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

b6
b7C

stated that, for the past ten years, [redacted] has attended an annual New Years dinner held at ROSTENKOWSKI's residence in Chicago, Illinois.

[redacted] stated that he has given advise to ROSTENKOWSKI in the past, and ROSTENKOWSKI uses [redacted] as a sounding board for social and legislative issues. [redacted] stated that ROSTENKOWSKI wants to know what's going on in his community and solicits input from others. As a personal example, [redacted] stated that ROSTENKOWSKI wants to tax Social Security, and [redacted] told ROSTENKOWSKI that he [redacted] doesn't want ROSTENKOWSKI to tax Social Security, but ROSTENKOWSKI is going to vote for it anyway.

b6
b7C

b6
b7C

[redacted] stated that he has attended informal dinners with ROSTENKOWSKI wherein ROSTENKOWSKI has solicited his opinion on issues that effect local businesses in the Chicago area. [redacted] provided the names of some of the individuals that attended these informal dinners: [redacted] (once or twice), and maybe [redacted]

b6
b7C

[redacted] advised that he received a chair, a miniature replica of the U.S. Capitol Building, one or two plates, and a golf sweater from ROSTENKOWSKI.

[redacted] stated that he received the chair approximately a year or two ago. [redacted] could not recall the exact date or time of year he received the chair, but [redacted] stated that it was not at the annual New Year's dinner. [redacted] recalled that he and his wife were waiting outside of ROSTENKOWSKI's residence, located on Evergreen Street in Chicago, Illinois, when ROSTENKOWSKI carried the chair out to [redacted] car. [redacted] could not recall a card or note accompanying the chair, but [redacted] stated that ROSTENKOWSKI probably said, "I got something for you." and gave [redacted] the chair. [redacted] does not know why he was given the chair, and was never asked to reimburse ROSTENKOWSKI for the chair. [redacted] stated that the chair is currently located at his residence in Skokie, Illinois.

b6
b7C

b6
b7C

[redacted] indicated that he has no recollection regarding the replica of the U.S. Capitol Building. [redacted] could not recall when, where, or how he received the replica, and does not know where it is currently located.

b6
b7C

[redacted] has made no contributions to ROSTENKOWSKI's charities or political funds and [redacted] does not know what AMERICA'S LEADERS FUND is. [redacted] however, did make a $2,500 contribution to ROSTENKOWSKI's legal defense fund, and has asked others, i.e., [redacted], to donate.

b6
b7C

[redacted] was asked if he had spoken with any of ROSTENKOWSKI's attorneys prior to this interview, and [redacted] stated that he received a telephone call within the last two weeks from [redacted] stated that [redacted] told him that someone within the federal government would probably being contacting [redacted]

b6
b7C

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 5/24/94

[redacted] Date of Birth [redacted], Social Security number [redacted] was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information: b6 b7C

[redacted] was an employee for Congressman JOHN G. FARY from the Fall of 1975 until 1983. [redacted] stated that FARY was elected to the UNITED STATES HOUSE OF REPRESENTATIVES (USHR) in a special election held in 1975, to fill a vacancy created by the death of Congressman JOHN C. KLUCZYNSKI.

[redacted] stated that when FARY was elected to the USHR he kept many of KLUCZYNSKI's employees and moved into KLUCZYNSKI's office at 230 S. Dearborn Street, Chicago, Illinois. [redacted] stated that ED PIENCZAK was KLUCZYNSKI's Administrative Assistant (AA), and FARY didn't want to demote PIENCZAK so he made PIENCZAK his AA in title only. [redacted] stated that he was FARY's real AA, but was not given the title until 1978. [redacted] stated that sometime in 1978, PIENCZAK and FARY had a disagreement, and PIENCZAK left FARY's employment and went to work for DAN ROSTENKOWSKI. PIENCZAK told [redacted] that he wanted to work for ROSTENKOWSKI so he could build up his pension. b6 b7C

[redacted] assisted the interviewing Agent in making a sketch of the layout of FARY's and ROSTENKOWSKI's Office space at 230 S. Dearborn Street, Chicago, Illinois. [redacted] stated that from the Fall of 1975 to 1977, FARY's Office (formerly KLUCZYNSKI's Office) had an adjoining interior doorway with ROSTENKOWSKI's Office. [redacted] stated that, for approximately one and a-half years, beginning in the Fall of 1975, the only ROSTENKOWSKI employee he saw at ROSTENKOWSKI's office at 230 S. Dearborn Street, was ROSTENKOWSKI's AA WALTER NEGA. [redacted] stated that NEGA was at the office on an irregular basis and [redacted] had no idea what NEGA was doing at the office. b6 b7C

Investigation on 5/20/94 at Chicago, Illinois File # 58C-WF-180673 -785

by SA [redacted] TJB/tb Date dictated 5/24/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

b6
b7C

In 1977, FARY's Office moved down the hallway at 230 S. Dearborn Street, Chicago, Illinois, into what use to be the UNITED STATES BOND OFFICE. [redacted] stated that ROSTENKOWSKI's Office was reduced in size, and there was no longer an adjoining doorway between FARY's and ROSTENKOWSKI's office. [redacted] stated that ROSTENKOWSKI's Office became a small one room office with a single desk and telephone. [redacted] stated that from approximately 1978 until 1980, PIENCZAK was answering phones and working immigration cases at ROSTENKOWSKI's office at 230 S. Dearborn Street, Chicago, Illinois. [redacted] stated that he saw PIENCZAK at least once a week walking to ROSTENKOWSKI's office, but the door was closed most of the time, so [redacted] could not verify that PIENCZAK was there on a daily basis. [redacted] had no idea what PIENCZAK did after 1980 because FARY moved his office out of 230 S. Dearborn Street, Chicago, Illinois, and [redacted] never saw PIENCZAK again.

[redacted] stated that ELEANOR GEORGALAS was on FARY's payroll and worked immigration cases for FARY. [redacted] stated that GEORGALAS also helped PIENCZAK, when he was employed by ROSTENKOWSKI, with his immigration cases. [redacted] stated that when FARY moved out of 230 S. Dearborn Street, GEORGALAS was assigned to manage FARY's office located at 5200 or 5300 S. Cicero Avenue, Chicago, Illinois. Approximately twelve to eighteen months after the move, GEORGALAS put in her resignation letter. [redacted] stated that GEORGALAS wanted to be closer to home, and told [redacted] that she was going to work for ROSTENKOWSKI at his Damen Street Office. [redacted] stated that he never saw or spoke with GEORGALAS again.

b6
b7C

[redacted] stated that the only ROSTENKOWSKI employees that worked at 230 S. Dearborn Street, Chicago, Illinois, were WALTER NEGA, ELEANOR GEORGALAS, and ED PIENCZAK.

b6
b7C

[redacted] stated that if someone was working at ROSTENKOWSKI's Dearborn Street Office on a regular basis, he would have known about it. [redacted] stated that he had never heard of [redacted] and no one with the name [redacted] ever worked for ROSTENKOWSKI at 230 S. Dearborn Street, Chicago, Illinois, while FARY's Office was located at 230 S. Dearborn Street.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 6/23/94

b6
b7C

[redacted], Date of Birth [redacted] Social Security Number [redacted] was advised of the identity of the interviewing Agent and the nature of the interview, and provided the following information:

[redacted] stated that she has lived at [redacted] since she was three years old. [redacted] stated that [redacted] and she goes shopping with [redacted] every week. [redacted] stated that [redacted] of IRENE and ROBERT RUSSO, and [redacted] considers the RUSSO's her [redacted]

[redacted] stated that she never visited DAN ROSTENKOWSKI's District Office which was located on Damen Avenue, in Chicago, Illinois. [redacted] stated that she could not confirm the RUSSO's employment with ROSTENKOWSKI, and she had never seen the RUSSO's working for ROSTENKOWSKI. [redacted] stated that she had heard through "family talk" that ROBERT RUSSO cleaned ROSTENKOWSKI's Damen Office, and IRENE RUSSO cleaned ROSTENKOWSKI's house in Chicago, Illinois. [redacted] could neither recall the date or year she found out about the RUSSO's employment with ROSTENKOWSKI, nor could she recall which family member first mentioned it.

b6
b7C

At this point in the interview, [redacted] stated that just prior to the interviewing Agents arrival, she received a telephone call from the RUSSO's attorney. [redacted] stated that she could not remember the attorney's name, but the attorney wanted [redacted] to call him back after the interview to tell him what the interviewing Agent had asked. [redacted] was not made aware of the interviewing Agents line of questioning until the interviewing Agent arrived at [redacted] residence. When the interview was scheduled on 6/20/94, SA [redacted] advised [redacted] that he wished to ask her some questions in regards to an ongoing investigation of DAN ROSTENKOWSKI.]

b6
b7C

Investigation on 6/22/94 at Chicago, Illinois File # 58C-WF-180673-786

b6
b7C

by SA [redacted] TJB/tb TJB Date dictated 6/23/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted] was asked how the RUSSO's attorney found out about the interview, and [redacted] stated that she had mentioned to [redacted], that she was being interviewed by the FEDERAL BUREAU OF INVESTIGATION (FBI). [redacted] stated that her mother called the [redacted] who in turn called the RUSSO's.

[redacted] stated that ROBERT RUSSO worked every other week at a water pumping station located on Lake Michigan. [redacted] stated that ROBERT RUSSO worked seven days on and seven days off for the water department, and [redacted] heard that ROBERT RUSSO was cleaning ROSTENKOWSKI's District Office every other week, when he wasn't out on Lake Michigan. [redacted] was asked if she was recently told about RUSSO's employment for ROSTENKOWSKI, and [redacted] stated that she couldn't recall when or how she found out about it. [redacted] reiterated that it was probably through "family talk" that she had heard about the RUSSO's employment with ROSTENKOWSKI.

b6
b7C

b6
b7C

[redacted] stated the RUSSO's moved out of their Noble Street apartment at least three years ago. [redacted] stated the RUSSO's live in Wisconsin in a home owned by [redacted] [redacted] stated that, since the RUSSO's moved to Wisconsin, IRENE has baby-sat three to four kids on a daily basis and would not have time to clean the ROSTENKOWSKI's residence located in Wisconsin.

[redacted] stated that she has no recollection of ROBERT RUSSO being robbed.

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 7/1/94

WMFO/EO

TO : SAC, WMFO

FROM : SAC, CHICAGO (58C-WF-180673) (P)

SUBJECT : PLATE BLOCK;
CFPO-LEGISLATIVE BRANCH;
OO:WMFO

b6
b7C

Enclosed for WMFO are the following:

1) The original and two copies each of FD-302 interviews of [redacted], and [redacted]

2) Four 1A Envelopes containing the original notes of the interviews of: [redacted] and [redacted]

3) The original and two copies each of an FD-302 documenting the receipt of evidence from [redacted] regarding [redacted]

b6
b7C

4) One 1A Envelope containing the evidence provided by [redacted]

5) A copy of an FD-302 interview of [redacted], who provided information regarding DAN ROSTENKOWSKI, and IRENE RUSSO.

6) The original and one copy of an executed Federal Grand Jury (FGJ) subpoena served on [redacted]

b3

2-WMFO (Enc. 23)
1-Chicago (58C-WF-180673)
TJB:tb
(3)

58C-WF-180673-787

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
JUL 05 1994
FBI — WASH. METRO FIELD OFFICE

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

58C-WF-180673

LEADS

CHICAGO DIVISION

AT CHICAGO, ILLINOIS

Will coordinate future leads.

C-9

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 8/11/94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

SUBJECT: PLATE BLOCK; OO:WMFO

THE USA FOR THE DISTRICT OF COLUMBIA HAS REQUESTED THE RE-INTERVIEW OF [redacted] REGARDING THE CAPTIONED MATTER. THE PURPOSE OF THIS RE-CONTACT IS TO DETERMINE [redacted] KNOWLEDGE OF [redacted] INVOLVEMENT IN THE REFURBISHING OF THE NOBLE AND EVERGREEN STREET PROPERTY OWNED BY DAN ROSTENKOWSKI.

b6
b7C

LEAD:

CHICAGO AT CHICAGO, ILLINOIS:

INTERVIEW [redacted] DOB [redacted] SSAN [redacted]

[redacted]

b6
b7C

58C-WF-180673-789

Approved: ADIS Original filename: 1es001w.223

Time Received: Telprep filename: 1es001s0.223

MRI/JULIAN DATE: 136 1224 ISN: 020

FOX DATE & TIME OF ACCEPTANCE: 8/11/94 SLH 9:36

[redacted]. DETERMINE [redacted] KNOWLEDGE OF THE INVOLVEMENT, AT ANY TIME, OF [redacted] IN THE CONSTRUCTION WORK CONDUCTED AT THE ROSTENKOWSKI NOBLE AND EVERGREEN PROPERTY. SHOULD [redacted] HAVE KNOWLEDGE OF [redacted] INVOLVEMENT, ATTEMPT TO DETERMINE ALL POSSIBLE INFORMATION INCLUDING, BUT NOT LIMITED TO, THE TIME PERIOD(S) THAT [redacted] WORKED, HOW HE WAS PAID, HOW MATERIALS HE MAY HAVE UTILIZED WERE PAID FOR AND OTHER JOBS OR EMPLOYMENT THAT [redacted] MAY HAVE HELD DURING THE SAME TIME PERIOD(S) HE WORKED FOR ROSTENKOWSKI.

b6
b7C

BT

0000 MRI 00136

RR FBICG

DE FBIWMFO #0020 2240951

ZNR UUUUU

R 120140Z AUG 94

FM FBI WMFO (56C-WF-180673) (P) (C-9)

TO FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

SUBJECT: PLATE BLOCK; OO:WMFO.

THE USA FOR THE DISTRICT OF COLUMBIA HAS REQUESTED THE RE-INTERVIEW OF [redacted] REGARDING THE CAPTIONED MATTER. THE PURPOSE OF THIS RE-CONTACT IS TO DETERMINE [redacted] KNOWLEDGE OF [redacted] INVOLVEMENT IN THE REFURBISHING OF THE NOBLE AND EVERGREEN STREET PROPERTY OWNED BY DAN ROSTENKOWSKI.

b6
b7C

LEAD:

CHICAGO AT CHICAGO, ILLINOIS:

INTERVIEW [redacted], DOB [redacted], SSAN [redacted]

b6
b7C

56C-WF-180673-789

[redacted] DETERMINE [redacted] KNOWLEDGE OF THE INVOLVEMENT, AT ANY TIME, OF [redacted] IN THE CONSTRUCTION WORK CONDUCTED AT THE ROSTENKOWSKI NOBLE AND EVERGREEN PROPERTY. SHOULD [redacted] HAVE KNOWLEDGE OF [redacted] INVOLVEMENT, ATTEMPT TO DETERMINE ALL POSSIBLE INFORMATION INCLUDING, BUT NOT LIMITED TO, THE TIME PERIOD(S) THAT [redacted] WORKED, HOW HE WAS PAID, HOW MATERIALS HE MAY HAVE UTILIZED WERE PAID FOR AND OTHER JOBS OR EMPLOYMENT THAT [redacted] MAY HAVE HELD DURING THE SAME TIME PERIOD(S) HE WORKED FOR ROSTENKOWSKI.

b6
b7C

BT

#0020

NNNN

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/1/94

[redacted] work address [redacted] was advised of the identity of the interviewing Agent and the purpose of the interview, and provided the following information:

b6
b7C

[redacted] stated that approximately 35 years ago she was on the Women's Board at the CONVENT OF THE SACRED HEART SCHOOL (CSHS) located in Chicago, Illinois. [redacted] stated that she had nieces and nephews who attended CSHS with [redacted] and [redacted] [redacted] stated that CSHS was active in many after school functions, and [redacted] met [redacted] at these functions.

[redacted] also tutored [redacted] and [redacted] in Algebra and Geometry at the ROSTENKOWSKI residence located on Noble and Evergreen Street, Chicago, Illinois.

b6
b7C

[redacted] stated that VERN-LYN REAL ESTATE was set up by [redacted] and [redacted] sometime in the 1980's to manage a property identified as 1368 W. Evergreen, Chicago, Illinois. [redacted] stated that [redacted] were equal [redacted] in the 1368 W. Evergreen venture. [redacted] stated that [redacted] paid $7,500 a piece for the down-payment on the property, and took out a mortgage of $25,000. [redacted] could not remember the name of the previous owner, or the individual who told her that 1368 W. Evergreen was for sale.

[redacted] stated that toilets and gas meters lined the hallways at 1368 W. Evergreen and after [redacted] [redacted] purchased the building they had it renovated. [redacted] was asked to identify the individual(s) who did the renovation work, and [redacted] indicated that she didn't want to answer anymore questions. [redacted] identified her attorney as [redacted] and a tentative date to continue the interview was set for July 6, 1994.

b6
b7C

Investigation on 6/30/94 at Chicago, Illinois File # 58C-WF-180673-790

by SA [redacted] TJB/tb Date dictated 7/1/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/26/94

[redacted] date of birth [redacted], Social Security Account Number [redacted] was advised of the identity of the interviewing Agent and the purpose of the interview, and provided the following information: b6 b7C

[redacted] stated that from the late 1970's to early 1980's, when he frequently went up to Lake Benedict, Wisconsin, many of the residents had gas storage tanks on the lake to fuel their boats. [redacted] stated that ROSTENKOWSKI didn't have a gas tank on the lake, and would fill-up his boats with gas at BENEDICT'S LANDING, a restaurant/lounge located on Lake Benedict. [redacted] stated that the gas tanks were removed from the lake around 1988 or 1989 for environmental reasons, and [redacted] does not know how ROSTENKOWSKI currently fuels his boats. [redacted] stated that, in the 1980's, ROSTENKOWSKI had a pontoon boat, Bayliner, 14 foot Starcraft, Jet-Ski, and a row boat, all of which had engines. [redacted] does not know how many boats ROSTENKOWSKI currently owns. b6 b7C

[redacted] stated that ROSTENKOWSKI ran a tab at the TWIN LAKES GARAGE, Twin Lakes, Wisconsin. [redacted] stated that on at least two occasions he had driven one of ROSTENKOWSKI's vehicles to the garage to fill-up with gas. [redacted] stated that TWIN LAKES GARAGE was a full service garage, and when one of ROSTENKOWSKI's vehicles drove up, the gas station attendants would recognize ROSTENKOWSKI's license plates and would put the gas expense on ROSTENKOWSKI's tab. [redacted] does not recall ever having to sign a receipt for the gas that was placed on ROSTENKOWSKI's tab. [redacted] does not know [redacted] and does not know how ROSTENKOWSKI paid his bills due TWIN LAKES GARAGE. b6 b7C

[redacted] stated that he vaguely remembers ROSTENKOWSKI's daughters purchasing gas from TWIN LAKES GARAGE on ROSTENKOWSKI's tab.

Investigation on 8/24,26/94 at (Telephonically) Chicago, Illinois File # 58C-WF-180673-791

by SA [redacted] TJB:tb Date dictated 8/26/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted] was asked if he knew of any other gas stations where ROSTENKOWSKI ran a tab, and [redacted] stated that ROSTENKOWSKI had a running tab at CASEY's AMACO located on the corner of Division and Noble Streets, Chicago, Illinois. [redacted] never purchased gas at CASEY's AMACO on ROSTENKOWSKI's tab, but stated he believed he heard about ROSTENKOWSKI's arrangement from [redacted]

b6
b7C

58C-WF-186673-791

SEARCHED

SERIALIZED

1954

[redacted]

b6
b7C

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/25/94

[redacted] Date of Birth [redacted] b6 b7C
Social Security number [redacted]
[redacted] was advised of the identity of the interviewing Agents and the purpose of the interview, and provided the following information:

[redacted] stated that he had seen [redacted] doing maintenance work for ROSTENKOWSKI at 1347-49 N. Noble, Chicago, Illinois, and 2148 N. Damen Avenue, Chicago, Illinois. [redacted] stated that in 1986, when he was doing tuck-pointing work on 2148 N. Damen Avenue, he observed [redacted] fixing the roof after it was damaged by lightning. [redacted] recalled that the damage appeared to be minor, but [redacted] was making a big deal about it. b6 b7C

[redacted] stated that [redacted] put in new windows at 2148 N. Damen Avenue, and put up aluminum siding on the back of the building. [redacted] stated that when he was doing the window and aluminum work at 2148 N. Damen Avenue, he saw [redacted] almost every day. [redacted] could not recall what work [redacted] was doing, but [redacted] described [redacted] as a maintenance man for ROSTENKOWSKI. b6 b7C

[redacted] stated that from 1989 to 1991, [redacted] made improvements to ROSTENKOWSKI's property at 1372 W. Evergreen Avenue, and 1347-49 N. Noble, Chicago, Illinois. [redacted] stated that during that time, [redacted] had a small workshop located on the first floor of 1347-49 N. Noble, Chicago, Illinois. The workshop contained small pieces of machinery and hand tools, and [redacted] stated that [redacted] was doing some kind work on the first floor. [redacted] could not specify what work [redacted] was doing at 1347-49 N. Noble, because [redacted] was doing a lot of work on the roof and didn't concern himself with [redacted] stated that ROSTENKOWSKI supervised, and meticulously checked, [redacted] work. b6 b7C

Investigation on 8/25/94 at Chicago, Illinois File # 58C-WF-180673 - 792

by SA [redacted] TJB/tb 793 Date dictated 8/25/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

58C-WF-180673-792

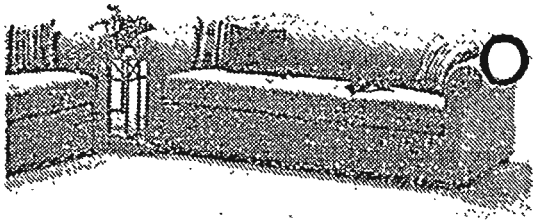
SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____

1 1994

[redacted]

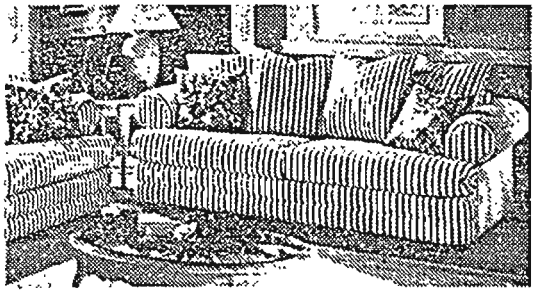
b6
b7C

58C-WF-180673-793



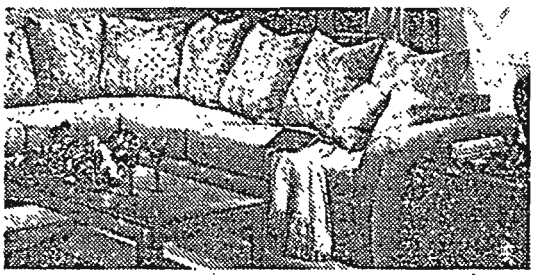
back sleeper and matching
:ral fabric with decorative
ıerspring mattress.

SLEEPER & LOVESEAT
$599.95



ed set with accent corner
:e innerspring mattress.

SLEEPER & LOVESEAT
$799.95



ueen sectional sleeper.
:ress. List $1680

SALE
$699.95

:r SALE
ft
g $699.95

High back leather and leather match with innerspring mattress.
List $1779

SALE
$799.95

', MANY MORE NOT SHOWN!

suggested retail list price. This list price may not have been made by other stores in this same marketing area

MENTS, NO FINANCE CHARGES
FOR 3 MONTHS

Serving Chicagoland since 1975

le Sofas
:rt into great beds"
12) 281-7650

versies at the Illinois Toll Highway Authority on the man at the top—Gov. Edgar, her Republican opponent.

Netsch, the state comptroller, said Edgar can't escape responsibility for the extra pension system set up by the authority's board for top nonunion officials. The extra pensions are funded by the coins motorists toss into toll baskets.

Although the tollway-only pension plan began in July, 1990, six months before Edgar took office, Netsch said it represents the "old-style politics that Jim Edgar claimed he was going to do away with, and he certainly did not."

But an Edgar spokesman said the governor already told the authority board they should get rid of the extra pension system, which was designed to supplement the regular state retirement plan for about 460 nonunion employees.

"The governor has taken action to make sure the tollway authority

state income tax. Under her plan, the new tax revenue would be used to increase school funding and provide property tax relief.

Illinois Auditor General William Holland uncovered the second pension system earlier this year. Last week, the authority said at least 62 officials have taken lump-sum buyouts from the system since it began.

One of those officials was Robert Hickman, the authority's former executive director and a longtime friend of the governor. Hickman, who retired earlier this year amid controversy over his use of the authority's helicopter and over a no-bid contract awarded to a firm employing his son, collected $83,863.

Edgar replaced Hickman with Ralph Wehner, a transportation professional. Wehner and other officials are examining whether the special pension fund should be restructured or eliminated.

Kotlarz Hit for Nondisclosure

By Ray Long
Staff Writer

A Chicago lawmaker who invested $150,000 in a company doing work with the tollway should have disclosed it on his economic interest statements, the state treasurer charged Thursday.

"If it wasn't on his disclosure form, it should have been," said Patrick Quinn, whose office is custodian of tollway funds. "That's the whole idea of that law."

State Rep. Joseph Kotlarz, a Northwest Side Democrat and former alderman, invested in a Massachusetts-based subcontractor of the company the tollway hired to produce a scanner system known as I-Pass.

Kotlarz could not be reached for comment.

He sat on the Illinois Toll Highway Authority's advisory board from April, 1993, until Wednesday, when he was removed by House Speaker Michael Madigan (D-Chicago). Madigan's spokesman said Kotlarz had asked to be removed in May.

Within four months of joining the advisory board, Kotlarz had invested $50,000 in AT/Comm Inc. and later invested the rest, the company said.

In a brief statement, a spokesman said the attorney general's office is not investigating Kotlarz or the toll highway authority.

Opera Center Chief to Retire

Andrew Foldi, director of the Lyric Opera Center for American Artists, has announced his retirement effective August, 1995.

Foldi, 68, has been the director of the Opera Center, the Lyric's resident training center, since 1991.

The center offers year-round education and performance opportunities for young American singers. Its nine to 12 ensemble members are chosen from more than 400 who audition.

Foldi cited the physical demands of his position as his reason for retiring.

"During Andrew Foldi's all-too-brief but most fruitful tenure here, the Opera Center has achieved new levels of artistic achievement and prestige," said Ardis Krainik, general director of the Lyric Opera.

Andrew Foldi

A Budapest-born bass-baritone, Foldi began his opera career at the Lyric in the company's first season, 1954. He sang with the Lyric through 1959. In 1974, he returned as Sancho Panza in Nicolai Ghiaurov's "Don Quichotte." Most recently he was seen as Benoit in the 1992-93 production of "La Boheme."

CORRECTION NOTICE

In the Thursday South Food Section the ad on page 7 for Liquor Barn should have read:

MILLER LITE or Miller Genuine Draft

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 8/29/94

TO : SAC, WMFO

FROM : SAC, CHICAGO (58C-WF-180673) (P)

SUBJECT : PLATE BLOCK;
CFPO-LEGISLATIVE BRANCH;
OO:WMFO

RE WMFO teletype to Chicago dated 8/12/94.

Enclosed for WMFO are the following:

1) The original and two copies each of FD-302 interviews of [redacted], and [redacted] b6 b7C

2) Three 1A Envelopes containing the original notes of the interviews of: [redacted], and [redacted]

3) One Chicago Sun-Times article dated 8/12/94, regarding Illinois State Representative JOSEPH KOTLARZ's removal from the Illinois Toll Highway Authority's advisory board.

ADMINISTRATION

On 6/30/94, Chicago SA [redacted] interviewed [redacted] During the course of the interview, [redacted] indicated that she didn't want to answer any additional questions without her attorney present. [redacted] identified her attorney as [redacted] and a tentative date to continue the interview was set for 7/6/94. The 7/6/94 interview was canceled because [redacted] needed time to locate her documents relating to 1368 W. Evergreen Street, Chicago, b6 b7C

2-WMFO (Enc. 13)
1-Chicago (58C-WF-180673)
TJB:tb
(3)

58C-WF-180673-7?

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

Approved: _____ Transmitted _____ (Number) (Time) [redacted] OFFICE

b6
b7C

Illinois. Since that time, [redacted] has had difficulty trying to locate [redacted] and attempts are being made by the Chicago Office to locate and interview [redacted] with her attorney. WMFO will be apprised of Chicago's progress.

LEADS

CHICAGO DIVISION

AT CHICAGO, ILLINOIS

b6
b7C

Will attempt to locate and complete the interview of [redacted]

C-9

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 7/28/94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

SUBJECT: PLATE BLOCK; OO:WMFO

b6
b7C

RE WMFO TELCALL OF 7/27/94 FROM SA [redacted] TO SA [redacted]

PURSUANT TO REFERENCED TELCALL WHERE CHICAGO WAS INFORMED OF THE USA FOR THE DISTRICT OF COLUMBIA'S INTENTIONS TO FURTHER VERIFY TESTIMONY OF ROSTENKOWSKI DISTRICT OFFICE EMPLOYEES WHO DID WORK OF A PERSONAL NATURE FOR THE CONGRESSMAN, THE FOLLOWING LEAD IS SET OUT FOR CHICAGO:

LEAD:

CHICAGO AT CHICAGO, ILLINOIS:

58C-WF-180673-795

Approved: [illegible] Original filename: 1es001w.209

Time Received: Telprep filename: 1es001so.209

MRI/JULIAN DATE: 1399 1209 ISN: 029

FOX DATE & TIME OF ACCEPTANCE: 7/28/94 SLH 2:06

OBTAIN ALL BUILDING PERMITS ISSUED BY THE CITY OF CHICAGO FOR THE ROSTENKOWSKI OWNED NOBLE STREET AND EVERGREEN STREET COMPLEX, FROM 1975 TO THE PRESENT.

BT

/READ 1399/209
0000 MRI 01399

RR FBICG

DE FBIWMFO #0029 2091832

ZNR UUUUU

R 281806Z JUL 94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

SUBJECT: PLATE BLOCK; OO;WMFO.

b6
b7C

RE WMFO TELCALL OF 7/27/94 FROM SA [redacted] TO SA [redacted]

PURSUANT TO REFERENCED TELCALL WHERE CHICAGO WAS INFORMED OF THE USA FOR THE DISTRICT OF COLUMBIA'S INTENTIONS TO FURTHER VERIFY TESTIMONY OF ROSTENKOWSKI DISTRICT OFFICE EMPLOYEES WHO DID WORK OF A PERSONAL NATURE FOR THE CONGRESSMAN, THE FOLLOWING LEAD IS SET OUT FOR CHICAGO:

LEAD:

CHICAGO AT CHICAGO, ILLINOIS:

58C-WF-180673-195

OBTAIN ALL BUILDING PERMITS ISSUED BY THE CITY OF CHICAGO FOR THE ROSTENKOWSKI OWNED NOBLE STREET AND EVERGREEN STREET COMPLEX, FROM 1975 TO THE PRESENT.

BT

#0029

NNNN

FD-36 (Rev. 8-29-85)

C-9

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 8/22/94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

SUBJECT: PLATE BLOCK; OO:WMFO

RE WMFO TELETYPE TO CHICAGO DATED 7/28/94 CHICAGO TELCALL TO WMFO OF 8/15/94, AND WMFO TELCALL TO CHICAGO DATED 8/22/94.

REGARDING REFERENCED TELETYPE WHICH SETS OUT A LEAD TO OBTAIN RECORDS FROM THE CITY OF CHICAGO, THE CHICAGO FIELD DIVISION IS ADVISED THAT WMFO WILL REIMBURSE ITS EXPENDITURES TO THE CITY TO OBTAIN THESE RECORDS.

CHICAGO IS REQUESTED TO ADVANCE PAYMENT TO OBTAIN THESE RECORDS AND ADVISE WMFO OF THE TOTAL. WMFO WILL THEN OBTAIN REIMBURSEMENT AND FORWARD SAME TO SA [redacted] TO REIMBURSE THE CHICAGO EXPENDITURE. b6 b7C

58C-WF-180673-196

Approved: [illegible] Original filename: 1es003w.234

Time Received: Telprep filename: 1es00350.234

MRI/JULIAN DATE: 207/235 ISN: 022

FOX DATE & TIME OF ACCEPTANCE: 8/22/94 10:45

CHICAGO IS ADVISED THAT APPROVAL AT WMFO IS BASED UPON INFORMATION RECEIVED THAT THIS EXPENDITURE WILL NOT EXCEED A TOTAL OF $300.00. CHICAGO IS REQUESTED TO ADVISE WMFO SHOULD THE TOTAL EXPENDITURE BE GREATER THAN THIS AMOUNT.

BT

0000 MRT 00207

RR FBICG

DE FBIWMFO #0022 2350328

ZNR UUUUU

R 230245Z AUG 94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

SUBJECT: PLATE BLOCK; OO:WMFO.

RE WMFO TELETYPE TO CHICAGO DATED 7/28/94 CHICAGO TELCALL TO WMFO OF 8/15/94, AND WMFO TELCALL TO CHICAGO DATED 8/22/94.

REGARDING REFERENCED TELETYPE WHICH SETS OUT A LEAD TO OBTAIN RECORDS FROM THE CITY OFF CHICAGO, THE CHICAGO FIELD DIVISION IS ADVISED THAT WMFO WILL REIMBURSE ITS EXPENDITURES TO THE CITY TO OBTAIN THESE RECORDS.

CHICAGO IS REQUESTED TO ADVANCE PAYMENT TO OBTAIN THESE RECORDS AND ADVISE WMFO OF THE TOTAL. WMFO WILL THEN OBTAIN REIMBURSEMENT AND FORWARD SAME TO SA [redacted] TO

b6
b7C

58C-WF-180673-796

REIMBURSE THE CHICAGO EXPENDITURE.

CHICAGO IS ADVISED THAT APPROVAL AT WMFO IS BASED UPON INFORMATION RECEIVED THAT THIS EXPENDITURE WILL NOT EXCEED A TOTAL OF $300.00. CHICAGO IS REQUESTED TO ADVISE WMFO SHOULD THE TOTAL EXPENDITURE BE GREATER THAN THIS AMOUNT.

BT

#0022

NNNN

58C-WF-180673

On 10/3/94, [redacted] provided the following information to SA [redacted]

b6
b7C
b7D

Source stated that he/she believes [redacted] in his capacity as [redacted] is using his position to help the cause of indicted U.S. Congressman DAN ROSTENKOWSKI. Source predicted that no decision would be made by the court on ROSTENKOWSKI's motion until after the November 8, 1994, election day. He/she commented that no information that would make ROSTENKOWSKI look guilty will come out of the court until after the election. Source suspects that [redacted] is "in the middle of" any strategy by the court to minimize the damage to ROSTENKOWSKI's reputation prior to the election. Source indicated that [redacted] may still be able to wield influence over judges in the District of Columbia.

58C-WF-180673-797

SEARCHED INDEXED
SERIALIZED FILED
OCT 20 1994
FBI—WASH. METRO FIELD

b6
b7C

[redacted]

FD-36 (Rev. 8-29-85)

C-9

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [X] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 10/18/94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/PRIORITY/

FBI CHICAGO/PRIORITY/

FBI/PITTSBURGH/PRIORITY/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted] - PCU

b6
b7C

SUBJECT: PLATE BLOCK; OO:WMFO

RE WMFO TELCALL OF 10/18/94 FROM SA [redacted] TO SSA [redacted] FBIHQ - PCU.

FOR THE INFORMATION OF FBIHQ, IT IS ANTICIPATED THAT BARRING ANY UNFORSEEN COMPLICATIONS, FORMER REPRESENTATIVE JOSEPH KOLTER WILL BE INDICTED 10/18/94 BEFORE A FEDERAL GRAND JURY IN THE DISTRICT OF COLUMBIA FOR HIS INVOLVEMENT IN THE CAPTIONED MATTER. IT IS FURTHER ANTICIPATED THAT THE

58C-WF-180673-798

Approved: AED/DB/ly Original filename: 1es003w.291

Time Received: 110 Telprep filename: 1es00350.291

MRI/JULIAN DATE: 1100/291 ISN: 006

FOX DATE & TIME OF ACCEPTANCE: 10/18/94 1:11 KLH

INDICTMENT WILL INCLUDE A TOTAL OF FIVE FELONY COUNTS, ONE COUNT OF CONSPIRACY, TWO COUNTS OF EMBEZZLEMENT AND TWO COUNTS OF CONCEALMENT OF A MATERIAL FACT, REGARDING KOLTER'S MIS-USE OF THE U.S. HOUSE OF REPRESENTATIVES POST OFFICE (HPO) AND HOUSE OFFICE SUPPLY SERVICE (OSS).

THE INDICTMENT IS STRUCTURED INTO TWO PARTS. THE FIRST BEING THE SUBJECT'S INVOLVEMENT WITH THE HPO WHERE HE WILL BE INDICTED FOR ONE COUNT OF CONSPIRACY, ONE COUNT OF EMBEZZLEMENT AND ONE COUNT OF C.M.F. WITH A TOTAL LOSS TO THE GOVERNMENT OF $11,000.00. THE SECOND PART CHARGES KOLTER WITH ONE COUNT OF EMBEZZLEMENT AND ONE COUNT OF C.M.F. REGARDING THIS MIS-USE OF THE OSS WITH A TOTAL LOSS TO THE GOVERNMENT OF $33,000.00.

THE UNITED STATES ATTORNEY FOR THE DISTRICT OF COLUMBIA IS PREPARING A PRESS RELEASE REGARDING THIS MATTER AND IT WILL BE PROVIDED TO FBIHQ AS SOON AS IT IS AVAILABLE.

INFORMATION COPIES PROVIDED TO CHICAGO AND PITTSBURGH.

BT

/[illegible]
0000 MRI 01100

PP RUCNFB FBICG FBIPG

DE FBIWMFO #0007 2911714

ZNR UUUUU

P 181711Z OCT 94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/PRIORITY/

FBI CHICAGO/PRIORITY/

FBI PITTSBURGH/PRIORITY/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted] - PCU.

b6
b7C

SUBJECT: PLATE BLOCK; OO:WMFO.

RE WMFO TELCALL OF 10/18/94 FROM SA [redacted] TO SSA [redacted] FBIHQ - PCU.

FOR THE INFORMATION OF FBIHQ, IT IS ANTICIPATED THAT BARRING ANY UNFORSEEN COMPLICATIONS, FORMER REPRESENTATIVE JOSEPH KOLTER WILL BE INDICTED 10/18/94 BEFORE A FEDERAL GRAND JURY IN THE DISTRICT OF COLUMBIA FOR HIS INVOLVEMENT IN THE

58C-WF-180673

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

798

CAPTIONED MATTER. IT IS FURTHER ANTICIPATED THAT THE INDICTMENT WILL INCLUDE A TOTAL OF FIVE FELONY COUNTS, ONE COUNT OF CONSPIRACY, TWO COUNTS OF EMBEZZLEMENT AND TWO COUNTS OF CONCEALMENT OF A MATERIAL FACT, REGARDING KOLTER'S MIS-USE OF THE U.S. HOUSE OF REPRESENTATIVES POST OFFICE (HPO) AND HOUSE OFFICE SUPPLY SERVICE (OSS).

THE INDICTMENT IS STRUCTURED INTO TWO PARTS. THE FIRST BEING THE SUBJECT'S INVOLVEMENT WITH THE HPO WHERE HE WILL BE INDICTED FOR ONE COUNT OF CONSPIRACY, ONE COUNT OF EMBEZZLEMENT AND ONE COUNT OF C.M.F. WITH A TOTAL LOSS TO THE GOVERNMENT OF $11,000.00. THE SECOND PART CHARGES KOLTER WITH ONE COUNT OF EMBEZZLEMENT AND ONE COUNT OF C.M.F. REGARDING THIS MIS-USE OF THE OSS WITH A TOTAL LOSS TO THE GOVERNMENT OF $33,000.00.

THE UNITED STATES ATTORNEEY FOR THE DISTRICT OF COLUMBIA IS PREPARING A PRESS RELEASE REGARDING THIS MATTER AND IT WILL BE PROVIDED TO FBIHQ AS SOON AS IT IS AVAILABLE.

INFORMATION COPIES PROVIDED TO CHICAGO AND PITTSBURGH.

BT

#0007

NNNN

10/31/94

********** INDICTMENT **********

SENSITIVE / UNCLASSIFIED

Case Number: 058C WF0180673
Serial No.:

Stat Agent Name: [redacted]
Stat Agent SOC.: [redacted]

Report Date: 10/31/1994
Accom Date.: 10/18/1994

b6
b7C

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC
Drugs	N	USPS	
A Fugitive.	N		
Bankruptcy Fraud.	N		
Computer Fraud/Abuse.	N		
Corruption of Public Officials	Y		
Forfeiture Assets	N		

Subject Name

KOLTER, JOSEPH

RA	Squad	Task Force
CRIM	C9	

Sub. Invest. Asst by Other FOs:

Investigative Assistance or Technique Used

[ ] FINAN ANALYST	[ ] LAB DIV EXAMS	[ ] UCO-GROUP I	
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	1 = Used, but did not help
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	2 = Helped, Minimally
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	3 = Helped, Substantially
ELSUR/FISC	POLYGRAPH	VISUAL INVEST	4 = Absolutely Essential
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	
ENG TAPE EXAM	SOG ASST	ERT ASST	
HYPNOSIS ASST	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
[ ] INFORMANT/CW	[ ] TEL TOLL RECS		

b7E

Serial Number of Indictment . . . . :

United States Code Violation

Title	Section	Counts
18	371	2
18	641	2
18	1001	1

Accomplishment Narrative

58C-WF-180673-799

SENSITIVE / UNCLASSIFIED

FD-515 (Rev. 7-19-91)
Accomplishment Report
(Submit within 30 days from date of accomplishment)

TO: Director, FBI
FROM: SAC, ADIC WMFO SBC-WF-180073
SUBJECT:
PLATE BLOCK
00:WF

Bureau File Number: SBC-WF-180073
Field Office File Number: C-9
Squad or RA Number:
Agent's Social Security No.:

☐ X if a joint operation with: _____ (identity of other agency)

☐ X if case involves corruption of a public official (Federal, State or Local).

Date 10/31/94

Investigative Assistance or Technique Used

Rate each investigative Assistance or Technique used in connection with the accomplishment being claimed.

1 = Used, but did not help
2 = Helped, but only minimally
3 = Helped, substantially
4 = Absolutely essential

b7E

	Rating		Rating		Rating		
1. Acctg Tech Assistance		8. Eng. Sect. Tape Exams		15. Photographic Coverage		22. Telephone Toll Recs	
2. Aircraft Assistance		9. Hypnosis Assistance		16. Polygraph Assistance		23. UCO Group I	
3. Computer Assistance		10. Ident Div Assistance		17. Search Warr Executed		24. UCO Group II	
4. Consensual Monitoring		11. Informant Information		18. Show Money Usage		25. UCO Other	
5. ELSUR FISC		12. Lab Div Exams		19. Surveil Sqd (SOG) Asst		26. NCAVC/ VI-CAP	
6. ELSUR Title III		13. Lab Div Field Support		20. SWAT Team Action		27. Visual Inv Analysis	
7. Eng. Sect. Field Support		14. Pen Registers		21. Tech. Agt. or Tech Equip		28 CO	

b6
b7C

A. Preliminary Judicial Process (Number of subjects)	Complaints	Informations	Indictments
			1

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subjects)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

FBI Subj. Resisted_____; Armed_____

Subpoenas Served_____
Criminal Summons_____
Local Crim. Summons_____

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)

Hostages Held By Terrorists:_____ All Other Hostage Situations:_____

Missing or Kidnaped Children Located:_____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in rem

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$

E. Civil Matters

		Government Defendant	Government Plaintiff
RICO -Civil Convictions	Civil Suits Amount of Suit	$	$
No. of Subj.	Settlement of Award	$	$ Enter AFA Payment Here

F. Administrative Sanctions

Subject 1 Subject Description Code* -

☐ Suspension ☐ Debarment Time Frame - Years: Months: ☐ Permanent

G. Final Judicial Process: Judicial District _____ District State _____ Conviction or Pretrial Div. Date _____ Sentence Date _____ No. of Subjects _____ Acquitted _____ Dismissed _____

Subject 1 Subject Description Code* -

	Conviction			Combined Sentence					
	Title	Section	Counts	In-Jail Yrs.	In-Jail Mos.	Suspended Yrs.	Suspended Mos.	Probation Yrs.	Probation Mos.
☐ Felony / ☐ Misdemeanor									
☐ Parole Revocation									
☐ Probation Revocation				Total Fines $					
☐ Plea / ☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.- 8 yrs susp. = 2 yrs. In-Jail.					
☐ Pretrial Diversion									

Subject 2 Subject Description Code* -

	Conviction			Combined Sentence					
	Title	Section	Counts	In-Jail Yrs.	In-Jail Mos.	Suspended Yrs.	Suspended Mos.	Probation Yrs.	Probation Mos.
☐ Felony / ☐ Misdemeanor									
☐ Parole Revocation									
☐ Probation Revocation				Total Fines $					
☐ Plea / ☐ Trial				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs.- 8 yrs susp. = 2 yrs.					
☐ Pretrial Diversion									

b6
b7C

Attach additional forms if reporting final judicial process on more than two subjects, and submit a final disposition form (R-84) for each subject.

H. Identifying Data: For every subject reported in Sections A, B, E, F, or G above, provide the following: *Attach additional forms for more than four subjects.*

Name	Date of Birth	Race*	Sex	Place of birth (if available)	Social Security Number (if available)
JOSEPH P. KOLTER		C	M		

Explanation of accomplishment claimed:

ON 10/18/94 FORMER REP. JOSEPH P. KOLTER WAS INDICTED BEFORE THE FGJ FOR THE DOFC. KOLTER WAS THE SUBJECT OF A FIVE COUNT INDICTMENT CHARGING HIM WITH VIOLATIONS OF 18USC 371, 641, 1001, AND 2.

HT 6'11", WT 220, EYES BR, HAIR BROWN GREY

2 - Bureau
2 - Field Office
* See codes on reverse side.

Property Type Codes*

Code No	Description
1.	Cash (U.S. and foreign currency)
2.	Stock, Bonds or Negotiable Instruments (checks, travelers checks, money orders, certificates of deposit, etc)
3.	General Retail Merchandise (clothing, food, liquor, cigarettes, TVs, etc)
4.	Vehicles (autos, trucks, tractors, trailers, campers, motorcycles, etc)
5.	Heavy Machinery & Equipment (heavy equipment, computers, etc)
6.	Aircraft
7.	Jewelry (including unset precious and semiprecious stones)
8.	Vessels
9.	Art, Antiques or Rare Collections
11.	Real Property
20.	All Other Recoveries (not falling in any category above)

Potential Economic Loss Prevented (PELP) Type Codes*

Code No	Description
22	Counterfeit Stocks, Bonds, Currency or Negotiable Instruments
23	Counterfeit or Pirated Sound Recordings or Motion Pictures
24	Bank Theft Scheme Aborted
25	Ransom, Extortion or Bribe Demand Aborted
26	Theft from, or Fraud Against, Government Scheme Aborted
27	Commercial or Industrial Theft Scheme Aborted
30	All Other Potential Economic Loss Prevented (not falling in any category above)

*Except for cash, the Remarks section must contain an explanation of the computation of the recovery value or loss prevented. An explanation airtel must accompany this report if the recovery is $1 million or more, or if the PELP is $5 million or more.

Subject Description Codes*

- Enter Description Code Only When Reporting a Conviction -

Organized Crime Subjects (Include Family Name Or Group):
- 1A Boss, Underboss or Consigliere
- 1B Capodecina or Soldier
- 1C Possible LCN Member or Associate
- 1D OC Subject Other Than LCN
- 1E Not a Member or Associate of LCN Family or OC Organization

Known Criminals (Other Than OC Members):
- 2A Top Ten or I.O. Fugitive
- 2B Top Thief
- 2C Top Con Man

Foreign Nationals:
- 3A Legal Alien
- 3B Illegal Alien
- 3C Foreign Official Without Diplomatic Immunity
- 3D U.N. Employee Without Diplomatic Immunity
- 3E Foreign Students
- 3F All Others

Terrorists:
- 4A Known Member of a Terrorist Organization
- 4B Possible Terrorist Member or Sympathizer

Union Members:
- 5A International or National Officer
- 5B Local Officer
- 5C Union Employee

Government Officials Or Employees:

Federal	State	Local
6A Presidential Appointee	6J Governor	6R Mayor
6B U.S. Senator	6K Lt. Governor	
6C U.S. Representative	6L Legislator	6S Legislator
6D Judge	6M Judge	6T Judge
6E Prosecutor	6N Prosecutor	6U Prosecutor
6F Law Enforcement Officer	6P Law Enforcement Officer	6V Law Enforcement Officer
6G Fed Empl - GS 13 & above	6Q All Others - State	6W All Others - Local
6H Fed Empl - GS 12 & below		

Bank Officers or Employees:
- 7A Bank Officer
- 7B Bank Employee

All Others:
- 8A All Other Subjects (not fitting above categories)
- 8B Company / Corporation

*If a subject can be classified in more than one of the categories, select the most appropriate in the circumstance.

Instructions

Subject Priorities for FBI Arrest or Locates:

A - Subject wanted for crimes of violence (i.e. murder, manslaughter, forcible rape, robbery and aggravated assault) or convicted of such crimes in the past five years.

B - Subjects wanted for crimes involving the loss or destruction of property valued in excess of $25,000 or convicted of such crimes in the past five years.

C - All others

Claiming Non-Federal Arrests, Summonses, Recoveries or Convictions:

It is permissible to claim a local arrest, summons, recovery or conviction **if the FBI significantly contributed to the accomplishment.** A succinct narrative setting forth the basis for the claim must accompany this report. When claiming a local recovery, enter the word "LOCAL" to the right of the amount. Enter "LF" in the "In-Jail" block for all life sentences and "CP" for capital punishment sentences.

Reporting Convictions:

Convictions should not be reported until the sentence has been issued. There are two exceptions to this rule. The conviction information can be submitted by itself if:

1. The subject becomes a fugitive after conviction but prior to sentencing.
2. The subject dies after conviction but prior to sentencing.

An explanation is required in the Remarks section for either of the above exceptions.

Rule 20 Situations:

The field office that obtained the process (normally the office of origin) is the office that should claim the conviction, not the office where the subject enters the plea in cases involving Rule 20 of the Federal Rules of Criminal Procedures.

Investigative Assistance or Techniques (IA/Ts) Used:

- Since more than one IA/T could have contributed to the accomplishment, each IA/T used must be rated.
- The IA/T used must be rated **each time** an accomplishment is claimed. (For example - if informant information was the basis for a complaint, an arrest, a recovery and a conviction and if separate FD-515s are submitted for each of the aforementioned accomplishments, the "Informant Information" block must be rated on each FD-515 even if it was the same information that contributed to all the accomplishments.)

Race Codes:

C = Chinese; I = Indian/American; J = Japanese; B = Black; O = All other; U = Unknown; W = White

*U.S Government Printing Office1992- 312-329/51106

FD-36 (Rev. 8-29-85)

C-9

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 10/20/94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: ACCOUNTING UNIT - [redacted] ROOM 6045 b6 b7C

SUBJECT: PLATE BLOCK, OO:WMFO

ON 7/28/94, THE CHICAGO DIVISION RECEIVED A LEAD FROM WMFO IN THE CAPTIONED MATTER. THIS LEAD REQUESTED CHICAGO TO OBTAIN CERTAIN OFFICIAL DOCUMENTS FROM THE CITY OF CHICAGO.

THE CHICAGO DIVISION OBTAINED THE REQUESTED RECORDS, WHICH TOTALLED 68 DOCUMENTS FROM THE CITY. IN DOING SO, CHICAGO INCURRED A TOTAL EXPENSE OF [redacted] THIS [redacted] WAS b7E PAID TO THE CITY BY CHICAGO DIVISION. CHICAGO HAS REQUESTED REIMBURSEMENT FOR THIS EXPENDITURE.

58C-WF-180673-800

Approved: _____ Original filename: 1es001w.293.

Time Received: _____ Telprep filename: 1es00150.293

MRI/JULIAN DATE: 1934 1293 ISN: 033

FOX DATE & TIME OF ACCEPTANCE: 10/20/94 SYM 5:16

REQUEST OF THE BUREAU:

FBIHQ IS REQUESTED TO PROVIDE THE CHICAGO DIVISION [redacted] FROM WMFO'S COMMERCIAL BUDGET TO REIMBURSE THE DIVISION FOR THE AFOREMENTIONED EXPENSE. b7E

BT

/RRI
R0000 MRI 01934

RR RUCNFB FBICG

DE FBIWMFO #0033 2932114

ZNR UUUUU

R 202113Z OCT 94

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: ACCOUNTING UNIT - [redacted] ROOM 6045. b6 b7C

SUBJECT: PLATE BLOCK, OO:WMFO.

ON 7/28/94, THE CHICAGO DIVISION RECEIVED A LEAD FROM WMFO IN THE CAPTIONED MATTER. THIS LEAD REQUESTED CHICAGO TO OBTAIN CERTAIN OFFICIAL DOCUMENTS FROM THE CITY OF CHICAGO.

THE CHICAGO DIVISION OBTAINED THE REQUESTED RECORDS, WHICH TOTALLED 68 DOCUMENTS FROM THE CITY. IN DOING SO, CHICAGO INCURRED A TOTAL EXPENSE OF [redacted] THIS [redacted] WAS PAID TO THE CITY BY CHICAGO DIVISION. CHICAGO HAS REQUESTED b7E

58C-WF-180673-800

REIMBURSEMENT FOR THIS EXPENDITURE.

REQUEST OF THE BUREAU:

FBIHQ IS REQUESTED TO PROVIDE THE CHICAGO DIVISION [redacted] FROM WMFO'S COMMERCIAL BUDGET TO REIMBURSE THE DIVISION FOR THE AFOREMENTIONED EXPENSE. b7E

BT

#0033

NNNN

0132 MRI 01593

RR FBIWMFO

DE FBIWMFO #0017 3202103

ZNR UUUUU

R 162032Z NOV 94

FM FBI WMFO (58C-WF-180673) (P)

TO DIRECTOR FBI/ROUTINE/

FBI PITTSBURGH/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted], PCU. b6 b7C

SUBJECT: PLATE BLOCK; OO:WMFO.

FOR THE INFORMATION OF FBIHQ, FORMER REPRESENTATIVE JOSEPH P. KOLTER WILL BE ARRAIGNED FRIDAY, 11/18/94, AT 9:45 AM REGARDING HIS INVOLVEMENT IN THE CAPTIONED MATTER. THIS ARRAIGNMENT RESULTS FROM KOLTER'S 10/18/94, FIVE COUNT FEDERAL GRAND JURY FELONY INDICTMENT AND WILL BE BEFORE U.S. DISTRICT JUDGE NORMA HOLLOWAY JOHNSON OF THE DISTRICT OF COLUMBIA. FBIHQ WILL BE APPRISED OF FURTHER PERTINENT DEVELOPMENTS IN

58C-WF-180673-801

92

THIS MATTER AS THEY OCCUR.

BT

#0017

NNNN

FD-36 (Rev. 8-29-85)

C-9

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 11/16/94

FM FBI WMFO (58C-WF-180673) (P)

TO DIRECTOR FBI/ROUTINE/

FBI PITTSBURGH/ROUTINE/

BT

UNCLAS

b6
b7C

CITE: //3920//

PASS: SSA [redacted] PCU

SUBJECT: PLATE BLOCK; OO:WMFO

FOR THE INFORMATION OF FBIHQ, FORMER REPRESENTATIVE JOSEPH P. KOLTER WILL BE ARRAIGNED FRIDAY, 11/18/94, AT 9:45 AM REGARDING HIS INVOLVEMENT IN THE CAPTIONED MATTER. THIS ARRAIGNMENT RESULTS FROM KOLTER'S 10/18/94, FIVE COUNT FEDERAL GRAND JURY FELONY INDICTMENT AND WILL BE BEFORE U.S. DISTRICT JUDGE NORMA HOLLOWAY JOHNSON OF THE DISTRICT OF COLUMBIA. FBIHQ WILL BE APPRISED OF FURTHER PERTINENT DEVELOPMENTS IN THIS MATTER AS THEY OCCUR.

58C-WF-180673-802

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___

Approved: Apd 1993 330 Original filename: /es001w.320

Time Received: ___ Telprep filename: /es001s0.320

MRI/JULIAN DATE: 1593 | 320 ISN: 017

FOX DATE & TIME OF ACCEPTANCE: 11/16/94 332 KH

BT

0000 MRI 01593

RR RUCNFB FBIPG

DE FBIWMFO #0017 3202035

ZNR UUUUU

R 162032Z NOV 94

FM FBI WMFO (58C-WF-180673) (P)

TO DIRECTOR FBI/ROUTINE/

FBI PITTSBURGH/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted] PCU.

b6
b7C

SUBJECT: PLATE BLOCK; OO:WMFO.

FOR THE INFORMATION OF FBIHQ, FORMER REPRESENTATIVE JOSEPH P. KOLTER WILL BE ARRAIGNED FRIDAY, 11/18/94, AT 9:45 AM REGARDING HIS INVOLVEMENT IN THE CAPTIONED MATTER. THIS ARRAIGNMENT RESULTS FROM KOLTER'S 10/18/94, FIVE COUNT FEDERAL GRAND JURY FELONY INDICTMENT AND WILL BE BEFORE U.S. DISTRICT JUDGE NORMA HOLLOWAY JOHNSON OF THE DISTRICT OF COLUMBIA. FBIHQ WILL BE APPRISED OF FURTHER PERTINENT DEVELOPMENTS IN

58C-WF-180673-802

THIS MATTER AS THEY OCCUR.

BT

#0017

NNNN

46A-WF-179870, SUB AA
58C-WF-180673

On 10/20/94, [redacted] provided the following information to SA [redacted] b6 b7C b7D

Source described Pennsylvania Congressman JOE KOLTER as a "nice guy" who was very tight with [redacted] Source mentioned that [redacted] hired [redacted] to a position in the Capitol Police as a favor to KOLTER. [redacted] along with [redacted] performed personal errands for [redacted]. It was rumored that [redacted] knew a lot of secrets and inside information about [redacted] activities. [redacted] resigned shortly after [redacted] was ousted from his position as Sergeant-At-Arms (SAA) for the U.S. House of Representatives. b7D

Source advised that KOLTER [redacted] Source informed that KOLTER is married and has a son who is a doctor. b6 b7C

Source commented that KOLTER was taken down by [redacted] and [redacted] He/she stated that KOLTER got in "too deep" with [redacted] and [redacted] Source indicated that [redacted] was probably doing favors for KOLTER through the House Bank.

Source advised that [redacted] has not been seen on Capitol Hill lately. He/she commented that [redacted] is going to be very instrumental in getting Illinois Congressman DAN ROSTENKOWSKI all the assistance possible to fight the pending criminal charges against him. Source stated that ROSTENKOWSKI is just "one of many" corrupt politicians on Capitol Hill. b6 b7C

58C-WF-180673-803

b6 b7C

[redacted]

12/20/94

********** INDICTMENT **********

SENSITIVE / UNCLASSIFIED

b6
b7C

Case Number: 058C WF0180673
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 12/20/1994
Accom Date.: 12/06/1994

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC	Subject Name
Drugs	N	USPS		NEDZA, JAMES
A Fugitive.	N	LOC		
Bankruptcy Fraud.	N			
Computer Fraud/Abuse.	N			RA Squad Task Force
Corruption of Public Officials:	Y			
Forfeiture Assets	N			CRIM C9

Sub. Invest. Asst by Other FOs: CG

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	1 = Used, but did not help
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	2 = Helped, Minimally
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	3 = Helped, Substantially
ELSUR/FISC	POLYGRAPH	VISUAL INVEST	4 = Absolutely Essential
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	
ENG TAPE EXAM	SOG ASST	ERT ASST	
HYPNOSIS ASST	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS		

b7E

Serial Number of Indictment . . . . :

United States Code Violation

Title	Section	Counts
18	1001	1

Accomplishment Narrative

SENSITIVE / UNCLASSIFIED

058C-WF-180673-804

FD-515 (Rev. 8-29-93)

Squad Supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRA within 30 days from date of accomplishment)

Date Prepared 12/15/94
Date Loaded _____
Data Loader's initials _____

Does Accomplishment Involve: (check all that apply)	
Drugs	☐
A Fugitive	☐
Bankruptcy Fraud	☐
Computer Fraud/Abuse	☐
Corruption of Public Officials	☒
Forfeiture Assets	☐

TMB-CHICAGO

Assisting Agents Soc. Sec. No.×
1.
2.

Serial No. of FD-515

File Number
58C-WF-180673

Stat Agent Soc. Sec. No.

RA	Squad
NUMBA	C-9

Task Force

Assisting Joint Agencies ×●
1. U.S. POSTAL
2. U.S. CAP. PDC

Investigative Assistance or Technique Used

1 = Used, but did not help
2 = Helped, minimally
3 = Helped, substantially
4 = Absolutely essential

Rating	IAT	Rating	IAT	Rating	IAT
	Financ. Analyst Asst.		Informant Info.		Tech. Agnt.or Equip.
	Aircraft Assistance		Lab. Div. Exams		Telephone Toll Recs.
	Computer Assistance		Lab. Div. Field Supt.		UCO - Group I
	Consensual Monitor		Pen Registers		UCO - Group II
	ELSUR / FISC		Photograph Coverage		UCO - Other
	ELSUR / Title III		Polygraph Assistance		NCAVC / VI - CAP
	Eng. Sect. Field Supt.		Search Warrants Exec.		Visual Invest. Anal.
	Eng. Sect. Tape Exams		Show Money Usage		Crisis Neg. - Fed.
	Hypnosis Assistance		SOG Assistance		Crisis Neg. - Local
			Swat Team Action		ERT Assistance

b6
b7C

A. Complaint / Information / Indictment
Complaint Date _____
Check if Civil/ Rico Complaint ☐
Information Date _____
Indictment Date 12/6/94
Also Complete Section I

B. Arrest / Locate / Summons
Arrest Date _____
☐ Federal ☐ Local ♦
Subject Priority: ☐ A ☐ B ☐ C
☐ Subject Resisted
☐ Subject was Armed
Locate Date _____
Subject Priority: ☐ A ☐ B ☐ C
Summons Date _____
☐ Federal ☐ Local ♦

C. Hostage(s) Released Date _____
Released by: ☐ Terrorists ☐ Other
Number of Hostages: _____
Child Located Date _____

D. Recovery / Restitution / Potential Economic Loss Prevented (PELP) × ✦
Recovery Date _____
☐ Federal ☐ Local
Restitution Date _____
☐ Court Ordered
☐ Pretrial Diversion
PELP Date _____

Code ●	Amount	Code ●	Amount
	$		$
	$		$
	$		$

E. Civil / Rico Matters Date _____
Also Complete Section I
Judgment ____ ____ ●
Judicial Outcome ____ ____ × ●
Fines: $ _____
Suspension:

Years	Months

F. AFA Payment Date _____
Amount: $ _____

G. Administrative Sanctions Date _____
Type:
☐ Suspension
☐ Debarment
☐ Injunction
Length:
☐ Permanent
☐

Years	Months

Subject Description Code ____ ____ ●

H. Final Judicial Process Conviction
Also Complete Section I
Date of Conviction: _____
Date of Sentence: _____
Subject Description Code: ____ ____ ●
Judicial State: _____ District: _____
Sentence type: ____, ____, ____ ●
Sentence Term:

In-Jail Years	In-Jail Months	Suspended Years	Suspended Months	Probation Years	Probation Months

Fines: $ _____

☐ Federal
☐ Local ♦

☐ Felony
☐ Misdemeanor

☐ Plea
☐ Trial

I. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	USC 1001	1			

Required for Sections A, E, and H (Conviction)
Optional for Section B (arrest)

Acquittal / Dismissal / Pretrial Diversion
Acquittal Date _____
Dismissal Date _____
Pretrial Diversion Date _____

b6
b7C

J. Subject Information (Required for Sections A, B, D (Restitution), E, F, G, and H)

Name	Date of Birth	Race ●	Sex	Place of Birth (if available)	Social Security No. (if available)
JAMES NEDZA		W	M		

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (Submit form FD-515a for indictments and convictions only)

× Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)
● See codes on reverse side.

For Further Instructions See: MAOP, [illegible]II, Sections 3-5 thru 3-5.3.

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negotiable Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

JOINT AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOI Dept. of Interior
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Administration
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCS U.S. Customs Service
USDS U.S. Department of State
USSS U.S. Secret Service
USTR U.S. Treasury
ST State
LOC Local
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERMENT SUBJECTS

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

C-9

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 1/24/95

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

b6
b7C

PASS: SSA [redacted], PCU

SUBJECT: PLATE BLOCK; OO:WMFO

FOR THE INFORMATION OF FBIHQ, IT IS THE INTENTION OF THE UNITED STATES ATTORNEY (USA) FOR THE DISTRICT OF COLUMBIA TO PURSUE AN INDICTMENT OF ROBERT RUSSO IN THE CAPTIONED MATTER. RUSSO, AN EX-ROSTENKOWSKI CHICAGO DISTRICT OFFICE STAFFER, WILL BE INDICTED FOR PERJURY AND OBSTRUCTION OF JUSTICE AS A RESULT OF HIS TESTIMONY BEFORE THE FEDERAL GRAND JURY RELATING TO THE CAPTIONED MATTER.

58C-WF-180673-805

Approved: [illegible] Original filename: 1ES00150.024

Time Received: Telprep filename: ~~1ES00~~

MRI/JULIAN DATE: 1989 / 024 ISN: 041

FOX DATE & TIME OF ACCEPTANCE: 1/24/95 544

FROM 1976 THROUGH 1987, RUSSO WAS PAID APPROXIMATELY $90,000 IN SALARY FROM THE FEDERAL GOVERNMENT. INVESTIGATION HAS SHOWN, HOWEVER, THAT SALARY RECEIVED BY RUSSO FOR DISTRICT OFFICE WORK IN REALITY WAS PAYMENT FOR CLEANING SERVICES PROVIDED BY RUSSO'S WIFE, IRENE RUSSO, TO ROSTENKOWSKI'S PERSONAL RESIDENCE IN CHICAGO DURING THE SAME TIME PERIOD. IT IS FURTHER BELIEVED THAT A PORTION OF THE MONIES PAID TO ROBERT RUSSO WAS "KICKED BACK" TO ROSTENKOWSKI. IT IS ANTICIPATED THAT THIS INDICTMENT COULD OCCUR AS EARLY AS 1/31/95.

ADDITIONALLY, A STATUS HEARING BEFORE JUDGE NORMA HOLLOWAY JOHNSON HAS BEEN SCHEDULED FOR 1/25/95, REGARDING THE PREVIOUS INDICTMENT OF JAMES NEDRA. IT IS ANTICIPATED THAT A TRIAL DATE FOR NEDRA WILL BE SET DURING THIS HEARING.

BT

/READ 1989/024
0000 MRI 01989

RR RUCNFB FBICG

DE FBIWMFO #0041 0242321

ZNR UUUUU

R 242243Z JAN 95

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted] FCU.

b6
b7C

SUBJECT: PLATE BLOCK; OO:WMFO.

FOR THE INFORMATION OF FBIHQ, IT IS THE INTENTION OF THE UNITED STATES ATTORNEY (USA) FOR THE DISTRICT OF COLUMBIA TO PURSUE AN INDICTMENT OF ROBERT RUSSO IN THE CAPTIONED MATTER. RUSSO, AN EX-ROSTENKOWSKI CHICAGO DISTRICT OFFICE STAFFER, WILL BE INDICTED FOR PERJURY AND OBSTRUCTION OF JUSTICE AS A RESULT OF HIS TESTIMONY BEFORE THE FEDERAL GRAND JURY RELATING TO THE CAPTIONED MATTER.

58C-WF-180673-805

FROM 1976 THROUGH 1987, RUSSO WAS PAID APPROXIMATELY $90,000 IN SALARY FROM THE FEDERAL GOVERNMENT. INVESTIGATION HAS SHOWN, HOWEVER, THAT SALARY RECEIVED BY RUSSO FOR DISTRICT OFFICE WORK IN REALITY WAS PAYMENT FOR CLEANING SERVICES PROVIDED BY RUSSO'S WIFE, IRENE RUSSO, TO ROSTENKOWSKI'S PERSONAL RESIDENCE IN CHICAGO DURING THE SAME TIME PERIOD. IT IS FURTHER BELIEVED THAT A PORTION OF THE MONIES PAID TO ROBERT RUSSO WAS "KICKED BACK" TO ROSTENKOWSKI. IT IS ANTICIPATED THAT THIS INDICTMENT COULD OCCUR AS EARLY AS 1/31/95.

ADDITIONALLY, A STATUS HEARING BEFORE JUDGE NORMA HOLLOWAY JOHNSON HAS BEEN SCHEDULED FOR 1/25/95, REGARDING THE PREVIOUS INDICTMENT OF JAMES NEDRA. IT IS ANTICIPATED THAT A TRIAL DATE FOR NEDRA WILL BE SET DURING THIS HEARING.

BT

#0041

NNNN

C-9

0145 MRI 01989

RR FBIWMFO

DE FBIWMFO #0041 0242320

ZNR UUUUU

R 242243Z JAN 95

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

FBI CHICAGO/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted], PCU.

b6
b7C

SUBJECT: PLATE BLOCK; OO:WMFO.

FOR THE INFORMATION OF FBIHQ, IT IS THE INTENTION OF THE UNITED STATES ATTORNEY (USA) FOR THE DISTRICT OF COLUMBIA TO PURSUE AN INDICTMENT OF ROBERT RUSSO IN THE CAPTIONED MATTER. RUSSO, AN EX-ROSTENKOWSKI CHICAGO DISTRICT OFFICE STAFFER, WILL BE INDICTED FOR PERJURY AND OBSTRUCTION OF JUSTICE AS A RESULT OF HIS TESTIMONY BEFORE THE FEDERAL GRAND JURY RELATING TO THE CAPTIONED MATTER.

58C-WF-180673-806

FROM 1976 THROUGH 1987, RUSSO WAS PAID APPROXIMATELY $90,000 IN SALARY FROM THE FEDERAL GOVERNMENT. INVESTIGATION HAS SHOWN, HOWEVER, THAT SALARY RECEIVED BY RUSSO FOR DISTRICT OFFICE WORK IN REALITY WAS PAYMENT FOR CLEANING SERVICES PROVIDED BY RUSSO'S WIFE, IRENE RUSSO, TO ROSTENKOWSKI'S PERSONAL RESIDENCE IN CHICAGO DURING THE SAME TIME PERIOD. IT IS FURTHER BELIEVED THAT A PORTION OF THE MONIES PAID TO ROBERT RUSSO WAS "KICKED BACK" TO ROSTENKOWSKI. IT IS ANTICIPATED THAT THIS INDICTMENT COULD OCCUR AS EARLY AS 1/31/95.

ADDITIONALLY, A STATUS HEARING BEFORE JUDGE NORMA HOLLOWAY JOHNSON HAS BEEN SCHEDULED FOR 1/25/95, REGARDING THE PREVIOUS INDICTMENT OF JAMES NEDRA. IT IS ANTICIPATED THAT A TRIAL DATE FOR NEDRA WILL BE SET DURING THIS HEARING.

BT

#0041

NNNN

C-20

C-9

1307/47

0000 MRI 01307

RR FBIMW FBIWMFO

DE FBICG #0004 0480324

ZNR UUUUU

R 161730Z FEB 95

FM FBI CHICAGO (58C-WF-180673) (P)

TO FBI MILWAUKEE/ROUTINE/

FBI WMFO/ROUTINE/

BT

UNCLAS

CITE: //3150;SQ7B//

PASS: SSA [redacted] SQUAD 3.

b6
b7C

SUBJECT: PLATE BLOCK; CFPO-EXECUTIVE BRANCH; OO: WMFO.

RE CHICAGO TELCALLS TO MILWAUKEE SA [redacted] AND WMFO SA [redacted] DATED FEBRUARY 15, 1995.

THE PURPOSE OF THIS COMMUNICATION IS TO REQUEST SAC AUTHORITY FOR CHICAGO SA [redacted] TO TRAVEL TO THE MILWAUKEE DIVISION TO CONDUCT INTERVIEWS IN CAPTIONED MATTER. IT IS ALSO REQUESTED THAT ONE SA FROM MILWAUKEE DIVISION, KENOSHA RA, BE ASSIGNED TO ASSIST SA [redacted]

b6
b7C

[redacted]

58C-WF-180673-807

SEARCHED INDEXED
SERIALIZED FILED

FEB 16 1995

FBI — WASH. METRO FIELD OFFICE

b6
b7C

AS THE MILWAUKEE DIVISION IS AWARE BY REFERENCED TELEPHONE CALL, CAPTIONED INVESTIGATION INCLUDES AS A SUBJECT, FORMER UNITED STATES REPRESENTATIVE DAN ROSTENKOWSKI OF ILLINOIS.

CURRENTLY THE HE DISTRICT OF COLUMBIA IN AN INVESTIGATION INVOLVING GHOST PAYROLLERS WHO WERE ON ROSTENKOWSKI'S FEDERAL PAYROLL. TWO SUBJECTS, ROBERT AND IRENE RUSSO, ARE CURRENTLY UNDER INVESTIGATION FOR PERJURY AND OBSTRUCTION OF JUSTICE IN CONNECTION WITH THE GHOST PAYROLL INVESTIGATION. IT IS REPORTED THAT IRENE RUSQO WAS PAID VIA FEDERAL FUNDS TO CLEAN ROSTENKOWSKI'S HOMES IN CHICAGO, ILLINOIS, AND POWERS LAKE, WISCONSIN.

IN AN EFFORT TO GAIN ADDITIONAL EVIDENCE IN THE PERJURY AND OBSTRUCTION INVESTIGATIONS, ASSISTANT UNITED STATES ATTORNEY (AUSA) [redacted] WASHINGTON DC, REQUESTED THAT SA [redacted] TRAVEL TO WISCONSIN TO CONDUCT THREE INTERVIEWS OF INDIVIDUALS ASSOCIATED WITH IRENE RUSSO AND TO CONDUCT A NEIGHBORHOOD INVESTIGATION IN TWIN LAKES, WISCONSIN, WHERE THE RUSSOS MAINTAIN A RESIDENCE. b6 b7C

IT IS ANTICIPATED THAT SA [redacted] WILL TRAVEL TO WISCONSIN (KENOSHA RA) ON FEBRUARY 16, 1995, TO CONDUCT THE b6 b7C

ABOVE INTERVIEWS; AND IT IS REQUESTED THAT THE MILWAUKEE DIVISION ASSIGN ONE SA TO ASSIST SA [redacted] IN THIS MATTER.

b6
b7C

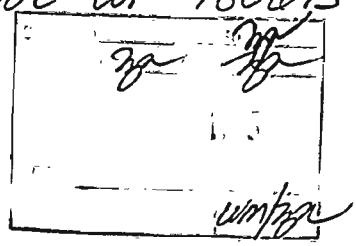
SAC CHICAGO CONCURS WITH THE NEED FOR SA [redacted] TO CONDUCT THE AFOREMENTIONED INVESTIGATION.

BT

#0004

NNNN

58C-WF-180673-808



FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/6/95

b6
b7C

[redacted] was advised of the identity of the interviewing Agent and the purpose of the investigation, and provided the following information:

b6
b7C

[redacted] was asked to describe his relationship with IRENE and ROBERT RUSSO, who reside at 119 W. Park Avenue, Twin Lakes, Wisconsin. [redacted] indicate that [redacted], (nee. [redacted], is [redacted] and [redacted] ROBERT RUSSO.

[redacted] stated that he has done construction and remodeling work for IRENE and ROBERT RUSSO at 119 W. Park Avenue, Twin Lakes, Wisconsin. [redacted] stated that the checks that were written by the RUSSOs to him were for the materials he used and a small portion for his labor. [redacted] stated that he built shelves in the garage, tore down a wall separating the living room and an enclosed front porch, and framed and finished a closet in a bedroom for the RUSSOs. [redacted] also stated that he did exterior siding work at 119 W. Park Avenue, but indicated that the UNDERWOODs paid him for that work.

b6
b7C

[redacted] has no information regarding the RUSSOs employment with DAN ROSTENKOWSKI, and [redacted] stated that the RUSSOs never spoke to him about their relationship with ROSTENKOWSKI.

(telephonically)

Investigation on 2/27/95 at Twin Lakes, Wisconsin File # 58C-WF-180673-808

by SA [redacted] TJB:tb Date dictated 3/6/95

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

58C-WF-180673

The following investigation was conducted by SA [redacted] at Chicago, Illinois: b6 b7C

On 12/12/94, an attempt was made to interview [redacted], date of birth [redacted] SSAN [redacted] with negative results. SA [redacted] told [redacted] that he wanted to speak to her about [redacted] and [redacted] indicated that she did not wish to speak with SA [redacted] SA [redacted] provided [redacted] with his business card so that [redacted] could contact him if she changed her mind at a later date. b6 b7C

58C-WF-180673-810

[redacted] b6 b7C

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

United States District Court

FOR THE DISTRICT OF COLUMBIA

TO: [redacted]

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

SUBPOENA FOR:

☒ PERSON ☐ DOCUMENT(S) OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
United States District Court for the District of Columbia United States Courthouse Third and Constitution Avenue, N.W. Washington, D.C. 20001	Grand Jury 93-2 Third Floor
	DATE AND TIME Tuesday, November 29, 1994 at 9:00 a.m.

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

****PERSONAL APPEARANCE IS REQUIRED****

58C-WF-180673 - b6 b7C

☐ Please see additional information on reverse.

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE OR CLERK OF COURT	DATE
Nancy M. Mayer-Whittington, Clerk (BY) DEPUTY CLERK Margaret Napier	November 15, 1994

This subpoena is issued upon application of the United States of America.

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY
THOMAS J. MOTLEY, AUSA (202) 514-8321
LARRY R. PARKINSON, AUSA (202) 514-9620
Public Corruption/Government Fraud Section
555 4th Street, N.W., 5th Floor
Washington, D.C. 20001

*If not applicable, enter "none."

*U.S.GPO:1993-0-350-792/80398

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE(1)

RECEIVED BY SERVER	DATE 11/15/94	PLACE Chicago, IL
SERVED	DATE 11/16/94	PLACE [redacted]

SERVED ON (PRINT NAME) [redacted]

b3
b6
b7C

SERVED BY (PRINT NAME) [redacted]

TITLE SA, FBI

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
—	—	—

DECLARATION OF SERVER(2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of S[redacted]nd correct.

Executed on 11/16/94 (JBB)
Date

[redacted]

Address of Server

b3
b6
b7C

ADDITIONAL INFORMATION

On 11/16/94 [redacted] telephonically advised that he would except service of this subpoena via US Mail.

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3

TO: [redacted]

SUBPOENA FOR: ☒ PERSON ☐ DOCUMENT(S) OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date and time specified below.

PLACE: United States District Court for the District of Columbia, United States Courthouse, 3rd Floor, 3rd Street & Constitution Avenue, N.W., Washington, D.C. 20001

COURTROOM: Grand Jury 5-28, 3rd Floor

DATE AND TIME: November 22, 1994 at 9:00 a.m.

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

(2) "Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)".

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 12/12/94

[redacted], was advised of the identity of the interviewing Agent and the purpose of the meeting, to obtain [redacted] pursuant to a Federal Grand Jury Subpoena regarding [redacted] date of birth [redacted] SSAN [redacted]

Also present during this meeting was [redacted], [redacted], and [redacted]

[redacted] provided SA [redacted] with the requested [redacted] and the meeting was completed.

b3
b6
b7C

Investigation on 12/09/94 at Chicago, Illinois File # 58C-WF-180673 -8/2

by SA [redacted] TJB:tb Date dictated 12/12/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

58C-WF-180673-812

[redacted]

b6
b7C

58C-WF-180673
TJB:tb

b6
b7C

The following investigation was conducted by SA [redacted] at Chicago, Illinois:

The Department of Buildings, City of Chicago, Room 903, 121 N. LaSalle Street, Chicago, Illinois, (312)744-3416, was requested to provide all building violations from 1975 to 8/24/94, for the following Chicago properties:

1368 W. Evergreen
1370 W. Evergreen
1372 W. Evergreen
1347-49 N. Noble
2148 N. Damen Avenue

b6
b7C

On 10/13/94, an unidentified employee of the Department of Buildings provided SA [redacted] with computer records of building violations for 1372 W. Evergreen, 1368 W. Evergreen, and 1347 N. Noble, Chicago, Illinois.

No building violations were found for 1370 W. Evergreen, Chicago, Illinois, or 2148 N. Damen Avenue, Chicago, Illinois.

58C-WF-180673-8/3

58C-WF-180673
TJB:tb

b6
b7C

The following investigation was conducted by SA [redacted] [redacted] at Chicago, Illinois:

[redacted], Records Administration, Department of Buildings, City of Chicago, Room 903, 121 N. LaSalle Street, Chicago, Illinois, (312)744-3416, was requested to provide all building permits from 1975 to 8/24/94, for the following Chicago properties: 1368 W. Evergreen
1370 W. Evergreen
1372 W. Evergreen
1347-49 N. Noble
2148 N. Damen Avenue

On 9/15/94, [redacted] provided SA [redacted] with the following building inspections:

Property	Inspection Date	Problem
1372 W. Evergreen	1/31/69	No Violations
	1/02/70	No Violations
	5/20/76	No Violations
	6/29/77	No Apparent Violations
	7/06/78	No Apparent Violations
	5/23/79	No Apparent Violations
1368 W. Evergreen	8/21/69	14 Violations
	12/16/69	Numerous Violations
	1/15/70	11 Violations
	7/23/70	Peeling Paint, Rotting Frames...
	9/30/70	7 Violations
	11/4/71	9 Violations
	6/6/73	4 Violations
	3/25/74	7 Violations
	5/20/76	Repair Defective Plumbing and Sewage System and Abate Sewer Odors - Front Side Entrance.
	6/29/77	No Apparent Violations.
	11/21/77	Smoke Detectors needed.
	7/17/78	Loose and Falling Plaster, Broken & Missing Window Panes, and Missing Garbage Lids.
	5/23/79	No Apparent Violations
	6/30/82	7 Violations

b6
b7C

[redacted] could not find any building permits or inspections for 1370 W. Evergreen, Chicago, Illinois, and 2148 N. Damen Avenue, Chicago, Illinois.

58C-WF-180673-814

58C-WF-180673
TJB:tb

The following investigation was conducted by SA [redacted] at Chicago, Illinois:

[redacted] Records Administration, Department of Buildings, City of Chicago, Room 903, 121 N. LaSalle Street, Chicago, Illinois, (312)744-3416, was requested to provide all building permits from 1975 to 8/24/94, for the following Chicago properties: 1368 W. Evergreen
1370 W. Evergreen
1372 W. Evergreen
1347-49 N. Noble
2148 N. Damen Avenue

b6
b7C

On 8/24/94, [redacted] provided SA [redacted] with the following:

BUILDING PERMITS

Property	Permit Date	Description of work
1347-49 N. Noble	3/2/93	Repair Walls, Ceilings, Floors, Doors, Windows, & Plumbing.
1372 W. Evergreen	7/22/77	Replace Metal Cornice with Face Brick.
	9/2/77	Remodel Existing Store Front.
1368 W. Evergreen	7/11/91	Erect Frame Garage 2 Roof Vents Alley Drive.
	3/25/91	Drywall 3rd Floor Kitchen & Bath and Replace (6) Plumbing Fixtures.

INSPECTIONS

Property	Inspection Date	Problem
1368 W. Evergreen	5/20/76	Repair Defective Plumbing and Sewage System and Abate Sewer Odors - Front Side Entrance.

b6
b7C

[redacted] could not find any building permits for 1370 W. Evergreen, Chicago, Illinois, and 2148 N. Damen Avenue, Chicago, Illinois.

58C-WF-180673-815

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/27/94

b6
b7C

[redacted], date of birth [redacted] Social Security Number (SSAN) [redacted] was advised of the identity of the interviewing Agents and the purpose of the interview, and provided the following information:

[redacted] retired in approximately August, 1979, as a furniture refinisher/repairman. [redacted] does not own or operate a furniture store, but has refinished furniture since being retired. [redacted] has never sold furniture to any member of the U.S. HOUSE OF REPRESENTATIVES (USHR). [redacted] has never knowingly refinished furniture for any member of the USHR, but [redacted] has refinished furniture for [redacted] indicated that [redacted] is married to [redacted]

b6
b7C

[redacted] stated that he had anticipated being interviewed by the FBI, because he had received a call from an attorney named [redacted] who called [redacted] earlier in the year and told him that he might be interviewed by the FBI.

b6
b7C

[redacted] recalled that approximately two or three years ago, [redacted] called [redacted] over to [redacted] residence to assist him in a refinishing project. [redacted] recalled that [redacted] didn't do any of the refinishing work, but provided the furniture, work materials, and mahogany wood finish. [redacted] stated that his [redacted] job was to strip and refinish the furniture. [redacted] could not recall exactly what or how much furniture he refinished, but [redacted] indicated he had refinished chairs and tables. [redacted] did not spend any of his own money on the project, and neither requested nor knowingly received any compensation from [redacted] or [redacted] for his work. [redacted] stated that his work for [redacted] was voluntary, and he considered the project a favor for [redacted]

Investigation on 10/20/94 at Chicago, Illinois File # 58C-WF-180673-816

by SA [redacted] TJB/tb Date dictated 10/27/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

[redacted] was asked if he had ever seen and/or [redacted] that [redacted] came to his residence with a [redacted] check and simply asked [redacted] to indorse it. b6 b7C b3

[redacted] stated that the above check was the first and only time [redacted] asked him to sign a check. Nonetheless, [redacted] indicated that he didn't ask [redacted] any questions about the check, nor did he look at the check amount, because the person that requested his signature was his daughter. b6 b7C

[redacted] was not certain what [redacted] did with the check once it was signed, but [redacted] stated that sometime later, date unknown, [redacted] returned to [redacted] residence and provided him with money. [redacted] could not recall the exact amount of money he received from [redacted] but [redacted] was certain that he did not receive [redacted] stated that he didn't need [redacted] money, and [redacted] and [redacted] didn't need his money. b6 b7C

[redacted] indicated that the signature on the [redacted] was his, but the account belongs to [redacted] and [redacted] stated he has never had the books for the account, and never made deposits or withdrawals from the account. [redacted] stated he was listed on the account for emergency purposes only, in case anything ever happened to [redacted] or [redacted] stated that [redacted] and [redacted] have three young children and if anything ever happened to [redacted] or [redacted] they wanted to make sure that their children were taken care of. b6 b7C b3

[redacted] was asked if he knew the whereabouts of the furniture he had refinished for [redacted] or who the furniture went to, and [redacted] stated that he didn't know. [redacted] however, stated that the furniture was not for [redacted] or [redacted] because it didn't stay at [redacted] residence. b6 b7C

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 9/7/94

[redacted], Social Security Number [redacted] [redacted] work address [redacted] [redacted], was interviewed at the Offices of the FEDERAL BUREAU OF INVESTIGATION, and was accompanied by her Attorney [redacted] After being advised of the identities of the interviewing Agents and the nature of the interview, [redacted] provided the following information: b6 b7C

[redacted] provided the interviewing Agents with various copies of tax documents regarding the partnership identified as VERNE-LYN REAL ESTATE (VLRE). The tax documents ranged in years from 1978 to 1985, but did not include tax information from 1979 through 1982. [redacted] also provided a copy of a (HUD-1) U.S. Department of Housing and Urban Development, Settlement Statement, dated 7/29/86, regarding the sale of 1368 W. Evergreen, Chicago, Illinois. All of the above documents were placed into the 1A Exhibit Section of this file. b6 b7C

Based on [redacted] review of the above documents [redacted] indicated that the VLRE partnership was started in 1978, as opposed to the 1980's, as previously indicated. The partnership remained in effect from 1978 until 1986 when the partnership was dissolved. [redacted] reiterated that the VLRE partnership was entered into by [redacted] and [redacted] for the explicit purpose of managing 1368 W. Evergreen, Chicago, Illinois. b6 b7C

[redacted] stated that [redacted] had a joint checking account for the VLRE partnership, but [redacted] could not recall what bank held the account. [redacted] indicated that VLRE got the loan for the purchase of 1368 W. Evergreen, Chicago, Illinois, through GLADSTONE NATIONAL BANK; but [redacted] could not recall where the joint checking account was held. b6 b7C

[redacted] described 1368 W. Evergreen, Chicago, Illinois as a three story building with 12 units/apartments. Each floor, b6 b7C

Investigation on 9/1/94 at Chicago, Illinois File # 58C-WF-180673 -817

by SA [redacted] TJB/tb TJB Date dictated 9/7/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

including the basement, contained three apartments. Varying degrees of apartment renovations were performed by, or on behalf of, [redacted] In order to describe what work was completed and who completed it, [redacted] separated 1368 W. Evergreen, Chicago, Illinois, into three sections: front, middle and back. The front section referred to the four apartments from the third floor to the basement that faced Evergreen Street, and the back apartments referred to the four apartments from the third floor to the basement that faced the alley. b6 b7C

[redacted] stated that 1368 W. Evergreen, Chicago, Illinois, was renovated from 1979 until a few months prior to its sale in 1986. b6 b7C

[redacted] stated that [redacted] had disagreements on what should be done to the apartments and what types of materials should be used in the renovation. It was because of these disagreements that [redacted] hired different carpenters to work on different apartments at 1368 W. Evergreen, Chicago, Illinois. b6 b7C

[redacted] renovated the back apartments and [redacted] renovated three of the front apartments with the exception of the basement apartment. The basement apartment was totally gutted, and no work was completed on it, prior to the sale of 1368 W. Evergreen, Chicago, Illinois, in 1986. The middle apartments were renovated in part by [redacted] hired [redacted] hired [redacted] to complete renovations which they could not complete themselves. b6 b7C

[redacted] stated that she had no control over [redacted] and had no idea how [redacted] got paid for the work he had completed at 1368 W. Evergreen. [redacted] stated that [redacted] worked solely for [redacted] and [redacted] did no work on the interior of any of the rooms that [redacted] had renovated. [redacted] stated that she had seen [redacted] working in the front apartments, and described [redacted] work as beautiful, excellent, and the work of a craftsman. [redacted] also stated that [redacted] worked at a slower than average pace as compared to [redacted], but when [redacted] was asked to identify what work [redacted] had done on the apartments, [redacted] indicated that she couldn't remember. b6 b7C

[redacted] stated that she was at 1368 W. Evergreen, Chicago, Illinois, every weekday morning, quite often during b6 b7C

lunch time, and almost every evening. [redacted] also stated that she would spend some of her weekends at 1368 W. Evergreen, Chicago, Illinois. [redacted] however, stated that she wasn't at 1368 W. Evergreen, Chicago, Illinois, enough to remember what [redacted] did at 1368 W. Evergreen. [redacted] stated that she would only see [redacted] in passing, and didn't spend her time talking with [redacted] did not know if [redacted] was working for [redacted] on a full-time or part-time basis. [redacted] however, did recall one occasion when she surprised [redacted] who was playing putt-putt in one of the front apartments.

b6
b7C

[redacted] stated that [redacted] had all the windows replaced at 1368 W. Evergreen, Chicago, Illinois. [redacted] recalled seeing [redacted] installing some of the windows, but [redacted] did not know if [redacted] installed all the windows at 1368 W. Evergreen, Chicago, Illinois. [redacted] stated however that she never saw anyone else but [redacted] installing windows at 1368 W. Evergreen, Chicago, Illinois.

b6
b7C

[redacted] was asked if she had ever seen anyone else, other than [redacted] doing work for [redacted] at 1368 W. Evergreen, Chicago, Illinois. [redacted] could only recall one occasion when she saw two unknown individuals shoveling plaster into a closet.

b6
b7C

[redacted] provided no additional information regarding [redacted] work at 1368 W. Evergreen, Chicago, Illinois. [redacted] stated that [redacted] had wood floors put into the front apartments, but [redacted] could not recall if [redacted] had done the work.

b6
b7C

[redacted] stated that [redacted] did the following work for her at 1368 W. Evergreen: [redacted] moved all the gas meters from the hallways and installed them in the attic, [redacted] installed a new water heater and furnace which included the installation of twelve heating units and new duct work for the entire building, and [redacted] installed glass block in the bathroom windows of the building. [redacted] also installed new bathtubs, toilets, kitchen and bathroom sinks, and carpet in the back apartments.

b6
b7C

[redacted] stated that she painted the back bedrooms on the first and second floor, did some painting in the basement, put up drywall in some of the apartments, put up flowered paneling on the back stairway and its landings, and put up

b6
b7C

ceramic tile in the middle bathrooms. [redacted] stated that [redacted] assisted in putting up ceramic tiles in the middle bathrooms, and [redacted] wallpapered some of the apartments.

[redacted] did not know if building permits were obtained for the work done at 1368 W. Evergreen, Chicago, Illinois. [redacted] did not know if building permits were required for the work done at 1368 W. Evergreen, Chicago, Illinois. [redacted] did not know if 1368 W. Evergreen, Chicago, Illinois, was inspected by City Inspectors after the remodeling was completed.

b6
b7C

[redacted] stated that in late 1985, her relationship with [redacted] had deteriorated and [redacted] wanted to sell 1368 W. Evergreen, Chicago, Illinois. [redacted] stated that she hired [redacted] a real estate agent for GOLDBERG REAL ESTATE, Chicago, Illinois, to find a buyer. [redacted] stated that [redacted] found two buyers who wanted to purchase 1368 W. Evergreen, Chicago, Illinois, for $295,000, but [redacted] wouldn't sign the sales contract. [redacted] stated that [redacted] was out of the country for a unspecified time period, and the sales contract expired. [redacted] stated that approximately January, 1986, [redacted] of 1368 W. Evergreen, Chicago, Illinois, offered [redacted] and [redacted] $190,000 for 1368 W. Evergreen.

b6
b7C

[redacted] verified that 1368 W. Evergreen, Chicago, Illinois, was sold to [redacted] on July 29, 1986, and [redacted] indicated that she received approximately $54,000 on the sale, while ROSTENKOWSKI received approximately $102,000 to $105,000.

b6
b7C

[redacted] identified the following people that were residents of 1368 W. Evergreen, Chicago, Illinois, while it was being renovated: [redacted] (phonetic), [redacted] (phonetic) first names unknown, and [redacted] stated that [redacted] had been a tenant at 1368 W. Evergreen, Chicago, Illinois, before and after [redacted] and [redacted] owned the property.

b6
b7C

[redacted] also identified SOPHIE PALASZ as a person who might have information regarding [redacted] work at 1368 W. Evergreen, Chicago, Illinois. [redacted] stated that PALASZ, on a daily basis, use to sit outside her residence located next door to 1368 W. Evergreen, Chicago, Illinois, and PALASZ would

occasionally comment on the work that was being done at 1368 W. Evergreen, Chicago, Illinois.

b6
b7C

At the conclusion of this interview, [redacted] expressed concerns about reprisals from DAN ROSTENKOWSKI because of her assistance in this matter. [redacted] stated that she owns a number of buildings in the City of Chicago, and DAN ROSTENKOWSKI could talk to City Inspectors who could begin to enforce building code violations that could put her out of business. [redacted] stated that she wanted it noted that her Chicago properties have no outstanding building code violations, and all the past building code violations for her buildings had been cleared.

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 3/13/95

TO : SAC, WMFO

FROM : SAC, CHICAGO (58C-WF-180673) (P)

SUBJECT : PLATE BLOCK;
CFPO-LEGISLATIVE BRANCH;
OO:WMFO

RE Chicago Airtel to WMFO dated 8/29/94.

Enclosed for WMFO are the following:

1) The original and two copies each of FD-302 interviews of [redacted] and [redacted] b6 b7C

2) One 1A Envelope containing Tax Documents and HUD-1 for VERN-LYN REAL ESTATE received from [redacted] on 9/1/94.

b3

3) The original and one copy of an executed FGJ subpoena served on [redacted]

4) Two copies each of three inserts (with documents attached) regarding City of Chicago, Department of Buildings, building permits and violations filed on ROSTENKOWSKI's Chicago properties.

5) Subpoenaed documents from the [redacted] pertaining to [redacted]

6) Subpoenaed documents from [redacted] regarding [redacted] b6 b7C b3

2-WMFO (Enc. 27)
1-Chicago (58C-WF-180673)
TJB:tb
(3)

58C-WF-180673-818

RCHED INDEXED
IALIZED FILED
MAR 2 2 1995
BI — WASH. METRO FIELD OFFICE

Approved: _____ Transmitted _____ Per _____
(Number) (Time)

7) Two copies each of inserts pertaining to:
a) an attempted interview of [redacted] b6 b7C
(nee [redacted]
and b) a neighborhood investigation conducted in Twin Lakes, Wisconsin.

ADMINISTRATIVE

Referenced Airtel set a lead to Chicago to locate and complete the interview of [redacted] The interview was completed and is enclosed. b6 b7C

LEADS

CHICAGO DIVISION

AT CHICAGO, ILLINOIS

Will continue to coordinate leads from Washington.

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

C-9

Date 7/20/95

FM FBI WMFO (58C-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: CID, WCCS, PCU, SSA [redacted]

b6
b7C

SUBJECT: "PLATEBLOCK;" OO: WMFO.

FOR INFORMATION OF FBIHQ, ON 7/18/95, A FEDERAL APPEALS COURT REJECTED THE MOTION OF SUBJECT DAN ROSTENKOWSKI THAT THE SEVENTEEN COUNT INDICTMENT AGAINST HIM BE DISMISSED, BASED ON CONSTITUTIONAL GROUNDS. THE COURT OF APPEALS, HOWEVER, INVITED THE DISTRICT COURT TO REVIEW SIX OF THE COUNTS WHICH INVOLVE ROSTENKOWSKI'S LYING TO CONGRESS. THIS REVIEW IS BASED UPON A RECENT RULING BY THE U.S. SUPREME COURT. ALTHOUGH THIS RULING PLACES THESE SIX COUNTS IN JEOPARDY, THE

-819
58C-WF-180673

C5 [redacted] / C9 SA [redacted]

b6
b7C

Approved: WLC/lm Original filename: ~~LRR0014W.201~~ lm

Time Received: 600 Telprep filename: LRR00150.201

MRI/JULIAN DATE: 2094/201 ISN: 040

FOX DATE & TIME OF ACCEPTANCE: 7/20/95 6:04 KH

PROSECUTORS ARE CONFIDENT THAT THE EVIDENCE SUPPORTING THESE COUNTS CAN BE ENTERED IN THROUGH OTHER COUNTS. PROCEDURALLY, THIS RULING HAS THE POTENTIAL OF CAUSING DELAY AS TO WHEN THIS MATTER MAY EVENTUALLY COME TO TRIAL.

BT

0000 MRI 02094

RR RUCNFB

DE FBIWMFO #0040 2012205

ZNR UUUUU

R 202204Z JUL 95

FM FBI WMFO (58C-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

b6
b7C

CITE: //3920//

PASS: CID, WCCS, PCU, SSA [redacted]

SUBJECT: "PLATEBLOCK;" OO: WMFO.

FOR INFORMATION OF FBIHQ, ON 7/18/95, A FEDERAL APPEALS COURT REJECTED THE MOTION OF SUBJECT DAN ROSTENKOWSKI THAT THE SEVENTEEN COUNT INDICTMENT AGAINST HIM BE DISMISSED, BASED ON CONSTITUTIONAL GROUNDS. THE COURT OF APPEALS, HOWEVER, INVITED THE DISTRICT COURT TO REVIEW SIX OF THE COUNTS WHICH INVOLVE ROSTENKOWSKI'S LYING TO CONGRESS. THIS REVIEW IS BASED UPON A RECENT RULING BY THE U.S. SUPREME COURT. ALTHOUGH THIS RULING PLACES THESE SIX COUNTS IN JEOPARDY, THE

58C-WF-180673-819

PROSECUTORS ARE CONFIDENT THAT THE EVIDENCE SUPPORTING THESE COUNTS CAN BE ENTERED IN THROUGH OTHER COUNTS. PROCEDURALLY, THIS RULING HAS THE POTENTIAL OF CAUSING DELAY AS TO WHEN THIS MATTER MAY EVENTUALLY COME TO TRIAL.

BT

#0040

NNNN

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 10/17/95

FM FBI WFO (58C-WF-180673) (P) (C-9)

TO FBI MILWAUKEE/ROUTINE/

BT

UNCLAS

CITE: //3920//

SUBJECT: PLATE BLOCK; OO:WFO

RE WFO TELCALL OF 10/18/95 FROM SA [redacted] TO SA [redacted] b6 b7C

AS DISCUSSED IN THE REFERENCED TELCALL, THE UNITED STATES ATTORNEY (USA) FOR THE DISTRICT OF COLUMBIA HAS REQUESTED THE PRESENCE OF SA [redacted] IN WASHINGTON, D.C. ON 10/26/95 FOR TRIAL TESTIMONY IN THE CAPTIONED MATTER. THE USA HAS ALSO REQUESTED THAT SA [redacted] BE AVAILABLE FOR TRAVEL TO WASHINGTON, D.C. IN THE EVENT THAT HER TESTIMONY BECOMES NECESSARY.

58C-WF-180673-820

Approved: WLC/DJB Original filename: 14500 1W.291

Time Received: / Telprep filename: 14500 1S0.291

MRI/JULIAN DATE: ~~291/292~~ 296/293 ISN: 002

FOX DATE & TIME OF ACCEPTANCE: 10/20/95 12:29 Am JUB

THE TESTIMONY OF [redacted] AND THE POSSIBLE TESTIMONY OF [redacted] IS BEING REQUESTED FOR THE CRIMINAL TRIAL OF ROBERT RUSSO WHICH COMMENCES ON 10/23/95. RUSSO HAS BEEN INDICTED FOR OBSTRUCTION OF JUSTICE RELATING TO THE TESTIMONY THAT HE HAS PROVIDED TO THE FEDERAL GRAND JURY IN THIS MATTER. [redacted] AND [redacted] CONDUCTED AN INTERVIEW OF RUSSO REGARDING THE SUBJECT CASE ON 8/14/93.

b6
b7C

BT

/READ 296/293
0000 MRI 00296

RR FBIMW

DE FBIWMFO #0002 2930452

ZNR UUUUU

R 200428Z OCT 95

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO FBI MILWAUKEE/ROUTINE/

BT

UNCLAS

CITE: //3920//

SUBJECT: PLATE BLOCK; OO:WFO.

RE WFO TELCALL OF 10/18/95 FROM SA [redacted] TO SA [redacted]. b6 b7C

AS DISCUSSED IN THE REFERENCED TELCALL, THE UNITED STATES ATTORNEY (USA) FOR THE DISTRICT OF COLUMBIA HAS REQUESTED THE PRESENCE OF SA [redacted] IN WASHINGTON, D.C. ON 10/26/95 FOR TRIAL TESTIMONY IN THE CAPTIONED MATTER. THE USA HAS ALSO REQUESTED THAT SA [redacted] BE AVAILABLE FOR TRAVEL TO WASHINGTON, D.C. IN THE EVENT THAT HER TESTIMONY BECOMES NECESSARY.

58C-WF-180673-820

THE TESTIMONY OF [redacted] AND THE POSSIBLE TESTIMONY OF [redacted] IS BEING REQUESTED FOR THE CRIMINAL TRIAL OF ROBERT RUSSO WHICH COMMENCES ON 10/23/95. RUSSO HAS BEEN INDICTED FOR OBSTRUCTION OF JUSTICE RELATING TO THE TESTIMONY THAT HE HAS PROVIDED TO THE FEDERAL GRAND JURY IN THIS MATTER. [redacted] AND [redacted] CONDUCTED AN INTERVIEW OF RUSSO REGARDING THE SUBJECT CASE ON 8/14/93.

b6
b7C

BT

#0002

NNNN

7-189 (Rev. 4-30-82)

TRANSMIT VIA: Airtel

DATE: 10/23/95

CLASSIFICATION: Unclas

FROM: Director, FBI 58C-WF-180673))

TO: SAC, WMFO (58C-WF-180673)

"PLATE BLOCK"
OO:.WMFO

Re your FD-790 dated 10/6/95

There is being forwarded to Assistant United States Attorney
Judiciary Center
555 4th St., N.W.
Washington, DC 20001
ATTN: [redacted]

b6
b7C

by Courier on 10/23/95

the following ten 30x40 and 3 36x60 trial charts, color jury copies and original documents

prepared by Special Projects Section, Laboratory Division, re captioned matter.

The following action should be taken by your office:

Trial Exhibits or Other Visual Aids

(X) Check the above items against submitted work papers or roughs.

(X) Advise FBIHQ, attention Special Projects Section, Laboratory Division, (within ninety-days), re the use and effectiveness of these items, including all pertinent comments.

Artist's Conceptions or Retouched Photographs

( ) Have witness(es) view item(s) for evaluation.

( ) If modification is necessary, submit additional information and any pertinent comments of witness(es) to FBIHQ, Special Projects Section, Laboratory Division.

( ) Advise (within ninety-days) re the use and effectiveness of the above items. Upon apprehension of subject(s), submit arrest photographs.

Enc. (16)

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
OCT 26 1995
FBI/DOJ

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
[X] Teletype	[ ] Immediate	[ ] TOP SECRET
[ ] Facsimile	[ ] Priority	[ ] SECRET
[ ] AIRTEL	[X] Routine	[ ] CONFIDENTIAL
		[ ] UNCLAS E F T O
		[X] UNCLAS

Date 11/9/95

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted], PCU

b6
b7C

SUBJECT: PLATE BLOCK; OO:WMFO

RE TELCALL OF 11/9/95 FROM SA [redacted] TO SSA [redacted]

FOR THE INFORMATION OF FBIHQ, ON 11/8/95, A U.S. DISTRICT COURT IN THE DISTRICT OF COLUMBIA FOUND ROBERT RUSSO GUILTY OF ONE COUNT OF PERJURY AND ONE COUNT OF OBSTRUCTION OF JUSTICE IN THE SUBJECT MATTER. SENTENCING FOR RUSSO HAS BEEN SET FOR FEBRUARY 7, 1995.

RUSSO, A FORMER DISTRICT OFFICE EMPLOYEE OF FORMER U.S. REPRESENTATIVE DAN ROSTENKOWSKI OF ILLINOIS, WAS CONVICTED FOR

58C-WF-180673-821

Approved: WLL/gr Original filename: 1es001w.312

Time Received: 11:44 p.m. Telprep filename: 1es00150.312

MRI/JULIAN DATE: 1296/317 ISN: 014

FOX DATE & TIME OF ACCEPTANCE: 11/13/95 3:50 pm JUB

HIS FALSE TESTIMONY AND WITHHOLDING EVIDENCE TO A FEDERAL GRAND JURY INVESTIGATING MISCONDUCT ON THE PART OF ROSTENKOWSKI.

BT

0000 MRI 01296

RR RUCNFB

DE FBIWMFO #0014 3172051

ZNR UUUUU

R 132050Z NOV 95

FM FBI WMFO (58C-WF-180673) (P) (C-9)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted], PCU.

b6
b7C

SUBJECT: PLATE BLOCK; OO:WMFO.

RE TELCALL OF 11/9/95 FROM SA [redacted] TO SSA [redacted]
[redacted]

FOR THE INFORMATION OF FBIHQ, ON 11/8/95, A U.S. DISTRICT COURT IN THE DISTRICT OF COLUMBIA FOUND ROBERT RUSSO GUILTY OF ONE COUNT OF PERJURY AND ONE COUNT OF OBSTRUCTION OF JUSTICE IN THE SUBJECT MATTER. SENTENCING FOR RUSSO HAS BEEN SET FOR FEBRUARY 7, 1995.

RUSSO, A FORMER DISTRICT OFFICE EMPLOYEE OF FORMER U.S.

58C-WF-180673-821

REPRESENTATIVE DAN ROSTENKOWSKI OF ILLINOIS, WAS CONVICTED FOR HIS FALSE TESTIMONY AND WITHHOLDING EVIDENCE TO A FEDERAL GRAND JURY INVESTIGATING MISCONDUCT ON THE PART OF ROSTENKOWSKI.

BT

#0014

NNNN

58C-NF-180673-822

SEARCHED ..... INDEXED .....
SERIALIZED and FILED .....

NOV 29 1995

FBI — NEWARK

DEC 11 1995

FD-36 (Rev. 8-29-85)

P
C-9

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [X] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 11/29/95

FM FBI NEWARK (183A-NK-79938) (ACRA) (P)

TO DIRECTOR FBI/PRIORITY/

FBI CHICAGO/PRIORITY/

FBI MIAMI/PRIORITY/

INFO FBI WMFO (58C-WF-180673)/ROUTINE/

BT

UNCLAS

CITE: //3510:3412//

b6
b7C

PASS: FBIHQ, SSA [redacted] OC/DOS NUMBER 2; CHICAGO, SA [redacted] AND SA [redacted] MIAMI, SSA [redacted]

SUBJECT: HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES INTERNATIONAL UNION (HEREIU); CIVIL RICO; OO: NEWARK.

RE: NEWARK TELETYPE TO FBIHQ AND ALL OFFICES, DATED 9/28/95.

AS FBIHQ, CHICAGO AND MIAMI DIVISIONS ARE AWARE FROM REFERENCED TELETYPE, THE HEREIU HAS SIGNED A CONSENT DECREE

Approved: BWM/PO Original filename: 333RJM01.TT

Time Received: _____ Telprep filename: _____

MRI/JULIAN DATE: _____ ISN: _____

FOX DATE & TIME OF ACCEPTANCE: _____

183A-NK-79938-236

WITH THE UNITED STATES GOVERNMENT, WHEREIN THE HEREIU AGREED TO THE APPOINTMENT OF A MONITOR TO RID THE UNION OF LCN INFLUENCE AND CONTROL. IN THAT REGARD, [redacted] FORMER CHIEF OF THE DEPARTMENT OF JUSTICE ORGANIZED CRIME STRIKE FORCE, HAS BEEN APPOINTED AS MONITOR BY UNITED STATES DISTRICT COURT JUDGE GARRETT BROWN, DISTRICT OF NEW JERSEY, FOR AN INITIAL PERIOD OF 18 MONTHS. [redacted] HAS BEEN GRANTED BROAD POWERS WHICH ALLOW HIM TO REMOVE FROM OFFICE UNION OFFICERS AND/OR EMPLOYEES FROM BOTH THE INTERNATIONAL AS WELL AS RELATED LOCAL UNIONS. [redacted] IS ACCOMPLISHING THIS BY USING INFORMATION FURNISHED TO HIM BY THE FBI, DEPARTMENT OF LABOR, AS WELL AS PUBLIC SOURCE INFORMATION. THE INFORMATION FURNISHED TO [redacted] IS GIVEN TO THE UNITED STATES DISTRICT JUDGE WHO THEN MAKES A DETERMINATION OF WHETHER OR NOT THE INDIVIDUALS SHOULD BE REMOVED FROM OFFICE. ANY INFORMATION DEEMED SENSITIVE IS FURNISHED TO THE JUDGE IN CAMERA AND SEALED. THE INFORMATION NECESSARY TO REMOVE AN INDIVIDUAL CAN BE IN THE FORM OF HEARSAY AND CAN INCLUDE INTERVIEWS, PHYSICAL SURVEILLANCES, INFORMANT OR CW INFORMATION, ELECTRONIC SURVEILLANCES AND PUBLIC RECORD. THIS

b6
b7C

IS A UNIQUE OPPORTUNITY TO REMOVE LCN INFLUENCE FROM THIS UNION WITHOUT HAVING TO ACTUALLY PROVE A CRIME.

RECENTLY, THE MONITOR HAS FURNISHED NEWARK WITH TWO ITEMS WHICH IT FEELS FALL UNDER THE POWER OF THE MONITOR TO REMOVE LCN MEMBERS AND ASSOCIATES FROM THE UNION. THE FIRST OF THESE ITEMS DEALS WITH DANROSS ASSOCIATES, INC. (DAI) WHICH HAS SIGNED A CONTRACT WITH THE HEREIU. THIS CONTRACT CALLS FOR DAI, THROUGH THE EFFORTS OF DAN ROSTENKOWSKI, TO PURSUE OBTAINING A NEUTRALITY AGREEMENT BETWEEN UNIVERSAL STUDIOS THEME PARK AND HEREIU. HEREIU HAS PAID $50,000 TO DAI UPON EXECUTION OF THE AGREEMENT AND WILL PAY AN ADDITIONAL $100,000 UPON THE EXECUTION AND COMPLIANCE WITH THE NEUTRALITY AGREEMENT. ACCORDING TO THIS AGREEMENT, UNIVERSAL STUDIOS THEME PARK HAS RECENTLY BEEN PURCHASED BY THE SEAGRAMS COMPANY.

THE SECOND ITEM OF CONCERN TO THE MONITOR DEALS WITH [redacted] WHO HAS BEEN IDENTIFIED AS [redacted] [redacted] FOR THE HEREIU. b6 b7C

INVESTIGATION CONDUCTED TO DATE BY THE NEWARK DIVISION INDICATES THAT DAI WAS INCORPORATED ON 2/14/95 IN DELAWARE.

THE REGISTERED AGENT IS [redacted] THE PRESIDENT IS LISTED AS DAN ROSTENKOWSKI, FORMER DEMOCRATIC CONGRESSMAN FROM ILLINOIS, 1372 WEST EVERGREEN, CHICAGO, ILLINOIS. THE CORPORATE SECRETARY IS LISTED AS [redacted] AS RELATING TO [redacted], HE IS LISTED AS [redacted] WITH HEREIU AND HAS JURISDICTION OVER LOCAL 55 OF HEREIU, ORLANDO, FLORIDA. THIS LOCAL IS CURRENTLY IN TRUSTEESHIP AND IS RUN BY [redacted]

b6
b7C

A REVIEW OF THE [redacted] AND THE AUTOMATED CASE SUPPORT UNIVERSAL INDEX (UNI) BY NEWARK REVEALS THAT THERE ARE NUMEROUS REFERENCES TO ALL THE PARTIES INVOLVED WITH DAI AND [redacted] AND [redacted] AS CLOSE ASSOCIATES TO BOTH DECEASED AND CURRENT LCN MEMBERS AND ASSOCIATES.

b6
b7C
b7E

BY WAY OF SUMMARY, THERE APPEARS TO BE A RELATIONSHIP AMONG DAN ROSTENKOWSKI, [redacted] AND [redacted] AND VARIOUS LCN MEMBERS AND ASSOCIATES IN CHICAGO ALONG WITH OTHERS INVOLVED WITH THE

b6
b7C

INTERNATIONAL BROTHERHOOD OF TEAMSTERS AND THE TEAMSTERS CENTRAL STATES PENSION FUND. BRIEFLY THE FOLLOWING WAS LOCATED IN [redacted] CONCERNING THE INDIVIDUALS OF CONCERN:

1. [redacted] DOB [redacted] SOC [redacted]

b6
b7C
b7E

2. [redacted] AKA [redacted]

DOB [redacted] FBI NUMBER [redacted] SOC [redacted] LISTED AS AN

b6
b7C
b7E

3. [redacted] DESCRIBED IN [redacted] AS [redacted]

DOB [redacted]

b6
b7C
b7E

4. [redacted]

5. [redacted]

[redacted]

[redacted] IS ALSO DESCRIBED AS [redacted]

[redacted]

b6
b7C
b7E

WMFO FURNISHED INFO COPY DUE TO ITS INVESTIGATIVE INTEREST IN DAN ROSTENKOWSKI.

LEADS:

CHICAGO AND MIAMI DIVISIONS

IT IS REQUESTED THAT CHICAGO AND MIAMI REVIEW THEIR FILES FOR ALL INFORMATION PERTAINING TO THE ABOVE-MENTIONED INDIVIDUALS WHICH WOULD INDICATE AN ASSOCIATION TO LCN MEMBERS AND TO LCN ASSOCIATES. THE CHICAGO AND MIAMI DIVISIONS ARE REQUESTED TO CONTACT THE ATLANTIC CITY RESIDENT AGENCY (ACRA) AND ADVISE THE ACRA AS TO THE VOLUME OF INFORMATION AVAILABLE AND WHETHER IT IS FEASIBLE TO SEND THIS INFORMATION TO ACRA OR HAVE SOMEONE FROM THE ACRA TRAVEL TO MIAMI AND CHICAGO DIVISIONS TO REVIEW THESE RECORDS AND POSSIBLY CONDUCT INTERVIEWS WITH SOME OF THESE INDIVIDUALS.

BT

[redacted]

b6
b7C

0515/335
0000 MRI 02515

PP RUCNFB FBICG FBIMM FBIWMFO

DE FBINK #0017 3390637

ZNR UUUUU

P 012315Z DEC 95

FM FBI NEWARK (183A-NK-79938) (P)

TO DIRECTOR FBI/PRIORITY/

FBI CHICAGO/PRIORITY/

FBI MIAMI/PRIORITY/

INFO FBI WMFO (58C-WF-180673)/ROUTINE/

BT

UNCLAS

CITE: //3510;3412//

PASS: FBIHQ, SSA [redacted] OC/DOS NUMBER 2; CHICAGO,

SA [redacted] AND SA [redacted] MIAMI, SSA [redacted]

SUBJECT: HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES

INTERNATIONAL UNION (HEREIU); CIVIL RICO; OO: NEWARK.

RE: NEWARK TELETYPE TO FBIHQ AND ALL OFFICES, DATED

9/28/95.

AS FBIHQ, CHICAGO AND MIAMI DIVISIONS ARE AWARE FROM

58C-WF-180673-823

SEARCHED INDEXED
SERIALIZED FILED
1995
FBI — WASH. METRO FIELD OFFICE

REFERENCED TELETYPE, THE HEREIU HAS SIGNED A CONSENT DECREE WITH THE UNITED STATES GOVERNMENT, WHEREIN THE HEREIU AGREED TO THE APPOINTMENT OF A MONITOR TO RID THE UNION OF LCN INFLUENCE AND CONTROL. IN THAT REGARD, [redacted] FORMER CHIEF OF THE DEPARTMENT OF JUSTICE ORGANIZED CRIME STRIKE FORCE, HAS BEEN APPOINTED AS MONITOR BY UNITED STATES DISTRICT COURT JUDGE GARRETT BROWN, DISTRICT OF NEW JERSEY, FOR AN INITIAL PERIOD OF 18 MONTHS. [redacted] HAS BEEN GRANTED BROAD POWERS WHICH ALLOW HIM TO REMOVE FROM OFFICE UNION OFFICERS AND/OR EMPLOYEES FROM BOTH THE INTERNATIONAL AS WELL AS RELATED LOCAL UNIONS. [redacted] IS ACCOMPLISHING THIS BY USING INFORMATION FURNISHED TO HIM BY THE FBI, DEPARTMENT OF LABOR, AS WELL AS PUBLIC SOURCE INFORMATION. THE INFORMATION FURNISHED TO [redacted] IS GIVEN TO THE UNITED STATES DISTRICT JUDGE WHO THEN MAKES A DETERMINATION OF WHETHER OR NOT THE INDIVIDUALS SHOULD BE REMOVED FROM OFFICE. ANY INFORMATION DEEMED SENSITIVE IS FURNISHED TO THE JUDGE IN CAMERA AND SEALED. THE INFORMATION NECESSARY TO REMOVE AN INDIVIDUAL CAN BE IN THE FORM OF HEARSAY AND CAN INCLUDE INTERVIEWS, PHYSICAL SURVEILLANCES, INFORMANT OR CW INFORMATION, ELECTRONIC SURVEILLANCES AND PUBLIC RECORD. THIS

b6
b7C

IS A UNIQUE OPPORTUNITY TO REMOVE LCN INFLUENCE FROM THIS UNION WITHOUT HAVING TO ACTUALLY PROVE A CRIME.

RECENTLY, THE MONITOR HAS FURNISHED NEWARK WITH TWO ITEMS WHICH IT FEELS FALL UNDER THE POWER OF THE MONITOR TO REMOVE LCN MEMBERS AND ASSOCIATES FROM THE UNION. THE FIRST OF THESE ITEMS DEALS WITH DANROSS ASSOCIATES, INC. (DAI) WHICH HAS SIGNED A CONTRACT WITH THE HEREIU. THIS CONTRACT CALLS FOR DAI, THROUGH THE EFFORTS OF DAN ROSTENKOWSKI, TO PURSUE OBTAINING A NEUTRALITY AGREEMENT BETWEEN UNIVERSAL STUDIOS THEME PARK AND HEREIU. HEREIU HAS PAID $50,000 TO DAI UPON EXECUTION OF THE AGREEMENT AND WILL PAY AN ADDITIONAL $100,000 UPON THE EXECUTION AND COMPLIANCE WITH THE NEUTRALITY AGREEMENT. ACCORDING TO THIS AGREEMENT, UNIVERSAL STUDIOS THEME PARK HAS RECENTLY BEEN PURCHASED BY THE SEAGRAMS COMPANY.

THE SECOND ITEM OF CONCERN TO THE MONITOR DEALS WITH [redacted] WHO HAS BEEN IDENTIFIED AS [redacted] FOR THE HEREIU. b6 b7C

INVESTIGATION CONDUCTED TO DATE BY THE NEWARK DIVISION INDICATES THAT DAI WAS INCORPORATED ON 2/14/95 IN DELAWARE.

THE REGISTERED AGENT IS [redacted] THE PRESIDENT IS LISTED AS DAN ROSTENKOWSKI, FORMER DEMOCRATIC CONGRESSMAN FROM ILLINOIS, 1372 WEST EVERGREEN, CHICAGO, ILLINOIS. THE CORPORATE SECRETARY IS LISTED AS [redacted] AS RELATING TO [redacted] HE IS LISTED AS [redacted] WITH HEREIU AND HAS JURISDICTION OVER LOCAL 55 OF HEREIU, ORLANDO, FLORIDA. THIS LOCAL IS CURRENTLY IN TRUSTEESHIP AND IS RUN BY [redacted]

b6
b7C

A REVIEW OF THE [redacted] AND THE AUTOMATED CASE SUPPORT UNIVERSAL INDEX (UNI) BY NEWARK REVEALS THAT THERE ARE NUMEROUS REFERENCES TO ALL THE PARTIES INVOLVED WITH DAI AND [redacted] AND [redacted] AS CLOSE ASSOCIATES TO BOTH DECEASED AND CURRENT LCN MEMBERS AND ASSOCIATES.

b6
b7C
b7E

BY WAY OF SUMMARY, THERE APPEARS TO BE A RELATIONSHIP AMONG DAN ROSTENKOWSKI, [redacted] AND [redacted], AND VARIOUS LCN MEMBERS AND ASSOCIATES IN CHICAGO ALONG WITH OTHERS INVOLVED WITH THE INTERNATIONAL BROTHERHOOD OF TEAMSTERS AND THE TEAMSTERS

b6
b7C

CENTRAL STATES PENSION FUND. BRIEFLY THE FOLLOWING WAS LOCATED IN [redacted] CONCERNING THE INDIVIDUALS OF CONCERN:

1. [redacted] DOB [redacted] SOC [redacted]

[redacted] NS

b6
b7C
b7E

2. [redacted] AKA [redacted]

DOB [redacted] FBI NUMBER [redacted] SOC [redacted] LISTED AS AN

[redacted]

b6
b7C
b7E

3. [redacted] DESCRIBED IN [redacted] AS [redacted]

[redacted] DOB [redacted]

[redacted]

b6
b7C
b7E

4. [redacted]

[redacted]

b6
b7C
b7E

5. [redacted]

[redacted]

[redacted] IS ALSO DESCRIBED AS [redacted]

[redacted]

[redacted]

b6
b7C
b7D

WMFO FURNISHED INFO COPY DUE TO ITS INVESTIGATIVE INTEREST IN DAN ROSTENKOWSKI.

LEADS:

CHICAGO AND MIAMI DIVISIONS

IT IS REQUESTED THAT CHICAGO AND MIAMI REVIEW THEIR FILES FOR ALL INFORMATION PERTAINING TO THE ABOVE-MENTIONED INDIVIDUALS WHICH WOULD INDICATE AN ASSOCIATION TO LCN MEMBERS AND TO LCN ASSOCIATES. THE CHICAGO AND MIAMI DIVISIONS ARE REQUESTED TO CONTACT THE ATLANTIC CITY RESIDENT AGENCY (ACRA) AND ADVISE THE ACRA AS TO THE VOLUME OF INFORMATION AVAILABLE AND WHETHER IT IS FEASIBLE TO SEND THIS INFORMATION TO ACRA OR HAVE SOMEONE FROM THE ACRA TRAVEL TO MIAMI AND CHICAGO DIVISIONS TO REVIEW THESE RECORDS AND POSSIBLY CONDUCT INTERVIEWS WITH SOME OF THESE INDIVIDUALS.

BT

#0017

NNNN

(03/31/95)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 12/28/1995

To: SAC CHICAGO **Attn:** SA [redacted]

b6
b7C

From: ADIC, WMFO
SQUAD C-9, NVMRA
Contact: SSA [redacted] 6287

Approved By: [redacted]

Drafted By: [redacted]:les

File Number(s): 58C-WF-180673 (Pending)

Title: PLATE BLOCK
OO:WMFO

Synopsis: Continued assistance for lead coverage is requested of the Chicago Division.

Details: For information of Chicago, the need continues for assistance in covering leads within the Chicago Division, on an as needed basis until such time as the Rostenkowski trial commences. Currently trial is scheduled for May, 1996, however, additional delays are very possible.

SA [redacted] has conducted an extraordinary amount of investigation in the Chicago area thus far, and WMFO is very appreciative of the manner in which leads have been covered, especially given the sensitivity of this matter and unrealistic deadlines which are often imposed by the U.S. Attorney's Office prosecuting this case.

b6
b7C

In view of this, and the anticipation of additional investigation which will be required, it is requested that Chicago assign an agent to continue covering future leads until this matter has been successfully completed. If at all possible, it is requested that SA [redacted] continue with this assignment due to his familiarity and knowledge of the investigation.

♦♦

58C-WF-180673-82A

SEARCHED 1

b6
b7C

[redacted]

[redacted] b6 b7C

/READ 1223/341
0000 MRI 01223

PP RJCNFB FBICG FBINK FBIWMFO

DE FBIMM #0011 3420640

ZNR UUUUU

P 071557Z DEC 95

FM FBI MIAMI (281A-MM-67889) (C)

TO DIRECTOR FBI/PRIORITY/

FBI CHICAGO/PRIORITY/

FBI NEWARK (183A-NK-79938) /PRIORITY/

INFO FBI WMFO (58C-WF-180673)/ROUTINE/

BT

UNCLAS

CITE: //3460//

PASS: FBIHQ: SSA [redacted] OC/DOS NUMBER 2; CHICAGO, SA [redacted] AND SA [redacted]

b6 b7C

SUBJECT: HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES INTERNATIONAL UNION (HEREIU); CIVIL RICO; OO: NEWARK.

RE: NEWARK PRIORITY TELETYPE TO FBIHQ AND MIAMI, DATED DECEMBER 1, 1995.

FBI, MM, HAS REVIEWED ITS FILES CONCERNING [redacted]

b6 b7C

-825

58C-WF-180673

SEARCHED_ INDEXED_
SERIALIZED_ FILED_
1995
FBI — WASH. METRO FIELD OFFICE

[redacted], AND DAN ROSTENKOWSKI, AND THEIR ASSOCIATION TO ORGANIZED CRIME.

DURING THE PERIOD OF FEBRUARY 1994 THROUGH SEPTEMBER 1994, MM DIVISION CONDUCTED AN INVESTIGATION ENTITLED, [redacted]; ET AL; OC/DI-LCN-GENOVESE FAMILY AND GAMBINO FAMILY; 281A-MM-67889, OPENED PURSUANT TO INFORMATION OBTAINED FROM A COOPERATING WITNESS (CW). THE CW FURNISHED INFORMATION THAT GAMBINO LCN SOLDIER, [redacted] GENOVESE SOLDIER, [redacted] GAMBINO LCN ASSOCIATE, [redacted] LUCHESE LCN ASSOCIATE, [redacted] AND OTHER LCN ASSOCIATES, WERE INVOLVED IN A LARGE SCALE MONEY LAUNDERING AND MULTI-MILLION DOLLAR SPORTS BETTING OPERATION. AT THE TIME, THE CW [redacted] AND [redacted] THE SOUTH FLORIDA OPERATION FROM FOUR OFFICES LOCATED IN AN APARTMENT BUILDING IN HOLLYWOOD, FLORIDA. THESE OFFICES WERE PART OF A NATIONWIDE BOOKMAKING AND MONEY LAUNDERING OPERATION, WHICH WERE STRATEGICALLY PLACED IN CHICAGO, NEW YORK, AND SANTO DOMINGO. ACCORDING TO THE CW, [redacted], AND [redacted] [redacted] UNION OFFICIALS OF THE DISTILLERY WORKERS UNION, LOCAL 103, AFL-CIO, CHICAGO, IL, WERE FINANCING THE OPERATION, AND

b6
b7C
b7D

b6
b7C

USED SEVERAL OF THE BUSINESSES, IN WHICH THEY HAD INFLUENCE, TO LAUNDER THE GAMBLING PROCEEDS. ONE CORPORATION IDENTIFIED BY THE CW WAS THE WINDY CITY MAINTENANCE, LOCATED IN CHICAGO, IL.

THE CW ADVISED THAT [redacted] THESE MONIES WERE ALLEGEDLY PROFITS FROM THE GAMBLING OPERATION. THE CW ESTIMATED THAT THE GAMBLING OPERATION WAS MAKING APPROXIMATELY $2.6 MILLION DOLLARS PER WEEK.

b6
b7C
b7D

DURING FEBRUARY 1994, THE CW ADVISED THAT ILLINOIS CONGRESSMAN DAN ROSTENKOWSKI WAS A CLOSE FRIEND OF THE [redacted] AND A REGULAR BETTOR IN THE [redacted] BOOKMAKING OPERATION. DURING THE WEEK OF DECEMBER 12, 1993, ROSTENKOWSKI STAYED AT [redacted] APARTMENT IN [redacted], AT [redacted] THIS INFORMATION PREDATED THE CW'S COOPERATION WITH THE FBI, AND WAS UNCORROBORATED. CW ALSO ADVISED THAT ROSTENKOWSKI WAS

b6
b7C
b7D

b7D

[redacted] b3 Title 18, U.S.C., Section 3123

DURING MAY 1995 THROUGH JULY 1995, PEN REGISTER LINES WERE MAINTAINED ON FOUR SEPARATE GAMBLING TELEPHONES, AS WELL AS [redacted] HOME TELEPHONE [redacted] FOR A SIXTY DAY PERIOD. PEN REGISTERS WERE UNABLE TO CORROBORATE ANY CONTACT WITH ROSTENKOWSKI. CONSENSUAL RECORDINGS MADE BY THE CW WERE ALSO UNABLE TO CORROBORATE THE CONGRESSMAN'S INVOLVEMENT IN ANY ILLEGAL ACTIVITIES.

ULTIMATELY, A CONFLICT AROSE BETWEEN [redacted] AND [redacted] CONCERNING THE DIVISION OF THE GAMBLING PROFITS. IN ADDITION, ROOF PROBLEMS IN THE GAMBLING OFFICES FORCED THE OPERATION TO BE RELOCATED TO A NEW, UNKNOWN LOCATION. THE CW [redacted] ACCORDING TO THE CW, [redacted] CONTINUED OPERATING HIS GAMBLING ROOMS IN CHICAGO.

b6
b7C
b7D

[redacted] AND THE LACK OF CORROBORATION OBTAINED DURING THE PEN REGISTER COVERAGE AND FROM CONSENSUAL RECORDINGS, MM WAS UNABLE TO SUBSTANTIATE THE STATEMENTS MADE BY THE CW. DURING SEPTEMBER 1994, THE CASE WAS CLOSED AND NO FURTHER INFORMATION HAS BEEN OBTAINED CONCERNING THE [redacted] OR

PAGE FIVE DE FBIMM 0011 UNCLAS

CONGRESSMAN ROSTENKOWSKI.

BT

#0011

NNNN

RUCNFB FBICG FBIMM FBIWMFO

DE FBINK #0002 3501328

ZNR UUUUU

R 152129Z DEC 95

FM FBI NEWARK (183A-NK-79938-5) (P)

TO DIRECTOR FBI/ROUTINE/

FBI CHICAGO/ROUTINE/

FBI MIAMI/ROUTINE/

FBI WMFO/ROUTINE/

BT

UNCLAS

SECTION ONE OF TWO SECTIONS

CITE: //3510:3412//

PASS: FBIHQ, SSA [redacted] OC/DOS NUMBER 2; CHICAGO, b6 b7C

SA [redacted] AND SA [redacted] MIAMI, SSA [redacted]

WMFO, SA [redacted]

SUBJECT: HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES

INTERNATIONAL UNION (HEREIU); CIVIL RICO; OO: NEWARK.

RE: NEWARK TELETYPE TO FBIHQ, 12/1/95, AND MIAMI

58C-WF-180673-826

SEARCHED INDEXED
SERIALIZED FILED
1 9 1995
FBI — WASH. METRO FIELD OFFICE

TELETYPE TO FBIHQ, 12/7/95.

AS FBIHQ AND RECEIVING OFFICES ARE AWARE FROM REFERENCED NEWARK TELETYPE OF 12/1/95, CAPTIONED INVESTIGATION INVOLVES A CONSENT DECREE SIGNED BY THE HEREIU, WHICH APPOINTED A MONITOR TO RID THE UNION OF LCN INFLUENCE AND CONTROL. THIS INVESTIGATION IS NATIONAL IN SCOPE; HOWEVER, THIS COMMUNICATION WILL ONLY DEAL WITH THE HEREIU AND ITS CONTRACT WITH DANROSS ASSOCIATES, INC. (DAI) AND THE RELATIONSHIP AMONG THE HEREIU AND [redacted] AND [redacted]

ON 12/11/95, THE INFORMATION FURNISHED IN REFERENCED MIAMI TELETYPE OF 12/7/95 WAS DISCUSSED WITH AUSA [redacted] DISTRICT OF NEW JERSEY, REGARDING [redacted] AND [redacted] AND DAN ROSTENKOWSKI. SINCE THIS INVESTIGATION IS LOOKING INTO NOT ONLY THE HEREIU AND ITS RELATIONSHIP WITH DAI AND DAN ROSTENKOWSKI, BUT ALSO THE FACT THAT [redacted] IS LISTED AS [redacted] FOR THE HEREIU, THE INFORMATION REPORTED BY MIAMI MAY BE OF SIGNIFICANT VALUE TO NEWARK, THE UNITED STATES ATTORNEY'S OFFICE, AND THE COURT APPOINTED MONITOR.

b6
b7C

AS THE CONSENT DECREE ALLOWS, THE INFORMATION USED TO REMOVE A PERSON FROM THE HEREIU OR ANYONE HAVING DEALINGS WITH

PAGE TWO DE FBINK 0002 UNCLAS

DOB [redacted] SOC [redacted] A REVIEW b6 b7C b7E

BY NEWARK OF THE FOIMS [redacted] INDICATES THAT

[redacted]

AND THE

GAMBLING OPERATION REFERRED TO IN MIAMI'S TELETYPE. THE

REVIEW INDICATES THAT [redacted] b6 b7C b7E

[redacted]

AND [redacted] TO

[redacted]

TO BY [redacted]

ALSO INDICATIONS [redacted]

[redacted] b7E

[redacted]

b6
b7C
b7E

[redacted]

IT IS NOTED THAT BOTH [redacted] AND [redacted] APPEAR TO HAVE A RESIDENCE IN [redacted] REVIEW.

REGARDING THE CONTRACT BETWEEN THE HEREIU AND DANROSS ASSOCIATES, INC. FOR THE NEUTRALITY AGREEMENT BETWEEN UNIVERSAL STUDIOS THEME PARK AND THE HEREIU, A LETTER WAS RECEIVED BY THE COURT APPOINTED MONITOR FROM DANROSS ASSOCIATES, INC. DATED 12/7/95, IN WHICH DAN ROSTENKOWSKI REPORTS TO PRESIDENT [redacted] THAT ROSTENKOWSKI HAS HAD SEVERAL DISCUSSIONS WITH HEREIU CHIEF COUNSEL [redacted] AND IS ATTEMPTING TO ARRANGE A MEETING FOR [redacted] WITH [redacted] JOSEPH E. SEAGRAM AND SONS. ROSTENKOWSKI ALSO REPORTS THAT HE HAS HAD SEVERAL DISCUSSIONS WITH [redacted] WHO REPRESENTS SEAGRAM'S IN WASHINGTON, DC. THIS APPEARS TO BE [redacted] FROM NEW YORK. A

b6
b7C

NEWARK INDICES CHECK REVEALS THE FOLLOWING CRIMINAL REFERENCES

TO [redacted] DOB [redacted] SOC [redacted]

[redacted]

b6
b7C

BASED ON THESE ABOVE REFERENCES, NEWARK DIVISION WOULD BE DESIROUS TO ASCERTAIN WHAT INFORMATION IS CONTAINED IN RESPECTIVE FILES CONCERNING [redacted]

IN ADDITION, A CCH FOR [redacted]

b6
b7C

DOB [redacted] POB [redacted] FBI NUMBER [redacted] REVEALS NUMEROUS ARRESTS OF [redacted] BY THE CHICAGO, ILLINOIS, POLICE DEPARTMENT AND ARRESTS BY THE FOREST PARK, ILLINOIS, POLICE DEPARTMENT, AND AN ARREST BY THE METRO DADE, FLORIDA, POLICE DEPARTMENT.

AS PREVIOUSLY MENTIONED, THIS PORTION OF THE HEREIU

BT

#0002

NNNN

/READ 1543\349

Invalid command format:
field 1543\349 in error.
/READ 1543/349
0000 MRI 01543

RR RUCNFB FBICG FBIMM FBIWMFO

DE FBINK #0003 3500518

ZNR UUUUU

R 152129Z DEC 95

FM FBI NEWARK (183A-NK-79938-5) (P)

TO DIRECTOR FBI/ROUTINE/

FBI CHICAGO/ROUTINE/

FBI MIAMI/ROUTINE/

FBI WMFO/ROUTINE/

BT

UNCLAS

SECTION TWO OF TWO SECTIONS

CITE: //3510:3412//

PASS: FBI-Q, SSA [redacted] OC/DOS NUMBER 2; CHICAGO, b6 b7C

SA [redacted] AND SA [redacted] MIAMI, SSA [redacted]

WMFO, SA [redacted]

SUBJECT: HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES

INTERNATIONAL UNION (HEREIU); CIVIL RICO; OO: NEWARK.

TEXT CONTINUES:

58C-WF-180673

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
DEC 1 0 1995
FBI — WASH. METRO FIELD OFFICE

INVESTIGATION CENTERS ON THE HEREIU CONTRACT WITH DANROSS ASSOCIATES, INC., DAN ROSTENKOWSKI, THE RELATIONSHIP BETWEEN ROSTENKOWSKI AND [redacted] AND [redacted] AND THE LCN ASSOCIATION AND BOOKMAKING AND MONEY LAUNDERING OF [redacted] IN VIEW OF THE ABOVE, LEADS ARE BEING SET FORTH AS FOLLOWS:

b6
b7C

LEADS:

CHICAGO DIVISION

AT CHICAGO, ILLINOIS AND FOREST PARK, ILLINOIS

CONDUCT ARREST CHECKS FOR [redacted]

b6
b7C

MIAMI DIVISION

AT MIAMI, FLORIDA

1. WILL DETERMINE STATUS AND LOCATION OF FORMER MIAMI CW REFERRED TO IN REFERENCED MIAMI TELETYPE AND WHETHER CW IS STILL ALIVE AND AVAILABLE FOR INTERVIEW IF NECESSARY.

2. WILL ADVISE NEWARK OF THE PEN REGISTERS IN MIAMI INVESTIGATION 281A-MM-67889, WHAT THE TARGET TELEPHONE NUMBERS WERE, WHETHER THEY ARE RELATED TO THE GAMBLING TELEPHONES, AND ANY INFORMATION THAT MIAMI MAY POSSESS CONCERNING CALLS FROM THE TARGET TELEPHONES TO [redacted] AND CALLS TO THE HOTEL AND RESTAURANT EMPLOYEES UNIONS IN WASHINGTON, DC AND

b6
b7C

CHICAGO, ILLINOIS. ALSO PROVIDE ANY INFORMATION WHICH MIAMI MAY HAVE CONTAINING A RELATIONSHIP BETWEEN THE [redacted] AND [redacted] b6 b7C

3. WILL PROVIDE ANY INFORMATION REGARDING PROPERTY OWNED BY [redacted]

4. WILL ADVISE NEWARK OF ANY FURTHER INFORMATION IN MIAMI FILES REGARDING CORROBORATION BY MIAMI OF [redacted] ASSOCIATION AND PARTNERSHIP IN THE BOOKMAKING OPERATION WITH GAMBINO LCN SOLDIER [redacted] GENOVESE SOLIDER [redacted] GAMBINO LCN ASSOCIATE [redacted] LUCHESE LCN ASSOCIATE [redacted] AND CORROBORATION OF GAMBLING OPERATION WITH [redacted] AND HIS PARTNERS.

5. CONDUCT ARREST CHECK AT METRO DADE, FLORIDA, POLICE DEPARTMENT FOR [redacted]

WMFO

AT WASHINGTON, DC

WILL REVIEW FILES LISTED IN TELETYPE WITH WMFO REFERENCES TO [redacted] WITH PARTICULAR ATTENTION PAID TO ANY REFERENCES TO DAN ROSTENKOWSKI AND ANY LCN ASSOCIATIONS. b6 b7C

BT

#0003

NNNN

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
- [X] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [X] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [X] UNCLAS

Date 1/31/96

FM FBI WMFO (58C-WF-180673) (P)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3920//

PASS: SSA [redacted] PCU. b6 b7C

SUBJECT: PLATE BLOCK; OO:WMFO

ON 1/31/96, THE WMFO WAS CONTACTED BY AUSA [redacted] REGARDING THE CAPTIONED MATTER. [redacted] ADVISED THAT A STATUS HEARING WAS HELD ON 1/30/96, REGARDING SUBJECT JOSEPH KOLTER AND TO SET SCHEDULING REGARDING THIS SUBJECT. b6 b7C

[redacted] ADVISED THAT A DATE OF 2/13/96 HAD BEEN SET AS A DEADLINE FOR DEFENSE MOTIONS, AND 2/29/96 HAD BEEN SET AS THE DEADLINE FOR THE GOVERNMENT'S RESPONSE TO THOSE MOTIONS. [redacted] FURTHER ADVISED THAT A TRIAL DATE OF 6/24/96 HAD BEEN SET FOR KOLTER.

58C-WF-180673-828

Approved: WLL/DJ Original filename: les00250.03

Time Received: Telprep filename:

MRI/JULIAN DATE: 1471 1031 ISN: 028

FOX DATE & TIME OF ACCEPTANCE: 1/31/96 SUN 2:36

[redacted] FURTHER ADVISED THAT THIS TRIAL DATE FOR KOLTER b6 b7C

COULD BE DELAYED IF THE TRIAL INVOLVING SUBJECT DAN

ROSTENKOWSKI IS POSTPONED.

BT

/READ 14716031
0000 MRI 01471

RR RUCNFB

DE FBIWMFO #0025 0311936

ZKA [illegible]

R 311936Z JAN 96

FM FBI WMFO (58C-WF-180673) (P)

TO DIRECTOR FBI/ROUTINE/

BT

ENCLOS

CITE: //3920//

PAGE: SAA [redacted] PCU.

SUBJECT: PLATE BLOCK CO:WMFO.

ON 1/31/96, THE WMFO WAS CONTACTED BY AUSA [redacted] REGARDING THE CAPTIONED MATTER. [redacted] ADVISED THAT A STATUS CONFERENCE WAS HELD ON 1/30/96, REGARDING SUBJECT JOSEPH NOLTON AND TO SET SCHEDULING REGARDING THIS SUBJECT.

[redacted] ADVISED THAT A DATE OF 2/13/96 HAD BEEN SET AS A DEADLINE FOR DEFENSE MOTIONS, AND 2/29/96 HAD BEEN SET AS THE DEADLINE FOR THE GOVERNMENT'S RESPONSE TO THOSE MOTIONS.

[redacted] FURTHER ADVISED THAT A TRIAL DATE OF 6/24/96 HAD BEEN

b6
b7C

b6
b7C

58C-WF-180673-828

SET FOR KOLTER.

[redacted] FURTHER ADVISED THAT THIS TRIAL DATE FOR KOLTER b6 b7C

COULD BE DELAYED IF THE TRIAL INVOLVING SUBJECT [illegible]

[illegible] I. [illegible] ED.

IT

[illegible]

(03/31/95)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 01/31/1996

To: WMFO

From: WMFO
C-9, NVMRA
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: DLW

File Number(s): 58C-WF-180673 (Pending)

Title: PLATE BLOCK
OO:WF

b6
b7C

Synopsis: Kolter Trial Date

Details: On 1/31/96, the writer was contacted by AUSA [redacted] [redacted] regarding the captioned matter. [redacted] advised that a Status Hearing was held on 1/30/96 regarding subject JOSEPH KOLTER and to set scheduling regarding this subject.

[redacted] advised that a date of 2/13/96 had been set as a deadline for defense motions, and 2/29/96 had been set as the deadline for the Government's response to those motions. [redacted] further advised that a trial date of 6/24/96 had been set for KOLTER.

b6
b7C

[redacted] further advised that this trial date for KOLTER could be delayed if the trail involving subject DAN ROSTENKOWSKI is postponed.

-829
58C-WF-180673

03/06/96

********** INDICTMENT **********

SENSITIVE / UNCLASSIFIED

Case Number: 58C-WF-180673
Serial No.:

Stat Agent Name: [redacted]
Stat Agent SOC.: [redacted]

Report Date: 03/06/1996
Accom Date.: 01/31/1995

b6
b7C

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC
Drugs	N		
A Fugitive.	N		
Bankruptcy Fraud.	N		
Computer Fraud/Abuse.	N		
Corruption of Public Officials	Y		
Forfeiture Assets	N		

Subject Name: RUSSO, ROBERT

RA	Squad	Task Force
CRIM	C9	

Sub. Invest. Asst by Other FOs: CG

Investigative Assistance or Technique Used

[redacted] FINAN ANALYST	[redacted] LAB DIV EXAMS	[redacted] UCO-GROUP I	
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	1 - Used, but did not help
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	2 - Helped, Minimally
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	3 - Helped, Substantially
ELSUR/FISC	POLYGRAPH	VISUAL INVEST	4 - Absolutely Essential
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	
ENG TAPE EXAM	SOG ASST	ERT ASST	
HYPNOSIS ASST	SWAT TEAM	BUITE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS		

b7E

Serial Number of Indictment . . . . :

United States Code Violation

Title	Section	Counts
18	1501	1
18	1621	1

Accomplishment Narrative

SA [redacted] OF THE CHICAGO DIVISION WAS INSTRUMENTAL IN THE ENTIRE COURSE OF THIS INVESTIGATION

b6
b7C

SENSITIVE / UNCLASSIFIED

58C-WF-180673-830

FD-515 (Rev. 10-1-94)

☒ Squad Supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 1/31/96
Date Loaded _____
Data Loader's initials _____

Does Accomplishment Involve: (check all that apply)

- Drugs ☐
- A Fugitive ☐
- Bankruptcy Fraud ☐
- Computer Fraud/Abuse ☐
- Corruption of Public Officials ☒
- Forfeiture Assets ☐
- Sub Invest Asst by FO (s) ☐

Assist. FO(s) CG __, __, __, __ (A, B, C, D, E)

Assisting Agents Soc. Sec. No. ×
1. _ _
Name:
2. _ _
Name:

File Number: 58C-WF-180675

Stat Agent Soc. Sec. No.:

Stat Agent Name:

RA: | Squad:

Task Force:

Assisting Joint Agencies ×•
1. POSTAL
2. US CAP POL

Investigative Assistance or Technique Used

1-Used, but did not help
2-Helped, minimally
3-Helped, substantially
4-Absolutely essential

For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D,E for corresponding FO

FO(s)	IAT	Rate	FO(s)	IAT	Rate	FO(s)	IAT
	Financ. Analyst			Lab. Div. Exams			UCO - Group I
	Aircraft Asst.			Lab. Div. Field Sup.			UCO - Group II
	Computer Asst.			Pen Registers			UCO - Other
	Consensual Mon.			Photo Coverage			NCAVC / VI - CAP
	ELSUR / FISC			Polygraph Asst.			Visual Invest. Anal.
	ELSUR / Title III			Search Warrants			Crisis Neg. - Fed.
	Eng. Sect. Field Supt.			Show Money Usage			Crisis Neg. - Local
	Eng. Sect. Tap Exams			SOG Asst.			ERT Assistance
	Hypnosis Asst.			Swat Team Action			Butte-ITC
	Evidence Purchase			Tech. Agnt.or Equip.			Savannah-ITC
	Informant /CW Info.			Telephone Toll Recs.			

b6
b7C
b7E

A. Complaint / Information / Indictment
Also Complete Section H
Complaint Date _____
Check if Civil Rico Complaint ☐
Information Date _____
Indictment Date 1/31/95

B. Arrest / Locate / Summons
Arrest Date _____
☐ Federal ☐ Local ♦
Subject Priority: ☐ A ☐ B ☐ C
☐ Subject Resisted
☐ Subject was armed

Locate Date _____
Subject Priority: ☐ A ☐ B ☐ C

Summons Date _____
☐ Federal ☐ Local ♦

C. Hostage(s) Released Date _____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: _____
Child Located Date _____

D. Recovery / Restitution / PELP × ♦
Recovery Date _____
☐ Federal ☐ Local

Code •	Amount
	$
	$

Restitution Date _____
☐ Court Ordered
☐ Pretrial Diversion

Code •	Amount
	$

PELP Date _____

Code •	Amount
	$

E. Civil Rico Matters Date _____
Also Complete Section H

or

Other Civil Matters Date _____
Judgment ____ ____ •
Judicial Outcome ____ ____ × •
Amount: $ _____

Suspension:

Years	Months

F. Administrative Sanctions Date _____

Type:	Length:
☐ Suspension	☐ Permanent
☐ Debarment	☐
☐ Injunction	

Years	Months

Subject Description Code ____ ____ •

G. Final Judicial Process Conviction
Also Complete Section H
Date of Conviction:
Date of Sentence:
Subject Description Code: ____ ____ •
Judicial State: _____ District: _____
Sentence type: ____ ____ ____ ____ •
Sentence Term:

In-Jail Years	In-Jail Months	Suspended Years	Suspended Months	Probation Years	Probation Months

Fines: $ _____

☒ Federal
☐ Local ♦

☒ Felony
☐ Misdemeanor

☐ Plea
☐ Trial

H. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	1510	1			
18	1621	1			

Required for Sections A, E, and G (Conviction)
Optional for Section B (arrest)

Acquittal / Dismissal / Pretrial Diversion
Acquittal Date _____
Dismissal Date _____
Pretrial Diversion Date _____

I. Subject Information (Required for Sections A, B, D (Restitution), E, F, and G

Name	Date of Birth	Race •	Sex	Place of Birth (if available)	Social Security No. (if available)
ROBERT RUSSO	12/10/36	W	M	CHICAGO, IL.	

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (Submit form FD-515a for indictments and convictions only)

× Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)
• See codes on reverse side.

Serial No. of FD-515: _____

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

JOINT AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCS U.S. Customs Service
USDS U.S. Department of State
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student.
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERMENT SUBJECTS

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

03/06/96

********** CONVICTION **********

SENSITIVE / UNCLASSIFIED

Case Number: 58C-WF-180673
Serial No.:

Stat Agent Name: [redacted]
Stat Agent SOC.:

Report Date: 03/06/1996
Accom Date.: 03/01/1996

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC
Drugs	N		
A Fugitive	N		
Bankruptcy Fraud	N		
Computer Fraud/Abuse	N		
Corruption of Public Officials	Y		
Forfeiture Assets	N		

Subject Name b6 b7C

RUSSO, ROBERT

RA	Squad	Task Force
CRIM	C9	

Sub. Invest. Asst by Other FOs: CG

Investigative Assistance or Technique Used

[redacted] FINAN ANALYST	[redacted] LAB DIV EXAMS	[redacted] UCO-GROUP I
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II
COMPUTER ASST	PEN REGISTERS	UCO-OTHER
CONSEN.MONITR	PHOTO COVERGE	NCAVC/VI-CAP
ELSUR/FISC	POLYGRAPH	VISUAL INVEST
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC
ENG TAPE EXAM	SOG ASST	ERT ASST
HYPNOSIS ASST	SWAT TEAM	BUTTE-ITC
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC
INFORMANT/CW	TEL TOLL RECS	

1 - Used, but did not help
2 - Helped, Minimally
3 - Helped, Substantially
4 - Absolutely Essential

b7E

Date of Conviction (MM/DD/YYYY) : 11/08/1995
Date of Sentencing (MM/DD/YYYY) : 03/01/1996
Subject Description Code : 6H

Federal or Local (F/L) : F
Felony or Misdemeanor (F/M) : F

Plea or Trial (P/T) : P
Judicial State : DC
Judicial District : DC
Type of Sentence : JS PB

	Year	Month	U.S. Code Violation Title	Section	Counts
Time in Jail		10			
Time Suspended			18	1510	1
Probation Time	2		18	1621	1

Total Fines : $ 6000

Accomplishment Narrative

b6
b7C

SA [redacted] OF THE CHICAGO DIVISION WAS INSTRUMENTAL IN THE ENTIRE COURSE OF THIS INVESTIGATION

58C-WF-180673-831

SENSITIVE / UNCLASSIFIED

FD-515 (Rev. 10-1-94)

Squad Supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 3/5/96
Date Loaded
Data Loader's initials

Does Accomplishment Involve: (check all that apply)

- Drugs ☐
- A Fugitive ☐
- Bankruptcy Fraud ☐
- Computer Fraud/Abuse ☐
- Corruption of Public Officials ☐
- Forfeiture Assets ☐
- Sub Invest Asst by FO (s) ☐

Assist. FO(s) CG, ___, ___, ___, ___ (A, B, C, D, E)

Assisting Agents Soc. Sec. No. ×
1. – –
Name:
2. – –
Name:

File Number: SSC-WF-[illegible]

Stat Agent Soc. Sec. No.

Stat Agent Name

RA	Squad

Task Force

Assisting Joint Agencies ×•
1. POSTAL
2. US CAP POL.

Investigative Assistance or Technique Used

1-Used, but did not help
2-Helped, minimally
3-Helped, substantially
4-Absolutely essential

For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D,E for corresponding FO

Rate	FO(s)	IAT	Rate	FO(s)	IAT	Rate	FO(s)	IAT
		Financ. Analyst			Lab. Div. Exams			UCO - Group I
		Aircraft Asst.			Lab. Div. Field Sup.			UCO - Group II
		Computer Asst.			Pen Registers			UCO - Other
		Consensual Mon.			Photo Coverage			NCAVC / VI - CAP
		ELSUR / FISC			Polygraph Asst.			Visual Invest. Anal.
		ELSUR / Title III			Search Warrants			Crisis Neg. - Fed.
		Eng. Sect. Field Supt			Show Money Usage			Crisis Neg. - Local
		Eng. Sect. Tap Exam			SOG Asst.			ERT Assistance
		Hypnosis Asst.			Swat Team Action			Butte-ITC
		Evidence Purchase			Tech. Agnt.or Equip			Savannah-ITC
		Informant /CW Info.			Telephone Toll Recs			

b6
b7C
b7E

A. Complaint / Information / Indictment
Also Complete Section H
Complaint Date ____
Check if Civil Rico Complaint ☐
Information Date ____
Indictment Date ____

B. Arrest / Locate / Summons
Arrest Date ____
☐ Federal ☐ Local ♦
Subject Priority: ☐ A ☐ B ☐ C
☐ Subject Resisted
☐ Subject was armed

Locate Date ____
Subject Priority: ☐ A ☐ B ☐ C

Summons Date ____
☐ Federal ☐ Local ♦

C. Hostage(s) Released Date ____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: ____
Child Located Date ____

D. Recovery / Restitution / PELP × ♦
Recovery Date ____
☐ Federal ☐ Local

Code •	Amount
	$
	$

Restitution Date ____
☐ Court Ordered
☐ Pretrial Diversion

Code •	Amount
	$

PELP Date ____

Code •	Amount
	$

E. Civil Rico Matters Date ____
Also Complete Section H

or

Other Civil Matters Date ____
Judgment ____ ____•
Judicial Outcome ____ ____ ×•
Amount: $ ____

Suspension:

Years	Months

F. Administrative Sanctions Date ____

Type:	Length:
☐ Suspension	☐ Permanent
☐ Debarment	☐ Years / Months
☐ Injunction	

Subject Description Code ____ ____•

G. Final Judicial Process Conviction
Also Complete Section H
Date of Conviction: 11/8/95
Date of Sentence: 3/1/96
Subject Description Code: 16 H•
Judicial State: DC District: DC
Sentence type: JS, PB, ___ •
Sentence Term:

In-Jail Years	In-Jail Months	Suspended Years	Suspended Months	Probation Years	Probation Months
	10			2	

Fines: $ 6,000

☑ Federal
☐ Local ♦

☑ Felony
☐ Misdemeanor

☑ Plea
☐ Trial

H. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	1510	1			
18	1621	1			

Required for Sections A, E, and G (Conviction)
Optional for Section B (arrest)

Acquittal / Dismissal / Pretrial Diversion
Acquittal Date ____
Dismissal Date ____
Pretrial Diversion Date ____

I. Subject Information (Required for Sections A, B, D (Restitution), E, F, and G

Name	Date of Birth	Race •	Sex	Place of Birth (if available)	Social Security No. (if available)
ROBERT RUSSO	12/10/36	W	M	CG, IL	

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (Submit form FD-515a for indictments and convictions only)

× Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)
• See codes on reverse side.

Serial No. of FD-515

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

JOINT AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCS U.S. Customs Service
USDS U.S. Department of State
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERMENT SUBJECTS

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
[X] Teletype	[ ] Immediate	[ ] TOP SECRET
[ ] Facsimile	[X] Priority	[ ] SECRET
[ ] AIRTEL	[ ] Routine	[ ] CONFIDENTIAL
		[ ] UNCLAS E F T O
		[X] UNCLAS

Date 4/9/96

FM FBI WMFO (58C-WF-180673) (P)

TO DIRECTOR FBI/PRIORITY/

FBI CHICAGO/PRIORITY/

BT

UNCLAS

CITE: //3920//

PASS: PUBLIC CORRUPTION UNIT

SUBJECT: PLATE BLOCK; OO:WMFO

RE 4/9/96 WMFO TELCALLS TO FBIHQ AND CHICAGO.

AS RELATED IN REFERENCED TELCALLS [redacted] CHIEF OF THE PUBLIC CORRUPTION SECTION, U.S. ATTORNEY'S OFFICE, DISTRICT OF COLUMBIA, ADVISED EARLIER TODAY THAT DAN ROSTENKOWSKI IS SCHEDULED TO APPEAR BEFORE U.S. DISTRICT JUDGE NORMAN HOLLOWAY JOHNSON AT 5:00 PM TO ENTER A GUILTY PLEA TO TWO COUNTS OF MAIL FRAUD, TITLE 18, U.S.C. SECTION 1341. AS

b6
b7C

58C-WF-180673-834

Approved: WLC/DR Original filename: /CS001W.100

Time Received: Telprep filename: /CS001S0.100

MRI/JULIAN DATE: 194/101 ISN: 1

FOX DATE & TIME OF ACCEPTANCE: 11:30 PM 0430 Z 9 APR 96

PART OF THE PLEA, ROSTENKOWSKI WILL AGREE TO A PRISON SENTENCE OF SEVENTEEN MONTHS AND A $100,000 FINE.

THE BUREAU WILL BE ADVISED OF ADDITIONAL INFORMATION AND DEVELOPMENTS AS IT BECOMES AVAILABLE.

BT

/READ 194/101
0000 MRI 00194

PP RUCNFB FBICG

DE FBIWMFO #0001 1010348

ZNR UUUUU

b6
b7C

P 100329Z APR 96

FM FBI WMFO (58C-WF-180673) (P)

TO DIRECTOR FBI/PRIORITY/

FBI CHICAGO/PRIORITY/

BT

UNCLAS

CITE: //3920//

PASS: PUBLIC CORRUPTION UNIT.

SUBJECT: PLATE BLOCK; OO:WMFO.

RE 4/9/96 WMFO TELCALLS TO FBIHQ AND CHICAGO.

AS RELATED IN REFERENCED TELCALLS [redacted] CHIEF OF THE PUBLIC CORRUPTION SECTION, U.S. ATTORNEY'S OFFICE, DISTRICT OF COLUMBIA, ADVISED EARLIER TODAY THAT DAN ROSTENKOWSKI IS SCHEDULED TO APPEAR BEFORE U.S. DISTRICT JUDGE NORMAN HOLLOWAY JOHNSON AT 5:00 PM TO ENTER A GUILTY PLEA TO TWO COUNTS OF MAIL FRAUD, TITLE 18, U.S.C. SECTION 1341. AS

58C-WF-180673-834

PART OF THE PLEA, ROSTENKOWSKI WILL AGREE TO A PRISON SENTENCE OF SEVENTEEN MONTHS AND A $100,000 FINE.

THE BUREAU WILL BE ADVISED OF ADDITIONAL INFORMATION AND DEVELOPMENTS AS IT BECOMES AVAILABLE.

BT

#0001

NNNN

05/14/96

********** CONVICTION **********

SENSITIVE / UNCLASSIFIED

b6
b7C

Case Number: 58C-WF-180673
Serial No.:

Stat Agent Name: [redacted]
Stat Agent SOC.:

Report Date: 05/14/1996
Accom Date.: 04/09/1996

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC	Subject Name
Drugs	N	USPS		ROSTENKOWSKI, DAN
A Fugitive.	N	LOC		
Bankruptcy Fraud.	N			
Computer Fraud/Abuse.	N			
Corruption of Public Officials:	Y			
Forfeiture Assets	N			

RA	Squad	Task Force
CRIM	C9	

Sub. Invest. Asst by Other FOs: CG

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	1 - Used, but did not help
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	2 - Helped, Minimally
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	3 - Helped, Substantially
ELSUR/FISC	POLYGRAPH	VISUAL INVEST	4 - Absolutely Essential
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	
ENG TAPE EXAM	SOG ASST	ERT ASST	
HYPNOSIS ASST	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS		

b7E

Date of Conviction (MM/DD/YYYY) . . . . . .: 04/09/1996
Date of Sentencing (MM/DD/YYYY) . . . . . .: 04/09/1996
Subject Description Code. . . . . .: 6C

Federal or Local (F/L). . . . . .: F
Felony or Misdemeanor (F/M) . . . . . .: F

Plea or Trial (P/T) . . . . . .: P
Judicial State. . . . . .: DC
Judicial District . . . . . .: DC
Type of Sentence . . . : JS

	Year	Month
Time in Jail. .:	1	5
Time Suspended.:		
Probation Time.:		

U.S. Code Violation

Title	Section	Counts
18	1341	2

Total Fines. . :$ 100000

Accomplishment Narrative

SUBSTANTIAL ASSISTANCE PROVIDED BY CHICAGO DIVISION SA [redacted]

b6
b7C

58C-WF-180673-835

SENSITIVE / UNCLASSIFIED

FD-515 (Rev. 10-1-94)

Squad Supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 5/8/96
Date Loaded _____
Data Loader's initials _____

Does Accomplishment Involve: (check all that apply)

- Drugs ☐
- A Fugitive ☐
- Bankruptcy Fraud ☐
- Computer Fraud/Abuse ☐
- Corruption of Public Officials ☒
- Forfeiture Assets ☐
- Sub Invest Asst by FO (s) ☒

Assist. FO(s) A. B. C. D. E

Assisting Agents Soc. Sec. No.×
1. PREVIOUSLY ENTERED
Name
2.
Name:

File Number: 58C-WF-180673

Stat Agent Soc. Sec. No.

Stat Agent Name

RA	Squad
NUMBA	C-9

Task Force

Assisting Joint Agencies ×•
1. U.S. POSTAL
2. CAP. POLICE

Investigative Assistance or Technique Used

1-Used, but did not help
2-Helped, minimally
3-Helped, substantially
4-Absolutely essential

For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D,E for corresponding FO

Rate	FO(s)	IAT	Rate	FO(s)	IAT	Rate	FO(s)	IAT
		Financ. Analyst			Lab. Div. Exams			UCO - Group I
		Aircraft Asst.			Lab. Div. Field Sup.			UCO - Group II
		Computer Asst.			Pen Registers			UCO - Other
		Consensual Mon.			Photo Coverage			NCAVC / VI - CAP
		ELSUR / FISC			Polygraph Asst.			Visual Invest. Anal.
		ELSUR / Title III			Search Warrants			Crisis Neg. - Fed.
		Eng. Sect. Field Supt			Show Money Usage			Crisis Neg. - Local
		Eng. Sect. Tap Exam			SOG Asst.			ERT Assistance
		Hypnosis Asst.			Swat Team Action			Butte-ITC
		Evidence Purchase			Tech. Agnt or Equip.			Savannah-ITC
		Informant/CW Info.			Telephone Toll Recs			

b6
b7C
b7E

A. Complaint / Information / Indictment
Also Complete Section H
Complaint Date _____
Check if Civil Rico Complaint ☐
Information Date _____
Indictment Date _____

B. Arrest / Locate / Summons
Arrest Date _____
☐ Federal ☐ Local ♦
Subject Priority: ☐ A ☐ B ☐ C
☐ Subject Resisted
☐ Subject was armed

Locate Date _____
Subject Priority: ☐ A ☐ B ☐ C

Summons Date _____
☐ Federal ☐ Local ♦

C. Hostage(s) Released Date _____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: _____
Child Located Date _____

D. Recovery / Restitution / PELP × ♦
Recovery Date _____
☐ Federal ☐ Local

Code •	Amount
	$
	$

Restitution Date _____
☐ Court Ordered
☐ Pretrial Diversion

Code •	Amount
	$

PELP Date _____

Code •	Amount
	$

E. Civil Rico Matters Date _____
Also Complete Section H

or

Other Civil Matters Date _____
Judgment ____ ____ •
Judicial Outcome ____ ____ × •
Amount: $ _____
Suspension:

Years	Months

F. Administrative Sanctions Date _____

Type:	Length:
☐ Suspension	☐ Permanent
☐ Debarment	☐
☐ Injunction	

Years	Months

Subject Description Code ____ ____ •

G. Final Judicial Process Conviction
Also Complete Section H
Date of Conviction: 4/9/96
Date of Sentence: 4/9/96
Subject Description Code: 6C •
Judicial State: ____ District: WDC
Sentence type: ____ ____ ____ ____ •
Sentence Term:

In-Jail Years	In-Jail Months	Suspended Years	Suspended Months	Probation Years	Probation Months
	17				

Fines: $ 100,000

☒ Federal
☐ Local ♦

☒ Felony
☐ Misdemeanor

☒ Plea
☐ Trial

H. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	1341	2			

Required for Sections A, E, and G (Conviction)
Optional for Section B (arrest)

Acquittal / Dismissal / Pretrial Diversion
Acquittal Date _____
Dismissal Date _____
Pretrial Diversion Date _____

I. Subject Information (Required for Sections A, B, D (Restitution), E, F, and G

Name	Date of Birth	Race •	Sex	Place of Birth (if available)	Social Security No. (if available)
ROSTENKOWSKI, DANIEL	1/2/28	W	M	CHICAGO, IL	335-32-0299

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (Submit form FD-515a for indictments and convictions only)

× Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)
• See codes on reverse side.

Serial No. of FD-515

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

JOINT AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCS U.S. Customs Service
USDS U.S. Department of State
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERMENT SUBJECTS

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

FD-350 (Rev. 5-8-81)

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

Date: 6/29/96
Edition: WASH POST.
Title: PLATE BLOCK
Character: 58C-WF-180673
or
Classification: SUB N
Submitting Office:

Indexing:

6/29/96

NGTON POST

Judge Orders Rostenkowski To Report to Prison July 22

By Toni Locy
Washington Post Staff Writer

Former representative Dan Rostenkowski (D-Ill.) was ordered yesterday to report to a federal medical prison in Rochester, Minn., July 22 to begin serving a 17-month sentence.

Rostenkowski, 68, who underwent prostate surgery last month, wanted to report to a federal prison in Oxford, Wis., which is closer to his native Chicago.

U.S. District Judge Norma Holloway Johnson granted him the extra time to recuperate but refused to allow him to report to Oxford. She told defense attorney Thomas Buchanan that the Bureau of Prisons, not Rostenkowski, will decide if and when he can do his time at the Wisconsin prison.

Johnson also said a probation office report done since Rostenkowski's sentencing in April shows he can afford to pay for the costs of his incarceration.

"It appears to me, without any doubt, that the former congressman will be paying his own way," she said. "I don't see any reason under the sun why he shouldn't be paying his way. With his retirement from the Congress, he can pay his way, plus."

Rostenkowski was not present at yesterday's hearing.

A spokesman for the Bureau of Prisons said the average cost of incarceration is $21,352 a year.

Rostenkowski, former chairman of the House Ways and Means Committee, pleaded guilty in April to two counts of mail fraud in a case in which he was accused of misusing his office and its perks for decades.

On the courthouse steps after the sentencing, he lashed out at prosecutors and portrayed himself as a victim who did nothing different than any other member of Congress.

Originally, Rostenkowski was supposed to begin serving his sentence June 17.

But the judge agreed to change the reporting date after doctors at Walter Reed Army Medical Center removed Rostenkowski's prostate May 16.

58C-WF-180673-836

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

FBI — WASH. METRO FIELD OFFICE

FBI/DOJ

58C-WF-180673-
837

OFFICE OF THE MONITOR
of the
HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES INTERNATIONAL UNION
1300 I Street, N.W., Suite 300 West
Washington, DC 20005

Kurt W. Muellenberg
Monitor

Telephone: (202) 289-1166
Toll Free: (800) 733-8775
Fax: (202) 289-6093

June 12, 1996

b6
b7C

[redacted]
Supervisory Special Agent
Federal Bureau of Investigation
Washington Metropolitan Field Office
1900 Half Street, S.W.
Washington, DC 20535

Dea[redacted]

With regard to our conversation on Thursday, May 30, 1996, enclosed for your review are the following documents:

1. A copy of the Consent Decree entered into by the Hotel Employees and Restaurant Employees International Union (HEREIU) and the U.S. Department of Justice on September 5, 1995.

2. A copy of a letter to HEREIU from the court appointed Monitor dated 5/22/96 notifying HEREIU of former U.S. Representative Dan Rostenkowski's status as a "barred person".

Sincerely yours,

[redacted]

Chief Investigator

DFS/lsw

Enclosures

OFFICE OF THE MONITOR
of the
HOTEL EMPLOYEES AND RESTAURANT
EMPLOYEES INTERNATIONAL UNION
1300 I Street, N.W., Suite 300 West
Washington, DC 20005

Kurt W. Muellenberg
Monitor

Telephone: (202) 289-1166
Toll Free: (800) 733-8775
Fax: (202) 289-6093

May 22, 1996

VIA CERTIFIED MAIL NO. Z432106897
RETURN RECEIPT REQUESTED

b6
b7C

[redacted]
Hotel Employees and Restaurant
Employees International Union
1219 28th Street, N.W.
Washington, D.C. 20007

Re: HEREIU Consulting Agreement With Dan Rostenkowski

Dear [redacted]

The purpose of this letter is to confirm our understanding that the Hotel Employees and Restaurant Employees International Union ("HEREIU") has had, or continues to have, a consulting arrangement with former Representative Dan Rostenkowski (hereinafter referred to as "Rostenkowski") and/or his company, Danross Associates, Inc. ("Danross"), and to notify you that, in our view, Rostenkowski is disqualified from acting as a consultant to the HEREIU pursuant to 29 U.S.C. § 504.

1. Rostenkowski's Mail Fraud Conviction

As you are undoubtedly aware, by an agreement dated March 27, 1996, Rostenkowski pled guilty to two counts of violating the mail fraud statute, 18 U.S.C. §§ 1341 and 1346, and was sentenced by United States District Court Judge Norman Holloway Johnson to 17 months imprisonment, $100,000 in restitution, and, possibly, the cost of his incarceration. During sentencing, Judge Holloway reportedly stated that Rostenkowski's actions amounted to a "reprehensible" breach of trust as a Member of Congress.

2. Consulting Arrangement Between the HEREIU and Rostenkowski

It is our understanding that the HEREIU has had, or continues to have, a consulting arrangements with Danross and/or Rostenkowski, and that Rostenkowski has provided consulting services to the HEREIU in connection with obtaining a neutrality agreement relating

b6
b7C

to certain unorganized employees of the Universal Studios Theme Park in Orlando, Florida. Please inform me, in writing, concerning: (1) whether the HEREIU continues to have a consulting agreement or arrangement with Danross and/or Rostenkowski; (2) the nature of services performed by Danross and/or Rostenkowski for the HEREIU; and (3) whether the HEREIU has paid Danross and/or Rostenkowski for any consulting services and, if so, when such payments were made, in what amount, and whether any additional monies are owed Danross and/or Rostenkowski for services rendered to the HEREIU.

3. The Application of 29 U.S.C. § 504 To Rostenkowski

In our view, 29 U.S.C. § 504 makes Rostenkowski ineligible to provide services as a consultant, labor relations consultant, or advisor to the HEREIU due to his conviction for mail fraud for a period of 13 years after his conviction, or end of imprisonment, whichever is later. Persons who "willfully and knowingly hire, retain, employ, or otherwise place any other person to serve in any capacity in violation" of § 504 are subject to a fine, imprisonment for not more than 5 years, or both.

Although mail fraud is not one of the crimes listed in § 504, the federal courts have long held that § 504 is not limited to the crimes enumerated therein, but, rather, should be broadly construed in view of its remedial purpose. In Serio v. Liss, 300 F.2d 386 (3d Cir. 1961), the United States Court of Appeals for the Third Circuit held that a business agent was properly disqualified from holding office under Section 504 due to his conviction for atrocious assault and battery stating:

> We believe that Congress intended the provisions of Section 504(a) to have an antiseptic and purifying effect on the conduct of union affairs by union officials and officers and made clear that an individual with a criminal record should not be employed in a position of union responsibility until he had reestablished his probity. . . . .

Id. at 389.

Since Serio v. Liss, the federal courts have routinely held that § 504 should be broadly construed to prevent persons with criminal records from acting as officers, agents, or representatives of, or consultants or advisors, to unions. In Berman v. Local 107, International Bro. of Teamsters, 237 F. Supp. 767 (1964), for example, the court held that Mr. Berman's conviction for the Pennsylvania crime of conspiracy to cheat and defraud disqualified him as a candidate for the office of business agent of the IBT, concluding that the crime was the equivalent of either embezzlement or grand larceny under § 504. Similarly, in Lippi v. Thomas, 298 F. Supp. 242 (M.D. Pa. 1969), the court held that the plaintiff was not eligible to have his name placed on the ballot for President of District I of the United Mine Workers, stating that § 504 should be liberally interpreted to encompass willful misapplication of bank funds in

b6
b7C

violation of 18 U.S.C. § 656, a crime not enumerated in § 504. In so holding, the court relied upon the fact that "[a]ll of the reported cases . . . read Section 504(a) in a broad fashion so as to include crimes not specifically enumerated." Id. at 247. See, also, Postma v. International Brotherhood of Teamsters, 337 F. 2d 609 (3d Cir. 1964) (Section 504(a)'s reference to "extortion" encompasses federal and state offenses of extortion); United States v. Priore, 236 F. Supp. 542 (E.D.N.Y. 1964) (State crime of conspiracy to commit extortion is within the scope of § 504 irrespective of whether a misdemeanor or a felony).

In Illario v. Frawley, 426 F. Supp. 1132 (D.N.J. 1977), the court held that plaintiff's plea of guilty to an accusation charging that he knowingly and intentionally obtained money by means of false statements and representations fell within the scope of § 504 despite the fact that the crime was unrelated to the conduct of plaintiff's union affairs. The court relied upon the fact that the "[c]ourts are agreed that the enumeration of offenses in § 504 was intended to be generic and inclusive, rather than specific and exclusive." According to the court in Illario, "the test is . . . whether the conduct described in the accusation was conduct which Congress intended to suppress". Id. at 1140.

The courts have also broadly construed § 504 to encompass nonenumerated crimes in more recent decisions. See, e.g., United Union of Roofers, etc., No. 33 v. Meese, 823 F.2d 652 (1st Cir. 1987) (Held that misdemeanor conviction for violating labor statute forbidding the use of force or violence to intimidate union members in exercise of their rights disqualified plaintiff from holding office under § 504, stating that "[t]o interpret the disqualification list . . . other than as a list of generic descriptions would undercut [the] basic purpose" of § 504); Petition of Belpedio, 753 F. Supp. 239 (N.D. Ill. (1990) (Section 504 applied to local union official convicted of felony aggravated battery during a touch football game since Congress didn't intend to "limit the directive of Section 504(a) to crimes which occur in circumstances related to union activity" or which "raise the question of . . . trustworthiness").

In routinely holding that Section 504 encompasses nonenumerated crimes, the courts have relied upon the legislative history of Section 504 of the Labor Management Reporting and Disclosure Act, 29 U.S.C. § 401, et seq.. See, e.g., 105 Cong. Rec. 6410 (1959)(statement of Sen. Ervin) (Congress wanted to disqualify persons convicted of "serious crimes" from union office); 105 Cong. Rec. 7021 (statement of Sen. Kennedy) (Congress intended to establish "strong barriers against the control of unions by unreformed convicted thieves, racketeers, and thugs"); 29 U.S.C. §.401 (". . . in order to accomplish the objective of a free flow of commerce it is essential that labor organizations, employers, and their officials adhere to the highest standards of responsibility and ethical conduct in administering the affairs of their organizations, particularly as they affect labor-management relations"); Illario v. Frawley, 426 F. Supp. 1132 ("The intense concern that Congress felt over criminal activity on the part of labor leaders is reflected throughout the legislative history of the measure") (citations omitted).

b6
b7C

Rostenkowski's plea agreement, and the government's factual proffer in support thereof, make apparent that the mail fraud conviction disqualifies Rostenkowski from serving as a consultant, labor relations consultant, or advisor to the HEREIU under § 504. According to the proffer, Rostenkowski admitted to misappropriating and misusing United States funds by using the congressional payroll to pay persons who performed personal services for Rostenkowski or members of his family and by ordering merchandise from the House Stationery Store which he used as personal gifts to family, friends and political associates. There can be no doubt that Rostenkowski's criminal activity is of the type Congress intended to reach under § 504 in order to insure that the affairs of unions, like the HEREIU, would not be influenced by persons convicted of serious crimes.

4. The Application of the Consent Decree to Rostenkowski

As a disqualified person under § 504, Rostenkowski is also a "barred person" within the meaning of ¶ 7(b) of the Consent Decree. Accordingly, the HEREIU and its officers, agents, employees, and representatives are permanently enjoined from "knowingly associating" with Rostenkowski, or from permitting Rostenkowski to exercise "any control or influence directly or indirectly, in any way or degree", in the conduct of the HEREIU's affairs. See Consent Decree, ¶ 5.

In sum, I trust the HEREIU intends to formally sever any consulting arrangements it may have with Rostenkowski as required by § 504 and request that you provide me with a written response to this letter at your earliest convenience.

Feel free to provide Mr. Rostenkowski or his attorneys with a copy of this letter, if you so desire.

Sincerely yours,

[redacted]

b6
b7C

KWM/ama/lsw

cc: [redacted] Esq.

Agreement Reached by HERE and Justice Department

FAITH S. HOCHBERG
United States Attorney,
970 Broad Street, Room 502
Newark, New Jersey 07102
(201) 645-2700
MC 5483
CB 3730

UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY

Hon. Garrett E. Brown, Jr.
Civil Action No. 95-4596 (GEB)

UNITED STATES OF AMERICA,
Plaintiff,
v.
HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES INTERNATIONAL UNION,
Nominal Defendant,
and
THE GENERAL EXECUTIVE BOARD OF THE HOTEL EMPLOYEES AND RESTAURANT EMPLOYEES INTERNATIONAL UNION,
Defendant.

CONSENT DECREE

WHEREAS, the United States of America, through its counsel, Faith S. Hochberg, United States Attorney for the District of New Jersey has filed a Complaint seeking equitable relief under 18 U.S.C. § 1964 ("RICO");

WHEREAS, the parties have agreed to resolve the issues raised in the Complaint by way of settlement in order to avoid the costs of litigation, without any express or implied admission of liability or fault by or on the part of the defendants as to any of the allegations or matters contained in the Complaint;

WHEREAS, defendants acknowledge that historically, the Hotel Employees and Restaurant Employees International Union (hereinafter the "HEREIU" or the "union") and various constituent entities of the union (which are referred to hereinafter as including HEREIU Districts, District Councils, local unions and other "subordinate bodies" of HEREIU as that term is defined in the HEREIU Constitution, but which do not include the HEREIU Pension and Health and Welfare Funds) have suffered from an externally induced corruption problem;

WHEREAS, the United States of America and the HEREIU agree that within the HEREIU and its constituent entities, *inter alia:* (a) any vestiges of an externally induced corruption problem should be eliminated; (b) union democracy should be promoted and protected; (c) integrity in every aspect of the operations of the HEREIU and its constituent entities, should be fostered; and (d) the entire membership should be fairly represented;

WHEREAS, the United States of America and the HEREIU agree that the HEREIU and its constituent entities should be free from the influence of organized crime and other corrupting elements;

WHEREAS, the HEREIU, through the resolution of its General Executive Board (acting as representative of the union and its membership), has consented to the consolidation of this action with *United States v. Hanley, et al.* and has additionally consented to entry of this Consent Decree; and

WHEREAS, the parties have conferred and have devised a method to achieve the remedial objective described herein in the least expensive manner possible:

IT IS HEREBY ORDERED, ADJUDGED AND DECREED as follows:

JURISDICTION

1. The Court has exclusive jurisdiction over the subject matter herein and over the parties hereto. The Complaint states a cause of action under RICO. The Court shall retain jurisdiction over the parties and signatories to this Consent Decree and the subject matter of this litigation in order to implement the terms and provisions of this Consent Decree. If the Monitor, any party, or other person or entity files any separate action pursuant to this Consent Decree, such action shall be designated a related action pursuant to Rule 11D of the General Rules of this Court. The Court shall have exclusive jurisdiction to decide any and all issues and disputes arising from the interpretation or application of this Consent Decree until further order of this Court. The United States shall have the right to intervene in the event there is a dispute regarding any interpretation or application of this Consent Decree.

2. This Consent Decree is binding upon all persons who have or may have any connection to the HEREIU and its constituent entities, including, but not limited to, current and future officers, agents, employees, representatives, members and persons holding positions of trust in the HEREIU and its constituent entities.

REMEDIAL OBJECTIVE

3. The remedial objective of this Consent Decree is that the HEREIU and its constituent entities be free from the direct or indirect influence of any organized crime group or the threat of such influence now and in the future.

DUTIES OF HEREIU OFFICIALS

4. All officers and other persons holding positions of trust in the HEREIU and its constituent entities, with due regard to the remedial objective of this action, have a heightened fiduciary duty to the membership in insuring the independence and the integrity of the union free from corruption and the influence of criminal groups as well as promoting democratic participation of union members in union affairs as guaranteed by the Labor-Management Reporting and Disclosure Act (the "LMRDA").

INJUNCTIVE PROHIBITIONS

5. All current and future officers, agents, employees, representatives, and persons holding positions of trust in the HEREIU and its constituent entities as well as all current and future members of the HEREIU and its constituent entities are permanently enjoined:

a. from committing any crime listed in 18 U.S.C. § 1961(1);

b. from knowingly associating with any member or associate of any criminal group or with any barred person;

c. from knowingly permitting any member or associate of any criminal group or any barred person to exercise any control or influence, directly or indirectly, in any way or degree, in the conduct of the affairs of the HEREIU and its constituent entities; and

d. from obstructing or otherwise interfering, directly or indirectly, with the efforts of anyone effectuating or attempting to effectuate the terms of this Consent Decree or in attempting to prevent any criminal groups or barred person from exercising influence on the conduct of the affairs of the HEREIU and its constituent entities.

6. As used in this Consent Decree, the term "knowingly associating" shall mean that: (a) an enjoined party knows or should know that the person with whom he or she is associating is a member or associate of any criminal group or is a barred person; and (b) the association is more than fleeting.

7. As used in this Consent Decree a "barred person" is: (a) any member or associate of any organized crime family or other criminal group, or (b) any person prohibited from participating in union affairs pursuant to or by operation of this Consent Decree or other court order or statute.

COURT-APPOINTED MONITOR

8. The United States and HEREIU hereby recommend to the Court that Kurt Muellenberg, Esquire be appointed as Monitor of the HEREIU. In the event that Court desires to appoint a different individual as Monitor of the HEREIU, the Monitor shall be selected by the Court from a list of proposed candidates to be jointly submitted to the Court by Counsel for the United States and the HEREIU. Said parties shall submit additional jointly proposed candidates to the Court upon its request.

GENERAL POWERS

9. The Monitor, in addition to the powers described elsewhere in this Consent Decree, shall have the power to:

a. investigate, audit and review all aspects of the HEREIU and its constituent entities to advance the remedial objective of this action. These powers shall include the power of the Monitor to conduct investigatory interviews and sworn depositions to advance the remedial objective of this action;

b. request the United States Attorney or any agency of the United States to provide legal, audit and investigative personnel to assist in the execution of the Monitor's duties. For instance, the Monitor may request the United States Attorney to seek additional relief from the Court in order to protect or advance the interests of the union in achieving the remedial objective of this action. The expense of such assistance will be borne by the United States and will not be chargeable to the union;

c. retain legal, investigative, accounting and other support personnel at the HEREIU's expense and delegate any of his / her powers or duties to such persons, where, in the Monitor's discretion, such personnel and delegation are necessary to execute the Monitor's duties as set forth herein;

d. attend all HEREIU Executive Board meetings and HEREIU committee meetings (with the exception of bargaining committee meetings);

e. refer matters to the HEREIU or the United States Attorney for appropriate action;

f. perform all such functions and duties not specifically enumerated herein in order to fulfill his/her duties as Monitor.

REVIEW AUTHORITY

10. Whenever the Monitor reasonably believes that any of the following actions, proposed actions, or omissions to act (a) may violate the injunctive prohibitions of this Consent Decree, (b) may constitute any crime involving labor organizations or employee benefit plans, or (c) may further the direct or indirect influence of any organized crime group or the threat of such influence now or in the future, he or she has the power to:

i. disapprove the hiring, appointment, reassignment or discharge of any person or business entity by the HEREIU or its constituent entities; and

ii. disapprove or terminate any contract (including, but not limited to, contracts with service providers or vendors), lease, or other obligation of the HEREIU or its constituent entities.

11. The HEREIU may appeal the Monitor's actions made pursuant to Paragraphs 10 (i) and (ii) of this Consent Decree by filing a written appeal of such action with the Monitor within twenty (20) days of such decision by the Monitor. Only the HEREIU may appeal an action by the Monitor under Paragraphs 10 (i) and (ii) and any action by the Monitor which is not appealed in accordance with this Paragraph may not be appealed or otherwise challenged. The Monitor shall issue a written decision regarding any such action within twenty (20) days after he/she receives such appeal. The Monitor's decision, all papers or other material relied upon by the Monitor and the papers filed or issued pursuant to this appeal procedure shall constitute the exclusive record for review. The Monitor's decisions pursuant to this Paragraph shall be reviewed by this Court, if necessary, under the substantial evidence standard set forth in 5 U.S.C. § 706(2)(E). Materials considered by the Monitor but withheld from the HEREIU and the public which contain sensitive information provided by a law enforcement agency shall be submitted to the Court for ex parte, in camera consideration and shall remain sealed. The United States may seek the Court's review of the Monitor's action or failure to act in matters covered in Paragraph 10.

DISCIPLINARY POWERS

12. *Discipline of Officials.*

a. The Monitor shall have the right and power to remove, suspend, expel, fine or forfeit the benefits (with the exception of vested employee retirement benefits subject to title I of the Employee Retirement Income Security Act—29 U.S.C. § 1001, *et seq.*) of any officer, representative, agent, employee or person holding a position of trust in the HEREIU and its constituent entities when such person engages or has engaged in actions or inactions which (i) violate the injunctive prohibitions of this Consent Decree, (ii) violate any criminal law involving the operation of a labor organization or employee benefit plan; or (iii) further the direct or indirect influence of any organized crime group or the threat of such influence now or in the future.

b. Any officer, agent, representative, employee or person holding a position of trust in the HEREIU or its constituent entities who is named as a defendant in an indictment or other charging document accusing him or her of any crime relating to the conduct of the affairs of a labor organization or employee benefit plan shall be placed on temporary leave of absence, without pay, by HEREIU, or the constituent entity having employment authority over such person, during the pendency of the matter and an investigation shall be undertaken to determine if discipline should be imposed in accordance with this paragraph. In the event that the charge(s) in such documents is not sustained, the accused shall be reinstated in office or employment and paid the appropriate salary for the period of suspension during the unexpired term of office or employment which was held at the time of the suspension.

13. *Discipline of Members.* In addition to the rights and powers set forth in Paragraph 12, the Monitor shall have the right and power to impose discipline up to and including expulsion from union membership when a member of the HEREIU or its constituent entities engages or has engaged in actions or inactions which: (a) violate the injunctive prohibitions of this Consent Decree; (b) violate any criminal law involving the operation of a labor organization or employee benefit plan; or (c) further the direct or indirect influence of any organized crime group or the threat of such influence now or in the future.

14. *Disciplinary Procedure.* In order to discharge disciplinary duties under this decree, the Monitor shall have the same rights and authority as the HEREIU General President, the HEREIU General Executive Board, and any other officer, agent, employee, or representative of the HEREIU as well as the full authority derived from any and all provisions of law. When exercising his/her disciplinary rights and powers, the Monitor shall afford the subject of the potential disciplinary action written notice of the charge(s) against him/her and an opportunity to be heard. The

Monitor shall conduct any hearing on any disciplinary charges, render the final decision regarding whether discipline is appropriate and impose the particular discipline. The charged party shall have 20 days to answer the charges against him/her and may be represented by counsel at any hearing conducted by the Monitor. Any hearing shall be conducted under the rules and procedures generally applicable in labor arbitration proceedings and decisions shall be made using a "just cause" standard. In conducting any hearing, the Monitor shall have the right and power:

a. to administer oaths. All testimony and other evidence shall be subject to penalties of perjury to the same extent as if such evidence was submitted directly to the Court;

b. to examine witnesses or conduct depositions;

c. to receive evidence. The Monitor may receive evidence withheld from the charged party and the public which contains or constitutes sensitive information provided by a law enforcement agency, and can choose what weight, if any, to give such evidence, but in no case shall the identity of a confidential source of law enforcement information be required to be disclosed; and

d. to issue subpoenas requiring the attendance and presentation of testimony of any person and/or the production of documentary or other evidence. Witnesses shall be paid the same fee and mileage allowances which are paid subpoenaed witnesses in the courts of the United States and such payments shall be made by the HEREIU. In the case of contumacy or failure to obey a subpoena issued under this Paragraph, the Monitor may: (i) impose discipline upon the person in accordance with this Consent Decree; and/or (ii) seek an order from the Court requiring the person to testify or to produce documentary or other evidence.

15. *Appeal of Disciplinary Action.* Any discipline imposed by the Monitor shall be final and binding, subject to review by the Court. A person disciplined by the Monitor pursuant to Paragraphs 12 or 13 may obtain review of the Monitor's decision regarding such discipline by filing a written appeal of such decision with the Court within thirty (30) days of such decision by the Monitor. The Monitor's decision, all papers or other material relied upon by the Monitor and the papers filed or issued pursuant to this appeal procedure shall constitute the exclusive record for review. The Monitor's decisions pursuant to this Paragraph shall be reviewed by this Court, if necessary, under the substantial evidence standard set forth in 5 U.S.C. § 706(2)(E). Materials considered by the Monitor but withheld from the appellant and the public which contain sensitive information provided by a law enforcement agency shall be submitted to the Court for ex parte, in camera consideration and shall remain sealed. The person disciplined by the Monitor may appeal the Monitor's decision regarding the discipline imposed against him/her and any decision by the Monitor regarding discipline imposed against a person which is not appealed in accordance with this Paragraph may not be appealed or otherwise challenged. HEREIU or the United States may seek the Court's review of the Monitor's decision not to impose discipline.

PRIVILEGES AND IMMUNITIES

16. The HEREIU shall indemnify the Monitor and any person hired by or acting on his or her behalf from such personal liability and costs incurred to defend against any claim of such liability. In addition, the Monitor and any person hired by or acting on his or her behalf shall enjoy whatever immunity from personal liability that may exist under the law for court officers. The Monitor and his designee(s) shall, in addition to the powers and duties enumerated in this Consent Decree, have all of the powers, privileges and immunities of a person appointed pursuant to Rule 66, Fed. R. Civ. Pro. and which are customary for court-appointed offices performing similar assignments.

ACCESS TO INFORMATION

17. The Monitor shall have unfettered access to, and the right to make copies of, all records or documents and officials, agents, employees, and members of the HEREIU and its constituent entities to advance the remedial objective of this action.

18. The Monitor, in his/her discretion, may provide the HEREIU with information he/she has discovered or received except that no confidential or sensitive information from a law enforcement agency may be so provided without approval by the Court on application of the United States. In the event the Monitor discovers or receives information which may indicate that disciplinary action by the HEREIU or one of its constituent entities is warranted, the Monitor may provide that information, subject to the limitation set forth in the previous sentence, to the appropriate HEREIU official, who shall report to the Monitor what action, if any, is taken.

NOTICE TO THE MONITOR

19. The HEREIU shall expeditiously provide the Monitor with prior notice of all HEREIU Executive Board meetings and HEREIU committee meetings (with the exception of bargaining committee meetings) and shall provide the Monitor with the minutes of all HEREIU Executive Board meetings and HEREIU committee meetings.

20. The HEREIU, its constituent entities, and their officers, agents, and representatives, shall expeditiously inform the Monitor about matters involving HEREIU and its constituent entities of importance to the remedial objective of this action including, but not limited to:

a. the discipline of members; officers, representatives, agents, employees or persons holding positions of trust in the HEREIU or its constituent entities;

b. decisions to hire, appoint, reassign or discharge persons as officers, agents, representatives, employees and persons holding positions of trust in HEREIU or its constituent entities, but not including salaried, nonsupervisory office staff and clerical personnel;

c. decisions to pay the expenses of any person or entity who is or may be subject to disciplinary or other action pursuant to this Consent Decree or related to this lawsuit;

d. decisions to hire or employ service providers or vendors in excess of $10,000 per annum;

e. expenditures or proposed expenditures in excess of $10,000;

f. decisions to enter into contracts, leases and other commercial obligations; and

g. any past, present or future action or inaction of a member, officer, representative, agent, employee or person holding a position of trust in the HEREIU or its constituent entities that (i) violates the injunctive prohibitions of this Consent Decree, (ii) constitutes any crime involving labor organizations or employee benefit plans, or (iii) furthers the direct or indirect influence of any organized crime group or the threat of such influence now or in the future.

COMPENSATION

21. The Monitor shall be compensated at a rate to be established by the Court, and shall be diligent in minimizing the cost to the union. The Monitor shall submit to the Court, the HEREIU and the United States Attorney a monthly itemized statement of services rendered. The HEREIU shall establish, maintain and replenish on a monthly basis an escrow fund in the amount of not less than $75,000.00 against which the fees and expenses of the Monitor shall be drawn.

22. In the event that any dispute arises over any aspect of compensation, the HEREIU shall immediately notify the Monitor and the United States Attorney of the precise reasons for contesting

the validity of the billing and shall deposit the disputed amount in an interest bearing escrow account until the parties can resolve the dispute or until the Court, upon petition of the United States Attorney, resolves the matter.

REPORTS

23. The Monitor shall report to the Court periodically or when requested by the Court regarding the progress of the HEREIU and its constituent entities in achieving the remedial objective of this action. Every six (6) months after the date of this Consent Decree, the Monitor shall issue a written report to the Court regarding the progress of the HEREIU and its constituent entities in achieving the remedial objective of this action. Copies of such reports shall be provided to the United States Attorney and counsel for the HEREIU.

TERM OF THE MONITOR

24. Except as provided in Paragraph 25, the term of the Monitor shall expire in four (4) years from the date of this Consent Decree. If, at any time, the Monitor resigns, is discharged or becomes disabled from his/her respective duties, the Court shall select a new Monitor from a list of proposed candidates to be jointly submitted to the Court by the parties. The parties shall submit additional jointly agreed upon candidates to the Court upon its request.

25. *Public Review Board.* The HEREIU through the Executive Board shall create a Public Review Board (PRB) and adopt an Ethical Practices Code (EPC) in accordance with the documents attached to this Consent Decree as Exhibits A and B. Such PRB and EPC shall be presented to the HEREIU convention, scheduled in 1996, and shall be made a part of the HEREIU Constitution. If the PRB is created and an EPC is adopted in accordance with the terms of Exhibits A and B attached to this Consent Decree: (a) the term of the Monitor shall expire within six (6) months of the date the PRB is authorized and the EPC is adopted, or eighteen (18) months from the date this Consent Decree is entered, whichever is later; and (b) immediately after the expiration of the Monitor's term the HEREIU shall appoint the Monitor as a member of the PRB for a period of not less than two (2) years. However, the Monitor shall retain his/her independent authority to resolve to completion and decide all charges filed by him/her on or before the date on which the Monitor is appointed to the PRB.

26. Upon a showing by the United States that there is probable cause to believe that corruption or the influence of organized crime exists in the HEREIU or its constituent entities, the term of the Monitor shall be extended by the Court and the provisions of Paragraph 24 relating to the expiration of the Monitor's term shall be suspended. The Court shall thereafter enter such Order(s) as it deems necessary and proper regarding the continuation, scope or modification of this Consent Decree.

ELECTION PROCEDURES

27. All candidates for elective office in the HEREIU and its constituent entities must be free from corruption and the influence of any criminal group or any barred person. The Monitor shall be advised at least sixteen (16) weeks prior to the HEREIU General Convention, or at least six weeks prior to any General Executive Board meeting at which any vacant office will be filled, of all candidates for elective office in the HEREIU and such candidates shall be reviewed by the Monitor. The Monitor shall be advised at least eight (8) weeks prior to any scheduled or special election of all candidates for elective office in the HEREIU's constituent entities and such candidates may be reviewed by the Monitor. In the event the Monitor discovers information which may indicate that a candidate's election (a) violates or would violate the injunctive prohibitions of this Consent Decree, including permitting a barred person to serve; or (b) is or would be any crime involving labor organizations or employee benefit plans; or (c) furthers or would further the direct or indirect influence of any organized crime group or the threat of such influence now or in the future, the Monitor may disallow the particular nomination or election of the individual.

28. A person disallowed by the Monitor pursuant to Paragraph 27 may appeal the Monitor's action by filing a written appeal of such action with the Monitor within twenty (20) days of such action by the Monitor. The Monitor shall issue to the appellant a written decision regarding the appeal within twenty (20) days after he/she receives such appeal. The Monitor's decision, all papers or other material relied upon by the Monitor and the papers filed or issued pursuant to this appeal procedure shall constitute the exclusive record for review. The Monitor's decisions pursuant to this Paragraph shall be reviewed by this Court, if necessary, under the substantial evidence standard set forth in 5 U.S.C. § 706(2)(E). Materials considered by the Monitor but withheld from the appellant and the public which contain sensitive information provided by a law enforcement agency shall be submitted to the Court for ex parte, in camera consideration and shall remain sealed. A person disallowed by the Monitor may appeal the Monitor's decision regarding his/her candidacy and any decision by the Monitor regarding a person's candidacy which is not appealed in accordance with this Paragraph may not be appealed or otherwise challenged. HEREIU or the United States may seek the Court's review of the Monitor's decision not to disallow a person to seek or obtain elected office.

ADDITIONAL TIME FOR REVIEW

29. In the event the Monitor cannot reasonably obtain the information necessary to render an informed decision within the time periods set forth in Paragraphs 11 or 28, the Monitor may notify the HEREIU or the appealing former candidate that an additional twenty (20) days will be necessary to render an informed decision. In addition, the Monitor and the HEREIU or appealing former candidate may consent to extend the relevant time period. The Court may extend the relevant time period in which the Monitor may render a decision for additional fifteen (15) day periods for good cause shown.

EXPENSES

30. The HEREIU expressly agrees that it shall not authorize payment of expenses (including, but not limited to, expenses for employment of counsel) for any person who is or may be subject to disciplinary or other action pursuant to this Consent Decree or related to this lawsuit unless such person is exonerated of any wrongdoing in connection with such disciplinary or other action.

NOTIFICATION

31. *Service of Consent Decree.* Within thirty (30) days of entry of this Consent Decree, the HEREIU shall serve a copy of this Consent Decree upon each of its officers, agents, representatives and persons in positions of trust within the HEREIU and shall advise all other HEREIU employees of the existence of this Consent Decree, the fact that they are bound by the provisions of this Consent Decree and the fact that it is available for reference. In addition, within thirty (30) days of entry of this Consent Decree, the HEREIU shall serve a copy of this Consent Decree upon each of its constituent entities with the direction to advise the officers, agents, representatives and employees and persons in positions of trust within each respective constituent entity of the existence of this Consent Decree, the fact that they are bound by the provisions of this Consent Decree and the fact that it is available for reference. Within forty-five (45) days of the date of entry of this Consent Decree, the HEREIU shall provide to the plaintiff and to the Monitor a certification of compliance stating the fact and manner of compliance with this Paragraph and identifying all persons

and entities who have been served with a copy of this Consent Decree. The HEREIU expressly agrees that it shall advise all candidates for office and all persons seeking a position as an officer, agent, employee, representative or position of trust of the existence of this Consent Decree, the fact that they are bound by the provisions of this Consent Decree and the fact that it is available for reference.

32. *Publication of Consent Decree in the Official Journal.* In addition to notice of this Consent Decree by service of copies to the persons and constituent entities described in the preceding paragraph, a copy of the Consent Decree shall be printed in the next issue of HEREIU's official journal which is scheduled to be published following entry of the Consent Decree.

OTHER AUTHORITIES

33. Nothing in this Consent Decree shall abrogate the responsibilities under existing law of (a) the HEREIU or its constituent entities, or (b) the officers, agents, representatives, employees or persons holding positions of trust in the HEREIU or its constituent entities. HEREIU and its General Executive Board hereby agree that the terms of this Consent Decree govern any provision of the HEREIU Constitution which may be inconsistent with the terms of this Consent Decree.

FUTURE ACTION

34. The United States expressly represents and the HEREIU expressly acknowledges that nothing in this Consent Decree precludes the United States or any of its agencies or individual agents from investigating the HEREIU, its constituent entities or any person or entity having any relation to this lawsuit and that nothing herein shall prevent the United States from seeking additional relief in this action or from instituting a civil or criminal action against any person or entity in the future and, in doing so, relying on any evidence or materials utilized in preparation for the present action or realleging any of the allegations made in the present action.

MODIFICATION AND ENFORCEMENT

35. Either party may hereafter make application to the Court to modify or enforce this Consent Decree and the Court may grant such relief as may be equitable and just, having due regard for the purposes of the underlying litigation, the remedial purposes of this Consent Decree and the circumstances at the time of the application. In the context of any such application, the record of all prior proceedings in this matter and in any matter involving the HEREIU, its constituent entities, or an officer, agent, representative, employee, member or person in a position of trust in the HEREIU or one of its constituent entities, shall be admissible to the extent that is necessary to make a fair and just determination of the issue. The Monitor or any party to this Consent Decree may apply to the Court for any order necessary or appropriate to implement this Consent Decree, including orders preventing non-parties from interfering with implementation of the Consent Decree. Upon satisfactory completion and implementation of the terms and conditions of the Decree, this Court shall entertain a joint motion of the parties hereto for entry of judgment dismissing this action with prejudice and without costs to any party. The parties further agree to review the implementation of this Consent Decree, including operation of any Public Review Board and Ethical Practices Code established in accordance with documents attached to this Decree, no later than four (4) years from the date of this Decree.

CONTEMPT OF COURT

36. Willful violation of any restriction or prohibition contained herein, or other disobedience to the mandates of this Consent Decree shall be punishable as a contempt of court.

SEVERABILITY

37. If any provision of this Consent Decree is hereafter determined to be invalid for any reason, the balance of this Consent Decree shall remain in full force and effect.

PRIOR DECREE

38. Nothing in this Consent Decree is to be construed as affecting the validity or operation of the Consent Decree entered on April 12, 1991 in *United States v. Hanley, et al.* and amendments to that Consent Decree.

AUTHORITY TO ACT

39. The signatories of this Consent Decree hereby expressly acknowledge and represent that they possess authority to execute this Consent Decree on behalf of the parties to this Consent Decree.

IT IS SO ORDERED on this _____ day of August, 1995.

/s/ HON. GARRETT E. BROWN, JR.
UNITED STATES DISTRICT JUDGE

Consented and Agreed to:

/s/ FAITH S. HOCHBERG
United States Attorney
Dated: August , 1995

/s/ PAUL E. COFFEY, Chief
Organized Crime and
Racketeering Section
Criminal Division
U.S. Department of Justice
Dated: August , 1995

Consented and Agreed to:

/s/ EDWARD T. HANLEY
General President, HEREIU
Dated: August , 1995

/s/ ROBERT J. ROTATORI, ESQ.
Counsel for HEREIU
Dated: August, 1995

/s/ THOMAS HANLEY
Director of Organization

/s/ HERMAN LEAVITT
General SecretaryTreasurer

/s/ JOHN M. O'GARA
General Vice President

/s/ HENRY TAMARIN
Vice President, District 1

/s/ CANDACE LANDERS
Vice President, District 3

/s/ HARVEY TOTZKE
Vice President, District 4

/s/ HOWARD RICHARDSON
Vice President, District 6

/s/ VAL CONNOLLY
Vice President, District 8

/s/ TED T. HANSEN
Vice President, District 9

/s/ JAMES J. STAMOS
Vice President, District 10

/s/ NICK WORHAUG
Vice President, District 11

/s/ ANTHONY RUTLEDGE
Vice President, District 12

/s/ VALENTIN HERNANDEZ
Vice President, District 13

/s/ JERRY McHUGH
Vice President, District 14

/s/ RONALD RICHARDSON
Vice President At-Large.

/s/ JOHN WILHELM
Vice President At-Large

/s/ JOSEPH MASSIMINO
Vice President At-Large

/s/ WOLFGANG HAMMER
Vice President At-Large

/s/ TERRENCE MALONEY
Vice President At-Large

/s/ JOSEPH SPANO
Vice President At-Large

/s/ TIM LUEBBERT
Vice President At-Large

Dated: August 21, 1995

EXHIBIT A
PUBLIC REVIEW BOARD

I. Purpose Clause: To review complaints and conduct hearings whenever necessary to insure the high moral and ethical standards in the administrative and operational practices of the HEREIU, its subordinate bodies and locals. To review all matters arising under the HEREIU Ethical Practices Code.

II. Composition: The Public Review Board ("PRB") shall be composed of three individuals of national prominence. The chairman shall be a person with extensive federal prosecutorial experience; the second Board member shall be an individual of national religious affiliation and/or national business prominence; the third Board member shall be the court-appointed monitor, former monitor or his successor of the HEREIU as ordered in *United States v. HEREIU, et al.*, U.S.D.C., D.N.J., Case No. 95-4596 (GEB). Vacancies on the PRB shall be filled by the remaining board members who will consider recommendations from the International President of the HEREIU and its Executive Board, except that, during the pendency of the *U.S. v. HEREIU, et al.* action, a vacancy in the third board member seat shall be filled by the District Court upon the recommendation of the HEREIU and the United States.

III. Jurisdiction: To make final and binding decisions with regard to all matters presented relating to any possible violations of the HEREIU Ethical Practices Code and all matters referred to the Board by the court-appointed monitor pursuant to the above-mentioned Consent Decree.

The PRB shall have the right and power to remove, suspend, expel, fine or forfeit the benefits (with the exception of vested employee retirement benefits subject to Title I of the Employee Retirement Income Security Act—29 U.S.C. § 1001, *et seq.*) of any officer, representative, agent, employee or person holding a position of trust in the HEREIU and its constituent entities.

The PRB shall have the right and power to impose its discipline up to and including expulsion from union membership when a member of the HEREIU or its constituent entities is found to have violated the Ethical Practices Code of the HEREIU.

IV. Initiation of matters by the Public Review Board: the PRB may act on any matter it concludes that it is necessary to act upon in order to fulfill the objectives of the HEREIU Ethical Practices Code and the objectives of the PRB.

V. The PRB shall have the power to promulgate its own rules of practice and procedure and amend them from time to time as it deems necessary. (Attached is a draft Rules of Practice and Procedure that the Board may adopt, amend or modify at its initial meeting).

VI. The PRB shall submit annual reports to the membership of the HEREIU which will be presented annually in the HEREIU magazine distributed to all members.

VII. The HEREIU Executive Board is required to fund a budget to cover necessary expenses, including office, staff, travel and operating expenses. The budget shall include reasonable compensation to members of the PRB.

EXHIBIT B
HEREIU ETHICAL PRACTICES CODE

I. Preamble: The purpose of this Code is to insure high standards of executive and administrative practices in the HEREIU, its subordinate bodies and local union officials.

A. HEREIU and subordinate bodies shall maintain adequate safeguards for the prevention of corruption, discrimination, anti-democratic procedures, and the association of any official of the HEREIU or its subordinate bodies with organized crime figures or anyone that would bring disrepute to the union.

B. Union funds are to be held in sacred trust for the benefit of the membership.

II. Financial Practices: Union funds are held in sacred trust for the benefit of the membership. The membership is entitled to assurance that Union funds are not dissipated and are spent for proper purposes. The membership is also entitled to be reasonably informed as to how Union funds are invested or used.

A. The International Union and its Local Unions shall conduct their proprietary functions, including all contracts for purchase or sale or for rendering housekeeping services in accordance with the practice of well-run institutions, including the securing of competitive bids for major contracts.

B. The International Union and its Local Unions shall not permit any of their funds to be invested in a manner which results in the personal profit or advantage of any officer or representative of the Union.

C. There shall be no contracts of purchase or sale or for rendering services which will result in the personal profit or advantage of any officer or representative of the Union. Nor shall any officer, representative or employee of the International Union or any Local Union accept personal profit or special advantage from a business with which the Union bargains collectively.

D. Neither the International Union nor any of its Local Unions shall make loans to its officers, representatives, employees or members, or members of their families, for the purpose of financing the private business of such persons.

III. Health, Welfare, and Retirement Funds:

A. No official, representative or employee of the International Union or a Local Union shall receive fees or salaries of any kind from a fund established for the provision of health, welfare or retirement benefits, except for reasonable reimbursement provided for in a collective bargaining agreement and expressly approved by the International Executive Board.

B. No official, employee or other person acting as agent or representative of the International Union or a Local Union, who exercises responsibilities or influence in the administration of health, welfare and retirement programs or the placement of insurance contracts, shall have any compromising personal ties, direct or indirect, with outside agencies such as insurance carriers, brokers, or consultants doing business with the health, welfare and retirement plans.

C. Complete records of the financial operations of all HEREIU health, welfare and retirement funds and programs shall be maintained in accordance with the best accounting practice. Each such fund shall be audited regularly.

D. All such audit reports shall be available to the members of the Union covered by the fund.

E. The trustees or administrators of such funds shall make a full disclosure and report to the members covered by the fund at least once each year.

IV. Business and Financial Activities of Union Officials: Any person who represents the HEREIU and its members, whether elected or appointed, has a sacred trust to serve the best interests of the members and their families. Therefore, every officer and representative must avoid any outside transaction which even

gives the appearance of a conflict of interest. The special fiduciary nature of Union office requires the highest loyalty to the duties of the office.

A. No officer or representative shall have a personal financial interest which conflicts with her/his Union duties.

B. No officer or representative shall have any substantial financial interest (even in the publicly-traded, widely-held stock of a corporation except for stock purchase plans, profit sharing nominal amounts of such stock), in any business with which the HEREIU bargains. An officer or representative shall not have any substantial interest in a business with which the HEREIU bargains collectively.

C. No officer or representative shall accept "kickbacks," under-the-table payments, valuable gifts, lavish entertainment or any personal payment of any kind, other than regular pay and benefits for work performed as an employee from an employer with which the Union bargains or from a business or professional enterprise with which the Union does business.

D. The principles of this Code, of course, apply to investments and activities of third parties, where they amount to a subterfuge to conceal the financial interests of such officials or representatives.

V. Prohibited Conduct:

A. No current and future officers, agents, employees, representatives, and persons holding positions of trust in the HEREIU and its constituent entities as well as all current and future members of the HEREIU and its constituent entities shall:

1. commit any crime listed in 18 U.S.C. § 1961(1);
2. knowingly associate with any member or associate of any criminal group or with any barred person;
3. knowingly permit any member or associate of any criminal group or any barred person to exercise any control or influence, directly or indirectly, in any way or degree, in the conduct of the affairs of the HEREIU and its constituent entities; and
4. obstruct or otherwise interfere, directly or indirectly, with the efforts of anyone effectuating or attempting to effectuate the terms of the Consent Decree in *United States v. HEREIU, et al.*, U.S.D.C., D.N.J., Case No. 95-4596 (GEB), or in attempting to prevent any criminal groups or barred person from exercising influence on the conduct of the affairs of the HEREIU and its constituent entities.

B. As used in the Ethical Practices Code, the term "knowingly associate" shall mean that: (a) the party knows or should know that the person with whom he or she is associating is a member or associate of any criminal group or is a barred person; and (b) the association is more than fleeting.

C. As used in the Ethical Practices Code a "barred person" is: (a) any member or associate of any organized crime family or other criminal group, or (b) any person prohibited from participating in union affairs pursuant to or by operation of the Consent Decree in *United States v. HEREIU, et al.*; U.S.D.C., D.N.J., Case No. 95-4596 (GEB) or other court order or statute.

KURT W. MUELLENBERG

EMPLOYMENT HISTORY:

MANAGING DIRECTOR, KROLL ASSOCIATES
Washington, D.C.
January 1988 – May 1991

Established Washington office of Kroll Associates, a private investigative and audit firm with offices in the U.S., Europe and Hong Kong. Conducted investigations for corporate clients and law firms.

SENIOR LITIGATION COUNSEL
Criminal Division
U.S. Dept. of Justice
June 1987 – December 1987

Served on the staff of the Assistant Attorney General, Criminal Division. Served on committees addressing law enforcement priorities and programs, conducted internal investigations for the Office of Professional Responsibilities of the Department and Grand Jury proceedings on a variety of criminal matters. During this time, as well as the other periods described below, worked closely with the FBI, DEA, INS and all the other Federal and many State law enforcement agencies.

SENIOR COUNSEL FOR INTERNATIONAL LAW ENFORCEMENT
U.S. Dept. of Justice
American Embassy
Rome, Italy
June 1985 – May 1987

Represented the U.S. Justice Department as a liaison to the Italian Ministry of Justice in the implementation of a newly ratified Mutual Assistance Treaty. Processed extradition requests and provided legal guidance in a number of international terrorists investigations.

SENIOR LITIGATION COUNSEL
Criminal Division
U.S. Dept. of Justice
Feb. 1981 – May 1985

Duties as described above. In addition, conducted as well as supervised criminal investigations and prosecutions.

INSPECTOR GENERAL
General Services Administration
Apr. 1979 – Jan. 1981

Appointed by the President and confirmed by the Senate as the first Inspector General at G.S.A. Supervised a staff of about 400 auditors and 150 investigators in the general area of agency fraud and efficiency.

CHIEF, ORGANIZED CRIME AND RACKETEERING SECTION
Criminal Division
U.S. Dept. of Justice
Nov. 1976 – March 1979

Supervised a staff of 160 prosecutors in 24 offices throughout the United States in the investigation and prosecution of organized crime. Established and chaired the National Organized Crime Planning Council, a multi-agency law enforcement effort to coordinate organized crime investigations at the federal level.

CHIEF, NARCOTICS and DANGEROUS DRUG SECTION
Criminal Division
U.S. Dept. of Justice
Feb. 1976 – Dec. 1976

Supervised 50 prosecutors in the investigation and prosecution of international drug cases.

DEPUTY CHIEF, ORGANIZED CRIME SECTION
Criminal Division — U.S. Dept. of Justice
Nov. 1970 – Dec. 1975

ATTORNEY in CHARGE, ORGANIZED CRIME STRIKE FORCE Cleveland, Ohio
Oct. 1969 – Aug. 1970

DEPUTY ATTORNEY in CHARGE, ORGANIZED CRIME STRIKE FORCE
Detroit, Michigan
Feb. 1968 – Sept. 1969

TRIAL ATTORNEY, ORGANIZED CRIME SECTION
U.S. Dept. Of Justice
Nov. 1965 – Jan. 1968

During all my time with this Section, participated in numerous investigations, prosecutions and some appellate matters involving organized crime throughout the United States. Had almost daily contact with investigators, both Federal and state, judges and grand juries, as well as the public through speaking engagements.

TRIAL ATTORNEY
U.S. Dept. of Agriculture
Aug. 1961 – Oct. 1965

Represented the Department at rule-making quasi-judicial proceedings before Administrative law judges in the general area of agricultural regulatory work.

OTHER EXPERIENCE:

LECTURER
Knight Center for Specialized Journalism
University of Maryland

Conducted seminars for journalists interested in covering the criminal justice system for their publications.

SENATE TESTIMONY

Testified before U.S. Senate committees on matters relating to organized crime, law enforcement organization and terrorism.

U.S. LIBRARY OF CONGRESS
Congressional Research Service
Apr. 1994, Vilnius, Lithuania

Participated as a panelist in conference on parliamentary procedures with members of the Seimas, the Lithuanian legislature.

PROFESSIONAL in RESIDENCE
Procurator General's Office
Republic of Lithuania
Feb. 1993 – June 1993; Nov. 1993 – Dec. 1993

Assisted the office of Procurator General in drafting provisions for the future penal code of Lithuania. Lectured on the American criminal justice system and conducted organized crime seminars for the Ministry of the Interior, which is responsible for law enforcement in the country.

ABA-CEELI, BULGARIA
April and May 1992

Assisted the Bulgarian Ministry of the Interior in developing new provisions for their penal code, concentrating on organized crime.

PERSONAL DATA:
1952 – Arrived in the United States from Germany
1953 – 1957 Military Service – U.S. Air Force
1957 – 1961 College and Law school
Graduated from the University of Maryland
B.A. 1958
LL.B. 1961
Bar Membership: Maryland and District of Columbia
Born January 6, 1932 in Jena, Germany

JAMES R. THOMPSON

Governor of Illinois (1977-1991)

Partner, Chairman and Chairmen of the Executive Committee Winston & Strawn Chicago, Illinois; New York, New York; Washington, D.C.; Geneva, Switzerland, Riyadh, Saudi Arabia and Paris, France.

James R. Thompson (Republican) was first elected Governor in 1976 by a record 1.39 million votes, with 65 percent of the vote. He was re-elected in 1978 by 596,550 votes, a record for an incumbent, and he won unprecedented consecutive third and fourth terms to office in 1982 and 1986 respectively.

Following his first election to office, Governor Thompson presented a balanced State budget each year of the two-year term; cut the size of government; trimmed welfare rolls; maintained and improved human services; won legislative approval of sweeping reforms in the State's criminal sentencing laws; and began a program that provides almost $1 billion in tax relief annually.

In his second term, the Governor's accomplishments included: holding state spending growth to a rate below inflation; keeping the State's high bond rating intact; building more prisons to take violent criminals off the street; settling the Chicago school system financial crisis; making Illinois a leader in securing federal transportation funding; and bringing together business and labor to solve a number of important issues facing the State including the replacement of the corporate personal property tax and reform of the unemployment insurance system.

In his third term, Governor Thompson helped the State address the financial problems of recession while at the same time gaining legislative approval of a plan to provide vital State services; funding for education, the elderly, abused and neglected children and for the disabled and mentally ill. He also proposed the Building Illinois infrastructure improvement program; created the Project Chance welfare-to-work program; and secured a gasoline tax increase to fund needed road and bridge improvements.

In his fourth term, Governor Thompson continued to promote economic development; was responsible for securing National Scenic River status for the Middle Fork of the Vermillion river; expanded wastewater treatment facilities; strengthened child protection laws; signed Chicago school reform legislation; and kept the Chicago White Sox from moving out of Illinois.

Born May 8, 1936, James A. Thompson, Jr., the eldest of four children of Dr. J. Robert and Agnes Thompson, was reared on the West Side of Chicago.

Following undergraduate training at the University of Illinois and Washington University, he entered Northwestern University Law School, where he was awarded his law degree in 1959.

From 1959 to 1964, James Thompson served as a prosecutor in the Cook County State's Attorney's Office. In 1964 he joined the faculty of Northwestern University Law School as an Associate Professor. From 1969 to 1970 he was Chief of the Department of Law Enforcement and Public Protection, Illinois Attorney General's Office. From 1970 to 1971 he served as First Assistant U.S. Attorney and was appointed U.S. Attorney for the Northern District on November 19, 1971, and served until June 30, 1975. James Thompson has co-authored several books on criminal law.

On June 19, 1976, James A. Thompson married Jayne Ann Carr of Oak Park. Mrs. Thompson, an attorney, was formerly Deputy Chief of the Criminal Division, Illinois Attorney General's Office. They have one daughter, Samantha Jayne, born August 3, 1978.

Governor Thompson has been the recipient of 17 honorary degrees and numerous national and local civic awards. He served as Chairman of the Midwestern Governors' Conference in 1981, Chairman of the Republican Governors' Association in 1982, Chairman of the National Governors' Association (NGA) in 1983, and Chairman of the Council of Great Lakes Governors in 1985.

Governor Thompson was Co-Chairman of the Attorney General's Task Force on Violent Crime in 1981; National Co-Chairman of the Campaign to Protect Our Children in 1986; a member of the National Commission to Prevent Infant Mortality in 1986; Chairman of the NGA Task Force on Electric Utility Transmission Policy in 1986; Chairman of the NGA Task Force on Teen Pregnancy in 1987; Chairman of the NGA Task Force on Transportation Infrastructure in 1988, and Chairman of the NGA Task Force on Global Climate Change in 1989.

Governor Thompson was a member of the Working Group on International Economic Changes: Perestroika and East-West Security, In-

stitute for East-West Security Studies. During his tenure as Governor, he established nine additional trade offices for the State of Illinois.

Governor Thompson serves as a member of the Board of Directors of FMC Corporation, the Chicago Board of Trade, the International Advisory Council of the Bank of Montreal, Prime Retail, Inc., Pechiney International, American Publishing Company, Wackenhut Corrections Corporation, Jefferson Smurfit Corporation and Union Pacific Resources Group, Inc. He also serves as the chairman of the Board of Trustees of the Illinois Academy of Fine Arts. He is the Chairman of the Board of Trustees of the Illinois Mathematics and Science Academy Foundation and serves as a member of The National Trust for Historic Preservation, Chicago Historical Society, Lyric Opera of Chicago, Museum of Contemporary Art, Economic Club of Chicago, Chicago Council on Foreign Relations, and Chancellor's Advisory Board of the University of Illinois at Chicago.

ARCHBISHOP JAMES P. KELEHER

Archbishop James Patrick Keleher was ordained and installed as the Third Archbishop of Kansas City in Kansas on September 8, 1993, at Memorial Hall, Kansas City, Kansas.

Prior to being appointed Archbishop of Kansas City in Kansas, the Archbishop served as the Sixth Bishop of Belleville, Illinois, from 1984 till 1993. Prior to coming to Belleville, he served as President/Rector of Saint Mary of the Lake Seminary, Mundelein, Illinois and Associate Professor in the Department of Systematic Theology.

James Patrick Keleher was born on July 21, 1931. His father, James P. Keleher, died in October, 1972. His mother, Mrs. Rita Theresa (Cullinane) Keleher continues to reside in the Chicago area. He has one sister, Mrs. Hugh (Rita) Zick of Wilmette, Illinois. Mr. and Mrs. Zick have three children, Robert, Steven, and Dianne.

Archbishop Keleher attended Quigley and St. Mary of the Lake Seminaries and was ordained to the priesthood by Cardinal Stritch on April 12, 1958.

The Archbishop received his Masters Degree in Education and his Doctorate in Theology from St. Mary of the Lake Seminary, Mundelain.

He served as an Associate Pastor at St. Henry Parish, Rogers Park, after completing doctoral studies at the Seminary. After being appointed to Quigley Seminary North, Chicago, he did graduate work in the fields of sociology and history.

The Archbishop received a degree in Administration from Loyola University of Chicago and worked in academics and formation at Niles College from 1969-1972. From 1972-1975 he was Dean of Formation at the Major Seminary. During this time he also did post doctoral work in spirituality at the Gregorian University in Rome.

Archbishop Keleher was Rector of Quigley Seminary South until his appointment as President/Rector of St. Mary of the Lake Seminary in 1978. He has maintained an active interest in parish ministry since ordination and served every weekend in local parishes. Prior to going to Belleville, he devoted summer months to rural ministry in the Diocese of Great Falls-Billings, Montana.

In addition to his duties as Archbishop of Kansas City, Archbishop Keleher is the chairperson of the Committee of Economic Concerns of the Holy See of the National Conference of Catholic Bishops, chairperson of the NCCB Committee on Shrines, chairperson of the Ad Hoc Committee of the NCCB for National Shrines, a member of the Migration and Refugee Service Committee of the NCCB, a member of the NCCB Ad Hoc Committee on Stewardship, Episcopal Moderator for the National Catholic Stewardship Council, a member of the Committee of Bishops and Scholars, a member of the Subcommittee on Youth of the Secretariat for Laity and Family Life, an advisor to the NCCB Committee on Priestly Formation, a member of the Board of Trustees of the Basilica of the National Shrine of the Immaculate Conception in Washington, D.C., and chairperson of the Pilgrimage Committee of the National Shrine Board.

Archbishop Keleher is a member of the NCCB Committee for the Church in Latin America. He is a member of the Board of Trustees of Catholic Mutual Relief Society and a member of the Board of Regents of Conception Seminary. He is also a member of the Seminary Department of the National Catholic Education Association and the Midwest Association of Theological Schools.

(06/01/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 05/21/1996

To: ADIC, WFO **Attn:** SSA [redacted]
SQUAD C-9, NVMRA

From: SAC, CHICAGO
SQUAD 7B
Contact: [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]:tjb

Case ID #: 58C-WF-180673 (Pending)

Title: PLATE BLOCK
OO: WFO

Synopsis: Leads in the Chicago Division regarding captioned case are being referred upon completion.

Reference: Electronic Communication (EC) from ADIC, WFO to Chicago dated 12/28/95.

Details: Referenced communication requested assistance from Chicago on an as needed basis until the trial of subject Daniel Rostenkowski was completed. As Rostenkowski has plead guilty, it is requested that the above referenced lead be considered referred upon completion.

♦♦

58C-WF-180673-838

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
JUN 7 1996
WASHINGTON METRO FIELD OFFICE

FD-350 (Rev. 5-8-81)

(Indicate page, name of newspaper, city and state.)

(Mount Clipping in Space Below)

Date: July 23, 1996
Edition: Washington Post
Title: Plate Block
00: WF
Character: 58C-WF-180673
or (Sub N)
Classification:
Submitting Office:

Indexing:

Rostenkowski Starts Prison Term

Associated Press

ROCHESTER, Minn., July 22—Former representative Dan Rostenkowski began a 17-month sentence for mail fraud today at the federal medical prison, where he will stay while completing his recuperation from prostate cancer surgery.

The Chicago Democrat, former chairman of the tax-writing Ways and Means Committee and confidant of presidents, will have a physical examination and receive any needed treatment during his stay at the Federal Medical Center.

Ultimately, the federal Bureau of Prisons will pick a prison, probably a low-security facility, for Rostenkowski to serve the remainder of his sentence. With credit for good behavior, Rostenkowski could serve about 15 months.

Rostenkowski, 68, pleaded guilty in April to converting office funds to his own use for gifts and to hiring people on the congressional payroll who did little or no official work. He also admitted mailing House payroll checks to his district office to pay employees who performed "personal or political service."

-839

58C-WF-180673

SEARCHED ... INDEXED
SERIALIZED ... FILED
1996
FBI — WASHINGTON METRO FIELD OFFICE

FBI/DOJ

FD-350 (Rev. 5-8-81)

(Mount Clipping in Space Below)

(Indicate page, name of newspaper, city and state.)

Date: 8/1/96
Edition: WASH. POST

Title: PLATE BLOCK
OO: WF

Character: 58C-WF-180673
or
Classification: (SUB P)
Submitting Office:

Indexing:

Ex-Rep. Kolter Gets 6-Month Term For Theft From House Post Office

By Toni Locy
Washington Post Staff Writer

Former representative Joseph P. Kolter (D-Pa.) was sentenced yesterday to six months in prison for secretly pocketing $9,300 from the House Post Office and lying about it on official vouchers that said he had gotten postage stamps instead.

U.S. District Judge Norma Holloway Johnson shook her head in disgust when she said that Kolter "betrayed the public trust" by abusing his power for such a small amount of money, which she repeatedly called "dirty lucre."

Johnson ordered Kolter, 70, who served in Congress from 1983 to 1993, to repay the money he stole from taxpayers. She also said he must pay the maximum fine of $20,000 and cover his $1,750-a-month incarceration cost.

Kolter, who has an assortment of health problems, tearfully told Johnson that he takes responsibility for what he did. "I regret that my actions have caused pain and embarrassment to my beloved family, my loyal friends, my former colleagues and my constituents," he said. "I trust they will forgive my transgressions with the same grace that the Lord Jesus Christ promises."

The judge said she is concerned about Kolter's health. He has had cancer, cardiac problems and both knees replaced. She said she will ask the Bureau of Prisons to tell her if it cannot meet Kolter's medical needs at its Rochester, Minn., medical center-prison.

Prosecutors Randall Eliason and Wendy L. Wysong argued that Kolter deserved the maximum 12-month sentence despite his health problems because of the "corrosive" effect his crime has had on public confidence in elected officials.

Johnson said Kolter deserved less time because he seemed to be more remorseful than other public officials caught with their hands in the public purse. "You appear to recognize that you have indeed committed a crime, as opposed to just conducting business as usual," she said, in an oblique reference to former representative Dan Rostenkowski (D-Ill.), who gave a defiant speech on the courthouse steps after Johnson sentenced him to 17 months in prison in April.

Kolter was snagged in an investigation of the House Post Office after the longtime postmaster, Robert V. Rota, cooperated and told authorities that members of Congress exchanged postage stamps for cash for their own use. Rota will be sentenced in October.

Originally, Kolter was charged with embezzling more than $40,000 in taxpayer funds: $11,000 from the post office and more than $33,000 in House Stationery Store merchandise. By pleading guilty in May to one charge covering the theft of $9,300 from the post office, he was the first former congressman to admit such a fraud existed. Rostenkowski vehemently denied it, suggesting it was a figment of prosecutors' imagination.

58C-WF-180673-840

SEARCHED
SERIALIZED
INDEXED
FILED
AUG 1996
FBI — WASH. METRO FIELD OFFICE

FBI/DOJ

08/09/96

********* CONVICTION **********
SENSITIVE / UNCLASSIFIED

Case Number: 58C-WF-180673
Serial No.:

Stat Agent Name: [redacted]
Stat Agent SOC.: [redacted]

Report Date: 08/09/1996
Accom Date.: 07/31/1996

b6
b7C

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC	Subject Name
Drugs	N	USPS		KOLTER, JOSEPH, P
A Fugitive.	N	OTHR		
Bankruptcy Fraud.	N			
Computer Fraud/Abuse.	N			RA / Squad / Task Force
Corruption of Public Officials:	Y			
Forfeiture Assets	N			CRIM / C9

Sub. Invest. Asst by Other FOs:

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II
COMPUTER ASST	PEN REGISTERS	UCO-OTHER
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP
ELSUR/FISC	POLYGRAPH	VISUAL INVEST
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC
ENG TAPE EXAM	SOG ASST	ERT ASST
HYPNOSIS ASST	SWAT TEAM	BUTTE-ITC
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC
INFORMANT/CW	TEL TOLL RECS	

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Date of Conviction (MM/DD/YYYY) . . . . . .: 05/07/1996
Date of Sentencing (MM/DD/YYYY) . . . . . .: 07/31/1996
Subject Description Code. . . . . .: 6C

Federal or Local (F/L). . . . . .: F
Felony or Misdemeanor (F/M) . . . . . .: F

Plea or Trial (P/T) . . . . . .: P
Judicial State. . . . . .: DC
Judicial District. . . . . .: DC
Type of Sentence . . . . : JS

	Year	Month	U.S. Code Violation Title	Section	Counts
Time in Jail. .:		6			
Time Suspended.:			18	371	1
Probation Time.:					

Total Fines. . :$ 20000

Accomplishment Narrative

58C-WF-180673 -841

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

SENSITIVE / UNCLASSIFIED

FD-515 (Rev. 10-1-94)

Squad Supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 8/1/96
Date Loaded _____
Data Loader's initials _____

Does Accomplishment Involve: (check all that apply)

- Drugs ☐
- A Fugitive ☐
- Bankruptcy Fraud ☐
- Computer Fraud/Abuse ☐
- Corruption of Public Officials ☒
- Forfeiture Assets ☐
- Sub Invest Asst by FO (s) ☐

Assist. FO(s) NONE
A, B, C, D, E

Assisting Agents Soc. Sec. No. ×
1. NONE
Name:
2. - -
Name:

File Number: 58C-WF-180673

Stat Agent Soc. Sec. No.:

Stat Agent Name:

RA	Squad
NUMEA	C-9

Task Force:

Assisting Joint Agencies ×•
1. USDIS
2. CAP POLICE

Investigative Assistance or Technique Used

1-Used, but did not help
2-Helped, minimally
3-Helped, substantially
4-Absolutely essential

For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D,E for corresponding FO

Rate	FO(s)	IAT	Rate	FO(s)	IAT	Rate	FO(s)	IAT
		Financ. Analyst			Lab. Div. Exams			UCO - Group I
		Aircraft Asst.			Lab. Div. Field Sup.			UCO - Group II
		Computer Asst.			Pen Registers			UCO - Other
		Consensual Mon.			Photo Coverage			NCAVC / VI - CAP
		ELSUR / FISC			Polygraph Asst.			Visual Invest. Anal.
		ELSUR / Title III			Search Warrants			Crisis Neg. - Fed.
		Eng. Sect. Field Supt.			Show Money Usage			Crisis Neg. - Local
		Eng. Sect. Tap Exams			SOG Asst.			ERT Assistance
		Hypnosis Asst.			Swat Team Action			Butte-ITC
		Evidence Purchase			Tech. Agnt.or Equip.			Savannah-ITC
		Informant /CW Info.			Telephone Toll Recs.			

b6
b7C

A. Complaint / Information / Indictment
Also Complete Section H
Complaint Date _____
Check if Civil Rico Complaint ☐
Information Date _____
Indictment Date _____

B. Arrest / Locate / Summons
Arrest Date _____
☐ Federal ☐ Local ♦
Subject Priority: ☐ A ☐ B ☐ C
☐ Subject Resisted
☐ Subject was armed

Locate Date _____
Subject Priority: ☐ A ☐ B ☐ C

Summons Date _____
☐ Federal ☐ Local ♦

C. Hostage(s) Released Date _____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: _____
Child Located Date _____

D. Recovery / Restitution / PELP × ♦
Recovery Date _____
☐ Federal ☐ Local
Code • Amount
$
$

Restitution Date _____
☐ Court Ordered
☐ Pretrial Diversion
Code • Amount
$

PELP Date _____
Code • Amount
$

E. Civil Rico Matters Date _____
Also Complete Section H

or

Other Civil Matters Date _____
Judgment ____ ____ •
Judicial Outcome ____ ____ × •
Amount: $ _____
Suspension:

Years	Months

F. Administrative Sanctions Date _____
Type:
☐ Suspension
☐ Debarment
☐ Injunction

Length:
☐ Permanent
☐

Years	Months

Subject Description Code ____ ____ •

G. Final Judicial Process Conviction
Also Complete Section H
Date of Conviction: 5/31/96
Date of Sentence: 7/31/96
Subject Description Code: 6C •
Judicial State: WDC District: _____
Sentence type: JS ____ ____ •
Sentence Term:

In-Jail Years	In-Jail Months	Suspended Years	Suspended Months	Probation Years	Probation Months
	6				

Fines: $ 20,000

☒ Federal
☐ Local ♦

☒ Felony
☐ Misdemeanor

☒ Plea
☐ Trial

H. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	371	1			

Required for Sections A, E, and G (Conviction)
Optional for Section B (arrest)

Acquittal / Dismissal / Pretrial Diversion
Acquittal Date _____
Dismissal Date _____
Pretrial Diversion Date _____

b6
b7C

I. Subject Information (Required for Sections A, B, D (Restitution), E, F, and G

Name	Date of Birth	Race •	Sex	Place of Birth (if available)	Social Security No. (if available)
KOLTER, JOSEPH		W	M		

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (Submit form FD-515a for indictments and convictions only)

× Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)
• See codes on reverse side.

Serial No. of FD-515

For Further Instructions See: MAOP, Part II, [illegible]ons 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

JOINT AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCS U.S. Customs Service
USDS U.S. Department of State
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERMENT SUBJECTS

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

(03/31/95)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 09/10/1996

To: CHICAGO
LOS ANGELES

From: ✓WFO
C-9, NVRA
Contact: SA [redacted] (202) 324-6294 b6 b7C

Approved By: SSA [redacted]

Drafted By: DLW

File Number(s): 58C-WF-180673 (Pending)

Title: PLATE BLOCK
00:WF

Synopsis: RETURN OF EVIDENCE

Enclosures: Enclosed for Chicago are 13 crystal miniatures of the U.S. Capitol building which were taken as evidence in the captioned matter.

Enclosed for Los Angeles are 2 pieces as described above taken as evidence in that Field Division

Details: The U.S. Attorney for the District of Columbia has requested that the aforementioned crystal miniatures of the U.S. Capitol building, which were given as gifts by former Rep. DAN ROSTENKOWSKI to friends and associates in the subject matter, be returned to the recipients.

The Chicago and Los Angeles Field Divisions are requested to return these items to the appropriate parties.

ACTA

58C-WF-180673-842

SEARCHED ___ INDEXED ___
SERIALIZED ✓ FILED ___

(09/19/94)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 11/05/1996

To: WFO **Attn:** SA [redacted]
C-9, NVRA

From: LOS ANGELES
PALM SPRINGS RA
Contact: SA [redacted] 619/320-0800

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 58C-WF-180673 (Pending) -843

b6
b7C

Title: PLATE BLOCK
OO: WFO

Synopsis: Evidence Returned.

Enclosures: FD-597 for return of evidence.

Details: On 10/30/96, crystal miniature of U.S. Capitol Building was returned to [redacted]

♦♦

SEARCHED ___ INDEXED ___
SERIALIZED ___ FILED ___
NOV 08 1996
FBI - WASH. METRO FIELD OFFICE

58C-WF-180673-843

B:\58WF180.EC

FD-35

Former House Postmaster Receives 4-Month Sentence

By Toni Locy
Washington Post Staff Writer

Robert V. Rota, the former postmaster of the House Post Office, was sentenced yesterday to four months in prison for supplying former representatives Dan Rostenkowski (D-Ill.) and Joseph P. Kolter (D-Pa.) with cash in exchange for stamps.

Rota, 61, wept throughout the hearing where U.S. District Judge Norma Holloway Johnson refused to put him on probation. He reached out and held onto a podium as she imposed the prison term, a $2,000 fine and ordered him to pay $5,000 in restitution to Congress.

In deciding to send Rota to prison despite his cooperation with authorities, Johnson accepted prosecutor Thomas J. Motley's view that Rota had to be punished for lying to investigators in 1980 when the allegations about congressmen getting cash for stamps first surfaced, as well as coercing others to lie.

Johnson said she understood that Rota was under pressure not to cooperate with investigators—pressure exerted on him by Rostenkowski, the former chairman of the House Ways and Means Committee, and others. "I know that those powerful men perhaps had such an influence on you that it was difficult to overcome, and it took a long time for you to stand up and say what was really happening," the judge said.

But, she said she couldn't give him probation because she was too troubled by his efforts to cover up the scheme, which prosecutors said spanned 15 years. "I do concern myself, Mr. Rota, with the fact that there was a time when you could have come clean," Johnson said.

Motley said Rota's coverup worked. Rota insisted in 1980 that no such scheme existed and he convinced another employee to lie to Justice Department lawyers, who then closed their investigation.

When the same allegations resurfaced in 1991, Rota used the same tactics. He told congressional investigators the allegations were not true. And he tried—this time unsuccessfully—to get another employee to lie.

Investigators got enough help from that employee and others to indict Rota in 1993. Several months later, Rota made a deal with prosecutors. He agreed to tell them about the congressmen involved and pleaded guilty to three misdemeanors involving conspiracy and embezzlement.

1992 FILE PHOTO

ROBERT V. ROTA
. . . supplied cash for stamps

Eventually, Rostenkowski and Kolter were charged with exchanging stamps for cash with Rota's help. Although Rota never got any money for his role, Rostenkowski was charged with getting $20,000 in cash for stamps—a charge he continues to deny. Kolter admitted getting $9,000 in cash for stamps.

There is a chance that Rota could serve his time in a halfway house because Johnson, at the request of Rota's attorney, Charles Leeper, said she would not object if the Bureau of Prisons put him in one. But she refused to make it part of her sentencing order.

Leeper said Motley had a point when he argued that Rota should have cooperated sooner. But he said Motley, seeing it from a "tough trial lawyer's" point of view, could not possibly understand "what it's like to be under the thumb of someone as powerful as Mr. Rostenkowski."

Rostenkowski, a one-time Chicago ward politician, led the Ways and Means Committee for 14 years and was one of the most powerful members of Congress. When Rota bucked Rostenkowski, Leeper said, it was "a true act of courage."

Johnson smiled when Leeper said she would never see Rota standing on the courthouse steps before a cluster of media microphones railing against her or investigators—as Rostenkowski did after he pleaded guilty last April and was sentenced to 17 months in prison.

When it was his turn to speak, Rota was overcome. "I have nothing to say," he said, weeping. "I did wrong, and I'm sorry."

(Indicate page, name of newspaper, city and state.)

Date: 2/21/97
Edition: WASHINGTON POST

Title: PLATE BLOCK 00:WF

Character: 58C-WF-180673
or
Classification:
Submitting Office:

Indexing:

58C-WF-180673-844

FBI/DOJ

02/25/97

********** CONVICTION/SENTENCING ********

SENSITIVE / UNCLASSIFIED

Case Number: 58C-WF-180673
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/25/1997
Accom Date.: 02/20/1997

b6
b7C

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC	Subject Name
Drugs	N	USPS		ROTA, ROBERT, V
A Fugitive	N	OTHR		
Bankruptcy Fraud	N			
Computer Fraud/Abuse	N			RA / Squad / Task Force
Corruption of Public Officials	Y			
Forfeiture Assets	N			CRIM / C9

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR. LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	
ELSUR/FISC	POLYGRAPH	CRIM INTEL AST	
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	
ENG TAPE EXAM	SOG ASST	ERT ASST	
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Date of Conviction (MM/DD/YYYY) . . . . . : 02/20/1997
Date of Sentencing (MM/DD/YYYY) . . . . . : 02/20/1997
Subject Description Code. . . . . : 6G

Federal, Local, or International (F/L/I) . . . . . : F
Felony or Misdemeanor (F/M) . . . . . : M

Plea or Trial (P/T) . . . . . : P
Judicial State. . . . . : DC
Judicial District . . . . . : DC
Type of Sentence . . . : JS

U. S. Code Violation(s)

	Year	Month	Title	Section	Counts
Time in Jail. . :		4			
Time Suspended: :			18	371	1
Probation Time.:			18	641	2

Total Fines. . . :$ 2000

Form FD-515a Side 2:
Investigative Efforts = N/A OR NONE
Subject's Role = N/A OR NONE
Scope = N/A OR NONE

Accomplishment Narrative

ROTA WAS FINED $2000.00 PLUS $75.00 SPECIAL ASSESSMENT

58C-WF-180673-845

02/25/97

********** RESTITUTION **********

SENSITIVE / UNCLASSIFIED

Case Number: 58C-WF-180673
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/25/1997
Accom Date.: 02/20/1997

b6
b7C

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC	Subject Name
Drugs	N	USPS		ROTA, ROBERT, V
A Fugitive.	N	OTHR		
Bankruptcy Fraud.	N			
Computer Fraud/Abuse.	N			RA / Squad / Task Force
Corruption of Public Officials:	Y			
Forfeiture Assets	N			CRIM / C9

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR. LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	
ELSUR/FISC	POLYGRAPH	CRIM INTEL AST	
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	
ENG TAPE EXAM	SOG ASST	ERT ASST	
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Restitution is for Federal, Local, or International (F/L/I)...: F
Restitution Court Ordered or by Pretrial Diversion (C/P).....: C

Restitution Property

CODE	AMOUNT	CODE	AMOUNT
01	$ 5000		$

Accomplishment Narrative

ROTA WAS FINED $2000.00 PLUS $75.00 SPECIAL ASSESSMENT

SENSITIVE / UNCLASSIFIED

FD-515 (Rev. 10-1-94)

Squad Supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared _____
Date Loaded _____
Data Loader's initials _____

Does Accomplishment Involve: (check all that apply)

- Drugs ☐
- A Fugitive ☐
- Bankruptcy Fraud ☐
- Computer Fraud/Abuse ☐
- Corruption of Public Officials ☒
- Forfeiture Assets ☐
- Sub Invest Asst by FO (s) ☐

Assist. FO(s) __ __ __ __ __ (A, B, C, D, E)

Assisting Agents Soc. Sec. No.×
1. - -
Name:
2. - -
Name:

File Number: 58C-WF-180673

Stat Agent Soc. Sec. No.

RA	Squad
NURA	C9

Task Force

Assisting Joint Agencies ×•
1. POSTAL
2. CAP POLICE

Investigative Assistance or Technique Used

1-Used, but did not help; 2-Helped, minimally; 3-Helped, substantially; 4-Absolutely essential

For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D,E for corresponding FO

Rate	FO(s)	IAT	Rate	FO(s)	IAT	Rate	FO(s)	IAT
		Financ. Analyst			Lab. Div. Exams			UCO - Group I
		Aircraft Asst.			Lab. Div. Field Sup.			UCO - Group II
		Computer Asst.			Pen Registers			UCO - Other
		Consensual Mon.			Photo Coverage			NCAVC / VI - CAP
		ELSUR / FISC			Polygraph Asst.			Visual Invest. Anal.
		ELSUR / Title III			Search Warrants			Crisis Neg. - Fed.
		Eng. Sect. Field Supt.			Show Money Usage			Crisis Neg. - Local
		Eng. Sect. Tap Exams			SOG Asst.			ERT Assistance
		Hypnosis Asst.			Swat Team Action			Butte-ITC
		Evidence Purchase			Tech. Agnt.or Equip.			Savannah-ITC
		Informant /CW Info.			Telephone Toll Recs.			

b6
b7C

A. Complaint / Information / Indictment
Also Complete Section H
Complaint Date _____
Check if Civil Rico Complaint ☐
Information Date _____
Indictment Date _____

B. Arrest / Locate / Summons
Arrest Date _____
☐ Federal ☐ Local ♦
Subject Priority: ☐ A ☐ B ☐ C
☐ Subject Resisted
☐ Subject was armed

Locate Date _____
Subject Priority: ☐ A ☐ B ☐ C

Summons Date _____
☐ Federal ☐ Local ♦

C. Hostage(s) Released Date _____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: _____
Child Located Date _____

D. Recovery / Restitution / PELP × ♦
Recovery Date _____
☐ Federal ☐ Local
Code • Amount
$
$

Restitution Date 2/20/97
☒ Court Ordered
☐ Pretrial Diversion
Code • Amount
26 $ 5000

PELP Date _____
Code • Amount
$

E. Civil Rico Matters Date _____
Also Complete Section H

or

Other Civil Matters Date _____
Judgment ___ ___ •
Judicial Outcome ___ ___ ×•
Amount: $_____
Suspension: Years | Months

F. Administrative Sanctions Date _____
Type: ☐ Suspension ☐ Debarment ☐ Injunction
Length: ☐ Permanent ☐ Years | Months
Subject Description Code ___ ___ •

G. Final Judicial Process Conviction
Also Complete Section H
Date of Conviction: _____
Date of Sentence: 2/20/97
Subject Description Code: 6G •
Judicial State: _____ District: _____
Sentence type: JS ___ ___ ___ •
Sentence Term:

In-Jail Years	In-Jail Months	Suspended Years	Suspended Months	Probation Years	Probation Months
0	4				

Fines: $ 2000 + 75 SPEC. ASSESS.

☒ Federal •
☐ Local ♦

☐ Felony
☒ Misdemeanor

☒ Plea
☐ Trial

H. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	371	1			
18	641	2			

Required for Sections A, E, and G (Conviction)
Optional for Section B (arrest)

Acquittal / Dismissal / Pretrial Diversion
Acquittal Date _____
Dismissal Date _____
Pretrial Diversion Date _____

I. Subject Information (Required for Sections A, B, D (Restitution), E, F, and G

Name	Date of Birth	Race •	Sex	Place of Birth (if available)	Social Security No. (if available)
ROBERT V. ROTA		W	M		

b6
b7C

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (Submit form FD-515a for indictments and convictions only)

× Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)
• See codes on reverse side.

Serial No. of FD-515: 845

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

JOINT AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCS U.S. Customs Service
USDS U.S. Department of State
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERMENT SUBJECTS

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

06/04/97

********** SENTENCING **********

SENSITIVE / UNCLASSIFIED

Case Number: 58C-WF-180673
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 06/04/1997
Accom Date.: 02/19/1997

b6
b7C

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC
Drugs	N	USPS	
A Fugitive.	N	OTHR	
Bankruptcy Fraud.	N		
Computer Fraud/Abuse.	N		
Corruption of Public Officials	Y		
Forfeiture Assets	N		

Subject Name

OROURKE, JOANNA

RA	Squad	Task Force
NVRA	C9	

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR. LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	
ELSUR/FISC	POLYGRAPH	CRIM INTEL AST	
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	
ENG TAPE EXAM	SOG ASST	ERT ASST	
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Type of Sentence . . . : PB
Date of Sentencing . ..: 02/19/1997
Federal, Local, or International (F/L/I): F

	Year	Month
Time in Jail. .:		
Time Suspended.:		
Probation Time.:	1	
Total Fines. . :$	200	

Accomplishment Narrative

SENSITIVE / UNCLASSIFIED

58C-WF-180673-846

SEARCHED ____ INDEXED ____
SERIALIZED YO FILED YO

FD-515 (Rev. 10-1-94)

☒ Squad Supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 6/3
Date Loaded 6/4
Data Loader's initials

Does Accomplishment Involve: (check all that apply)	
Drugs	☐
A Fugitive	☐
Bankruptcy Fraud	☐
Computer Fraud/Abuse	☐
Corruption of Public Officials	☒
Forfeiture Assets	☐
Sub Invest Asst by FO (s)	☐

Assist. FO(s) ___, ___, ___, ___, ___ (A, B, C, D, E)

Assisting Agents Soc. Sec. No. ×
1. – –
Name:
2. – –
Name:

File Number
58C-WF-180673

Stat Agent Soc. Sec. No.

Stat Agent Name

RA	Squad
NURA	C-9

Task Force

Assisting Joint Agencies ×•
1. U.S. POSTAL
2. CAP POLICE

Investigative Assistance or Technique Used

1-Used, but did not help
2-Helped, minimally
3 - Helped, substantially
4 - Absolutely essential

For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D,E for corresponding FO

Rate	FO(s)	IAT	Rate	FO(s)	IAT	Rate	FO(s)	IAT
		Financ. Analyst			Lab. Div. Exams			UCO - Group I
		Aircraft Asst.			Lab. Div. Field Sup.			UCO - Group II
		Computer Asst.			Pen Registers			UCO - Other
		Consensual Mon.			Photo Coverage			NCAVC / VI - CAP
		ELSUR / FISC			Polygraph Asst.			Visual Invest. Anal.
		ELSUR / Title III			Search Warrants			Crisis Neg. - Fed.
		Eng. Sect. Field Supt.			Show Money Usage			Crisis Neg. - Local
		Eng. Sect. Tap Exams			SOG Asst.			ERT Assistance
		Hypnosis Asst.			Swat Team Action			Butte-ITC
		Evidence Purchase			Tech. Agnt.or Equip.			Savannah-ITC
		Informant /CW Info.			Telephone Toll Recs.			

b6
b7C

A. Complaint / Information / Indictment
Also Complete Section H
Complaint Date ___
Check if Civil Rico Complaint ☐
Information Date ___
Indictment Date ___

B. Arrest / Locate / Summons
Arrest Date ___
☐ Federal ☐ Local ♦
Subject Priority: ☐ A ☐ B ☐ C
☐ Subject Resisted
☐ Subject was armed

Locate Date ___
Subject Priority: ☐ A ☐ B ☐ C

Summons Date ___
☐ Federal ☐ Local ♦

C. Hostage(s) Released Date ___
Released by: ☐ Terrorist ☐ Other
Number of Hostages: ___
Child Located Date ___

D. Recovery / Restitution / PELP × ♦
Recovery Date ___
☐ Federal ☐ Local

Code •	Amount
	$
	$

Restitution Date ___
☐ Court Ordered
☐ Pretrial Diversion

Code •	Amount
	$

PELP Date ___

Code •	Amount
	$

E. Civil Rico Matters Date ___
Also Complete Section H

or

Other Civil Matters Date ___
Judgment ___ ___ •
Judicial Outcome ___ ___ × •
Amount: $ ___

Suspension:

Years	Months

F. Administrative Sanctions Date ___

Type:	Length:
☐ Suspension	☐ Permanent
☐ Debarment	☐
☐ Injunction	

Years	Months

Subject Description Code ___ ___ •

G. Final Judicial Process Conviction
Also Complete Section H
Date of Conviction: 2/19/97
Date of Sentence: 2/19/97
Subject Description Code: 659 •
Judicial State: ___ District: ___
Sentence type: PB ___ ___ •
Sentence Term:

In-Jail Years	In-Jail Months	Suspended Years	Suspended Months	Probation Years	Probation Months
				1	0

Fines: $ 200

☒ Federal
☐ Local ♦

☐ Felony
☒ Misdemeanor

☒ Plea
☐ Trial

H. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	641	1			
18	648	1			

Required for Sections A, E, and G (Conviction)
Optional for Section B (arrest)

Acquittal / Dismissal / Pretrial Diversion
Acquittal Date ___
Dismissal Date ___
Pretrial Diversion Date ___

b6
b7C

I. Subject Information (Required for Sections A, B, D (Restitution), E, F, and G

Name	Date of Birth	Race •	Sex	Place of Birth (if available)	Social Security No. (if available)
O'ROURKE, JOANNA		W	F		

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (Submit form FD-515a for indictments and convictions only)

× Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)
• See codes on reverse side.

Serial No. of FD-515: 846

For Further Instructions See: MAOP, Part II, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

JOINT AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCS U.S. Customs Service
USDS U.S. Department of State
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERMENT SUBJECTS

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

08/01/97

********** CONVICTION **********

SENSITIVE / UNCLASSIFIED

Case Number: 58C-WF-180673
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 08/01/1997
Accom Date.: 02/19/1997

b6
b7C

Does Accomplishment Involve		Assisting Joint Agencies	Assisting Agents SOC	Subject Name
Drugs	N	USPS		OROURKE, JOANNA
A Fugitive.	N	OTHR		
Bankruptcy Fraud.	N			
Computer Fraud/Abuse.	N			RA / Squad / Task Force
Corruption of Public Officials:	Y			
Forfeiture Assets	N			NVRA / C9

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR. LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	
ELSUR/FISC	POLYGRAPH	CRIM INTEL AST	
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	
ENG TAPE EXAM	SOG ASST	ERT ASST	
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Date of Conviction (MM/DD/YYYY) . . . . . : 02/19/1997
Date of Sentencing (MM/DD/YYYY) . . . . . :
Subject Description Code. . . . . : 6G

Federal, Local, or International (F/L/I) . . . . . : F
Felony or Misdemeanor (F/M) . . . . . : M

Plea or Trial (P/T) . . . . . : P
Judicial State. . . . . : DC
Judicial District . . . . . : DC
Type of Sentence . . . :

U. S. Code Violation(s)

	Year	Month	Title	Section	Counts
Time in Jail. .:					
Time Suspended.:			18	641	1
Probation Time.:			18	641	1

Total Fines. . :$

Form FD-515a Side 2:
Investigative Efforts = N/A OR NONE
Subject's Role = N/A OR NONE
Scope = N/A OR NONE

Accomplishment Narrative

58C-WF-180673-847

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE Date: 08/24/1998

To: ADIC, WFO Attn: EVIDENCE ROOM, [redacted]

From: WFO
NVRA, C-9
Contact: SA [redacted] (703) 762-3310

b6
b7C

Approved By: [redacted]

Drafted By: DLW

Case ID #: 58C-WF-180673 (Closed)

Title: EVIDENCE - CHARGE OUT

Synopsis: Status of charged out evidence.

Details: The evidence per attached sheet has been returned.

♦♦

b6
b7C

[redacted]

58C-WF-180673-851

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
1998
FBI — WASH. METRO FIELD OFFICE

07/15/98 | Closed Cases with pending Collected Items | ICHIPR06
13:28:50 | 09/18/1997 thru 07/15/1998 | Page 281
Collected Item Type: General
Category Type: 18

Case Squad: C9
Case Number: 58C-WF-180673 Case Agent: [redacted] Closed: 12/05/97

b6
b7C

Cat/Num	Acquired	Type	Barcode	Anticipated Dispostion and Date	Description
[redacted]	01/04/1994	G	E1052543		1B33; PHOTOCOPY OF [redacted]

b3

**********BOX # 1 OF 2**********

DECLASSIFIED BY uc/baw 60324
ON 08-17-2011

(Rev. 10-01-1999)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 09/05/2000

To: Washington Field **Attn:** NVRA EVIDENCE TECH.
[redacted]

From: Washington Field
C-9, NVRA
Contact: SA [redacted] b6 b7C

Approved By: [redacted]

Drafted By:

Case ID #: 58C-WF-180673 (Closed) -852

Title: PLATE BLOCK
OO:WFO

Synopsis: Destroy evidence.

Details: On 9/5/00, Public Corruption Chief [redacted] was contacted regarding evidence being retained in the captioned matter. The evidence involves 1B-34,35,36 and 37, which are a complete set of photograph copies made from [redacted] original photos, and one complete copy of [redacted] b3 b6 b7C

[redacted] advised that his office no longer required these copied photos and documents and they could be destroyed. b6 b7C

♦♦

b6
b7C

[redacted] EC

[redacted] Chg PCU 9/5/00 Destroy

02/29/96
10:02:55

FD-192

[redacted] IPR01
Page 1

b6
b7C

Title and Character of Case:

PLATE BLOCK
-

Date Property Acquired: Source from which Property Acquired:
U.S. ATTORNEY OFFICE - D.C. / FBI LAB

01/04/1996

Anticipated Disposition: Acquired By: [redacted] Case Agent: [redacted]

b6
b7C

Grand Jury Material - YES (Disseminate only pursuant to Rule 6(e))

Description of Property: Date Entered
1B 35

1B35; ONE (1) SET OF PHOTOGRAPHS MADE FROM NEGATIVES IN
CUSTODY OF U.S. ATTORNEY OFFICE RE: ROSTENKOWSKI MATTER
**********BOX # 1 OF 3**********
Barcode: E1052545 Location: MRA3 02/29/1996

58C-WF-180673 1B35

For valuables and/or narcotics evidence only
Name of Sealing Official: Name of Witnessing Official:

Case Number: 58C-WF-180673

Office of Origin: WASHINGTON FIELD

58C-WF-180673 1B35

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
FEB 29 1996
FBI — WASH. METRO FIELD OFFICE

Title and Character of Case:

PLATE BLOCK
-

Date Property Acquired: Source from which Property Acquired:
U.S. ATTORNEY OFFICE - D.C / FBI LAB

01/04/1994

Anticipated Disposition: Acquired By: [redacted] Case Agent: [redacted] b6 b7C

Grand Jury Material - YES (Disseminate only pursuant to Rule 6(e))

Description of Property: Date Entered
1B 36

1B36; ONE (1) SET OF PHOTOGRAPHS MADE FROM NEGATIVES IN
CUSTODY OF U.S. ATTORNEY OFFICE RE: ROSTENKOWSKI MATTER
**********BOX # 2 OF 3**********
Barcode: E1052546 Location: MRA3 02/29/1996

58C-WF- 180673 1B36

For valuables and/or narcotics evidence only
Name of Sealing Official: Name of Witnessing Official:

Case Number: 58C-WF-180673

Office of Origin: WASHINGTON FIELD

SEARCHED ____ INDEXED ____
SERIALIZED KSO FILED ____
FEB 29 1996

58C-WF- 180673 1B36

Title and Character of Case:

PLATE BLOCK
-

Date Property Acquired: Source from which Property Acquired:
U.S. ATTORNEY OFFICE - D.C. / FBI LAB

01/04/1994

Anticipated Disposition: Acquired By: [redacted] Case Agent: [redacted] b6 b7C

Grand Jury Material - YES (Disseminate only pursuant to Rule 6(e))

Description of Property: Date Entered
1B 37

1B37; ONE (1) SET OF PHOTOGRAPHS MADE FROM NEGATIVES IN
CUSTODY OF U.S. ATTORNEY OFFICE RE: ROSTENKOWSKI MATTER
**********BOX # 3 OF 3**********
Barcode: E1052547 Location: MRA3 02/29/1996

58C-WF-180673 1B37

For valuables and/or narcotics evidence only
Name of Sealing Official: Name of Witnessing Official:

Case Number: 58C-WF-180673

Office of Origin: WASHINGTON FIELD

58C-WF-180673 1B37

SEARCHED ____ INDEXED ____
SERIALIZED K500 FILED ____
FEB 29 1996
FBI — WASH. METRO FIELD OFFICE

Title and Character of Case:

PLATE BLOCK
-

Date Property Acquired: 01/04/1994

Source from which Property Acquired: U.S. ATTORNEY - D.C

Anticipated Disposition: Acquired By: [redacted] Case Agent: [redacted] b6 b7C

Grand Jury Material - YES (Disseminate only pursuant to Rule 6(e))

Description of Property: Date Entered
1B 34

1B34; PHOTOCOPY OF [redacted] b3
**********BOX # 2 OF 2**********
Barcode: E1052544 Location: MRA3 02/29/1996

58C-WF-180673 1B34

For valuables and/or narcotics evidence only
Name of Sealing Official: Name of Witnessing Official:

Case Number: 58C-WF-180673

Office of Origin: WASHINGTON FIELD

58C-WF-180673 1B34

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____
FEB 29 1996
FBI — WASH. METRO FIELD OFFICE

FD-491 (Rev. 5-26-92)

Memorandum

To : 58C- WF-180673 Date 5-10-01

From : 3C

Subject: PLATE BLOCK
(Title)

☒ RUC
☐ File Destruction Program

Enclosed are 92 items.

These items are forwarded to your office since file meets criteria for destruction.

Enclosures are described as follows:

☐ Original Notes.
☐ Original FD-302's.
☐ Laboratory and/or Technical Support.
☒ Miscellaneous Documents.

CR15
Closed

853

Enc.

NOTE: DO NOT BLOCK STAMP ORIGINAL ENCLOSURES.

58C-WF-180673-853

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 4/19/94

b6
b7C

[redacted] date of birth [redacted] place of birth [redacted] Social Security Account Number [redacted] residence [redacted] was contacted at his place of employment [redacted] telephone number [redacted] was advised of the official identity of the interviewing Agent and of the nature of the interview. [redacted] provided the following information:

He met Representative DAN ROSTENKOWSKI of Illinois on an occasion back in the early 1980's.

He advised that he has never received any gifts whatsoever from Representative ROSTENKOWSKI.

b3
b6
b7C

[redacted]

b6
b7C

[redacted] provided the interviewing Agent with two business cards which list him as [redacted] and a two page typed background of [redacted]

Investigation on 4/18/94 at Hampton, New Hampshire File # 58C-WF-180673 - 7

by SA [redacted] /cls Date dictated 4/18/94

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-340a (Rev. 11-12-89)

(Title) Peter Black

(File No.) 58C-180673

Item	Date Filed	To be returned Yes	To be returned No			Disposition
1A1				Agents notes		

FD-340 (Rev. 8-18-89)

Universal File Case Number 58B-WF-180673 1A1

Field Office Acquiring Evidence BS

Serial # of Originating Document

Date Received 12/15/92

From Local investigative sources
(Name of Contributor)

(Address of Contributor)

[redacted] b6 b7C
(Name of Special Agent)

To Be Returned ☐ Yes ☐ No
Receipt Given ☐ Yes ☐ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☐ No

Title:

Reference:
(Communication Enclosing Material)

Agent's notes

Description: ☐ Original notes re interview of

b6
b7C

which address current for her?

He called at 11:05 — said he'd get back to you w/ info.

CJIS 33079 310 12/15/92 1010 S6011/1011.
TRP
**** CJIS DISPLAY FOR LFBI01 ON 12/15/92 10:10 EST
MSG ID = 73.3079

b6
b7C

b6
b7C

b6
b7C

b6
b7C

CJIS 33270 312 12/15/92 1012 S6011/1011.
**** REGISTRATION/TITLE/VIN ****

b6
b7C

[redacted]

NYNEX, Bus to Bus Yellow Pages covering Boston area - nothing under Lawyers. 1991-2

NYNEX White pages for area area code (617) Boston, Brookline, Cambridge Somerville

[redacted]

DDH

92' Cole Directory for BB shows

[redacted]

[redacted]

b6
b7C

Date___12/23/09____

To: WFO

Attn: Records Unit

From: Baltimore Division

Re: File 58C-WF-180673 — 854

No. of Enclosed Documents: 1

No. of Enclosed 1As: 0

A recent review of Baltimore Auxiliary Office Files has identified the enclosed document which requires continued retention by the Office of Origin.

Complaint Form
FD-71 (Rev. 2-13-86)

TO: SQ 6

NOTE: Hand print names legibly; handwriting satisfactory for remainder.
Indices: ☐ Negative ☐ See below

Subject's name and aliases	Character of case
Senator Dan Rostenkowski; Misuse of Campaign Funds.	~~194-0~~ 58
	Complainant ☐ Protect Source: ~~[illegible]~~ ANONYMOUS
	Complaint received: ☐ Personal ☑ Telephonic Date 12/19/92 Time 1:00P
Address of subject	Complainant's address and telephone number

Subject's Description	Race	Sex	Height	Hair	Build	Birth date and Birthplace
	Age	☐ Male ☐ Female	Weight	Eyes	Complexion	Social Security Number
	Scars, marks or other data					

b6
b7C

Facts of complaint

Caller, a W/F who stated she used to work in Sen. Rostenkowski's office, heard from a friend who currently works in the office, that [redacted] a former employee, was recently interviewed by the FBI. [redacted] told other employees that she lied to the FBI and actually has knowledge of the Senator's misuse of campaign funds. [redacted] had a lawyer friend coach her on what to tell the FBI.

b6
b7C

[redacted] to WMFO

dissemination
they have a case
opened re the
matter
12-21-92

58C-WF-180673-3

(Complaint received by)

Do not write in this space.

SEARCHED ___ INDEXED ✓
SERIALIZED ✓ FILED ✓

DEC 21 1992

FBI — BALTIMORE

BLOCK STAMP