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FEDERAL BUREAU OF INVESTIGATION
POLICY DIRECTIVE

0219D

1. Policy Directive Title.	Biometric Investment
2. Publication Date.	2011-06-13
3. Effective Date.	2011-06-13
4. Review Date.	2019-01-16

5. Date of Last Renewal.
01/16/2018

6. Authorities:

6.1. Office of Management and Budget (OMB) Circular A-11 Part 2, Section 53, "Information Technology and E-Government;" and OMB Circular A-11 Part 7, Section 300, "Planning, Budgeting, Acquisition, and Management of Capital Assets"

6.2. OMB Circular A-130 (revised), *Management of Federal Information Resources*, Section 8, "Policy"

6.3. Homeland Security Presidential Directive (HSPD) 24

6.4. Attorney General (AG) memorandum dated June 19, 2006, approving a June 9, 2006, request of the deputy attorney general (DAG) on behalf of Federal Bureau of Investigation (FBI) Director Mueller to create within the FBI the Science and Technology Branch (STB), comprised of the Laboratory Division (LD), the Operational Technology Division (OTD), the Criminal Justice Information Services Division (CJIS), and the Special Technologies and Applications Office (STAO), in order to both (a) "build a unified management approach to science and technology that would ensure single-point accountability and transparency, coordinated strategy and planning, and discipline-specific leadership and career path oversight," and (b) "directly manage all the FBI technical services that to date have been spread throughout different divisions."

7. Purpose:

The purpose of this policy is to ensure that new biometric-related capital investments and investments that require integration with existing systems align with FBI mission and strategy; conform to FBI standards, specifications, and best practices; and are not unnecessarily duplicative.

8. Policy Statement:

All FBI divisions and field offices (FOs) proposing new biometric-related capital investments and investments that require integration with existing systems greater than \$25,000 must first obtain approval from the STB Biometric Investment Committee.

9. Scope:

This policy applies to all divisions and FOs proposing new biometric-related capital investments and investments that require integration with existing systems greater than \$25,000. It focuses on capital assets specifically designed and intended for biometric search or analysis functionality or for a combination of biometric search, analysis, and capture functionality.

10. Proponent:

Science and Technology Branch



11. Roles and Responsibilities:**11.1. Divisions must:**

11.1.1. Notify the STB Biometric Investment Committee of proposed biometric-related capital investments and investments that require integration with existing systems in excess of \$25,000. Notification must be made using the point-of-contact (POC) information posted on the STB Intranet site.

11.1.2. Designate a division POC to collaborate with the STB Biometric Investment Committee during the approval process.

11.2. The STB Biometric Investment Committee must:

11.2.1. Review and evaluate proposed biometric-related capital investments to ensure alignment with FBI mission and strategy and conformity to FBI biometric priorities and prevent unnecessary duplication.

11.2.2. Collaborate with division/FO POCs (see subsection 11.1.2.) during the capital investment review process.

11.2.3. Communicate and consult with the Information Technology Branch (ITB) regarding proposed biometric-related capital investments.

11.2.4. Request pertinent spend plan information from the Finance Division (FD) (if needed) to conduct a thorough review and evaluation of proposed biometric-related capital investments.

11.2.5. Communicate Biometric Investment Committee requests and decisions to the FBI division/FO POCs.

11.2.6. Maintain the FBI's Biometric Portfolio by tracking biometric-related capital assets.

11.2.7. Report Biometric Investment Committee activities, actions, and decisions to the STB executive assistant director (EAD).

11.3. The FBI Biometric Center of Excellence (BCOE) must:

11.3.1. Serve as the STB Biometric Investment Committee's executive secretariat and function as the administrative POC.

11.3.2. Collaborate with the STB Biometric Investment Committee chair to coordinate and communicate Biometric Investment Committee activities, actions, and decisions.

12. Exemptions:

This policy excludes capital investments required for the day-to-day operation and maintenance of legacy biometric capital assets.

13. Supersession:

None

14. References, Links, and Forms:**14.1. Links**

14.1.1. STB Intranet site

14.2. Forms

14.2.1. FD-1058, "Biometric Investment Form"

15. Key Words, Definitions, and Acronyms:**15.1. Definitions**

15.1.1. Biometric capture capital assets: equipment and intellectual property (e.g., software) solely used to capture biometrics. This may include scanners, readers, communications intercept devices, cameras, video, and so on, and associated software. These capital assets are excluded from this policy.

15.1.2. Biometric Investment Committee (subject to change): STB chair appointed by the STB EAD; BCOE, appointed by the STB EAD; CJIS, appointed by the assistant director (AD); LD, appointed by the



AD; OTD, appointed by the AD; Information Technology Infrastructure Division (ITID), appointed by the AD; Terrorist Screening Center, appointed by the National Security Branch (NSB) EAD; ad hoc representatives, appointed by the STB EAD, as needed.

15.1.3. Biometric Portfolio: biometric-related capital assets held by the FBI.

15.1.3. Biometrics: (1) as a characteristic—a measurable biological (anatomical and physiological) and behavioral characteristic that can be used for automated recognition (e.g., fingerprint, DNA, face); (2) as a process—automated methods of recognizing an individual based on measurable biological (anatomical and physiological) and behavioral characteristics.

15.1.4. Capital investment: the purchase and acquisition of a capital asset.

15.1.5. Capital asset: equipment, intellectual property (e.g., software), and information technology (IT), including IT service contracts, used by the FBI and having an estimated useful life of two years or more.

15.2. Acronyms

15.2.1. AD: assistant director

15.2.2. AG: Attorney General

15.2.3. BCOE: Biometric Center of Excellence

15.2.4. CJIS: Criminal Justice Information Services

15.2.5. DAG: deputy attorney general

15.2.6. EAD: executive assistant director

15.2.7. FBI: Federal Bureau of Investigation

15.2.8. FD: Finance Division

15.2.9. FO: field office

15.2.10. HSPD: Homeland Security Presidential Directive

15.2.11. IT: information technology

15.2.12. ITB: Information Technology Branch

15.2.13. ITID: Information Technology Infrastructure Division

15.2.14. LD: Laboratory Division

15.2.15. NSB: National Security Branch

15.2.16. OMB: Office of Management and Budget

15.2.17. OTD: Operational Technology Division

15.2.18. POC: point of contact

15.2.19. STAO: Special Technologies and Applications Office

15.2.20. STB: Science and Technology Branch

16. Appendices and Attachments:

None

Sponsoring Executive Approval

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